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# **Effect of continuous theta burst stimulation of the right dorsolateral prefrontal cortex on cerebral blood flow changes during decision making**

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# **Abstract**

Decision making is a cognitive function relaying on a complex neural network. In particular, the right dorsolateral prefrontal cortex (DLPFC) plays a key role within this network. We used positron emission tomography (PET) combined with continuous theta burst transcranial magnetic stimulation (cTBS) to investigate neuronal and behavioral changes in normal volunteers while performing a delay discounting (DD) task. We aimed to test whether stimulation of right DLPFC would modify the activation pattern of the neural circuit underlying decision making during the DD task and influence discounting behavior.

We found that cTBS of the right DLPFC influenced decision making by reducing impulsivity and inducing participants to favor large but delayed rewards instead of immediate but small rewards. Stimulation also affected activation in several prefrontal areas associated with DD. In particular, we observed a reduced regional cerebral blood flow (rCBF) in the ipsilateral DLPFC (BA 46) extending into the rostral part of the prefrontal cortex (BA 10) as well as a disrupted relationship between impulsivity (k-value) and rCBF in these and other prefrontal areas.

These findings suggest that transcranial magnetic stimulation of the DLPFC influences the neural network underlying impulsive decision making behavior.

# **Keywords**

rTMS; Theta burst stimulation; Dorsolateral prefrontal cortex; Decision making; Impulsivity; Delay discounting task

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# **Introduction**

It has been demonstrated that both humans and experimental animals generally value immediate reward more than delayed reward. In everyday life, individuals tend to compare potential benefit versus cost and choose the most valuable option under a given situation. During this decision making process, people may assign different weights to rewards, with time playing an important factor in calculating the value of that reward.

Frequently, individual characteristics such as personality and personal preferences guide this decision making process. Impulsivity is a personality trait that is considered to play an important role in decision making. This behavior is associated with actions that are poorly conceived, prematurely expressed, unduly risky, or inappropriate to the situation and that often result in undesirable outcomes [1]. As a measure of impulsivity, delay discounting (DD) is a behavioral analytic approach utilized to understand how each individual makes a choice between a smaller reward given immediately and a larger reward given after a time delay. This metric can therefore be used to assess impulsivity [2]. Significantly elevated discounting tendencies are observed in various patient groups with impulsive behavior. For example, drug abusers or pathological gambler, obsessive compulsive disorder and attention deficit hyperactivity disorder patients show larger preference for immediate but smaller reward then delayed but larger reward in a DD task [3–5].

Thus far, there have been a number of studies that have tried to investigate the neural substrates underlying the decision making process associated with the DD paradigm. These reports have documented the involvement of different areas in the prefrontal cortex (PFC) including the dorsolateral prefrontal cortex (DLPFC), medial prefrontal cortex (MePFC) with the anterior cingulated cortex (ACC) and the orbitofrontal cortex (OFC), along with the inferior parietal region and ventral striatum (i.e. nucleus accumbens) [6–17].

Despite functional neuroimaging studies providing some insight on the neural control of DD decisions, imaging alone suffers from the limitation that it can only provide neuronal correlates of cognitive performance and often cannot determine a causal relation between observed brain activity and behavioral performance [18,19]. Thus, the specific functional relevance (active role vs simple epiphenomenon) of those structures during DD remains to be established.

There is evidence that the right hemisphere plays an important role in inhibiting impulsive behavior. In particular, the DLPFC along with the inferior frontal cortex and OFC are critical for response inhibition [20–23]. Recently, using non-invasive stimulation tools such as repetitive transcranial magnetic stimulation (rTMS) and transcranial direct current stimulation (tDCS), some studies have suggested that the right DLPFC may affect decision making [24–27] by modulating its neuronal excitability. In particular, we were able to show that cTBS of the right DLPFC reduced the discounting rate (k-value) during the DD task compared to sham stimulation providing evidence that cTBS-induced modulation of cortical excitability of the right DLPFC may reduce impulsive decision making [28].

The aim of the present study was to extend our previous observations [28] to investigate, during  $H_2^{15}$ O positron emission tomography (PET), whether TBS-induced changes in the level of excitability of the DLPFC in the right hemisphere would affect the activations elsewhere associated with decision making during DD and interfere with impulsivity level.

# **Methods**

### **Subjects**

Eight right-handed young healthy subjects (mean age:  $22.6 \pm 2.7$  y; age range:  $18-27$  y; 4 women) were enrolled in this study. Exclusion criteria included history of psychiatric and/or neurological disorder, including epilepsy, any previous exposure to stimulant drugs, head injury, pregnancy, and migraine. Handedness was assess using the Edinburgh Handedness Inventory [29]. Applicants with a laterality index lower than 40 for Edinburgh Handedness Inventory were excluded from the study. Subjects were screened as well for depression using the Beck Depression Inventory (BDI) with an exclusion criterion of a score of >10. A trait measure of impulsivity was collected using the Barratt Impulsivity Scale-11 (BIS). The BIS is a self-reported questionnaire containing 30 questions on a 4-point Likert scale reflecting frequency of occurrence. Scoring yields a total score and three subscale scores: attention (rapid shifts and impatience with complexity), motor (impetuous action) and non-planning (lack of future orientation) [30] scores. Higher scores indicate higher impulsivity. The mean values for our subjects are presented in Table 1. Written informed consent was obtained in all cases before the study enrollment; the study protocol was approved by the Ethical Committee of the Center for Addiction and Mental Health Research, University of Toronto.

#### **Behavioral task**

This study used three behavioral tasks: delay discounting (DD), magnitude discrimination and a physical discrimination task (Fig. 1). The magnitude discrimination and physical discrimination tasks were used as control tasks. These control tasks were designed to be identical to the DD task with regards to visual perception, motor effects, number of trials and number of choice options in each trial. The choice stimuli were presented on the screen for 3 s and the inter-stimulus interval was 2 s.

In each trial of the DD task, the amounts of monetary reward for immediate and delay options were decided by the fixed k-value, and the delay time were based on the hyperbolic function of delay discount,  $V = A/(1 + kD)$ , where V is the value of the delayed outcome (i.e., the indifference value),  $A$  is the delayed reward,  $D$  is the length of the delay, and  $k$ expresses the steepness of the discount function  $[31–33]$ . Based on this function, higher kvalues are associated with preference for immediate small-size reward and lower k-values show a preference for delayed large-size reward. Thus, low k-values are an index of minor impulsivity. Subjects were instructed to express a preference judgment between two hypothetical rewards shown on a computer screen. All reward choices were made by pressing either the  $\leftarrow$ or  $\rightarrow$  key on keyboard with the subject's dominant hand (right hand for all subjects). The available time delays were 6 in total (1 week, 3 weeks, 3 months, 6 months, 1 year, and 3 years). The predefined k-values were 0.0005, 0.0028, 0.0050, 0.0275, 0.05, 0.075, 0.1, 0.3, 0.5 and 0.7; the same number of trials was assigned for each k-value.

In the magnitude preference task (i.e. control task), subjects had to express a preference between two hypothetical rewards shown on a computer screen just like in the DD task but there was no temporal delay component. In the physical discrimination task (i.e. control task), subjects had to select the option that was presented in a bold font. Before the experiment, each task was introduced to all subjects and sample behavioral tests were conducted to confirm that the subjects properly understood the instructions for each task.

#### **Theta burst stimulation**

TBS was performed as described in Cho et al. [28] and carried out with the biphasic Magstim Rapid<sup>2</sup> magnetic stimulator (Magstim, UK), using a figure-of-eight focal coil (70 mm diameter). The coil was held in a fixed position by a mechanical arm over the target area and was oriented so that the induced electric current flowed in a posterior-anterior direction. Stimulus intensities, expressed as a percentage of the maximum stimulator output, were set at 80% of the active motor threshold (AMT).

AMT was defined as the lowest stimulus intensity able to elicit 5 motor evoked potentials (MEPs) of at least 200 uV of the contra-lateral first dorsal interosseus (FDI) muscle, monitored with AgCl surface electrodes fixed on the skin with a belly-tendon montage, averaged over 10 consecutive stimuli delivered over the motor cortex at intervals longer than 5 s. During the determination of the AMT, subjects were instructed to maintain a steady muscle contraction of 20% of maximum voluntary contraction. Each TBS burst consisted of three stimuli pulses at 50 Hz, with each train being repeated every 200 ms (5 Hz). cTBS consisted of continues repetition of trains for 40 s (600 pulses). The sham stimulation was delivered with the coil positioned at a perpendicular angle to the target area using same protocol of cTBS. These off-line TBS paradigms have two main advantages: they produce a long-lasting inhibitory effect with comparably short stimulation duration [34–36] and they prevent any exogenous influence of the sound and proprioceptive sensation (given by the stimulation) during the task performance [37].

#### **Location of the target site**

In order to target the right DLPFC, we used a procedure that takes advantage of the standardized stereotaxic space of Talairach and Tournoux [38] and frameless stereotaxy [39]. A high-resolution MRI (GE Signa 1.5 T, T1-weighted images, FSPGR with repletion time = 11.9 ms, echo time = 5 ms, flip angle = 40 mm, slice thickness = 1 mm, NEX = 1, matrix size =  $256 \times 192$ ) of every subject's brain was acquired and transformed into standardized stereotaxic space using the algorithm of Collins et al. [40]. The coordinates selected for right DLPFC ( $x = 40$ ,  $y = 32$ ,  $z = 30$ ) were similar to those used in our previous studies [39]. The Talairach coordinates were converted into each subject's native MRI space using the reverse native-to-Talairach transformation. The positioning of the TMS coil over these locations, marked on the native MRI, was performed with the aid of a frameless stereotaxic system (Rogue Research, Montreal, Canada).

#### **PET scan**

PET scans were obtained using a whole body PET camera system, Siemens-Biograph HiRez XVI (Siemens Molecular Imaging, Knoxville, TN) operating in 3D mode with an in-plane

resolution of ~4.6 mm full width at half-maximum (FWHM), using oxygen 15-labeled water  $(H_2^{15}O)$  as a radioactive tracer to measure changes in regional cerebral blood flow (rCBF). Three minutes after the completion of the TBS, 10 mCi  $H_2^{15}$ O was injected into the antecubital vein over 50 s and dynamic scanning was performed for 90 s starting 10 s after injection. The interval between successive  $H_2^{15}$ O administrations was 11 min to allow for adequate decay of radioactivity to background levels. In total, twelve emission scans were obtained for each subject. Before the first emission scan, a scout view was obtained to determine accurate positioning of the subject, and a low dose (0.2 mSv) CT scan was acquired for attenuation purpose. Images were reconstructed with a two-dimensional filtered back-projection, resulting in 81 slices with a  $256 \times 256$  pixel-matrix (pixel size, 2 mm). To minimize head movements during the scanning sessions, participants were restrained using a thermoformed custom head mask and positioned in the scanner using identical laser landmarks.

During the  $H_2^{15}$ O PET acquisition, subjects underwent four different conditions (Sham stimulation - delay discounting task [S-DD]; Sham stimulation - magnitude discrimination task [S-MD]; Sham stimulation - physical discrimination task, [S-PD]; cTBS -delay discounting task [T-DD]) with three acquisition scans per condition. Stimulation conditions were pseudo-counterbalanced except for the T-DD condition, to exclude carry-over effect of TBS (Fig. 2). Subjects completed a behavioral questionnaire before and after TBS in which they rated the level of their comfort, fatigue, anxiety, mood, irritation and pain before and after each condition sessions. Ratings were made on a seven-point Likert scale.

#### **Imaging and behavioral analysis**

K-values were estimated as the geometric mean between the lowest implied indifference kvalue in which subjects chose the delayed option, and the highest implied indifference kvalue in which subjects chose the immediate option. The delayed rewards in the questionnaire were constructed in three magnitude categories: small delayed rewards (\$1– 200), medium delayed rewards (\$201–600) and large delayed rewards (\$601–1001). We estimated the discount rate separately within each category for each participant as described in a previous paper  $[2,3]$ . The final individual *k*-value was calculated as the geometric mean of the three rates for the small, medium and large reward magnitudes for each participant. Only subjects who made consistent choices for an assigned k-value were included. Over all consistency was computed based on the percentage of participant's choices that were consistent with their assigned  $k$ -value. For all analyses the distributions of  $k$ -values were normalized using the natural-log transformation  $(\ln(k))$  [2,14].

All PET images were preprocessed and analyzed using Statistical Parametric Mapping 2 (Wellcome Department of Imaging Neuroscience, London, UK) implemented in the Matlab (Mathworks Inc., USA) environment. After the realignment procedure for motion correction among the scans, realigned images were transformed into standardized stereotaxic space using a standardized PET template image. The resultant normalized images were then smoothed with a Gaussian function at 12 mm full width half-maximum. Variations in global flow across subjects and scans were removed by proportionally scaling each image to have an arbitrary level of 50 mL/100 mL/min. A group analysis using a general linear model with

fixed effects was conducted to investigate across the four conditions the main effect of task and cTBS. Effects on rCBF were evaluated at the voxel level by examining statistically significant changes ( $P < 0.05$ ) corrected for multiple comparisons using the false discovery rate (FDR) [41]. In the results tables (Tables 3 and 4), the local maxima were reported for clusters that were composed of more than 50 contiguous significant voxels. Anatomical localization was guided by the Talairach atlas [38]. All behavioral and ROI data analysis were done using SPSS (SPSS Inc., Chicago, Illinois) software. The acceptable P values for all statistical analysis were set at  $P < 0.05$ .

# **Results**

A one-way repeated measures ANOVA for whole brain group analysis across all conditions showed changes in rCBF in the bilateral lateral prefrontal cortex (BA 10/46: [x, y,  $z = 42$ , 55, 5],  $F = 11.54$ ; [x, y, z = -38, 38, 15],  $F = 8.65$ ), medial prefrontal areas (BA 10: [x, y, z = 2, 59, 12],  $F = 10.53$ ), posterior cingulate (BA 23: [x, y, z = -6, -39, 37],  $F = 11.69$ ), supramarginal gyri (BA 40: [x, y, z = -63, -43, 32],  $F = 9.84$ ), precuneus (BA 7: [x, y, z = 8,  $-42, 48$ ],  $F = 11.22$ ; [x, y, z = -6, -42, 50],  $F = 9.84$ ), inferior posterior parietal lobule (IPL, BA 40: [x, y, z = 38, -58, 47],  $F = 14.50$ ; [x, y, z = -40, -50, 56],  $F = 8.49$ ), inferior temporal gyri (BA 20: [x, y, z = 55, -55, -12],  $F = 10.88$ ; [x, y, z = -55, -13, -31],  $F =$ 14.23) including fusiform, temporal pole, and cerebellum ([x, y, z = 12, -73, -20],  $F = 9.84$ ; [x, y, z = -42, -71, -18],  $F = 10.27$ ). When we tested the main effect of the task (Table 2; Fig. 3), the DD task (S-DD), compared to control tasks (i.e. S-MD and S-PD), recruited frontal regions including the right DLPFC (BA 46) extending into the rostral part of the PFC (rPFC) encompassing the inferior frontal gyrus (BA 10) along with the bilateral IPL (BA 40), precuneus (BA 7), fusiform (BA 19) and inferior temporal gyri (BA 37). Activation in the cerebellum was also found to be significant.

Interestingly, the recruitment of several of these areas was compromised (Table 3; Fig. 4) by active stimulation of DLPFC during DD (i.e. T-DD) which compared to sham stimulation (i.e. S-DD) revealed reduced rCBF in the right DLPFC (BA 9/46), ipsilateral rPFC (BA 10), lingual (BA 18), angular (BA 39) gyri and inferior temporal area (BA 37) including the fusiform (BA 20) and temporal pole (BA 38), along with cerebellum in the left. No brain areas showed increased activation following cTBS compared with sham stimulation during the DD task. At the behavioral level, active stimulation of DLPFC during DD induced a reduction in  $ln(k)$  value as compared to sham stimulation (i.e. S-DD) (paired *t*-test:  $t = 2.09$ ,  $P < 0.05$ ) (Fig. 5).

To investigate whether any relationship existed between task performance and TMS-induced changes in blood flow, we conducted a voxel-wise regression analysis between  $ln(k)$  value and blood flow during both S-DD and T-DD conditions. We found that during S-DD condition there was a significant positive correlation between impulsivity  $\ln(k)$  value and rCBF in several prefrontal areas including DLPFC (9/46), rPFC (BA 10) and OFC (BA 11) (Fig. 6A). Particularly in the rPFC, lower activation was associated with lower  $\ln(k)$  value (i.e. lower impulsivity) (Spearman rho = 0.74,  $P < 0.05$ ). This correlation was disrupted by active stimulation with cTBS (T-DD) (Spearman rho  $= 0.43$ , NS) (Fig. 6B). All together

these observations suggest that cTBS, while reducing the activation in those prefrontal areas involved in decision making, decreased impulsivity associated with DD task.

To rule out a potential contribution of comfort, fatigue, anxiety, mood, irritation and pain to these findings, these behavioral measures before and after DLPFC-cTBS were measured and the statistical analysis revealed no significant cTBS effect (Table 4).

# **Discussion**

The aim of the present study was to investigate the underlying neural substrate of DD, and whether this neural network was modulated by rTMS. As already noted in our previous studies [28], the observed pattern of delay discounting indicated that cTBS of the right DLPFC induced healthy subjects to trade immediate reward for delayed larger amount of reward. In other words, cTBS of the right DLPFC influenced decision making by reducing impulsive choices and causing the favoring of delayed-large rewards instead of immediatesmall rewards. While influencing impulsivity in this way, we also found that cTBS of the right DLPFC affected the activation in those prefrontal areas associated with the DD task. In particular, we observed that cTBS of the right DLPFC reduced rCBF activation in the ipsilateral DLPFC/rPFC and at the same time disrupted the relationship between impulsivity (k-value) and rCBF in these and other prefrontal areas.

There are two possible mechanisms by which TMS may modulate the delay discounting value. The first mechanism relates to the inhibitory control exerted by the PFC [22,42]. The observed TMS effects are consistent with previous data showing that cTBS may inhibit neuronal excitability in cortical target regions [35], similarly to low frequency rTMS [43,44]. The cTBS-induced inhibition of these prefrontal areas or the 'noise' into the midst of organized networks [45] may have created a "lesion-like effect" which disrupted the relationship between impulsivity and rCBF and increased the selection of delay options (reduced k-value).

The rPFC (BA 10) is located dorsal to the DLPFC and both are closely interconnected [46,47]. The dorsomedial-limbic and ventral-limbic streams from rPFC targeting the cingulate cortex and amygdala, respectively [48] implicate the involvement of rPFC in decision making and memory processes. A number of reports have shown that activation of this brain region is correlated with tasks that required selection, comparison and judgment in mnemonic functions (see Ref. [49] for review). More recently, a specific role for this region was suggested in gain-based decision making [50,51] and in integrating the outcome of two or more separate cognitive operations in the pursuit of a higher behavioral goal [52]. The significant correlation between impulsivity level and rCBF in rPFC (BA 10) during the S-DD condition (and its disruption by cTBS) observed in the present study support the involvement of this area in the calculation of a reward value. The cTBS-impaired activation of the right DLPFC is also consistent with the specific role that this area plays in the process of general decision making [23]. Recently, some studies have shown how the right DLPFC may affect decision making processes [24–27] by modulating its neuronal excitability using non-invasive stimulation tools such as rTMS and tDCS.

It should be acknowledged that the medial OFC also plays an important inhibitory role in reward based behavior and decision making [53,54]. The significant correlation between impulsivity level and rCBF in this area (i.e. medial OFC) during the S-DD condition (and its disruption by cTBS) support the involvement of this area in decision making processes and the calculation of reward value. Thus, given the strong anatomical connections [47] between DLPFC and OFC, it is not unlikely that our observations may have been mediated by functional interactions between these two prefrontal areas.

The second mechanism by which TMS may have incurred changes in impulsivity level is via alterations in time perception. Wittmann and Paulus [55] demonstrated that the increases in the subjective experience of time is associated with a higher level of impulsivity. Interestingly, right DLPFC has been indicated as a critical region for time processing [56– 59]. In particular, Koch et al. (2002) showed that lesions in the right PFC caused an underestimation of time duration compared with normal subjects. The same observation was replicated by rTMS-induced inhibition over the right DLPFC with selective under-estimation of perception of time intervals [59]. All together these observations seem to suggest that the "lesion-like effect" of the DLPFC induced by our cTBS paradigm may have increased the selection of delay options (reduced k-value) as a result of an under-estimation of time delay.

Brain stimulation also modulated the brain-behavior relationship in posterior cortical areas such as IPL. Considering that an inhibitory control is active during delay discounting, the involvement of IPL is not surprising. DLPFC activation, coupled with IPL activation, has been observed during decision making procedures in previous studies. For example, Tanaka et al. [60] found that when subjects learned to obtain large delayed rewards while incurring small immediate losses, the DLPFC, inferior parietal cortex and cerebellum were activated. Similarly, McClure et al. [12,13] also showed activation of DLPFC-parietal neural circuitry when decisions involving delayed but larger options were presented to the subjects. More recently, a similar activation of DLPFC-parietal areas during the same decision making task has been reported [6,12,14,17]. Thus, it seems that both DLPFC and IPL are critical for certain cognitive and behavioral functions involving hierarchical decisions [61,62]. Moreover, IPL has also been noted for its role in maintaining information during the delay phase of working memory [63,64], in arithmetic processing [65–67] and time estimation/ time-related decision making, engaging a fronto-parietal network along with other subcortical areas (i.e. cerebellum and basal ganglia) (see Ref. [68] for review). Taken together, these observations account for the bilateral activation of the IPL we observed during S-DD and its disruption following cTBS of the DLPFC. We also found changes in rCBF at the level of the ventral visual stream (fusiform and inferior temporal gyrus) and precuneus. All these areas may be associated with visual word processing [69,70] and memory retrieval [71].

Our study was not designed to investigate the underlying neurochemical changes associated with decreased impulsivity; however, we could speculate that changes in the dopaminergic system may well be responsible for these observations. In fact, acute administration in healthy humans of D-amphetamine decreases several forms of impulsive behavior including the delay discounting measures with decreased k-values [31].

In conclusions, our study provided evidence of TMS-induced cortical modulation during cognitive processing by influencing the neural network underlying impulsive decision making behavior. These observations support a role for TMS in testing the specific functional relevance of neural correlates associated with cognitive tasks.

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# **Figure 1.**

Examples of each behavioral task. (A) Delay discounting task, (B) Magnitude discrimination task, (C) Physical discrimination task.



# **Figure 2.**

Experimental design: timelines of the experiment. A total 12 scans were acquired for each subject. To exclude carry-over effects of cTBS, cTBS scans were followed by sham stimulation scans.



# **Figure 3.**

Brain regions showing increased rCBF during S-DD task compared to control tasks (S-MD/S-PD) ( $P < 0.05$  FDR corrected for multiple comparisons with 50 voxels of spatial extended threshold).



#### **Figure 4.**

(A) Brain regions showing decreased activation following right DLPFC-cTBS while performing DD task. (B) Specific cTBS-induced rCBF response from right rPFC during S-DD and T-DD. Values were extracted from a cluster mean from the area shown in the left.



#### **Figure 5.**

The mean of natural-log transformed k-value  $(\ln(k))$  for the S-DD and T-DD conditions. Error bars represent the standard errors of the mean.  $*P < 0.05$ , paired-sample t-test (twotailed).



#### **Figure 6.**

(A) Neurobehavioral correlation between  $ln(k)$  of DD tasks and rCBF: brain regions that showed steeper regression slope in S-DD than T-DD (B) Regression plots between normalized rCBF of the right rPFC and impulsive scale  $ln(k)$  during cTBS (red circle) and sham stimulation (blue circle) condition.  $*P < 0.05$ , Spearman's correlation (two-tailed).

# Characteristics of the participants.



BDI = Beck Depression Inventory; BIS = Barratt Impulsivity Scale-11.

Effect of DD task: brain areas showing increase in the rCBF during DD task relative to the control conditions.



 $L = left$ ;  $R = right$ ;  $BA = Brodmann's area$ .

Reporting criterion:  $P < 0.05$  with false discovery rate correction for multiple comparison.

a<br>Talairach coordinate (mm).

Effect of cTBS: brain areas showing decrease in the rCBF after cTBS during performing DD task.



 $L = left$ ;  $R = right$ ;  $BA = Brodmann's area$ .

Reporting criterion:  $P < 0.05$  with false discovery rate correction for multiple comparison.

a<br>Talairach coordinate (mm).

Behavioral ratings before and after PET scan.



 ${}^{\text{a}}$  Wilcoxon Signed Ranks Test.