

Tumor necrosis factor is dispensable for the success of immunogenic anticancer chemotherapy

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Abbreviations: APC, antigen-presenting cell; CRT, calreticulin; CTL, cytotoxic T lymphocyte; DAMP, damage-associated molecular pattern; DC, dendritic cell; DMBA, 7,12-dimethylbenz(a)anthracene; ER, endoplasmic reticulum; HMGB1, high mobility group box 1; IFN γ , interferon γ ; MCA, methylcholanthrene; TIL, tumor-infiltrating leukocyte; TNF α , tumor necrosis factor α ; TNFR, TNF α receptor; TPA, 12-O-tetradecanoylphorbol-13-acetate

The antineoplastic effects of anthracyclines have been shown to rely, at least in part, on a local immune response that involves dendritic cells (DCs) and several distinct subsets of T lymphocytes. Here, we show that the administration of anthracyclines to mice bearing established neoplasms stimulates the intratumoral secretion of tumor necrosis factor α (TNF α). However, blocking the TNF α /TNF receptor (TNFR) system by three different strategies—namely, (1) neutralizing antibodies, (2) etanercept, a recombinant protein in which TNFR is fused to the constant domain of an IgG₁ molecule, and (3) gene knockout—failed to negatively affect the therapeutic efficacy of anthracyclines in three distinct tumor models. In particular, TNF α -blocking strategies did not influence the antineoplastic effects of doxorubicin (a prototypic anthracycline) against MCA205 fibrosarcomas growing in C57BL/6 mice, F244 sarcomas developing in 129/Sv hosts and H2N100 mammary carcinomas arising in BALB/c mice. These findings imply that, in contrast to other cytokines (such as interleukin-1 β , interleukin-17 and interferon γ), TNF α is not required for anthracyclines to elicit therapeutic anticancer immune responses.

Introduction

Although it is commonly assumed that chemotherapeutics eradicate malignant cells as antibiotics kill bacteria, accumulating evidence indicates that successful antineoplastic agents (at least in part) exert therapeutic effects by (re)activating tumor-specific immune responses.^{1,2} Thus, several anticancer drugs that are nowadays employed in the clinical practice have been shown to elicit a state of cellular stress (eventually translating into cell death) that is accompanied by the emission of so-called “danger-associated molecular patterns” (DAMPs).^{3–6} An appropriate combination of such DAMPs, encompassing proteins that are exposed on the cell surface as well as soluble factors, converts adaptive responses to stress and cell death into an immunogenic event.^{4,7}

The immunogenicity of anthracycline-induced cell death has been shown to rely on the timely emission of at least three distinct DAMPs, namely (1) calreticulin (CRT), which is exposed on the outer leaflet of the plasma membrane early during apoptosis, owing to the activation of an endoplasmic reticulum (ER) stress response;⁸ (2) ATP, which is secreted into the extracellular space in an autophagy-dependent fashion, along with the activation of caspases and plasma membrane blebbing;⁹ and (3) high mobility group box 1 (HMGB1), a non-histone chromatin-binding protein that is released by dead cells upon nuclear and plasma membrane permeabilization.¹⁰ This spatiotemporally defined combination of DAMPs allows for the recruitment of myeloid cells into the tumor bed and the activation of their inflammatory (which are mediated by purinergic P2RY2 and P2RX7

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receptors, respectively), the efficient uptake of tumor-associated antigens by specific myeloid cell subsets (which is stimulated by cell surface-exposed CRT) and optimal antigen presentation (which is promoted by HMGB1). After an initial wave of myeloid cell infiltration (12–72 h post-chemotherapy), various T-cell subsets are recruited into the tumor bed, in particular interleukin-17 (IL-17)-secreting γ/δ T cells (3–5 d post-chemotherapy) and interferon γ (IFN γ)-producing CD8 $^+$ α/β T cells (peaking approximately 8 d post-chemotherapy).^{11–14}

The local immune response that is initiated by DAMPs to eventually exert antineoplastic effects is complex. In line with this notion, the blockade of myeloid cell extravasation with CD11b-blocking antibodies as well as the elimination of γ/δ T cells or CD8 $^+$ α/β T cells suffices to abolish the therapeutic efficacy of anthracycline-based chemotherapy in vivo. Along similar lines, the genetic or pharmacological inhibition of IL-1 β (produced by dendritic cell (DC)-like myeloid cells), IL-17 (secreted by γ/δ T cells) and IFN γ (one of the major cytotoxic factors of CD8 $^+$ α/β T cells) is sufficient to abrogate the antineoplastic activity of anthracyclines and other immunogenic chemotherapeutics in rodent models.^{4,12,15–17}

Driven by the discovery that the administration of doxorubicin (a prototypic anthracycline)¹⁸ to tumor-bearing mice results in the intratumoral upregulation of tumor necrosis factor α (TNF α),^{19,20} we investigated the putative contribution of this pleiotropic, multifunctional cytokine^{21,22} to the efficacy of anti-cancer immune responses. Surprisingly, we found that blocking the TNF α system by three distinct genetic or pharmacological manipulations fails to affect the chemotherapeutic response of established tumors to anthracyclines.

Results and Discussion

Enhanced TNF α expression in tumors responding to anthracycline-based chemotherapy. We have previously reported that anthracycline-based chemotherapy promotes the upregulation of T_H1- and T_H17-related genetic signatures in experimental tumors established in mice. The levels of mRNAs coding for surrogate markers of a T_H1 response (such as IFN γ and TNF α) were indeed increased upon the intratumoral administration of doxorubicin.¹² Along similar lines, we observed that the *Tnf* mRNA levels were markedly upregulated in MCA205 fibrosarcomas (established in C57BL/6 mice) 7 d after doxorubicin-based chemotherapy (Fig. 1A). A similar trend could be observed as early as 1 d after the intratumoral administration of doxorubicin, though the threshold for statistical significance was not reached at this time point (Fig. 1A).

The relative contribution of CD45 $^-$ (tumor) cells and CD45 $^+$ tumor-infiltrating leukocytes (TILs) to the production of TNF α triggered by anthracyclines was determined by performing quantitative RT-PCR on viable cells sorted by cytofluorometry upon immunostaining with a CD45-specific antibody (Fig. 1B). Although both CD45 $^-$ and CD45 $^+$ cells significantly upregulated TNF α at the transcriptional level as early as 1 d after the administration of doxorubicin, on a per-cell basis *Tnf* mRNA levels were approximately 400-fold higher in TILs than in cancer cells

(Fig. 1C). Thus, taking into consideration the relative abundance of CD45 $^+$ vs. CD45 $^-$ cells in the tumor microenvironment, TILs appear to constitute the predominant source of TNF α in established MCA205 fibrosarcomas responding to doxorubicin. Of note, 4 d after chemotherapy, CD45 $^+$ (but not CD45 $^-$) cells still exhibited increased *Tnf* mRNA levels as compared with their CD45 $^+$ (or CD45 $^-$) counterparts obtained from PBS-treated tumors (Fig. 1C). The production of TNF α by TILs exposed to doxorubicin in vivo was temporally coincident with the early influx of inflammatory myeloid cells triggered by immunogenic chemotherapy.¹¹ We therefore compared *Tnf* mRNA levels in several CD11b $^+$ myeloid cell subpopulations including: Ly6C^{hi} inflammatory monocytes, Ly6C^{low} cells and Ly6G $^+$ neutrophils (Fig. 1D). Interestingly, at two early time points (1 and 3 days post-chemotherapy), the intratumoral administration of doxorubicin significantly increased *Tnf* expression by tumor-infiltrating CD11b $^+$ Ly6C^{hi} cells, which we have recently shown to operate as antigen-presenting cells (APCs) in situ,¹¹ but not by CD11b $^+$ Ly6C^{low} cells (Fig. 1E). In this setting, Ly6G $^+$ neutrophils exhibited a modest (yet statistically significant) increase in *Tnf* mRNA levels 1 d, but not 3 d, after immunogenic chemotherapy (Fig. 1E).

Blocking the TNF α system fails to interfere with the recruitment of APCs and their capacity to take up tumor-associated antigens, yet hampers APC maturation. Immunogenic chemotherapies elicit the efficient presentation of tumor-associated antigens, in turn driving potent cytotoxic T-lymphocyte (CTL) responses. To analyze the role of TNF α during antigen presentation, we took advantage of murine CT26 colorectal carcinoma cells engineered to express an eGFP variant that carries consensus sequences for myristoylation plus palmitoylation (MyrPalm-mEGFP), and hence localizes to the inner leaflet of the plasma membrane.²³ Thus, we inoculated MyrPalm-mEGFP-expressing CT26 cells in BALB/c mice (allowing us to track the uptake of tumor-associated antigens) and—once neoplastic lesions were established—treated them with a single intratumoral injection of PBS (control conditions) or doxorubicin. In this setting, anthracycline-based chemotherapy enhanced antigen uptake by TILs, an effect that was well pronounced 36 h upon the administration of doxorubicin and was not influenced by the co-administration of etanercept (Fig. 2A), a soluble TNF α decoy molecule (constituted by the TNF α receptor fused to an IgG1 antibody) currently employed for the treatment of several autoimmune diseases.^{24,25} Along similar lines, etanercept failed to block the recruitment into the tumor bed of CD11b $^+$ Ly6C^{hi} cells (Fig. 2B), which are critical for the presentation of tumor-associated antigens in the course of chemotherapy-elicited immune responses.¹¹ TNF α has been reported to operate as a maturation-promoting factor for several human and murine cell types, including DCs.²⁶ In line with this notion, the administration of etanercept along with anthracycline-based chemotherapy inhibited the maturation of CD11c $^+$ as well as CD11b $^+$ Ly6C^{hi} cells, as evaluated by the expression on their surface of MHC Class II molecules (Fig. 2C and D). Taken together, these observations suggest that TNF α influences neither the recruitment of APCs to anthracycline-treated tumors nor the ability of these cells to engulf tumor-associated antigens, yet it facilitates APC maturation in an autocrine or paracrine manner.

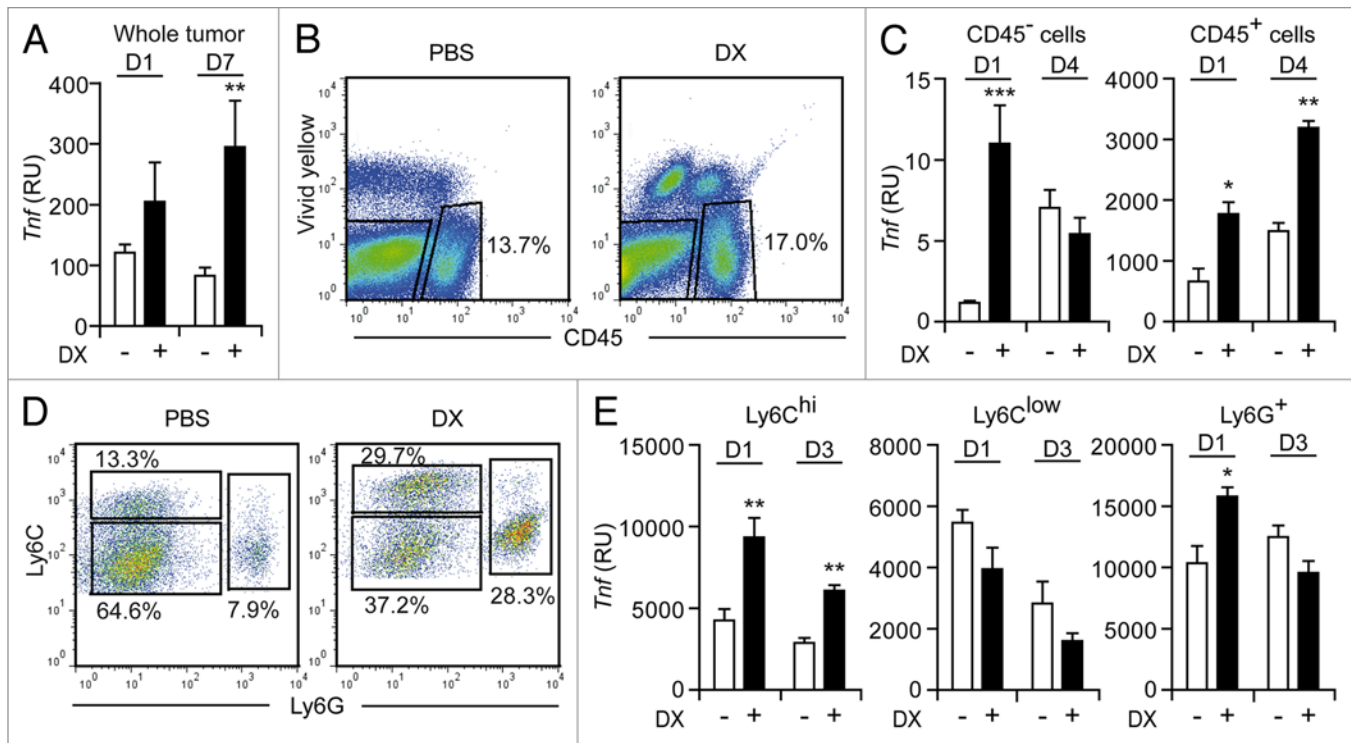


Figure 1. Characterization of TNF α production in the tumor microenvironment after immunogenic chemotherapy. (A–E) C57BL/6 mice bearing MCA205 fibrosarcomas (tumor surface 25–45 mm²) were treated with doxorubicin (DX) or an equivalent volume of PBS, as a single intratumoral injection (day 0). (A) Total RNA was extracted from neoplastic lesions collected at day 1 and day 7, and *Tnf* expression levels were assessed by quantitative RT-PCR. (B–E) As an alternative, tumors were harvested on the indicated day, dissociated into single-cell suspensions and stained with either a CD45-specific (B) or with CD11b-, Ly6C- and Ly6G-targeting antibodies (D). Thereafter, *Tnf* expression levels were specifically determined among CD45⁻ (C), CD45⁺ (C), CD11b⁺Ly6G⁻Ly6C^{hi} (E), CD11b⁺Ly6G⁻Ly6C^{low} (E) and CD11b⁺Ly6G⁺ (E) cells. In (B) and (D), numbers indicate the percentage of cells found in the corresponding gate. Quantitative data on *Tnf* expression are expressed as relative units upon normalization to *Ppia* expression levels (RU, means \pm SEM; n = 3–8 mice/group). *p < 0.05, **p < 0.01, ***p < 0.001; (unpaired, two-tailed Student's t-test), as compared with the same cell population isolated on the same day from PBS-treated tumors.

Normal antineoplastic profile of anthracyclines in spite of TNF α blockade. MCA205 fibrosarcomas grew in wild-type and *Tnf*^{-/-} C57BL/6 mice with virtually overlapping kinetics, and anthracycline-based chemotherapy completely retained its efficacy in the absence of host-derived TNF α (Fig. 3A). Along similar lines, the neutralization of TNF α with etanercept shortly before and continuously after chemotherapy failed to significantly alter the therapeutic efficacy of doxorubicin against MCA205 fibrosarcomas growing in C57BL/6 mice (Fig. 3B). Similar results were obtained when the TNF α system was blocked by the administration of a TNF α -neutralizing antibody. In particular, F244 sarcomas developing in 129/Sv mice as well as H2N100 mammary carcinomas growing in BALB/c mice responded to doxorubicin irrespective of the co-administration of the TNF α -targeting antibody TN3–19.12 (Fig. 3C and D). These findings indicate that TNF α does not influence the responsiveness of tumor-bearing mice to immunogenic chemotherapy.

Concluding remarks. Here, we present unambiguous evidence indicating that TNF α is dispensable for the therapeutic efficacy of anthracyclines in mice. Indeed, we observed that the blockade of the TNF α system (by means of three different approaches) fails to affect the antineoplastic effects of the prototypic anthracycline doxorubicin in three distinct murine tumor models.

The role of TNF α in cancer immunosurveillance has been the subject of an intense debate. Thus, *Tnf*^{-/-} mice develop methylcholanthrene (MCA)-induced fibrosarcoma more frequently than their wild-type counterparts.²⁷ Conversely, *Tnf*^{-/-} mice are protected from the combined carcinogenic effects of the DNA-damaging agent 7,12-dimethylbenz(a)anthracene (DMBA) and 12-O-tetradecanoylphorbol-13-acetate (TPA).²⁸ This apparent discrepancy may reflect the complex biology of carcinogenesis, in which TNF α -driven inflammation and immunosurveillance play antagonist roles.²⁹

The implication of TNF α in anticancer therapy-elicited immune responses also exhibits a considerable degree of context dependency. In a murine model of Simian virus 40 large T antigen (Tag)-driven insulinoma, the adoptive transfer of Tag-specific T_H1 cells producing both IFN γ and TNF α has been shown to promote senescence in a TNF α receptor 1 (TNFR1)-dependent fashion.²¹ Along similar lines, insulinoma cells exposed in vitro to IFN γ and TNF α underwent an irreversible cell cycle arrest that was accompanied by several epigenetic and lysosomal changes associated with cell senescence.²¹ Furthermore, TNF α has been shown to be required for the rejection of MC57 fibrosarcoma cells by syngeneic mice previously immunized with irradiated cells of the same type.³⁰ Conversely, here we demonstrate that

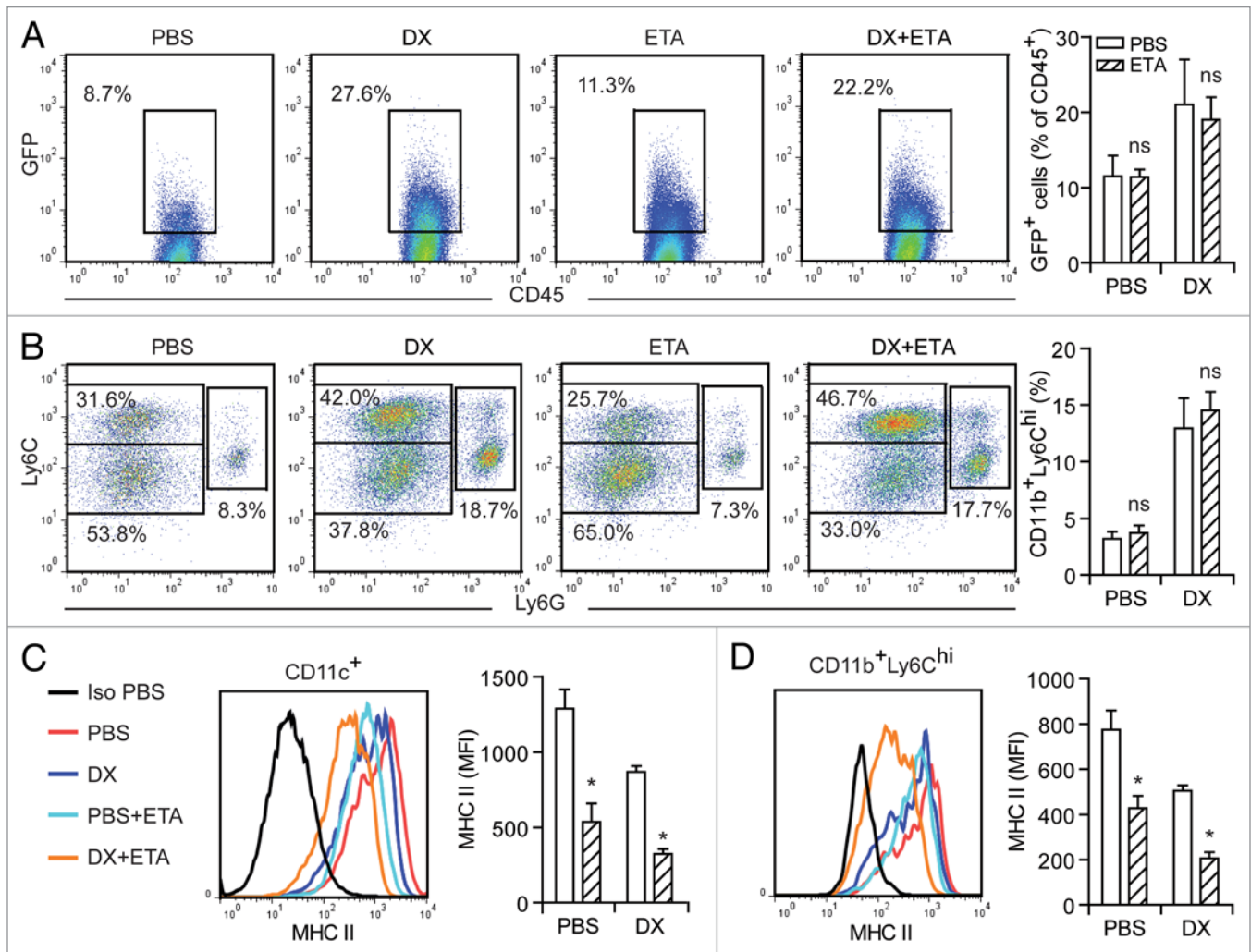


Figure 2. Role of TNF α in the anthracycline-mediated recruitment, functional activation and maturation of antigen-presenting cells. (A–D) BALB/c mice harboring MyrPalm-mEGFP-expressing CT26 colon carcinomas (tumor surface 25–45 mm²) were treated with doxorubicin (DX) or an equivalent volume of PBS, as a single intratumoral injection (day 0). On the same day, a fraction of mice was initiated on a course of intraperitoneal etanercept (ETA). On day 3, tumors were harvested, dissociated into single-cell suspensions and stained with either a CD45-specific (A) or with CD11b-, CD11c-, Ly6C- and Ly6G-targeting antibodies, alone (B) or combined with antibodies specific for MHC Class II molecules (C and D). (A) reports representative dot plots and quantitative data on the percentage of CD45⁺ tumor-infiltrating leukocytes (TILs) emitting a GFP-associated fluorescence (indicative of the uptake of tumor-associated antigens). In (B), representative dot plots and quantitative data on the anthracycline-elicited recruitment of CD11b⁺Ly6G⁻Ly6C^{hi}, CD11b⁺Ly6G⁻Ly6C^{low} and CD11b⁺Ly6G⁺ cells into the tumor bed are illustrated. In (A) and (B), numbers indicate the percentage of cells found in the corresponding gate. (C) and (D) depict representative expression profiles of MHC Class II molecules among CD11c⁺ and CD11b⁺Ly6G⁻Ly6C^{hi} cells, respectively, and the corresponding quantitative data (means \pm SEM, n = 3). ns, non-significant; *p < 0.05, (unpaired, two-tailed Student's t-test), as compared with the same cell population isolated from tumors treated with PBS or DX only (in the absence of ETA).

TNF α does not alter the antineoplastic effects of immunogenic chemotherapy. In this setting, a great role can be played by the specificity of distinct tumor models. Indeed, while some tumors are preferentially controlled by innate immune effectors, others are mainly held in check by CD8⁺ or CD4⁺ T cells.^{31–33}

Of note, Frances Balkwill's group has recently demonstrated not only that TNF α is required for the accumulation of F4/80⁺ macrophages into intraperitoneal ovarian cancer xenografts, but also that there is a correlation between an elevated expression of genes coding for TNF α -related cytokines and the amount of CD68⁺ cells infiltrating high-grade serous ovarian cancer biopsies.³⁴ We did not investigate directly whether TNF α is

required for the anthracycline-driven recruitment of F4/80⁺ macrophages into MCA205 fibrosarcomas, but neither the accumulation of bulk CD11b⁺ myeloid cells nor that of inflammatory monocytes (which can differentiate into macrophages or DCs) was hampered by TNF α -blocking maneuvers in our system. Moreover, we have previously shown that the administration of clodronate-loaded liposomes (which efficiently depletes the splenic monocytic/macrophagic cell compartment) fails to affect the antineoplastic potential of anthracyclines,¹¹ arguing against a prominent role for F4/80⁺ macrophages in the elicitation of therapeutic immune responses by immunogenic chemotherapy.

Anthracycline-elicited anticancer immune responses are mostly mediated by CD8⁺ T cells, which must produce IFN γ to control tumor growth.^{35,36} How IFN γ produced by CD8⁺ T cells exerts antineoplastic effects is currently unknown. Tumors engrafted in mice lacking perforin, a key effector molecule of CD8⁺ T cells, respond normally to anthracyclines,³⁷ suggesting that classical cytotoxic mechanisms are not involved in the antineoplastic effects of immunogenic chemotherapy. As a possibility, IFN γ -producing CD8⁺ cells may inhibit tumor growth indirectly, by destroying the tumor vasculature and/or blocking neo-angiogenesis.^{38–40} Alternatively, such cells may activate sessile macrophages to destroy malignant cells.⁴¹ The exact mechanisms through which terminal immune effectors control tumor growth in response to chemotherapy require further exploration.

Materials and Methods

Unless otherwise indicated, chemicals were purchased from Sigma-Aldrich and cell culture products from Gibco-Life Technologies.

Cell lines. Mouse fibrosarcoma MCA205 cells (H-2^b), mammary carcinoma H2N100 cells (H-2^d), sarcoma F244 cells (derived from 129/Sv mice)^{42,43} and MyrPalm-mEGFP-expressing colon carcinoma CT26 cells (H-2^d),⁴⁴ were cultured in GlutaMAX™-I-containing RPMI 1640 Medium supplemented with 10% fetal bovine serum (FBS), 1 mM sodium pyruvate, 10 mM HEPES buffer, 100 units/mL penicillin G sodium and 100 μ g/mL streptomycin sulfate.

Animal experiments. Female wild-type and *Tnf*^{-/-} C57BL/6 (H-2^b),⁴⁵ BALB/c (H-2^d) and 129/Sv mice were housed in controlled, pathogen-free conditions at either the Institut Gustave Roussy (IGR) or the Peter MacCallum Cancer Centre. Mice were maintained under controlled light cycle (12 h lights ON, 12 h lights OFF), allowed food and water ad libitum, and were invariably used for experiments between 7 and 14 weeks of age. All animal experiments complied with the Federation of European Laboratory Animal Science Association (FELASA) guidelines and were approved either by the IGR Ethics Committee (CEEA IRCIV/IGR n°26, registered with the French Ministry of Research) or by the Peter MacCallum Animal Experimentation Ethics Committee.

Tumor chemotherapy models. For the establishment of syngeneic solid tumors, wild-type and *Tnf*^{-/-} C57BL/6 mice were

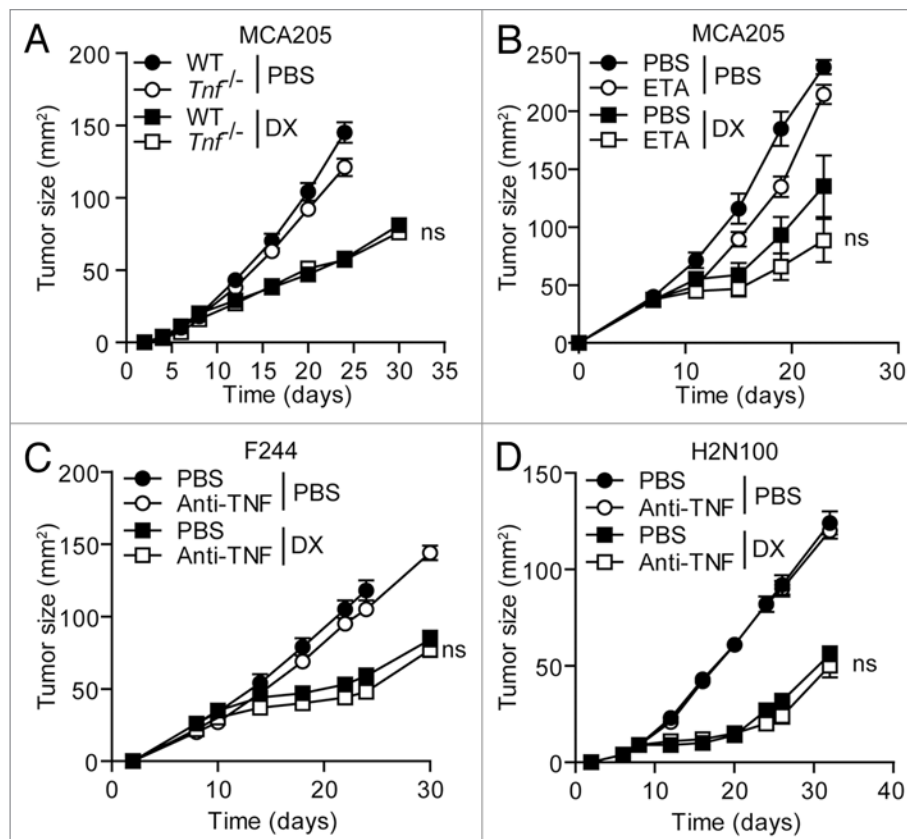


Figure 3. Influence of TNF α on the therapeutic effects of anthracyclines. (A–D) *Tnf*^{-/-} (A) or wild type (WT) (A and B) C57BL/6 mice carrying MCA205 fibrosarcomas (tumor surface 25–45 mm²) were treated with doxorubicin (DX) or an equivalent volume of PBS, as a single intratumoral injection (day 8). On the same day, some of the mice were initiated on a course of intraperitoneal etanercept (ETA), for 4 consecutive days. Alternatively, WT 129/Sv mice bearing established F244 sarcomas (C) or BALB/c mice harboring H2N100 mammary carcinomas (D) received DX or an equivalent volume of PBS, as a single intratumoral injection, on day 10 or 8 after the inoculation of tumor cells, respectively. One day prior to chemotherapy, a fraction of mice was initiated on a course of TNF α -neutralizing antibodies (or isotype-matched control antibodies), which were given i.v. on days 9, 10, 14, 17 and 21 (C) or on day 7, 8, 12, 15, 19 and 22 (D). Tumor area was then monitored routinely by means of a common caliper. Results are expressed as means \pm SEM (n = 5 mice/group). These experiments were repeated independently twice, yielding comparable results. ns, nonsignificant; (Mann–Whitney U test), as compared with DX-treated WT mice.

inoculated with 8×10^5 MCA205 cells, BALB/c mice with 5×10^5 H2N100 or with 1×10^6 MyrPalm-mEGFP-expressing CT26 cells and 129/Sv mice with 1×10^6 F244 cells s.c. The size of neoplastic lesions was routinely monitored by means of a common caliper, and when tumor surface reached 25–45 mm² (normally 7–10 d after inoculation, depending on the model), mice received either 2.9 mg/Kg doxorubicin i.t. (as a single injection in 50 μ L PBS) or an equivalent volume of solvent. When appropriate, mice also received 50 mg/Kg etanercept or an equivalent volume of solvent i.p. on 4 consecutive days, starting from the day of chemotherapy. Alternatively, mice received 12.5 mg/kg anti-TNF antibodies (clone TN3–19.2) or an equivalent dose of isotype-matched control antibodies i.v. 1 d before chemotherapy, together with chemotherapy as well as 4, 7, 11 and 14 d later.

Flow cytometry. Freshly recovered tumors were cut into small pieces in serum-free GlutaMAX™-I-containing RPMI 1640

medium supplemented with 0.4 Wünsch U/mL Liberase TL (Roche) and 200 U/mL DNase I (Calbiochem) and then transferred to 12-well culture plates and placed at 37°C for 30 min to promote enzymatic dissociation. Single-cell suspensions were then obtained by filtering through a 70 µm cell strainer. For cell-surface immunostaining, cells were incubated with the following primary antibodies (final concentration = 2 µg/mL; staining temperature = 4°C; staining time = 25 min): anti-CD45.2 (104), anti-CD11b (M1/70), anti-CD11c (N418), anti-Ly6C (AL-21) all from BD PharMingen; anti-I-A/I-E (M5/114.15.2), anti-Ly6G (1A8) from BioLegend. To identify live cells, the LIVE/DEAD® Fixable Yellow Dead Cell Stain Kit (Molecular Probes-Life Technologies) was employed. Cytofluorometric assessments and cell sorting were performed on a LSR II flow cytometer or on a FACSAria™ cell sorter (both from Becton Dickinson) and cytofluorometric data were analyzed by the FlowJo software (Tree Star, Inc.).

Quantitative RT-PCR. Total RNA was obtained from whole neoplastic lesions by means of the Maxwell® 16 Tissue LEV Total RNA Purification Kit (Promega), while total RNA was extracted from FACS-sorted cells with the RNeasy Micro Kit (Qiagen), following the manufacturer's instructions. Up to 2 µg total RNA from each sample was then reverse transcribed by means of the SuperScript III Reverse Transcriptase (Life Technologies), random primers (Promega) and the Deoxynucleoside Triphosphate Set, PCR grade (Roche), in the presence of the RNaseOUT™ recombinant ribonuclease inhibitor (Life Technologies). *Tnf* expression levels were quantified by means of a dedicated TaqMan® Gene Expression Assay kit (Applied Biosystems), using the Universal Master Mix II (with UNG) (Life Technologies) and a StepOnePlus™ Real-Time PCR System (Applied Biosystems). Quantitative RT-PCR data were invariably normalized to the expression levels of the housekeeping gene peptidylprolyl isomerase A (*Ppia*) by means of the 2^{-ΔC_t} method.

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Statistical analyses. Unless otherwise indicated, results are expressed as means ± SEM or means ± SD, as appropriate. Representative data from at least two independent experiments are shown. Unpaired, two-tailed Student's t-tests were used to compare normally distributed data, while non-parametric Mann-Whitney U tests were employed for tumor growth curves. Statistical analyses were performed by means of Prism 5 (GraphPad software), p values < 0.05 were considered as statistically significant.

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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