NEW RESEARCH

Journal of Clinical Sleep Medicine

http://dx.doi.org/10.5664/jcsm.2920

# Prevalence and Risk Factors of Sleep Disordered Breathing in Patients with Rheumatic Valvular Heart Disease

Ning Ding, M.D.<sup>1</sup>; Bu-Qing Ni, M.D.<sup>2</sup>; Xi-Long Zhang, M.D., Ph.D.<sup>1</sup>; Han-Peng Huang, M.D.<sup>1</sup>; Mei Su, M.D.<sup>1</sup>; Shi-Jiang Zhang, M.D., Ph.D.<sup>2</sup>; Hong Wang, M.D., Ph.D.<sup>1</sup>

<sup>1</sup>Department of Respiratory Medicine, the First Affiliated Hospital of Nanjing Medical University, Nanjing, China; <sup>2</sup>Department of Cardiothoracic Surgery, the First Affiliated Hospital of Nanjing Medical University, Nanjing, China

Study Objectives: Sleep disordered breathing (SDB) is common in patients with chronic heart failure secondary to non-valvular heart disease; however, the prevalence and characteristics of SDB in patients with rheumatic valvular heart disease (RVHD) are unclear. This study was designed to determine the prevalence, characteristics, and risk factors for SDB in RVHD patients. **Methods:** A cross-sectional study was conducted in 260 RVHD patients. The following data were recorded: types of heart valve lesions, electrocardiographic, echocardiographic, arterial blood gas analysis findings, baseline medication, 6-minute walk test (6MWT) distance, and sleep parameters.

**Results:** Compared to patients with single left-sided valve lesions, patients with left- and right-sided valve lesions had a higher prevalence of SDB (46.2% vs. 31.2%, p = 0.013); the increased prevalence of SDB only involved central sleep apnea (CSA) (31.1% vs. 14.1%, p = 0.001). Patients with obstructive sleep apnea (OSA) or CSA were older and had a shorter 6MWT distance, lower left ventricle ejection fraction and PaO<sub>2</sub>, a longer lung-to-finger circulation time, and a higher preva-

C hronic heart failure (CHF) and sleep disordered breathing (SDB) are major public health problems in many countries. Studies have shown a high prevalence of SDB in CHF patients. Specifically, Sin<sup>1</sup> observed that 38% of 450 CHF patients had obstructive sleep apnea (OSA), while 33% had central sleep apnea (CSA). Another study showed that SDB was present in 76% of patients with symptomatic CHF (40% CSA, 36% OSA).<sup>2</sup> Several studies have demonstrated increased mortality in CHF patients with SDB in contrast to those without SDB.<sup>3-5</sup> Other studies have also revealed that OSA is a cardiovascular risk factor and that CSA is a potential marker of the severity of CHF.<sup>3,6-8</sup>

Valvular heart disease is an important comorbidity factor for CHF. The prevalence of valvular heart disease is estimated at 2.5% in the US population and sharply increases beyond the age of 65 years because of the prevalence of degenerative etiologies.<sup>9</sup> Although a decreased incidence of rheumatic valvular heart disease (RVHD) has been observed in the past 50 years, RVHD remains common in developing countries, where the prevalence is underestimated by clinical examination. The prevalence of RVHD is estimated at 2% to 3% when using systematic echocardiographic screening.<sup>9</sup>

Previous studies have mainly focused on the prevalence of SDB in patients with non-valvular diseases, such as coronary

lence of atrial fibrillation (AF) and hypertension (all p < 0.05) as compared with patients without SDB. Multinomial logistic regression analysis showed that  $PaO_2 \le 85$  mm Hg was the only risk factor for OSA. Male gender, AF, 6MWT distance  $\le$  300 m,  $PaO_2 \le 85$  mmHg, and  $PaCO_2 \le 40$  mm Hg were risk factors for CSA.

**Conclusions:** Patients with RVHD had a high prevalence of SDB (predominantly CSA). RVHD patients with SDB, particularly those who had CSA, manifested more severe symptoms and greater impairment of cardiac function. Assessments of clinical manifestations of cardiac dysfunction may be important for predicting the risk factors for SDB.

**Keywords:** Sleep disordered breathing, rheumatic valvular heart disease, central sleep apnea, obstructive sleep apnea, chronic heart failure

**Citation:** Ding N; Ni BQ; Zhang XL; Huang HP; Su M; Zhang SL; Wang H. Prevalence and risk factors of sleep disordered breathing in patients with rheumatic valvular heart disease. *J Clin Sleep Med* 2013;9(8):781-787.

#### **BRIEF SUMMARY**

**Current Knowledge/Study Rationale:** Sleep disordered breathing (SDB) is common in patients with chronic heart failure caused by non-valvular heart disease. However, no data are available for the prevalence and nature of SDB in patients with rheumatic valvular heart disease (RVHD).

Study Impact: This study showed that patients with RVHD have a high prevalence of SDB, in which central sleep apnea (CSA) predominates. In addition, this study demonstrates that the risk factors for CSA are significantly different from obstructive sleep apnea (OSA). CSA has more risk factors than OSA.

heart disease, myocardial infarction, dilated cardiomyopathy, and hypertrophic cardiomyopathy.<sup>2,10,11</sup> Several studies have suggested the SDB and valvular heart disease are associated<sup>12-16</sup>; however, instead of RHVD, most of the studies involved patients with degenerative valvular heart disease. In addition, the relationship between the types of heart valve lesions and SDB has not been elucidated. We hypothesized that in RVHD patients, the types of SDB were related to types of heart valve lesions and that some clinical characteristics might be predictive factors for SDB. Therefore, the current study was designed to investigate the epidemic characteristics of SDB in RVHD patients.



# **METHODS**

#### Patients

Between April 2010 and October 2011, patients with RVHD admitted to the Cardiothoracic Surgery Department of the First Affiliated Hospital of Nanjing Medical University for heart valve replacement surgery were screened for the presence of various types of SDB. Among these patients, 275 underwent full-night polysomnography (PSG). Two hundred sixty patients were enrolled, but 15 were excluded because they lacked an adequate PSG record or underwent a short PSG (< 3 h; **Figure 1**). None of the patients had been screened for SDB prior to the study period.

The following inclusion criteria were used: (1) age between 18 to 70 years; (2) symptomatic stable heart failure, New York Heart Association (NYHA) class  $\geq$  II despite optimal drug therapy; (3) primary episode of rheumatic fever and current rheumatic heart disease based on 2004 WHO criteria for the diagnosis of rheumatic fever and rheumatic heart disease<sup>17</sup>; and (4) clinical features at presentation and typical rheumatic valvular lesions examined by Doppler echocardiography. Exclusion criteria were: (1) history of stroke or clinical signs of peripheral or central nervous system disorders; (2) previously known congenital heart disease, coronary heart disease, myocardial infarction, dilated cardiomyopathy, or hypertrophic cardiomyopathy; (3) chronic obstructive pulmonary disease or history of asthma; and (4) enrollment in another clinical study.

To obtain a stable clinical status for all patients, optimal drug therapy was used. The baseline medications included digoxin, diuretics, nitrates, angiotensin-converting enzyme inhibitors (ACEI), and  $\beta$ -blockers.

Written informed consent was obtained from all patients. This study was approved by the Clinical Study Ethics Committee of the First Affiliated Hospital of Nanjing Medical University.

## **Cardiac Function Assessment**

NYHA classification was assessed immediately after the patients were enrolled. Atrial fibrillation (AF) was detected by 12-lead electrocardiography. Two-dimensional Doppler echocardiography was performed to assess the left ventricular ejection fraction (LVEF). On the second morning of hospitalization, an arterial blood gas was evaluated when patients discontinued oxygen therapy for at least 1 hour.

The 6-minute walk test (6MWT) was performed within 3 days after hospital admission according to the guidelines issued by the American Thoracic Society.<sup>18</sup> For those whose lower limb joints were damaged by rheumatic fever, 6MWT was not conducted.

## **Bias Control**

To reduce the potential bias as much as possible, the following steps were taken: (1) patients were randomly selected and according to inclusion and exclusion criteria; (2) clinical data were collected fully to avoid any possible data loss bias; (3) the same medical instrument was used for all patients for echocardiography, electrocardiography, blood gas analysis, and polysomnography; (4) all examiners and persons who analyzed the data were blinded to this study and patients enrolled in this study.

## Polysomnography

An evaluation of daytime sleepiness based on the Epworth Sleepiness Scale (ESS) was performed prior to sleep study. The sleep study was performed by unattended overnight PSG (Embla S4500 System, USA). Sleep was monitored using 5 electroencephalographic channels (EEG; F4-M1, C4-M1, O2-M1, E12-M2, and E2-M2) and a submental electromyogram. Nasal airflow was measured by continuously recording the nasal pressure, snoring, pulse oximetry, and body position, as well as chest and abdominal effort. For each participant,  $\geq$  80% of the total recording was considered of good quality. Analyses were performed by 2 physicians who specialized in SDB but were not directly involved in this study.

The following standard definitions were used to describe and score SDB: obstructive apnea—complete cessation of airflow with continued paradoxical chest and abdominal excursion for  $\geq 10$  s; mixed apnea—cessation of airflow for  $\geq 10$  s with complete cessation of both abdominal and chest movement in at least the first half of the apnea (first 5 s), followed by paradoxical chest and abdominal excursion in the latter half (mixed apnea was classified as part of the obstructive apnea group because both had the same pathogenesis); central apnea—complete cessation of airflow as well as complete cessation of chest and abdominal excursion  $\geq 10$  s; and hypopnea—reduction of airflow > 50% from the baseline  $\geq 10$  s and associated with 4% desaturation or an increase in EEG.

SDB was further classified as either CSA or OSA based on the predominance (> 50%) of the type of sleep apnea to ensure consistency with the criteria used in other studies.<sup>2,19,20</sup> The apnea/hypopnea index (AHI) is used as a marker of SDB severity and graded as "mild" (5-15/h), "moderate" (15-30/h), or "severe" (> 30/h) according to AASM guidelines.<sup>21</sup> Patients with an AHI < 5/h were considered to have no SDB.

The circulation time was measured by lung-to-finger circulation time (LFCT) instead of lung-to-ear circulation time, because the  $\text{SpO}_2$  in our patients was assessed by a finger rather than an ear.

#### Statistical Analysis

Statistical analyses were performed using the SPSS statistical software (version 13.0; IBM, USA). Continuous data were expressed as mean (95% confidence interval for mean). Differences among the 3 groups (No SDB, CSA, and OSA) were compared using one-way ANOVA. Student-Newman-Keuls method was used for post hoc multiple comparisons. The  $\chi^2$  test was used to analyze the frequency of each parameter. Multinomial logistic regression was used to model the association between various baseline variables and the risk of CSA and/ or OSA, for which the patients without SBD were used as the reference group. The candidate independent variables that were used to analyze the following risk factors for CSA and OSA: gender, age, history of symptomatic heart failure, body mass index (BMI), NYHA class, LVEF, and 6MWT, as well as the pH, PaO<sub>2</sub>, and PaCO<sub>2</sub> during wakefulness. The presence of hypertension, AF, and left- and right-sided valve lesions was used to evaluate the risk factors for CSA and OSA. A p-value < 0.05 was considered to indicate statistical significance.

# RESULTS

#### **Baseline Characteristics**

The average age of the 260 patients was 51.3 years (95% CI: 50.1-52.5). Of these patients, 125 (48.1%) were males and 135 (51.9%) were females. Digoxin, diuretics, nitrates, angiotensin converting enzyme inhibitors (ACEIs), and  $\beta$ -blockers were used by 90.4%, 89.6%, 35.4%, 61.9%, and 59.6% of the patients, respectively. Based on the NYHA classification, 12.6%, 61.9%, and 25.4% of the patients were in NYHA classes II, III, and IV, respectively. Of the patients, 49.2% (128) had left-sided valve lesions (mitral valve lesions [23.5%], or aortic valve lesions [11.2%], or mitral valve + aortic valve lesions (mitral valve lesions [25.0%] or mitral valve + aortic valve lesions (mitral valve lesions [25.8%]). The detailed baseline characteristics of the patients are presented in **Table 1**.

Clinical and sleep characteristics were compared between the patients with single left-sided valve lesions and left- and right-sided valve lesions. There were no differences between the 2 groups with respect to age (p = 0.872), BMI (p = 0.525), or LVEF (p = 0.859). Patients with left- and right-sided valve lesions had a remarkably shorter 6MWT distance (p = 0.001) and LFCT (p = 0.002), and a higher percentage of symptomatic heart failure  $\geq$  5 years (p < 0.001), CSA (p = 0.001) and AF (p < 0.001) compared to single left-sided valve lesions patients (**Table 1**).

The prevalence of SDB in our population was 38.8%; 16.2% had predominant OSA and 22.7% had predominant CSA (**Figure 2**).

#### Comparisons in Patients with and without SDB

The prevalence of OSA and CSA in males was significantly higher than females (p = 0.001). Patients with OSA or CSA were older and had shorter 6MWT distances (p < 0.001) and a

Figure 2—Histograms show the prevalence of OSA and CSA in patients with RVHD



Among all patients, 61.2% did not have SDB, 16.2% had OSA, and 22.7% had CSA.

lower PaCO<sub>2</sub> (p < 0.001) than patients without SDB. Compared with patients without SDB or patients with OSA only, patients with CSA exhibited a significantly higher prevalence of AF (p < 0.001), higher NYHA class (p < 0.001), and longer LFCT (p < 0.001). Decreased LVEF (p = 0.001) and worse sleep respiratory parameters (AHI, ODI, and mean and minimal SpO<sub>2</sub>) were likewise observed (**Table 2**).

### **Risk Factors for OSA and CSA**

The risk factors for OSA are shown in **Table 3**. The decreasing PaO<sub>2</sub> level was the dominant risk factor in the entire population and females, which was defined by a PaO<sub>2</sub>  $\leq$  85 mm Hg, with adjusted odds ratios (ORs) of 3.27 (95% CI, 1.52-7.01) and 9.24 (95% CI, 2.28-37.45), respectively. PaCO<sub>2</sub>  $\leq$  40 mm Hg was a protective factor for OSA in the entire population (OR = 0.40; 95% CI, 0.19-0.85) and males (OR = 0.20; 95% CI, 0.07-0.56).

However, more risk factors were found in CSA patients than in OSA patients (both males and females). For the entire group, the risk factors for CSA were male gender, AF, 6MWT distance  $\leq$  300 m, PaCO<sub>2</sub>  $\leq$  40 mm Hg, and PaO<sub>2</sub>  $\leq$  85 mm Hg, in which the adjusted ORs were 4.55 (95% CI, 1.92-10.8), 3.536 (95% CI, 1.54-8.13), 12.2 (95% CI, 5.10-29.1), 3.04 (95% CI, 1.36-6.84), and 2.64 (95% CI, 1.22-5.75), respectively. Patients with a 6MWT distance  $\leq$  300 m had greater than a 15-fold increased risk for males and females. However, other risk factors for CSA differed between males and females. For males, AF, PaCO<sub>2</sub>  $\leq$ 40 mm Hg,  $PaO_2 \le 85$  mm Hg, and  $pH \ge 7.45$  were risk factors (OR = 5.46, 95% CI, 1.68-17.8; OR = 5.25, 95% CI, 1.53-18.1; OR = 5.13, 95% CI, 1.50-17.6; OR = 3.70, 95% CI, 1.02-13.4; respectively), whereas age ( $\geq 50$  years) was a dependent risk factor for females (OR = 5.07, 95% CI, 1.31-19.6; Table 4). A LVEF  $\leq 60\%$  was not considered a risk factor for OSA or CSA.

## Table 1—Baseline clinical and sleep characteristics

$\begin{array}{c c c c c c c c c c c c c c c c c c c $		Total (n= 260)	Left-sided valve lesions (n = 128)	Left- and right-sided valve lesions (n = 132)	p-value
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Demographic characteristics				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Age, y Sex	51.3 (50.1-52.5)	51.2 (49.3-53.1)	51.4 (49.9-53.0)	0.872 0.036
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Male	125	70	55	
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Female	135	58	77	
$\begin{array}{l l l l l l l l l l l l l l l l l l l $	BMI, kg/m <sup>2</sup>	23.5 (23.1-23.9)	23.6 (23.0-24.2)	23.3 (22.8-23.9)	0.525
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Heart valve lesions				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Mitral valve lesions, n (%)	61 (23.5)	61 (23.5)	0	
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Aortic valve lesions, n (%)	29 (11.2)	29 (11.2)	0	
Mitral + Tricuspid valve lesions, n (%)65 (25.0)0065 (25.0)Mitral + Aortic + Tricuspid valve lesions, n (%)67 (25.8)067 (25.8)Heart failure characteristicsNYHA class $<$ II, n1617883IV, n661947Symptomatic heart failure<	Mitral + Aortic valve lesions. n (%)	38 (14.6)	38 (14.6)	0	
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Mitral + Tricuspid valve lesions, n (%)	65 (25.0)	0	65 (25.0)	
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Mitral + Aortic + Tricuspid valve lesions, n (%)	67 (25.8)	0	67 (25.8)	
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Heart failure characteristics				
II, n33312III, n1617883IV, n661947Symptomatic heart failure<0.001	NYHA class				< 0.001
III, n1617883IV, n661947Symptomatic heart failure< 0.001	ll. n	33	31	2	
IV, n661947Symptomatic heart failure< 0.001	lli. n	161	78	83	
Symptomatic heart failure< 0.001< 5 y, n	IV n	66	19	47	
< 5 y, n1408357≥ 5 y, n1204575AF, n (%)14043 (33.6)97 (73.5)< 0.001	Symptomatic heart failure				< 0.001
≥ 5', n = 120 45 75  AF, n (%) = 140 43 (33.6) 97 (73.5) < 0.001  Hypertension, n (%) 77 36 (28.1) 41 (29.6) 0.604  6MWT, m = 316 (307-325) 331 (319-343) 302 (289-315) 0.001  LVEF (%) 61.0 (60.0-62.0) 60.9 (59.4-62.4) 61.1 (59.8-62.4) 0.859  pH 7.44 (7.447-7.45) 7.44 (7.43-7.44) 7.45 (7.44-7.45) 0.065  PaO2, mm Hg 85.9 (84.5-87.3) 85.9 (83.9-87.8) 86.0 (84.0-88.0) 0.937  PaCO2, mm Hg 39.9 (39.2-40.5) 40.4 (39.6-41.2) 39.3 (38.3-40.4) 0.100  Sleep data  SDB, n (%) 141 (38.8) 40 (31.2) 61 (46.2) 0.013  OSA, n (%) 29 (22.7) 18 (14.1) 41 (31.1) 0.001  ESS score 8.84 (8.15-9.53) 8.39 (7.48-9.30) 9.27 (8.23-10.3) 0.209  AHI, /h 8.10 (6.75-9.44) 6.85 (5.12-8.58) 9.30 (7.24-11.4) 0.073  ODI, /h 6.63 (54.2-7.84) 549 (4.09-6.88) 7.74 (5.78-9.69) 0.065  Mean SpO2 (%) 86.8 (86.0-87.6) 87.5 (86.3-88.7) 86.1 (85.0-87.3) 0.116  LFCT (s) 18.5 (17.5-19.5) 16.9 (15.6-18.2) 19.9 (18.6-21.3) 0.002	< 5 v. n	140	83	57	
AF, n (%)14043 (33.6)97 (73.5)< 0.001Hypertension, n (%)7736 (28.1)41 (29.6)0.6046MWT, m316 (307-325)331 (319-343)302 (289-315)0.001LVEF (%)61.0 (60.0-62.0)60.9 (59.4-62.4)61.1 (59.8-62.4)0.859pH7.44 (7.44-7.45)7.44 (7.43-7.44)7.45 (7.44-7.45)0.065PaO <sub>2</sub> , mm Hg85.9 (84.5-87.3)85.9 (83.9-87.8)86.0 (84.0-88.0)0.937PaCO <sub>2</sub> , mm Hg39.9 (39.2-40.5)40.4 (39.6-41.2)39.3 (38.3-40.4)0.100Sleep dataSDB, n (%)141 (38.8)40 (31.2)61 (46.2)0.013OSA, n (%)42 (16.2)22 (17.2)20 (15.2)0.656CSA, n (%)59 (22.7)18 (14.1)41 (31.1)0.001ESS score8.84 (8.15-9.53)8.39 (7.48-9.30)9.27 (8.23-10.3)0.209AHI, /h8.10 (6.75-9.44)6.85 (5.12-8.58)9.30 (7.24-11.4)0.073ODI, /h6.63 (5.42-7.84)5.49 (4.09-6.88)7.74 (5.78-9.69)0.065Mean SpO2 (%)96.0 (95.8-96.2)96.1 (95.8-96.4)95.9 (95.5-96.3)0.437Minimal SpO2 (%)86.8 (86.0-87.6)87.5 (86.3-88.7)86.1 (85.0-87.3)0.116LFCT (s)18.5 (17.5-19.5)16.9 (15.6-18.2)19.9 (18.6-21.3)0.002	≥ 5 v. n	120	45	75	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	AE. n (%)	140	43 (33.6)	97 (73.5)	< 0.001
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Hypertension, n (%)	77	36 (28.1)	41 (29.6)	0.604
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	6MWT. m	316 (307-325)	331 (319-343)	302 (289-315)	0.001
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	LVEF (%)	61.0 (60.0-62.0)	60.9 (59.4-62.4)	61.1 (59.8-62.4)	0.859
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	H	7.44 (7.44-7.45)	7.44 (7.43-7.44)	7.45 (7.44-7.45)	0.065
$\begin{array}{c c} PaCO_2, \mm Hg \\ \hline PaCO_2, \mm Hg \\ \hline SDB, \ n \ (\%) \\ OSA, \ n \ (\%) \\ \hline SSB \\ OSA, \ n \ (\%) \\ OSA, \ n \ (\%) \\ \hline SSB \\ OSA, \ n \ (\%) \\ \hline SSB \\ OSA, \ n \ (\%) \\ \hline SSB \\ OSA, \ n \ (\%) \\ \hline SSB \\ OSA, \ n \ (\%) \\ \hline SSB \\ OSA, \ n \ (\%) \\ \hline SSB \\ OSA, \ n \ (\%) \\ \hline SSB \\ Score \\ \hline SSB \\ \ Score \\ \hline SSB \\ Score \\ \hline SSB \\ Score \\ \hline SSB \\ \ Score \\ \ Score \\ \ Score \\ \ SSB \\ \ Score \\ \ $	PaQ., mm Hg	85.9 (84.5-87.3)	85.9 (83.9-87.8)	86.0 (84.0-88.0)	0.937
	PaCO <sub>2</sub> , mm Hg	39.9 (39.2-40.5)	40.4 (39.6-41.2)	39.3 (38.3-40.4)	0.100
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Sleep data				
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	SDB. n (%)	141 (38.8)	40 (31.2)	61 (46.2)	0.013
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	OSA. n (%)	42 (16.2)	22 (17.2)	20 (15.2)	0.656
ESS score $8.84$ ( $8.15-9.53$ ) $8.39$ ( $7.48-9.30$ ) $9.27$ ( $8.23-10.3$ ) $0.209$ AHI, /h $8.10$ ( $6.75-9.44$ ) $6.85$ ( $5.12-8.58$ ) $9.30$ ( $7.24-11.4$ ) $0.073$ ODI, /h $6.63$ ( $5.42-7.84$ ) $5.49$ ( $4.09-6.88$ ) $7.74$ ( $5.78-9.69$ ) $0.065$ Mean SpO2 (%) $96.0$ ( $95.8-96.2$ ) $96.1$ ( $95.8-96.4$ ) $95.9$ ( $95.5-96.3$ ) $0.437$ Minimal SpO2 (%) $86.8$ ( $86.0-87.6$ ) $87.5$ ( $86.3-88.7$ ) $86.1$ ( $85.0-87.3$ ) $0.116$ LFCT (s) $18.5$ ( $17.5-19.5$ ) $16.9$ ( $15.6-18.2$ ) $19.9$ ( $18.6-21.3$ ) $0.002$	CSA. n (%)	59 (22.7)	18 (14.1)	41 (31.1)	0.001
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	ESS score	8.84 (8.15-9.53)	8.39 (7.48-9.30)	9.27 (8.23-10.3)	0.209
ODI, /h         6.63 (5.42-7.84)         5.49 (4.09-6.88)         7.74 (5.78-9.69)         0.065           Mean SpO <sub>2</sub> (%)         96.0 (95.8-96.2)         96.1 (95.8-96.4)         95.9 (95.5-96.3)         0.437           Minimal SpO <sub>2</sub> (%)         86.8 (86.0-87.6)         87.5 (86.3-88.7)         86.1 (85.0-87.3)         0.116           LFCT (s)         18.5 (17.5-19.5)         16.9 (15.6-18.2)         19.9 (18.6-21.3)         0.002	AHI. /h	8.10 (6.75-9.44)	6.85 (5.12-8.58)	9.30 (7.24-11.4)	0.073
Mean SpO2 (%)         96.0 (95.8-96.2)         96.1 (95.8-96.4)         95.9 (95.5-96.3)         0.437           Minimal SpO2 (%)         86.8 (86.0-87.6)         87.5 (86.3-88.7)         86.1 (85.0-87.3)         0.116           LFCT (s)         18.5 (17.5-19.5)         16.9 (15.6-18.2)         19.9 (18.6-21.3)         0.002	ODL /h	6.63 (5.42-7.84)	5.49 (4.09-6.88)	7.74 (5.78-9.69)	0.065
Minimal SpO <sub>2</sub> (%)         86.8 (86.0-87.6)         87.5 (86.3-88.7)         86.1 (85.0-87.3)         0.116           LFCT (s)         18.5 (17.5-19.5)         16.9 (15.6-18.2)         19.9 (18.6-21.3)         0.002	Mean SpO. (%)	96.0 (95.8-96.2)	96.1 (95.8-96.4)	95.9 (95.5-96.3)	0.437
LFCT (s) 18.5 (17.5-19.5) 16.9 (15.6-18.2) 19.9 (18.6-21.3) 0.002	Minimal SpO. (%)	86.8 (86.0-87.6)	87.5 (86.3-88.7)	86.1 (85.0-87.3)	0,116
	LFCT (s)	18.5 (17.5-19.5)	16.9 (15.6-18.2)	19.9 (18.6-21.3)	0.002

Data are presented as n (%) or mean (95% confidence interval for mean). BMI, body mass index; NYHA, New York Heart Association; 6MWT, six-minute walk test; LVEF, left ventricle ejection fraction; AF, atrial fibrillation; SDB, sleep disordered breathing; OSA, objective sleep apnea; CSA, central sleep apnea; AHI, apnea/hypopnea index; ODI, oxygen desaturation index; LFCT, lung-to-finger circulation time.

# DISCUSSION

In patients with CHF caused by non-valvular disease, a high prevalence of SDB has been reported<sup>1,2,10,22-24</sup>; however, data on the prevalence of SDB in patients with RVHD are not available because RVHD is not the main etiology for heart failure in developed countries. Nevertheless, RVHD remains common in developing countries and is one of the top three leading common causes of heart failure in Chinese hospitals.<sup>25</sup>

As compared with patients with single left-sided valve lesions, patients with left- and right-sided valve lesions had a remarkably higher prevalence of SDB (CSA only) and AF. The major reason might be a longer history of symptomatic heart failure and more severe degree of heart failure. In the early stages of rheumatic fever, the mitral and aortic valves are often involved. With progression of rheumatic heart disease, the tricuspid valve and right heart also become involved. Right heart failure leads to systemic congestion, delayed circulation, and PCO<sub>2</sub> fluctuation, which might cause CSA. Because peripheral edema is more severe in right heart failure patients, nocturnal rostral fluid displaced from the legs to the neck might be a significant contributor to the pathogenesis of OSA and CSA.<sup>20</sup> Furthermore, a long history of rheumatic disease causes the anatomic and functional alterations and electrophysiological modifications, which result in AF. CSA is predisposed to AF

	No SDB (n = 159)	OSA (n = 42)	CSA (n = 59)	p-value
Age, y	49.6 (48.0-51.2)	54.8 (52.1-57.6)*	53.4 (51.3-55.6)*	0.001
Sex			. ,	0.002
Male	63	28	34	
Female	96	14	25	
BMI, kg/m <sup>2</sup>	23.0 (22.5-23.5)	24.7 (23.6-25.8)*	23.9 (23.1-24.8)	0.005
Heart valve lesions				0.004
Left	88	22	18	
Left and right	71	20	41	
NYHA class				< 0.001
ll, n	28	5	0	
III, n	99	90	32	
IV, n	32	1	21	
Symptomatic heart failure	00	05	20	0.639
< 5 y, 11 > 5 y n	00 72	25 17	29	
6MWT m	340 (330-350)	307 (285-320)*	250 (2/1/-27/1)*#	< 0.001
	62 0 (60 9-63 1)	62 2 (50 0 64 6)	57 5 (51 9 60 0)*#	0.001
$\Delta E = n^{(0/1)}$	92 (52.2)	12 (21 0)*	37.3 (34.3-00.0) 44 (74.6)*#	< 0.001
AF, II ( $^{0}$ )	22 (20.8)	19 (42 0)*	$\frac{44}{26}(14.0)^{*}$	< 0.001
	33 (20.8) 7 44 (7 44 7 45)	10 (42.9)	20 (44.1) 7 45 (7 44 7 46)#	0.001
	7.44 (7.44-7.45)	7.43 (7.42-7.44)	7.45 (7.44-7.40)"	0.001
$PaO_2$ , mm Hg	88.7 (86.9-90.4)	82.0 (79.5-85.0)*	81.0 (78.1-83.9) <sup>**</sup>	< 0.001
PaCO <sub>2</sub> , mm Hg	39.8 (39.0-40.7)	42.1 (40.7-43.5)*	38.4 (37.2-39.6)*	0.002
ESS score	7.37 (6.54-8.20)	10.3 (8.15-12.2)*	11.8 (10.4-13.1)*	< 0.001
AHI, /h	1.72 (1.48-1.96)	13.9 (10.9-16.9)*	21.1 (17.8-24.5)*#	< 0.001
Mean SpO <sub>2</sub> (%)	96.4 (96.2-96.7)	95.8 (95.2-96.3)*	95.0 (94.4-95.7)*	< 0.001
Minimal SpO <sub>2</sub> (%)	88.6 (87.6-89.7)	84.8 (82.8-86.8)*	83.3 (81.8-84.8)*	< 0.001
ODI, /h	1.91 (1.58-2.25)	9.25 (6.57-11.9)*	17.5 (13.9-21.0)*#	< 0.001
LFCT (s)	15.5 ± 4.9	16.7 ± 5.14	25.6 ± 7.26*#	< 0.001

Table 2—Comparisons of clinical and polysomnography parameters in No SDB, OSA, and CSA patients

Data are presented as n (%) or mean (95% confidence interval for mean). BMI, body mass index; NYHA, New York Heart Association; 6MWT, six-minute walk test; LVEF, left ventricle ejection fraction; AF, atrial fibrillation; pH, power of hydrogen; PaO<sub>2</sub>, arterial oxygen tension; PaCO<sub>2</sub>, arterial carbon dioxide tension; ESS, Epworth sleepiness scale; AHI, apnea/hypopnea index; SpO<sub>2</sub>, pulse oxygen saturation; ODI, oxygen desaturation index; LFCT, lung-to-finger circulation time. \*p < 0.05, OSA or CSA versus No SDB; #p < 0.05, CSA versus OSA.

because of alterations in sympathetic and parasympathetic nervous system activity occurring in SDB patients, and is associated with hypoxemia, acidosis, apneas, and arousal.

A relatively lower prevalence of SDB in the study population was found. Approximately 40% of the population had OSA (16.2%) or CSA (22.7%), which was almost 50% lower than the results in previous studies.<sup>1,2,10</sup> In addition, the mean LVEF in our study was 61.0% (62.0%, 62.2%, and 57.5% for No SDB, OSA, and CSA, respectively), which was 2-fold higher than previous studies.<sup>1,2,10</sup> The severity and type of SDB are related to LVEF,<sup>1,2,12</sup> which could be used as a predictor of heart systolic function. Therefore, the reduced systolic left ventricular function may lead to an increased prevalence and severity of SDB.

The patients in our study had a lower prevalence of OSA than CSA (**Figure 2**). Patients with OSA often have a significantly higher BMI.<sup>19</sup> In our patients, BMI was significantly lower than previous studies,<sup>1,10,26</sup> which may explain the lower percentage of OSA.

OSA patients had hypoxia and  $CO_2$  retention because of upper airway obstruction. However, CSA patients usually suffer from hyperventilation and hypocapnia, which occur because the background PaCO<sub>2</sub> is closer to the apneic threshold during

sleep in CSA patients than in heathy individuals. Thus, slight increases in ventilation or reductions in PaCO<sub>2</sub> can lead to respiratory instability.<sup>27</sup> CSA patients had a notably higher arterial blood pH and lower PaCO<sub>2</sub> as compared with OSA patients (**Table 2**), which is consistent with a previous study,<sup>20,27</sup> suggesting that the arterial blood gas differs significantly between OSA and CSA patients. Multinomial logistic regression analysis results that a PaCO<sub>2</sub>  $\leq$  40 mm Hg was a risk factor for CSA, but a protective factor for OSA also agreed with these results (**Tables 3** and 4, respectively).

The lung-to-ear circulation time, an estimate of the lung-tocarotid chemoreceptor circulation time, is always increased in patients with CSA.<sup>28,29</sup> Circulation delay may affect not only blood flow distribution, but also the degree of increase in minute ventilation and decrease in the PaCO<sub>2</sub>, which directly caused CSA. Our finding that the patients with CSA had a significantly longer LFCT was similar to previous studies.<sup>28,29</sup>

This study showed that the risk factors for CSA significantly differed from those for OSA, but were similar to the findings of a previous study.<sup>1</sup> The previous study showed that risk factors for CSA were male gender, AF, age > 60 years, and hypocapnia. Two additional risk factors for CSA were demonstrated in

#### Table 3—Risk factors for OSA

	Adjusted OR	p-value	95% CI
<b>Whole population</b> PaCO <sub>2</sub> $\leq$ 40 mm Hg PaO <sub>2</sub> $\leq$ 85 mm Hg	0.40 3.27	0.017 0.002	0.19-0.85 1.52-7.01
Male PaCO₂ ≤ 40 mm Hg	0.20	0.002	0.07-0.56
Female PaO <sub>2</sub> ≤ 85 mm Hg	9.24	0.002	2.28-37.45
AF. atrial fibrillation: PaO., arte	erial oxygen tensi	on: PaCO	arterial carbon

AF, atrial fibrillation; PaO<sub>2</sub>, arterial oxygen tension; PaCO<sub>2</sub>, arterial carbon dioxide tension.

the current study (a 6MWT distance  $\leq 300$  m and a PaO<sub>2</sub>  $\leq 85$ mm Hg; Table 4). Moreover, the risk factors for CSA in males differed from those in females. Specifically, AF, a  $PaCO_{2} \le 40$ mm Hg and a  $PaO_2 \le 85$  mm Hg were risk factors for CSA in the entire population and males, but not females; however, age ( $\geq$  50 years) was a risk factor for CSA in females only. Sforza<sup>30</sup> showed that hypertension was significantly associated with the OSA risk in females (OR = 1.52; p = 0.04), whereas Poletti<sup>31</sup> reported that the risk factors for CSA included old age and a higher amino-terminal fragment of pro-brain natriuretic hormone levels. These results are significantly different from those in our study. Furthermore, Sin<sup>1</sup> demonstrated that a BMI > 35 kg/m<sup>2</sup> was the only risk factor for OSA in males and age >60 years was the only significant risk factor for OSA in females. However, our results indicated that  $PaO_2 \le 85 \text{ mm Hg was a}$ risk factor for OSA in the entire population and females, and neither OSA nor CSA was related to BMI. These significant differences may be associated with the different etiology of CHF.

Some potential limitations are existed in the current study. First, although an AHI threshold of 10/h has been used to define the presence of SDB in several studies,<sup>1,24</sup> we used a cutoff AHI of 5/h according to previously described recommendations,<sup>21</sup> which may account for differences in the reported prevalence of SDB. Second, for this single-center study, the prevalence and risk factors of our population may not be representative of all RVHD patients. Although some important risk factors for OSA and CSA were examined in the current study, the possibility of unmeasured risk factors cannot be excluded. Third, previous studies have shown that heart valvular repair or heart transplantation improves CSA in CHF patients.<sup>15,32</sup> Thus, further study is needed to observe the effects of the cardiac valve replacement in patients with RVHD on SDB.

## ABBREVIATIONS

CHF, chronic heart failure SDB, sleep disordered breathing OSA, obstructive sleep apnea CSA, central sleep apnea RVHD, rheumatic valvular heart disease PSG, polysomnography NYHA, New York Heart Association ECG, electrocardiography LVEF, left ventricle ejection fraction

## Table 4—Risk factors for CSA

	Adjusted OR	p-value	95% CI
Whole population			
Male gender	4.55	0.001	1.92-10.8
AF	3.54	0.003	1.54-8.13
6MWT distance ≤ 300 m	12.2	< 0.001	5.10-29.1
PaCO₂ ≤ 40 mm Hg	3.04	0.007	1.36-6.84
PaO₂ ≤ 85 mm Hg	2.64	0.014	1.22-5.75
Male			
6MWT distance ≤ 300 m	17.2	< 0.001	5.01-59.0
AF	5.46	0.005	1.68-17.8
$PaCO_{2} \le 40 \text{ mm Hg}$	5.25	0.008	1.53-18.1
PaO₂ ≤ 85 mm Hg	5.13	0.009	1.50-17.6
pH ≥ 7.45	3.70	0.047	1.02-13.4
Female			
6MWT distance ≤ 300 m	16.5	0.001	3.36-81.6
Age ≥ 50 y	5.07	0.019	1.31-19.6

AF, atrial fibrillation; 6MWT, 6-minute walk test;  $PaO_2$ , arterial oxygen tension;  $PaCO_2$ , arterial carbon dioxide tension.

- 6MWT, 6-minute walk test
- ACEI, angiotensin-converting enzyme inhibitors
- ESS, Epworth Sleepiness Scale
- EEG, electroencephalogram
- AHI, apnea/hypopnea index
- BMI, body mass index
- PaO<sub>2</sub>, arterial oxygen tension
- PaCO<sub>2</sub>, arterial carbon dioxide tension
- AF, atrial fibrillation
- SpO<sub>2</sub>, pulse oxygen saturation
- ODI, oxygen desaturation index
- LFCT, lung-to-finger circulation time

# REFERENCES

- Sin DD, Fitzgerald F, Parker JD, Newton G, Floras JS, Bradley TD. Risk factors for central and obstructive sleep apnea in 450 men and women with congestive heart failure. *Am J Respir Crit Care Med* 1999;160:1101-6.
- Oldenburg O, Lamp B, Faber L, Teschler H, Horstkotte D, Topfer V. Sleepdisordered breathing in patients with symptomatic heart failure: a contemporary study of prevalence in and characteristics of 700 patients. *Eur J Heart Fail* 2007;9:251-7.
- Hanly PJ, Zuberi-Khokhar NS. Increased mortality associated with Cheyne-Stokes respiration in patients with congestive heart failure. Am J Respir Crit Care Med 1996;153:272-6.
- Peker Y, Hedner J, Norum J, Kraiczi H, Carlson J. Increased incidence of cardiovascular disease in middle-aged men with obstructive sleep apnea: a 7-year follow-up. Am J Respir Crit Care Med 2002;166:159-65.
- Brack T, Thuer I, Clarenbach CF, et al. Daytime Cheyne-Stokes respiration in ambulatory patients with severe congestive heart failure is associated with increased mortality. *Chest* 2007;132:1463-71.
- Lanfranchi PA, Somers VK, Braghiroli A, Corra U, Eleuteri E, Giannuzzi P. Central sleep apnea in left ventricular dysfunction: prevalence and implications for arrhythmic risk. *Circulation* 2003;107:727-32.
- Gottlieb DJ, Yenokyan G, Newman AB, et al. Prospective study of obstructive sleep apnea and incident coronary heart disease and heart failure: the sleep heart health study. *Circulation* 2010;122:352-60.
- Shah NA, Yaggi HK, Concato J, Mohsenin V. Obstructive sleep apnea as a risk factor for coronary events or cardiovascular death. Sleep Breath 2010;14:131-6.
- lung B, Vahanian A. Epidemiology of valvular heart disease in the adult. Nat Rev Cardiol 2011;8:162-72.

- Khayat RN, Jarjoura D, Patt B, Yamokoski T, Abraham WT. In-hospital testing for sleep-disordered breathing in hospitalized patients with decompensated heart failure: report of prevalence and patient characteristics. *J Card Fail* 2009;15:739-46.
- 11. Schiza SE, Simantirakis E, Bouloukaki I, et al. Sleep disordered breathing in patients with acute coronary syndromes. *J Clin Sleep Med* 2012;8:21-6.
- Tomcsanyi J, Karlocai K, Papp L. Disappearance of periodic breathing after heart operations. J Thorac Cardiovasc Surg 1994;107:317-8.
- Yasuma F, Hayashi H, Noda S, Tsuzuki M, Tanaka M, Okada T. A case of mitral regurgitation whose nocturnal periodic breathing was improved after mitral valve replacement. *Jpn Heart J* 1995;36:267-72.
- Rubin AE, Gottlieb SH, Gold AR, Schwartz AR, Smith PL. Elimination of central sleep apnoea by mitral valvuloplasty: the role of feedback delay in periodic breathing. *Thorax* 2004;59:174-6.
- Abe H, Takahashi M, Yaegashi H, et al. Valve repair improves central sleep apnea in heart failure patients with valvular heart diseases. *Circ J* 2009;73:2148-53.
- Takahashi M, Kasai T, Dohi T, et al. Conversion from predominant central sleep apnea to obstructive sleep apnea following valvuloplasty in a patient with mitral regurgitation. J Clin Sleep Med 2011;7:523-5.
- Rheumatic fever and rheumatic heart disease. World Health Organ Tech Rep Ser 2004;923:1-122.
- ATS statement: guidelines for the six-minute walk test. Am J Respir Crit Care Med 2002;166:111-7.
- Vazir A, Hastings PC, Dayer M, et al. A high prevalence of sleep disordered breathing in men with mild symptomatic chronic heart failure due to left ventricular systolic dysfunction. *Eur J Heart Fail* 2007;9:243-50.
- Yumino D, Redolfi S, Ruttanaumpawan P, et al. Nocturnal rostral fluid shift: a unifying concept for the pathogenesis of obstructive and central sleep apnea in men with heart failure. *Circulation* 2010;121:1598-605.
- Sleep-related breathing disorders in adults: recommendations for syndrome definition and measurement techniques in clinical research. The Report of an American Academy of Sleep Medicine Task Force. *Sleep* 1999;22:667-89.
- Zhao ZH, Sullivan C, Liu ZH, et al. Prevalence and clinical characteristics of sleep apnea in Chinese patients with heart failure. Int J Cardiol 2007;118:122-3.
- Bitter T, Faber L, Hering D, Langer C, Horstkotte D, Oldenburg O. Sleep-disordered breathing in heart failure with normal left ventricular ejection fraction. *Eur J Heart Fail* 2009;11:602-8.
- Ferrier K, Campbell A, Yee B, et al. Sleep-disordered breathing occurs frequently in stable outpatients with congestive heart failure. *Chest* 2005;128:2116-22.
- Chen HZ, Fan WH, Jin XJ, Wang Q, Zhou J, Shi ZY. Changing trends of etiologic characteristics of cardiovascular diseases among inpatients in Shanghai: a retrospective observational study from 1948 to 1999. *Zhonghua Nei Ke Za Zhi* 2003;42:829-32.

- Herrscher TE, Akre H, Overland B, Sandvik L, Westheim AS. High prevalence of sleep apnea in heart failure outpatients: even in patients with preserved systolic function. J Card Fail 2011;17:420-5.
- Javaheri S. A mechanism of central sleep apnea in patients with heart failure. N Engl J Med 1999;341:949-54.
- Hall MJ, Xie A, Rutherford R, Ando S, Floras JS, Bradley TD. Cycle length of periodic breathing in patients with and without heart failure. *Am J Respir Crit Care Med* 1996;154:376-81.
- Ryan CM, Floras JS, Logan AG, et al. Shift in sleep apnoea type in heart failure patients in the CANPAP trial. *Eur Respir J* 2010;35:592-7.
- Sforza E, Chouchou F, Collet P, Pichot V, Barthelemy JC, Roche F. Sex differences in obstructive sleep apnoea in an elderly French population. *Eur Respir* J 2011;37:1137-43.
- Poletti R, Passino C, Giannoni A, et al. Risk factors and prognostic value of daytime Cheyne-Stokes respiration in chronic heart failure patients. *Int J Cardiol* 2009;137:47-53.
- Mansfield DR, Solin P, Roebuck T, Bergin P, Kaye DM, Naughton MT. The effect of successful heart transplant treatment of heart failure on central sleep apnea. *Chest* 2003;124:1675-81.

# ACKNOWLEDGMENTS

This study was supported by Jiangsu Provincial Department of Education [Grants CXZZ11\_0726]; and the Priority Academic Program of Jiangsu Higher Education Institutions [Grants JX10231801]. In this study, Drs Ding and Ni contributed equally.

## SUBMISSION & CORRESPONDENCE INFORMATION

#### Submitted for publication October, 2012 Submitted in final revised form March, 2013 Accepted for publication March, 2013

Address correspondence to: Shi-Jiang Zhang, M.D., Ph.D., Department of Cardiothoracic Surgery, the First Affiliated Hospital of Nanjing Medical University; 300 Guangzhou Road, Nanjing, China, 210029; Tel: (86) 02583673066; Fax: (86) 02583673066; E-mail: shijiangzhang@hotmail.com

# **DISCLOSURE STATEMENT**

This was not an industry supported study. The authors have indicated no financial conflicts of interest.