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## Advances in the Management of Biliary Tract Cancers

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### Abstract

Biliary tract cancers (BTC), though uncommon, are highly fatal malignancies, and current treatments fail to cure or control the majority of tumors. Given the complexity of the anatomy and often aggressive nature of the disease, multidisciplinary treatment, including palliation, is often required. However, systemic therapy with cytotoxics and/or targeted agents are routinely the mainstay of treatment for patients with advanced biliary tract cancers, and new targets and agents provide hope for this disease. This article focuses on recent advances in the management of biliary tract cancers, with a special focus on the molecular basis for current therapeutic investigation in this disease.

### Introduction

Biliary tract cancers (BTC) comprise a heterogeneous group of neoplasms including gallbladder cancer, intrahepatic cholangiocarcinoma, extrahepatic cholangiocarcinoma and variably, ampullary carcinoma. These tumors are relatively rare, with 9,810 new cases and 3,200 deaths from bile duct cancers and gallbladder cancers (excluding intrahepatic cholangiocarcinoma) expected in the United States in 2012.<sup>1</sup> Despite this relative rarity, these tumors present a significant therapeutic challenge in that they are often diagnosed at an advanced stage when surgical resection is not feasible and treatment options are limited. The 5-year overall survival for patients with biliary tract cancers only approaches 15%.<sup>1</sup> While surgical resection remains a mainstay of curative therapy when tumors are indeed resectable, and both chemotherapy and radiation can potentially be useful in the adjuvant setting, systemic therapies remain a necessary component of treatment both for recurrent disease or for tumors advanced at diagnosis. Traditional cytotoxic chemotherapies, whether as single agents or in combination, have not been as promising as hoped. However, recent insights into the molecular underpinnings of these heterogeneous tumors will hopefully lead to more effective systemic targeted therapies.

### Role for Surgical Resection and Liver Transplantation

For the minority of patients whose tumors appear resectable on staging assessments, surgical resection with negative margins or liver transplantation remain the only potential mechanisms of cure. Patients who have undergone R0 (microscopically margin-negative) resections have five-year survival rates of 10–62% overall,<sup>2</sup> while R1 (microscopically margin-positive) and R2 (macroscopic residual disease) resections are associated with an overall 5-year survival rate of 0%.<sup>3</sup> Even with successful R0 resections, however, short term postoperative complications including bile leakage, intra-abdominal abscess and liver failure are significant risks, and many patients ultimately have disease recurrence as well. Fortunately, recent advances in preoperative optimization and surgical approach have resulted in higher R0 resection rates and improved survival when compared to prior series, and hopefully this trend will continue.<sup>4</sup>

For a subset of patients with unresectable perihilar or intrahepatic cholangiocarcinoma, orthotopic liver transplantation is a potential avenue for cure as well. Studies of patients with unresectable disease or cholangiocarcinoma on a background of primary sclerosing

cholangitis who have undergone liver transplantation after neoadjuvant therapy have demonstrated impressive 5-year overall survival rates exceeding 80%.<sup>5,6</sup> A recent analysis of outcomes for liver transplantation in patients with perihilar cholangiocarcinoma suggests that the benefit of this therapy may be more broadly applicable across transplant centers if strict selection criteria are used.<sup>7</sup> Selection biases inherent in these groups, including receipt of neoadjuvant therapy, younger age and node-negative disease preclude comparison of these survival outcomes with non-transplant resection outcomes, but the potential benefit remains intriguing nonetheless.

## Neoadjuvant Therapy

There is limited, nonrandomized data suggesting possible benefit, both in quality of resection as well as survival, of neoadjuvant chemoradiation in patients with BTC. In one small study, 9 patients with perihilar or distal extrahepatic cholangiocarcinoma underwent preoperative continuous infusion 5-fluorouracil with concurrent external beam radiotherapy, and one-third of these patients had a pathologic complete response at resection, with the others treated neoadjuvantly demonstrating varying degrees of histologic response.<sup>8</sup> Importantly, the rate of margin-negative resection was 100% in patients who had received neoadjuvant therapy, compared with 54% in patients who had not received such treatment. In another study, 12 patients with primarily borderline or unresectable extrahepatic cholangiocarcinoma underwent neoadjuvant radiotherapy with concurrent fluoropyrimidine-based chemotherapy.<sup>9</sup> Despite more advanced local disease, these patients showed a trend toward improved survival when compared with patients treated adjuvantly (5-year survival 53% vs. 23%,  $p=0.07$ ), and rates of surgical morbidity were similar. However, despite these encouraging results and those of patients treated neoadjuvantly prior to orthotopic liver transplantation, many patients are not candidates for a neoadjuvant approach, as they are often symptomatic from bile duct obstruction or have a poor performance status at initial presentation. In order to clarify the benefit of neoadjuvant therapy for patients who are candidates for this approach, prospective studies are needed.

## Adjuvant Therapy

For the minority of biliary tract tumors that are able to be surgically resected, recurrence occurs frequently, with more local than distant relapse.<sup>10</sup> Use of adjuvant therapies, such as chemotherapy, radiation or chemoradiation, remains controversial; given the rarity of resectable biliary tract tumors, prospective randomized data on adjuvant strategy is limited, but trials are planned or ongoing. A recent meta-analysis of published data evaluated the benefit of adjuvant therapy in patients who had undergone curative-intent surgery, either R0 (negative margins) or R1 (microscopic positive margins).<sup>11</sup> In the overall population, a nonsignificant improvement in survival with adjuvant therapy compared with surgery alone was seen. However, the effect of adjuvant therapy was dependent on treatment modality, with patients receiving either chemotherapy or chemoradiation postoperatively showing an improvement in survival compared with those receiving radiation alone. In addition, patients with node-positive disease or R1 resection appeared to benefit from adjuvant therapy. From these data, it is reasonable to consider postoperative radiation for patients with positive surgical margins and chemotherapy +/- radiation for those with node-positive disease, although the best regimen has not been defined in this setting.

## Cytotoxic Chemotherapy

Until recently, systemic therapy for biliary tract cancers largely relied on cytotoxic chemotherapy. 5-FU based chemotherapy was initially shown to improve median survival times of patients with pancreatic and biliary cancers when compared to best supportive care alone (6.0 vs. 2.5 months with 5-fluorouracil/leucovorin +/- etoposide treatment,  $p<0.01$ ).<sup>12</sup>

In addition, quality of life measures improved more often and deteriorated less frequently in the chemotherapy group than in the best supportive care group, with 36% of the patients on the chemotherapy arm enjoying an improved or prolonged high quality of life for a minimum of 4 months, compared with 10% of the best supportive care group. Quality-adjusted survival time was longer for patients receiving 5-FU-based chemotherapy as well (median 4 vs. 1 months,  $p > 0.01$ ).

While leucovorin-modulated 5-FU is often well tolerated in biliary tract cancers, its efficacy as a single agent has been disappointing. Therefore, 5-FU/LV has been combined with additional cytotoxic agents, but none with impressive results, and often with significantly increased toxicity. Despite objective response rates of 40% and median duration of response of 10 months in patients treated with the ECF (epirubicin, cisplatin, 5-FU) regimen in an early phase clinical trial,<sup>13</sup> subsequent phase III study of this regimen failed to confirm these findings.<sup>14</sup> In this larger randomized trial, response rate for the ECF arm was only 19.2%, which was similar to the study's 5-FU/LV/etoposide arm, and ECF failed to improve median overall survival when compared to 5-FU/LV/etoposide (9.02 months vs. 12.03 months,  $p = 0.2059$ ). Similarly, the PIAF regimen (cisplatin, interferon alpha-2b, doxorubicin and 5-FU) only had a 21.1% overall response rate in biliary tract cancer but was associated with significantly increased grade 3 and 4 toxicity.<sup>15</sup> In contrast, more simplified regimens such as 5-FU/cisplatin showed overall response rates of 24–34% in phase II trials but with much more acceptable toxicity.<sup>16,17</sup>

Capecitabine, like 5-FU, is an active agent in biliary tract cancers, though single-agent use leaves considerable room for improvement. Interestingly, one retrospective analysis demonstrated significantly increased response rates (50% vs. 6%) with capecitabine in gallbladder carcinoma compared with cholangiocarcinoma, though survival was similar (9.9 months vs. 8.1 months).<sup>18</sup> Studies combining capecitabine with either gemcitabine<sup>19,20</sup> or oxaliplatin<sup>21</sup> show overall response rates ranging from 25–31% and overall survival of 12.7–13.2 months, though the CAPOX regimen had significantly more efficacy in gallbladder carcinoma and extrahepatic cholangiocarcinoma than intrahepatic cholangiocarcinomas.

Gemcitabine-based chemotherapy is of proven value in this disease, though with limited efficacy as a single agent. A small, non-randomized phase II study investigating the efficacy and safety of gemcitabine alone for unresectable biliary tract cancers demonstrated a 26.1% overall response rate, with a median time to disease progression of 8.1 months and median overall survival of 13.1 months.<sup>22</sup> There was wide variability in survival among these patients, however, perhaps indicating the heterogeneous nature of this disease and underscoring the need for controlled studies when evaluating treatment efficacy. Other small trials investigating the usefulness of single-agent gemcitabine have shown response rates ranging from 16–30%, with overall survival in the range of 6.5–11.5 months.<sup>23–25</sup>

Given the separate evidence for gemcitabine and 5-FU/leucovorin in the treatment of biliary tract cancers, several studies looked at the combination of these drugs in hopes of improving efficacy.<sup>26–28</sup> However, the combination of gemcitabine and 5-FU, while manageable in terms of toxicity profiles, did not improve survival as had been hoped. Additionally, the combination of gemcitabine and capecitabine is well-tolerated, but with an overall survival of only 7 months.<sup>29</sup> As a result, more trials were done with the combination of gemcitabine and platinum, including cisplatin.

The combination of gemcitabine and cisplatin has proven to improve overall survival the most in biliary tract cancer and remains the most favorable cytotoxic chemotherapy regimen in this tumor thus far. ABC-01 was a randomized phase II study evaluating gemcitabine and

cisplatin versus gemcitabine alone,<sup>30</sup> with promising toxicity, progression-free survival and time to progression data in the gemcitabine and cisplatin arm, a phase III study was conducted. ABC-02 randomized 410 patients with locally advanced or metastatic cholangiocarcinoma, gallbladder cancer or ampullary cancer to receive cisplatin 25 mg/m<sup>2</sup> followed by gemcitabine (1000 mg/m<sup>2</sup>) on days 1 and 8 every 21 days or gemcitabine (1000 mg/m<sup>2</sup>) on days 1, 8, and 15 every 28 days.<sup>31</sup> A significant benefit in both response rate and PFS was seen favoring the gemcitabine/cisplatin arm. Furthermore, median overall survival was 11.7 months in the gemcitabine/cisplatin group compared with 8.1 months in the gemcitabine-only group (hazard ratio 0.64; 95% CI, 0.52–0.80, *p* < 0.001), with no increase in adverse events for the combination arm when compared with single-agent gemcitabine. On the basis of this data, the combination of gemcitabine and cisplatin has become a standard of care in advanced biliary tract cancers.

## Targeted Therapies

While cytotoxic chemotherapeutic agents are useful in the treatment of biliary tract cancers, the magnitude of their beneficial effects are less than desired. Targeted therapies based on the understanding of the molecular basis of tumors are being investigated in biliary tract cancers with some promising results. Given the rarity of BTCs and the known pathologic and molecular heterogeneity between the tumors that compose this group, however, design of and accrual to clinical trials needed to test these molecular targets is difficult. Nonetheless, a significant number of trials investigating the usefulness of various targeted agents have already been done or are underway, providing initial insights into ways to effectively tailor therapies for those with biliary tract cancers (Table 1).

### EGFR

Epidermal growth factor receptor (EGFR) is variably expressed in biliary tract cancers, with expression occurring nearly ubiquitously in intrahepatic cholangiocarcinomas and to a slightly lesser extent in the other tumor types.<sup>32</sup> Interestingly, EGFR expression appears prognostic and portends a worse survival, at least in intrahepatic cholangiocarcinoma.<sup>33</sup> EGFR overexpression occurs less frequently but often is seen with EGFR gene amplification,<sup>34</sup> and EGFR mutations are found in a minority.<sup>35</sup> Related to EGFR, KRAS mutations are also seen in biliary tract cancers, but their frequency is unclear.<sup>36</sup>

Due to these findings, the EGFR inhibitor erlotinib was studied as monotherapy in a single-arm phase II trial.<sup>37</sup> The overall response rate was only 8%, with 81% of the assessable tumors demonstrating EGFR expression. In this study, EGFR mutational status was not assessed. Subsequently, a randomized phase III trial evaluated the combination of gemcitabine and oxaliplatin +/- continuous dosing of erlotinib.<sup>38</sup> While overall response rate was significantly higher in the chemotherapy + erlotinib group (30% vs. 16%, *p*=0.005), progression-free and overall survival did not differ. Due to the mechanism of erlotinib and potential cell cycle sequence-specific synergy of erlotinib with gemcitabine, a phase 1b study has recently evaluated the combination of gemcitabine and oxaliplatin with intermittent pulsatile dosing of erlotinib.<sup>39</sup> Preliminary results demonstrated a 24% overall response rate and 6-month progression-free survival rate of 75% and highlighted the potential importance of mechanistic-driven dosing of targeted therapies when combined with cytotoxic chemotherapies.

Monoclonal antibodies targeting EGFR have shown even more promising results in biliary tract cancers, particularly in combination with traditional cytotoxic drugs. Two phase II trials have evaluated the efficacy of cetuximab with gemcitabine and oxaliplatin. Gruenberger *et al.* reported an objective response rate of 63% in a trial of 30 BTC patients, with 30% of the patients undergoing potentially curative resection after chemotherapy due to

their response to therapy.<sup>40</sup> Final analysis of the randomized phase II BINGO trial was recently presented in which the primary endpoint of 4-month PFS 60% was exceeded in the gemcitabine/oxaliplatin + cetuximab arm, but median PFS and OS were similar in both arms.<sup>41</sup> Enrollment was not limited according to KRAS status in either of these trials, and given the proven importance of this biomarker in colorectal cancer, perhaps the efficacy of anti-EGFR antibodies in BTCs could be further improved by biomarker-driven patient selection. In contrast to the cetuximab trials, a phase II trial evaluating gemcitabine, oxaliplatin, capecitabine and panitumumab enrolled patients with KRAS wild-type cholangiocarcinoma only, with a 71.6% 6-month PFS, response rate of 33% and overall survival of 9.8 months.<sup>42</sup> Several other trials examining the efficacy of panitumumab in combination with various chemotherapy regimens are underway.

## VEGF

Much like EGFR, vascular endothelial growth factor (VEGF) is often highly expressed in biliary tract cancers, with exact percentages dependent on tumor type.<sup>33</sup> VEGF expression in BTC is associated with poor survival, metastasis and disease recurrence; therefore, anti-VEGF therapies have been studied in this disease. Zhu *et al.* reported results of a phase II study of gemcitabine, oxaliplatin and bevacizumab in BTC, with response rates of 40%, median PFS of 7 months and OS of 12.7 months.<sup>43</sup> A single-arm phase II trial of erlotinib and bevacizumab without traditional cytotoxic chemotherapy demonstrated an 18.4% response rate, time to progression (TTP) of 4.4 months, and OS of 9.9 months, with potential predictive signal seen from EGFR and KRAS status.<sup>44</sup> Two other phase II trials for BTCs with bevacizumab, in combination with mFOLFOX6 or gemcitabine and capecitabine, are currently underway. Other anti-angiogenic agents such as sorafenib and sunitinib have failed to show efficacy in this disease either as single agents or in combination with gemcitabine, with response rates less than 10% and survival times less than seen with other regimens.<sup>45–48</sup> A phase I/II study of gemcitabine/oxaliplatin with sorafenib is underway to see if efficacy can be improved with this regimen, and other studies utilizing more novel anti-angiogenic agents such as cediranib and vandetanib are planned.

## HER2

Human epidermal growth factor receptor 2 (HER2) is overexpressed in only a minority of biliary tract cancers,<sup>34</sup> but preclinical experiments have shown that simultaneous blockade of EGFR and HER2 by lapatinib leads to growth inhibition of an orthotopic rat model of intrahepatic cholangiocarcinoma if administered early.<sup>49</sup> A single phase II study investigated lapatinib, a dual EGFR/HER2 inhibitor, for the treatment of BTC and hepatocellular cancer with disappointing results,<sup>50</sup> but notably, HER2 expression was not tested. Though no other trials studying HER2 inhibitors in BTC are currently planned, it seems reasonable to pursue this target in a more judicious way, given the present availability of excellent HER2 inhibitors.

## MEK

Mitogen-activated ERK (extracellular signal regulated kinase) kinase, or MEK, inhibition is a very promising therapy currently under investigation for multiple solid tumor types, including biliary tract cancers. A multi-institutional phase II trial of single-agent selumetinib, a MEK1/2 inhibitor, for patients with advanced BTC was performed with an overall response rate (ORR) of 12% and median overall survival of 9.8 months.<sup>51</sup> Despite a low ORR, 68% of patients had stable disease, including 44% with duration of stable disease at least 16 weeks and 12% with stable disease for more than one year. The majority of patients (52%) had measured decrease in their target lesions, and the treatment was well-tolerated overall. Of note, all enrolled patients provided tissue for KRAS/BRAF genotyping and phosphorylated ERK (pERK) and AKT (pAKT) testing by immunohistochemistry.

Correlative analysis demonstrated that patients with short-lived stable disease had KRAS mutations, and absence of pERK staining was associated with lack of response, but predicting which patients will respond to MEK inhibitors will require analysis of larger studies with these drugs. Several other trials studying selumetinib or other MEK inhibitors (ARRY-438162, GSK1120212) in BTC with or without cytotoxic chemotherapy are ongoing.

### Other Targets

Other signaling pathways of interest are being elucidated in biliary tract cancers and hold promise for the development of future targeted therapies. Molecular characterizations of BTCs have revealed mutations in target genes such as KRAS, PIK3CA, BRAF, NRAS, IDH1 and IDH2.<sup>52–54</sup> In addition, ROS kinase fusions have been seen in 8.7% of cholangiocarcinoma patients in one study,<sup>55</sup> which has sparked interest in the potential use of crizotinib, a multi-targeted ALK/MET kinase inhibitor, for this disease. High expression of c-MET has also been seen in a subset of BTCs and correlates with EGFR overexpression.<sup>34</sup> As c-MET activation may be a mechanism of resistance to anti-EGFR therapies, the combination of a c-MET inhibitor and anti-EGFR therapy in BTC warrants further study.

### Conclusions

While biliary tract cancers often carry a fatal prognosis, advances in the management of these tumors are indeed being made. There is an inherent difficulty in investigation of new treatments for these tumors given the changing definitions and stratifications of this class of tumors over time, as well as their remarkable molecular heterogeneity. Earlier tumor detection and improvement in surgical techniques are still needed for this disease, but the opportunity for advancement in the systemic treatment of these cancers is particularly great and must be exploited. Improvements in survival have been attained through systematic investigation of cytotoxic chemotherapy regimens, with gemcitabine/cisplatin as the current standard of care for advanced tumors, but it appears that the limit has been reached in terms of maximal benefit with traditional agents. Targeted therapies, perhaps in combination with cytotoxic agents, hold the most promise for advancement in this tumor type. Future studies must be designed rationally and biomarker-driven, with optimization of resources to elucidate the molecular underpinnings of BTC. Patient enrollment on clinical trials is vital for evidence-based determination of optimal treatment strategies in BTC, whether surgical, adjuvant, neoadjuvant, or palliative in nature.

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Table 1

Clinical trials of targeted therapies in biliary tract cancer

Agent	Pathway	Trial Phase	ORR	PFS	OS
Erlotinib [37]	EGFR (TKI)	II (single-arm)	8%	6-month PFS: 17%	7.5 months
Gemcitabine + oxaliplatin +/- continuous erlotinib [38]	EGFR (TKI)	III (randomized)	30% vs. 16%	5.8 vs. 4.2 months	9.5 vs. 9.5 months
Gemcitabine + oxaliplatin + pulsed erlotinib [39]	EGFR (TKI)	Ib (single-arm)	24%	6-month PFS: 75%	NR
Gemcitabine + oxaliplatin + cetuximab [40]	EGFR (mAb)	II (single-arm)	63%	8.8 months	15.2 months
Gemcitabine + oxaliplatin +/- cetuximab [41]	EGFR (mAb)	II (randomized)	23 vs. 29%	6.0 vs. 5.3 months	11.0 vs. 12.4 months
Gemcitabine + oxaliplatin + capecitabine + panitumumab [42]	EGFR (mAb)	II (single-arm)	33%	8.3 months	9.8 months
Gemcitabine + oxaliplatin + bevacizumab [43]	VEGF (mAb)	II (single-arm)	40%	7.0 months	12.7 months
Erlotinib + bevacizumab [44]	EGFR (TKI) + VEGF (mAb)	II (single-arm)	18.4%	TTP: 4.4 months	9.9 months
Sorafenib [45]	VEGF (TKI)	II (single-arm)	2%	2.3 months	4.4 months
Sorafenib +/- gemcitabine [46]	VEGF (TKI)	II (randomized)	2.7% vs. 0%	2.9 vs. 2.3 months	6.5 vs. 4.3 months
Gemcitabine +/- sorafenib [47]	VEGF (TKI)	II (randomized)	7%	2.9 months	9.4 months
Sunitinib [48]	VEGF (TKI)	II (single-arm)	8.9%	TTP: 1.7 months	4.8 months
Lapatinib [50]	HER2 (TKI)	II (single-arm)	0%	1.8 months	5.2 months
Selumetinib [51]	MEK (TKI)	II (single-arm)	12%	3.7 months	9.8 months

ORR overall response rate, PFS progression-free survival, EGFR epidermal growth factor receptor, VEGF vascular endothelial growth factor, HER2 human epidermal growth factor receptor 2, MEK mitogen-activated protein kinase/extracellular-signal regulated kinase, TKI tyrosine kinase inhibitor, mAb monoclonal antibody, TTP time to progression, NR not reported