

# A miR-SNP of the *XPO5* gene is associated with advanced non-small-cell lung cancer

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**Objectives:** MicroRNA (miRNA)-related single-nucleotide polymorphisms (SNPs) in miRNA processing machinery genes can affect cancer risk, treatment efficacy, and patient prognosis. A miR-SNP of rs11077 located in the 3' untranslated region (3' UTR) of the miRNA processing machinery gene *XPO5* was examined in 112 advanced non-small-cell lung cancer (NSCLC) patients to evaluate its association with cancer outcome.

**Materials and methods:** The miR-SNP was genotyped with ligase detection reaction method. Survival curves were calculated using the Kaplan–Meier method, and multivariate survival analysis was performed using a Cox proportional hazards model.

**Results:** The AC genotype of rs11077, which carries C or A allele, was significantly associated with a better chemotherapy response ( $P = 0.001$ ). In addition, rs11077 was independently associated with overall survival in advanced NSCLC patients through multivariate analysis (relative risk 0.457; 95% confidence interval: 0.251–0.831;  $P = 0.010$ ).

**Conclusion:** rs11077 was associated with chemotherapy response and survival of advanced NSCLC patients. The analysis of miR-SNPs in miRNA processing machinery genes can help identify patient subgroups that are at high risk for poor disease outcomes.

**Keywords:** NSCLC, miR-SNP, survival, *XPO5*, rs11077, chemotherapy response

## Introduction

Lung cancer is the most frequent cancer and one of the leading causes of cancer-related deaths worldwide, accounting for 30% of all cancer-related deaths.<sup>1,2</sup> Non-small-cell lung cancer (NSCLC) accounts for 89% of all lung cancers, and about one-third of NSCLC patients are diagnosed with locally advanced stage disease.<sup>3,4</sup> Despite aggressive treatment for these patients, the prognosis of this cancer is still poor, with a 5-year survival rate of approximately 10% and a median survival time of 16–18 months.<sup>5,6</sup> Chemotherapy with platinum-based combinations including NP (vinorelbine plus cisplatin), TP (paclitaxel plus cisplatin), and GP (gemcitabine plus cisplatin) regimens, for no more than six-treatment course, is the standard first-line therapy for advanced-stage NSCLC. Many clinical factors, such as tumor stage, metastasis, sex, and weight loss, are predictors of prognosis for NSCLC patients.<sup>7</sup> Pharmacogenomic studies focusing on the relationship between chemotherapy sensitivity and molecular markers have linked genomics information to therapy evaluation, and a recent study has also indicated that gene modulation can influence drug response.<sup>8</sup>

MicroRNAs (miRNAs) are RNA molecules measuring ~22 nucleotides in length that act as post-transcriptional regulators of mRNA expression.<sup>9,10</sup> More than

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700 miRNAs have been identified in humans, and these miRNAs are responsible for regulating the expression of at least 30% of protein-coding genes.<sup>11</sup> A growing body of evidence suggests that miRNAs play important roles in a broad range of biological processes, such as embryonic development; cellular differentiation, proliferation, and apoptosis; cancer development; and insulin secretion.<sup>9,10</sup> During miRNA processing, long primary transcripts of miRNAs (pri-miRNAs) are processed in the nucleus by the RNase III *Drosha* and are transported to the cytoplasm by the nuclear transport factor exportin-5 (*XPO5*) and RAN. In the cytoplasm, RNase III *Dicer* and transactivation-responsive RNA-binding protein (*TRBP*) mediate pre-miRNA processing to release a 21-bp dsRNA. The RNA-induced silencing complex (RISC), including *GEMIN3* and *GEMIN4*, will select one strand as the mature miRNA and guide mature miRNAs to their target mRNA sites.<sup>9,12–16</sup> miRNA-related single-nucleotide polymorphisms (miR-SNPs), defined as single-nucleotide polymorphisms (SNPs) in the miRNA genes, miRNA binding site, and miRNA processing machinery, can modulate miRNA and target gene expression. As a result, miR-SNPs may affect cancer risk, treatment efficacy, and patient prognosis.<sup>8,17–19</sup>

The pharmacogenomic analysis of miRNA and treatment efficacy represents a novel research field in which to evaluate and improve drug efficacy in cancer therapy. A miR-SNP of rs11077 located in the 3' untranslated region (3' UTR) of the miRNA processing gene *XPO5* is associated with chemotherapy response in metastatic colon cancer, as well as recurrence in resected NSCLC.<sup>8,18</sup> *XPO5* is found in the nuclear membrane, mediates the transport of pre-miRNA, and adjusts miRNA expression. Knockdown *XPO5* expression leads to reduced miRNA levels.<sup>20</sup> In the present study, we evaluated the predictive power of this SNP regarding chemotherapy efficacy and overall survival of advanced NSCLC patients.

## Materials and methods

### Tissue specimens and DNA extraction

Blood samples were collected at the Fourth Hospital of Hebei University, Shijiazhuang, People's Republic of China from NSCLC patients who received chemotherapy treatment in the Department of Respiratory Medicine between 2001 and 2010. The genomic DNA was immediately extracted using the Wizard Genomic DNA extraction kit (Promega Corporation, Fitchburg, WI, USA) and stored at  $-20^{\circ}\text{C}$ . All procedures were supervised and approved by the Human

Tissue Research Committee at the hospital, and informed consent was obtained from all participants.

### Genotyping of miR-SNPs

The miR-SNP rs11077 of the miRNA processing gene *XPO5* was genotyped using the ligase detection reaction method with the forward primer 5'-GAATCTGGTCACCTGATGGGA-3' and reverse primer 5'-GTGCCTGAGTGGACCTTGAG-3' to amplify the DNA fragments flanking miR-SNPs based on the NCBI SNP database (<http://www.ncbi.nlm.nih.gov/snp/>). Polymerase chain reaction was performed using a PCR Master Mix Kit according to the manufacturer's instructions (Promega). The ligation was performed using the different probes S1 5'-GTACCTCCAAGGACCAGGGCTGGGA-3' or S2 5'-TTTGTACCTCCAAGGACCAGGGCTGGGC-3' matched to the alleles of miR-SNPs. The S1 or S2 probe was ligated with S3 5'-AGTCTTTAGTGCTAACATCCCCTTT-3' downstream of the SNP site, and the ligated products were separated using the ABI PRISM Genetic Analyzer 3730XL (Applied Biosystems; Life Technologies, Carlsbad, CA, USA). Polymorphisms were confirmed based on a 3-bp length difference in the ligated products.

### Statistical analysis

The  $\chi^2$  test was used to analyze dichotomous values of clinical characteristic frequencies or SNP frequencies among the different overall response rate (ORR) groups. Survival curves were calculated using the Kaplan–Meier method, and comparisons between the curves were made using the log-rank test. Multivariate survival analysis was performed using a Cox proportional hazards model. All of the statistical analyses were performed using the SPSS software package (v 18.0; IBM Corporation, Armonk, NY, USA). A *P*-value of  $<0.05$  was considered to be statistically significant.

## Results

A total of 116 patients enrolled in this study were reviewed every 3 months for 2 years by letter or telephone interview. One patient was lost in the first year and two in the second year. The remaining 112 patients, including 27 stage III and 85 stage IV patients, were analyzed using Kaplan–Meier analysis. The relationships between the 2-year survival data and clinical characteristics were compared using the log-rank test in advanced NSCLC patients. Sex, age, TNM classification, smoking status, and histology were not statistically associated with overall survival through univariate analysis (Table 1).

**Table 1** Analysis of clinical characteristics associated with overall survival and chemotherapy response in advanced NSCLC patients

Characteristics	No of cases	2-year survival rate (%)	ORR P-value	OS P-value
Sex			0.527	0.438
Male	74	17.6		
Female	38	26.3		
Age (years)			0.396	0.798
≤60	52	19.2		
>60	60	21.7		
TNM classification			0.067	0.788
III	27	11.1		
IV	85	23.5		
Smoking			0.658	0.313
Yes	51	23.5		
No	61	18.0		
Histology			0.301	0.906
SQ	34	17.6		
AC	78	21.8		
Chemotherapy regimen			0.195	0.504
GP	53	15.1		
TP	28	25.0		
NP	31	25.8		

**Abbreviations:** AC, adenocarcinoma; GP, gemcitabine plus cisplatin; NP, vinorelbine plus cisplatin; NSCLC, non-small-cell lung cancer; ORR, overall response; OS, overall survival; SQ, squamous cell carcinoma; TNM, tumor node metastasis; TP, paclitaxel plus cisplatin.

## Association between clinical characteristics and rs11077 with chemotherapy response

Chemotherapy response was defined according to the Response Evaluation Criteria in Solid Tumors.<sup>21</sup> The ORR was determined by comparing patients with a complete response (CR) or partial response (PR) to patients with stable disease (SD) or progressive disease (PD). Using an intent-to-treat analysis, the ORR was 18%, with a 0.9% CR and 17.1% PR. In addition, 65% of NSCLC patients achieved SD and

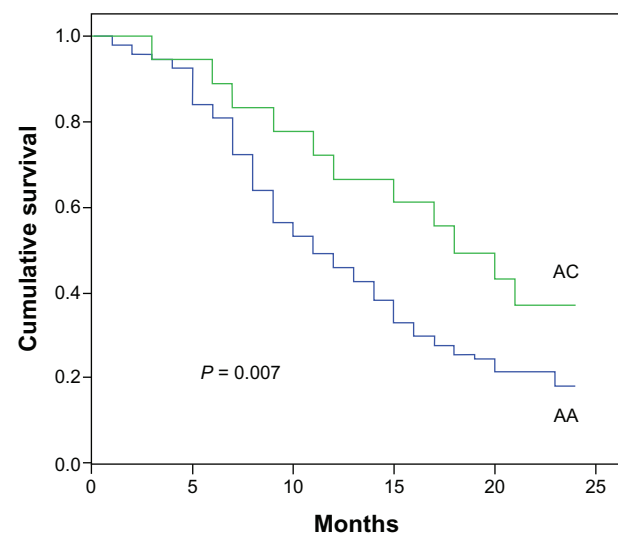
**Table 2** Multivariate analysis of prognostic factors associated with overall survival in NSCLC patients with Cox proportional hazards model

Factors	Relative risk	95% CI	P-value
Sex	0.606	0.359–1.023	0.061
Age	0.979	0.660–1.452	0.915
TNM classification	0.809	0.498–1.314	0.391
Smoking	1.735	1.041–2.894	0.035
Chemotherapy regimen	0.862	0.683–1.089	0.213
Histology	0.962	0.615–1.504	0.864
rs11077 genotype	0.457	0.251–0.831	0.010

**Abbreviations:** CI, confidence interval; NSCLC, non-small-cell lung cancer; TNM, tumor node metastasis.

17% of patients developed PD (Table 2). The relationships between ORR and clinical characteristics were analyzed with the  $\chi^2$  test. The clinical characteristics included sex, age, TNM classification, smoking status, and histology. Only TNM classification was associated with treatment response at borderline difference levels ( $P = 0.067$ ).

One hundred twelve NSCLC patients and 80 healthy controls were genotyped for rs11077 polymorphisms with ligase detection reaction method. The rs11077 CC (carrying two homozygous C alleles), AC (carrying heterozygous A and C alleles), and AA (carrying two homozygous A alleles) genotype frequencies in the control samples were one, 14, and 65, respectively, which was similar to the genotype frequencies in NSCLC patients (0, 18, and 94 for CC, AC, and AA, respectively). The C allele was the minor frequency allele. No statistically significant association was detected between cancer risk and the distribution of the rs11077 polymorphism in the 112 NSCLC patients and 80 healthy controls. We subsequently assessed the relationship between rs11077 and the overall survival of these NSCLC patients. The NSCLC patients were divided into two groups on the basis of their rs11077 genotype, and their overall survival curves were plotted using the Kaplan–Meier method. The 2-year survival rates of AC and AA patients were 33.3% and 18.1%, respectively. A significant difference in overall survival was found between the two groups (Figure 1). The patients with the AC allele exhibited a significantly longer survival time compared to AA patients ( $P = 0.007$ ).

**Figure 1** Genotype of rs11077 and their association with NSCLC survival.

**Note:** The patients with the AC allele exhibited a significantly longer survival time than AA patients.

**Abbreviations:** NSCLC, non-small-cell lung cancer; AA, carrying two homozygous A alleles; AC, carrying heterozygous A and C alleles.

We performed a multivariate analysis with the Cox proportional hazards model for clinical characteristics. As shown in Table 2, the rs11077 SNP was identified as an independent predictor of overall survival for advanced NSCLC patients (relative risk 0.457; 95% confidence interval: 0.251–0.831;  $P = 0.010$ ). Smoking status was also identified as an independent predictor of survival.

The relationship between chemotherapy response and rs11077 genotype was assessed using the  $\chi^2$  test. As shown in Table 3, the ORR was determined by comparing patients with a CR plus PR to patients with SD plus PD. AA type patients comprised 82 PD or SD patients and 12 CR or PR patients, whereas AC type patients comprised ten PD or SD patients and eight CR or PR patients. AC type NSCLC patients showed better treatment response compared to the AA type patients ( $P = 0.001$ ).

## Discussion

The identification of predictive markers for chemotherapy response is a novel field in pharmacogenomics and cancer research. Researching the association of miRNA expression with tumors and chemosensitivity has attracted great interest in cancer research. The altered expression of a number of miRNAs has been found to predict anticancer treatments.<sup>22</sup> In a previous report, rs11077 has been associated with recurrence in postsurgical NSCLC patients.<sup>18</sup> In the present study, we report that miR-SNP rs11077 has a predictive value on chemotherapy response and can modulate the lifespan of advanced NSCLC patients. The miR-SNP in the miRNA processing machinery genes of *XPO5* are involved in the prognosis of NSCLC.

A mutated and inactive *XPO5* resulted in reduced miRNA processing and decreased miRNA target inhibition; the restored *XPO5* seemed to act as a tumor suppressor and reversed the impaired export of pre-miRNA.<sup>23</sup> The miR-SNP of rs11077 of *XPO5* has been associated with the risk of esophageal cancer, as well as the survival in myeloma.<sup>19,24</sup> The mechanism for this SNP modifying NSCLC survival remains unclear. This SNP is located in the 3' UTR of *XPO5*, and the SNP might affect mRNA stability and alter the expression of

*XPO5*. The fact that the rs11077 CC genotype was associated with reduced *Renilla* expression in a *Renilla* luciferase system implies that this SNP could modify *XPO5* expression and result in overall expression of miRNA.<sup>19</sup> The genes or miRNA binding at this SNP site to mediate *XPO5* expression need to be further investigated.

We report for the first time that AC genotype of *XPO5* exhibit a better chemotherapy response than that of AA type. The altered *XPO5* expression may affect the expression of miRNAs specific for drug metabolism, leading to an altered chemotherapy response and advanced NSCLC survival. miRNA expressional profiles upon altered *XPO5* expression need to be performed to identify the candidate miRNAs responsible for chemotherapy response.

Only one healthy control carried the homozygous CC allele of this miR-SNP. The frequency of the CC allele is dramatically lower in our patients from the Hebei area in China than is reported in the European population (less than 1% versus 21.7%). Several hundred non-Hodgkin lymphoma and esophageal cancer patients were examined for the rs11077 SNP distribution, and the homozygous CC allele had a low frequency of less than 1% (Guo, unpublished data, 2013).

Although miR-SNP studies for miRNA processing machinery genes are at an early stage, our results are encouraging because they indicate that miR-SNPs may have an effect on chemotherapy sensitivity and cancer survival. However, the results from this study require validation in other populations and in laboratory-based functional studies. MicroRNAs have been emphasized as a key factor in patients' susceptibility to therapeutic response in many complex diseases, including cancer.<sup>25</sup>

## Conclusion

A miR-SNP in the 3' UTR region of *XPO5* was found to be an independent prognostic marker for chemosensitivity and survival in advanced NSCLC patients.

## Disclosure

The authors report no conflicts of interest in this work.

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**Table 3** Relevant association of rs11077 genotype with chemotherapy response

Genotype	SD/PD (n)	CR/PR (n)	P-value
AA	64/18	0/12	0.001
AC	9/1	1/7	

**Abbreviations:** CR, complete response; n, number of patients; PD, progressive disease; PR, partial response; SD, stable disease; AA, carrying two homozygous A alleles; AC, carrying heterozygous A and C alleles.

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