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## Does Opioid Pain Medication Use Affect the Outcome of Patients with Lumbar Disk Herniation?

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### Abstract

**Study Design**—Subgroup analysis of prospective, randomized cohort

**Objective**—To review the results of patients who received opioid pain medications during treatment compared to patients who did not receive opioid medications.

**Summary of Background Data**—The SPORT trial is a prospective, multicenter study of surgical treatment versus nonoperative treatment for lumbar intervertebral disk herniation (IDH).

**Methods**—The study population includes patients enrolled in SPORT for treatment of IDH in combined randomized and observational cohorts. Patients who received opioid medications at baseline (Opioid) were compared to those who did not. (No-Opioid)

**Results**—There were 520 patients in the Non-Opioid group and 542 patients in the Opioid group. Among the opioid medication group there were significantly ( $p < 0.001$ ) worse baseline scores in primary and secondary outcome measures. There was an increased percentage of patients in the opioid medication group with the perception of worsening symptoms and neurological deficit ( $p < 0.001$ ). A higher percentage of the opioid patients received surgery ( $p < 0.001$ )

At four years follow-up, there were no significant differences in primary or secondary outcome measures or treatment effect of surgery between opioid and non-opioid medication patients. Opioid medications were associated with increased crossover to surgical treatment ( $p=0.005$ ) and decreased surgical avoidance. ( $p=0.01$ )The incidence of opioid use at four years was 16% among patients who were using opioids at baseline and 5% among patients who were not using opioids at baseline.

**Conclusion**—Patients who were treated with opioids had significantly worse baseline pain and quality of life. At final follow-up, there was no long term difference in outcome associated with opioid pain medication use. Opioid medications were not associated with surgical avoidance. The majority of patients who use opioids during the study did not continue usage at four years.

### Keywords

Disc herniation; lumbar; opioid; Outcomes

### Introduction

The SPORT trial is a prospective, multicenter trial of surgical versus nonsurgical treatment of common lumbar degenerative conditions. Treatment options for lumbar intervertebral disk herniation includes medication, therapy, nonsurgical interventions, and/or surgery. Medical management of the symptoms of intervertebral disk herniation can include anti-inflammatory medications, muscle relaxants, or opioid medications.

First line medications recommended in the treatment of acute lumbar pain include acetaminophen and NSAIDs. Other options include muscle relaxants, membrane stabilizers, antidepressants and topical analgesics and counter irritants. Opioid analgesics are indicated in patients who have pain despite the aforementioned options that is severe and disabling.

Opioid medications are quite commonly used for treatment of both acute and chronic pain, despite the side effects. Acetaminophen/hydrocodone was the most prescribed drug in the United States in 2005. There have been large increases in the use of opioid medications in the United States. [1] Opioid use has increased significantly in the past decade and the cost of prescription opioid was estimated to be up to 8.6 billion dollars in 2001.[2, 3] Potential benefits of opioid use in patients with severe unremitting pain include decreased pain levels, functional improvement, improved mood and social function.

However, there are significant concerns regarding dangers of opioids resulting from side effects, abuse, diversion to individuals who are not patients, and overdose. Also, the use of opioids on an ongoing basis would be expected to lead to tolerance and escalating doses of medication. Opioid- induced hyperalgesia [4] may result in a lowering of the pain threshold with escalating pain in the face of increasing opioid doses. [5] There are other serious medical complications of opioid use [6] including altered mental status, cognitive impairment, delirium, gastrointestinal, osteoporosis,[7] endocrine side effects,[8] and genitourinary side effects. Diversion of opioid medications is also a significant concern. [9] Nonmedical use of acetaminophen/hydrocodone and oxycodone was admitted by 9.5% and 5.5% of 12<sup>th</sup> graders, respectively, in 2005. Hospitalizations for poisoning by prescription opioids, sedatives, and tranquilizers increased 65% from 1999 to 2006.[10] Opioid analgesic poisoning resulted in more than 5,000 deaths in 2002, which was more than either heroin or cocaine. [11] Unintentional poisoning was second only to motor vehicle crashes as a cause of unintentional death in persons aged 35 to 54 years in 2005.[12] The rate of iatrogenic addiction is estimated at 0.27% in a meta-analysis of opioids for noncancer pain. [13] Despite these concerns, the incidence of opiate medication use has increased significantly compared to other nonpain-related medications in the Medicare population. [14]

The SPORT is a prospective study comparing surgical versus nonsurgical treatment of common lumbar degenerative conditions. In the SPORT lumbar disk herniation study, approximately 40–49% of patients were treated with opioid analgesics during the pre-enrollment period and 35–46% during the treatment period. [15–18] The purpose of this study was to determine the effect of opioid medication use on the outcome of surgical and nonsurgical treatment of lumbar disk herniation. Theoretically, palliation of pain with opioid medications may maximize participation in rehabilitation and nonoperative treatment while allowing for the favorable natural history of radiculopathy due to lumbar disc herniation to proceed, potentially leading to surgical avoidance. It may be expected that opioid medication treatment will result in improvement in pain control while the patient is being treated with the drug. However, in order to offset the risks of opioid use including dependence, hyperalgesia,[4] daytime sleepiness and lost productivity [19], and overdose [12], and incident long term use in 7% of opioid naïve patients at 2 years [20], it may be argued that a long term benefit in outcome should be present to offset the possible long term risks.

The specific questions to be answered in this study include: (1) Is there a sustained improvement in outcome associated with opioid pain medication use in surgically or nonsurgically treated patients?; (2) Are there more complications in surgically treated patients who are exposed to preoperative opioids?; (3) Does use of opioid pain medications lead to surgical avoidance?

## Methods

### Study Design

SPORT was conducted at thirteen multidisciplinary spine practices in eleven states across the United States. The details of methods have been reported previously. [15–18]

### Patients

The human subject committees at each center approved the standardized protocol. Inclusion criteria for the study were patients over eighteen years of age with six weeks of radicular pain with positive nerve root tension sign and/or neurological deficit. The diagnosis of radiculopathy was confirmed by cross-sectional images that demonstrated intervertebral disc herniation at the level that corresponds to symptoms. Exclusion criteria included caudaequina syndrome, progressive neurologic deficit, malignancy, scoliosis of  $>15^\circ$ , herniation cephalad to L2, prior back surgery, and other established contra indications to elective surgery. Patients were offered participation in either a randomized or observational cohort. Because of extensive crossover in the randomized cohort and similar baseline characteristics and outcome between randomized and observational patients when analyzed by treatment, the two groups were combined in this “as-treated” analysis. Patients were considered “surgically assigned” if they were randomized to surgery or chose surgical treatment in the observational cohort.

### Study Interventions

The surgery patients were designated to receive lumbar discectomy. The non operative protocol was “usual recommended care,” which included, at least, active physical therapy, education and counseling with instructions regarding home exercise, non-steroidal anti-inflammatory drugs, or opioid pain medications.

### Study Measures

Data used in this study was obtained prospectively, and reviewed retrospectively from patient questionnaires completed at baseline, six weeks, three months, six months, one year,

two years, three years, and four years following surgery. Primary outcome measures included the bodily pain (BP), physical function (PF), and mental component summary (MCS) domains of the SF-36 and the American Academy of Orthopaedic Surgeons version of the Oswestry Disability Index (ODI). Secondary measures included patient self-reported improvement, work status, and satisfaction with current symptoms. Symptom severity was measured by the low back pain bothersomeness scale (LBP), sciatica bothersomeness (SBI) and leg pain bothersomeness (LPI) indices. The SF-36 scales and the ODI range from 0 to 100, the SBI from 0 to 24, and the LBP scale from 0 to 6. Higher scores indicate more severe symptoms on the ODI, SBI, and low back pain bothersomeness scale, whereas higher scores indicate less severe symptoms on the SF-36.

## Comparison

The change in primary and secondary outcome measures in patients who received opioid pain medication at enrollment in SPORT (Opioid) was compared to patients who did not receive opioid medication prior to enrollment in SPORT (Non-Opioid) (Figure 1). Opioid pain medications were defined as any medication containing hydrocodone, oxycodone, oxycontin, morphine, fentanyl, or hydromorphone. Tramadol and propoxyphene were excluded.

## Statistical Analysis

Baseline characteristics between the groups were compared using a chi-square test for categorical variables and a t-test for continuous variables. Outcomes were analyzed using longitudinal mixed effect models with random individual effect to account for repeated individual observations over time. Covariate adjustment predicted missing data, treatment received, baseline differences, and outcomes included in the model. In addition, outcome, center, age and gender were included in all longitudinal outcome models. All analyses are as-treated, and treatment is considered a time-varying covariate. Therefore, patients categorized at each time-point either received or did not receive surgical treatment. Follow-up times were measured from the beginning of treatment, and baseline covariates were updated at the time of surgery. All observations prior to surgery are considered in the non-operative estimate with follow-up time measured from enrollment. All observations following surgery contribute to the surgical estimate with follow-up time measured from time of surgery. Secondary and binary outcomes were analyzed using generalized estimation equations (GEE) that assumed a compound symmetry working correlation structure. Outcome comparisons between the opioid and No-opioid groups are made at each time-point with multiple degrees of freedom using Wald tests. Across the four-year follow-up, overall comparisons of area-under-the-curve were made by using a Wald test. Analyses were performed with the SAS PROC MIXED and PROC GENMOD procedures (SAS version 9.2, Windows ZP Pro, Cary, NC). Statistical significance is defined as  $p < 0.05$  based on a two-sided hypothesis with no adjustment made for multiple comparisons.

## Results

There were 520 patients in the Non-Opioid group and 542 patients in the Opioid group (Figure 1). Demographic characteristics are displayed in Table 1. At baseline, there was significantly increased disability (18% vs 9%  $p < 0.001$ ), compensation claims (Opioid 24% vs Non-Opioid 13%,  $p < 0.001$ ), and smoking (29% vs 19%,  $p < 0.001$ ) among the opioid medication patients (542/1244 IDH patients). There was a lower rate of college education in the Opioid patients (Opioid 71% vs Non-Opioid 78%,  $p = 0.009$ ). There was a difference in body mass index in the Opioid group (Opioid 28.6 vs Non-Opioid 27.4,  $p < 0.001$ ) and Other unlisted comorbidities (Opioid 50% vs Non-Opioid 40%,  $p = 0.001$ ).

Differences between groups in primary and secondary outcome measures are reviewed in Table 1. In the Opioid group, there was significantly worse baseline SF36 BP (Opioid 19.5 vs Non-Opioid 32.8,  $p<0.001$ ), SF36 PF (Opioid 28.9 vs Non-Opioid 44.6,  $p<0.001$ ), SF36 MCS (Opioid 43 vs Non-Opioid 46.6,  $p<0.001$ ), SF36 PCS (Opioid 27.8 vs Non-Opioid 32.6,  $p<0.001$ ), ODI (Opioid 58.5 vs Non-Opioid 43,  $p<0.001$ ), Sciatica Frequency Index (Opioid 17.4 vs Non-Opioid 14.5,  $p<0.001$ ), Sciatica Bothersomeness Index (Opioid 17.1 vs Non-Opioid 14.4,  $p<0.001$ ), Back Pain Bothersomeness Index (Opioid 4.2 vs Non-Opioid 3.7,  $p<0.001$ ), and percent dissatisfaction with current symptoms (Opioid 89% vs Non-Opioid 75%,  $p<0.001$ ). There was an increased percentage of patients in the Opioid medication group with the perception of worsening symptoms (Opioid 46% vs Non-Opioid 34%,  $p<0.001$ ). There was an increased preference for surgery among the Opioid patients (Opioid 60% vs Non-Opioid 42%,  $p<0.001$ ).

Baseline differences in symptom severity and clinical presentation are reviewed in Table 1. There was an increased percentage of any neurological deficit (Opioid 81% vs Non-Opioid 70%,  $p<0.001$ ), motor weakness (Opioid 46% vs Non-Opioid 38%,  $p<0.008$ ), decreased sensation (Opioid 57% vs Non-Opioid 45%,  $p<0.001$ ), protruding herniation (Opioid 23% vs Non-Opioid 31%  $p=0.002$ ) and received surgery in the opioid medication group.

Operative treatments, complications, and events are compared between Opioid and Non-Opioid groups in Table 2. There were no significant differences in procedure details discectomy level between groups. There was an increased percentage of L23 Discectomy in the opioid group (Opioid 2% vs Non-Opioid 0%,  $p=0.048$ ). There were no significant differences in operative time, blood loss, blood replacement, intraoperative replacement, post operative transfusion, length of stay, intraoperative complications (including dural tear), length of stay, mortality, or postoperative complications (hematoma, infection), or additional surgeries between groups..

Adjusted changes in outcome measures after surgery are displayed in Table 3. At four years follow-up, there were no significant differences in primary or secondary outcome measures or treatment effect of surgery between Opioid and Non-Opioid medication patients (Table 3). Averaged over four years, there was no significant difference in primary or secondary outcome measures or treatment effect of surgery between groups (Table 4, Figure 2).

Crossover between surgically and nonsurgically assigned/chosen Opioid patients is reported in Table 5. There was significantly less crossover from surgical to nonsurgical treatment in the Opioid patients versus the non-Opioid patients (Had-Opioids 11% vs Non-Opioids 19%,  $p=0.0108$ ). There was significantly increased crossover from nonsurgical treatment to surgery in the Opioid pain medication patients (Had-Opioids 45% vs Non-Opioids 31%,  $p=0.0045$ ).

The incidence of opioid use at four years among patients who were using opioids at baseline ( $n=356$ ) was 16% ( $n=56$ ) at four years (Figure 3, Appendix I). Of the four year followup data available, 5% ( $n=18$ ) of the non-opioid users at baseline ( $n=372$ ) were using opioids at four years (Appendix II).

## Discussion

The results from our study indicate that there was no difference in outcome at four year followup associated with the usage of opioid pain medications despite the fact that the patients who were using opioids had a significantly worse baseline status. Opioid medications were associated with increased crossover to surgical treatment, although this may be confounded by increased preference for surgery among the Opioid patients at baseline.

Previous SPORT studies have established predictors for long term opioid use among patients. [20] Among participants who admitted opioid use at baseline, 25% reported opioid use at 12 months and 21% reported continued use at 24 months. [20] Of the opioid naïve participants at baseline, 8% reported incident use at 12 months and 7% at 24 months. [20] In this study, the long term (four year) opioid use was 16% among patients using opioids at baseline and 5% among opioid naïve patients. In addition to the incidence of long term opioid use, our aim in this study was to determine the effect of opioids upon change in outcome.

Our results indicate no significant improvement in outcome associated with opioid medications in the treatment of lumbar disk herniation. These results differ from the previous literature on opioid medication in patients with spinal disorders that demonstrates limited efficacy, erratic practice patterns, and poor results. [21] [22] [23] Opioid treatment for more than seven days after a work related back injury has been associated with increased risk of chronic disability and poor long term results. [24] Between 5–25% of patients who are prescribed opioid medications for chronic low back pain have aberrant medication taking-behaviors. [21] Chronic opioid use before cervical arthrodesis has been found to be associated with continued opioid use after surgery and worse functional outcomes following anterior cervical discectomy and fusion. [25] Similar to the results of Khoromi et al [23], there was no improvement or worsening in outcome in this study associated with opioid use. Furthermore, indirect markers of opioid tolerance, such as length of stay, or general postoperative complications, were not different between opioid and non-opioid patients. Additionally, opioid medications did not affect the treatment effect of surgery, suggesting that surgical intervention is equally as effective in patients taking opioids as in those not taking opioids.

Despite using stronger pain medications, patients in this study who received opioids had significantly increased pain and worse quality of life at baseline. The baseline characteristics of these patients are consistent with previous studies that demonstrate increased baseline pain severity and symptom severity is associated with increased Opioid medication use. [26] [27] [28]. Previous studies have estimated that between 3.4% [26] and 42% [20] of spine patients are treated with opioids, although there is tremendous geographic [29] [30], racial [27], and specialty variation in opioid use. [31] There were significant demographic differences between the Opioid and Non-Opioid patient groups in our study suggesting increased disability, obesity, compensation claims, and smoking in the Opioid patients. Other studies have determined that demographic characteristics, including age, depression, personality disorder, and substance abuse, are more predictive of opioid medication use than pain intensity. [32]. From our data, nonsurgically treated patients who received opioid medications were more likely to ultimately undergo surgical treatment, suggesting that the opioid medications did not palliate the symptoms of lumbar disk herniation enough to avoid surgery. Other studies have also demonstrated an increased rate of surgery associated with opioid treatment for low back pain, although this may be confounded by the baseline increase in symptom severity. [33]

Strengths of this study include the large number of patients and followup interval. The strict inclusion criteria of the SPORT also contribute to a homogenous population of patients with lumbar disk herniation and concordant radiculopathy. Most of the previous studies focus on back pain, which is often multifactorial. [33] Therefore, the results of the present analysis may be more applicable to patients with the common clinical scenario of radiculopathy. The methodology of the SPORT study includes both surgically and nonsurgically treated patients and enables comparison of change in outcome in both groups. Previous studies have only included either surgically or nonsurgically treated patients. Weaknesses of this study include the heterogeneous group of medications included in the opioids and lack of specific dosages.

It is possible that there may be an effect associated with particular medications related to potency or dosage. However, the opioid medication usage is reflective of the variability in clinical practice during the enrollment period of the SPORT study. There was no prior specified subgroup analysis and the authors acknowledge that the opioid and non-opioid medication groups were not balanced at the outset. Opioid medication prescribing is a subjective decision based on numerous factors. As demonstrated in previous studies, opioid use was significantly associated with smoking and heavier body mass index. [27] Additionally, there was no psychological screening tools or opioid risk tools used in this study.

In conclusion, there was no improvement in outcome associated with the use of opioid pain medications in patients with lumbar disk herniation whether treated surgically or nonsurgically. There was also no long term worsening of outcome in patients who were treated with opioid medications and a small percentage of patients who are started on opioid medications will continue to use them four years after initiation. Patients who require opioid medications are more likely to ultimately require surgery. Further study is necessary to determine if the transient pain relief offsets the risks of opioid addiction and overdose since there is no long term benefit of opioid medications to patients.

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### Key Points

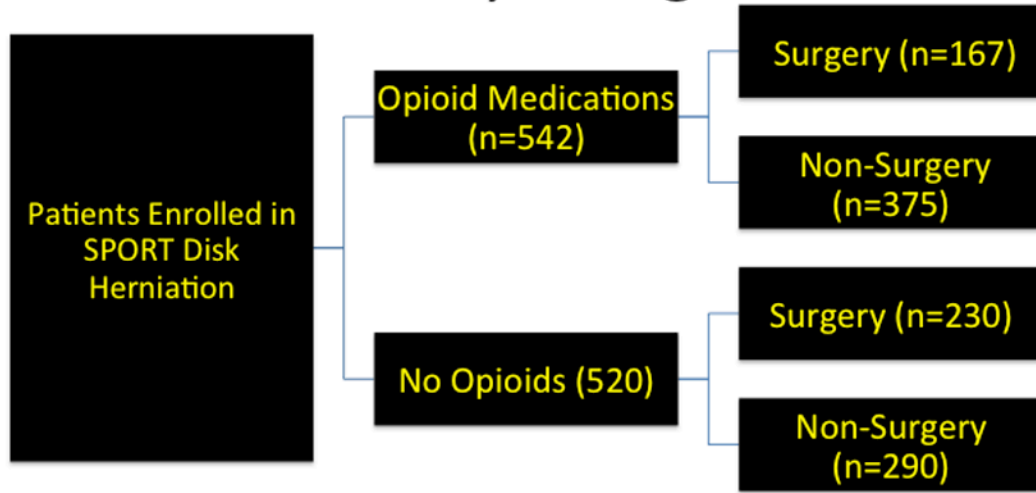
There were no significant differences in primary or secondary outcome measures or treatment effect of surgery between opioid and non-opioid medication patients.

Opioid medications were associated with increased crossover to surgical intervention.

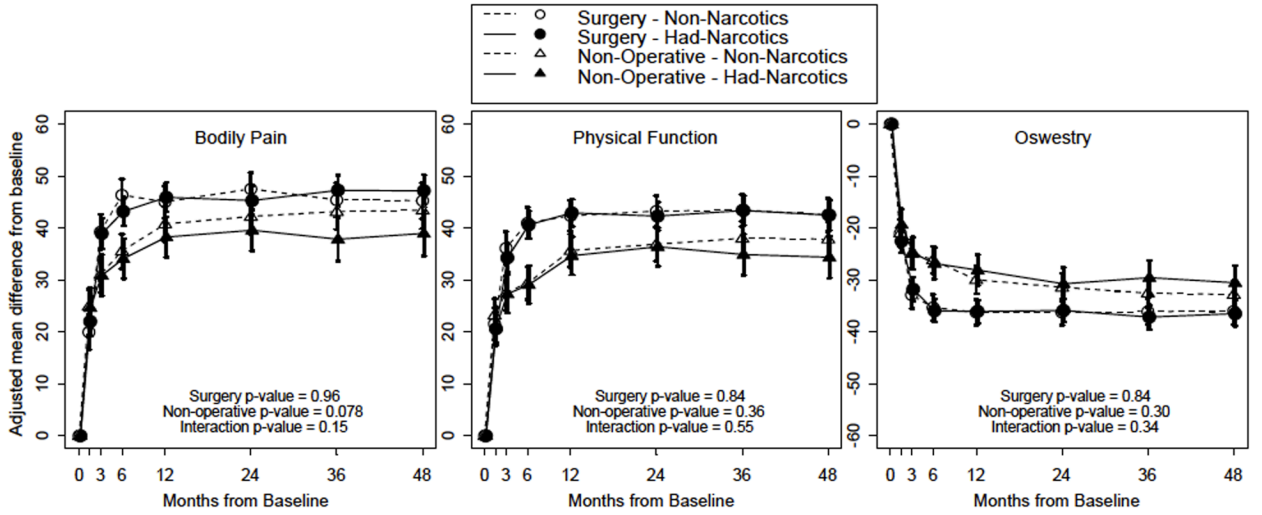
The incidence of opioid use at four years was 16% among patients who were using opioids at baseline and 5% among patients who were not using opioids at baseline.

Despite treatment with stronger pain medications, patients who were treated with opioids had significantly worse baseline pain and quality of life.

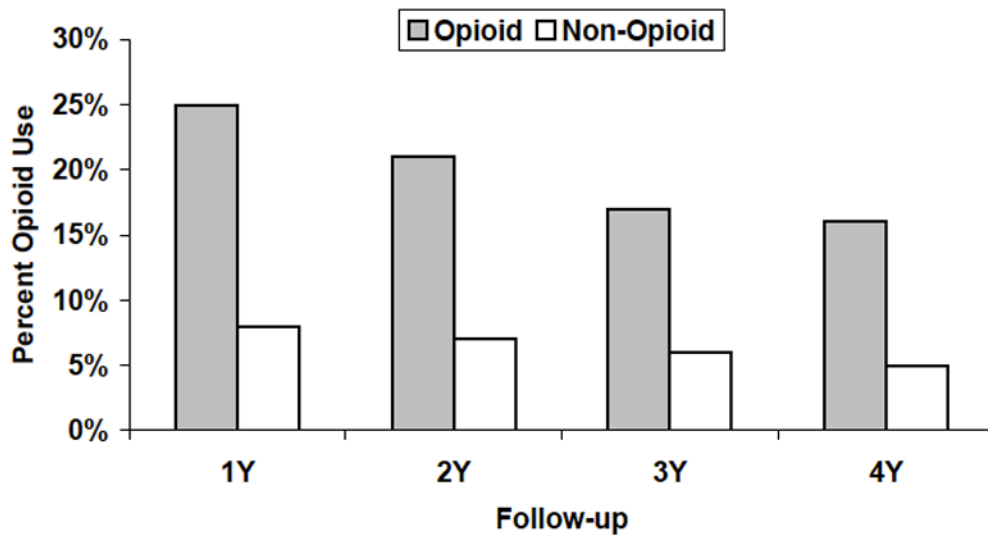
# Study Design



**Figure 1.** Diagram displaying the enrollment and study design.



**Figure 2.** Primary Outcomes over Time for Non-Narcotics and Had-Narcotics Use at Baseline. Surgery p-value compares Non-Narcotics to Had-Narcotics among surgery. Non-operative p-value compares Non-Narcotics to Had-Narcotics among non-operative. Interaction p-value compares treatment effect (surgery vs. non-operative) between Non-Narcotics and Had-Narcotics.\* P-values are time weighted average 4 years (Area Under Curve p-values).



Follow-up	1Y	2Y	3Y	4Y
Opioid	25%	21%	17%	16%
Non-Opioid	8%	7%	6%	5%

**Figure 3.**

Percentage of patients with long term Narcotic use at one, two, three, and four years. Opioid patient group were patients who were treated with opioid pain medications at the time of enrollment in the study. No-Opioid patients were not treated with opioid pain medications at time of enrollment in the study.

**Table 1**

Patient Baseline Demographic Characteristics, Comorbid Conditions, Clinical Findings, and Health Status Measures.

Characteristics IDH (RCT & OBS)	Non-Opioid (n=520)	Opioid (n=542)*	p-value
Mean Age (SD)	41.8 (11.5)	41.8 (10.9)	0.97
Female - no.(%)	212 (41%)	245 (45%)	0.16
Ethnicity: Not Hispanic †	497 (96%)	518 (96%)	0.88
Race - White †	446 (86%)	479 (88%)	0.24
Education - At least some college	406 (78%)	384 (71%)	0.009
Income - Under \$50,000	224 (43%)	252 (46%)	0.29
Marital Status - Married	366 (70%)	385 (71%)	0.87
Work Status			<0.001
Full or part time	352 (68%)	283 (52%)	
Disabled	49 (9%)	100 (18%)	
Other	119 (23%)	158 (29%)	
Compensation - Any ‡	66 (13%)	129 (24%)	<0.001
Mean Body Mass Index (BMI), (SD)§	27.4 (5.2)	28.6 (5.8)	<0.001
Smoker	99 (19%)	158 (29%)	<0.001
Comorbidities - no.(%)			
Depression	60 (12%)	72 (13%)	0.44
Joint Problem	95 (18%)	106 (20%)	0.65
Diabetes	19 (4%)	25 (5%)	0.53
Other ¶	208 (40%)	271 (50%)	0.001
Time since recent episode < 6 months	403 (78%)	431 (80%)	0.47
SF-36 scores, mean (SD) ††			
Bodily Pain (BP)	32.8 (21.1)	19.5 (15.1)	<0.001
Physical Functioning (PF)	44.6 (25)	28.9 (22.8)	<0.001
Mental Component Summary (MCS)	46.6 (11.5)	43 (11.3)	<0.001
Physical Component Summary (PCS)	32.6 (8.5)	27.8 (7.3)	<0.001
Oswestry (ODI) (SD) †††	43 (20.3)	58.5 (18.5)	<0.001
Sciatica Frequency Index (0–24) (SD) §§	14.5 (5.4)	17.4 (4.8)	<0.001
Sciatica Bothersome Index (0–24) (SD) §§	14.4 (5.2)	17.1 (4.8)	<0.001
Back Pain Bothersomeness (0–6)(SD) ¶¶	3.7 (1.9)	4.2 (1.9)	<0.001
Satisfaction with symptoms - very dissatisfied	391 (75%)	480 (89%)	<0.001
Patient self-assessed health trend - no.(%)			<0.001
Getting better	92 (18%)	64 (12%)	
Staying about the same	249 (48%)	222 (41%)	
Getting worse	178 (34%)	251 (46%)	

Characteristics IDH (RCT & OBS)	Non-Opioid (n=520)	Opioid (n=542)*	p-value
Treatment preference at baseline - no.(%)			<0.001
Preference for non-surg	209 (40%)	132 (24%)	
Not sure	93 (18%)	82 (15%)	
Preference for surgery	217 (42%)	326 (60%)	
Pain Radiation	506 (97%)	530 (98%)	0.76
Straight Leg Raise Test - Ipsilateral	311 (60%)	365 (67%)	0.013
Straight Leg Raise Test - Contralateral/Both	79 (15%)	90 (17%)	0.59
Any Neurological Deficit	366 (70%)	439 (81%)	<0.001
Reflexes - Asymmetric Depressed	195 (38%)	227 (42%)	0.16
Sensory - Asymmetric Decrease	234 (45%)	307 (57%)	<0.001
Motor - Asymmetric Weakness	198 (38%)	251 (46%)	0.008
Herniation Level			0.36
L2–L3/L3–L4	31 (6%)	43 (8%)	
L4–L5	194 (37%)	209 (39%)	
L5–S1	294 (57%)	290 (54%)	
Herniation Type			0.002
Protruding	162 (31%)	123 (23%)	
Extruded	328 (63%)	370 (68%)	
Sequestered	29 (6%)	49 (9%)	
Posterolateral herniation	400 (77%)	423 (78%)	0.72
Received surgery**	306 (59%)	407 (75%)	<0.001

<sup>†</sup> Race or ethnic group was self-assessed. Whites and blacks could be either Hispanic or non-Hispanic.

<sup>‡</sup> This category includes patients who were receiving or had applications pending for workers compensation, Social Security compensation, or other compensation.

<sup>§</sup> The body-mass index is the weight in kilograms divided by the square of the height in meters.

<sup>¶</sup> Other indicates problems related to stroke, diabetes, osteoporosis, cancer, fibromyalgia, chronic fatigue syndrome (CFS), post traumatic stress disorder (PTSD), alcohol, drug dependence, heart, lung, liver, kidney, blood vessel, nervous system, hypertension, migraine, anxiety, stomach or bowel.

<sup>††</sup> The SF-36 scores range from 0 to 100, with higher score indicating less severe symptoms.

<sup>‡‡</sup> The Oswestry Disability Index ranges from 0 to 100, with lower scores indicating less severe symptoms.

<sup>§§</sup> The Sciatica Bothersomeness index range from 0 to 24, with lower scores indicating less severe symptoms.

<sup>¶¶</sup> The Low Back Pain Bothersomeness Scale ranges from 0 to 6, with lower scores indicating less severe symptoms.

\* Among total of 1244 IDH patients, 541 did not use opioid at enrollment (Non-Opioid group), 567 used opioid at enrollment (Opioid group), and 136 had no information. 520 out of 541 Non-Opioid patients and 542 out of 567 Opioid patients had at least one follow-up through 4 years and were included in the current analysis dataset.

\*\* Received surgical treatment during the first 4 years of enrollment.

**Table 2**

Operative treatments, complications and events.

Characteristics IDH (RCT & OBS)	Non-Opioid (n=304)	Opioid (n=406)*	p-value
Discectomy Level			
L2–L3	1 (0%)	10 (2%)	0.048
L3–L4	9 (3%)	15 (4%)	0.73
L4–L5	113 (37%)	162 (40%)	0.47
L5–S1	186 (62%)	224 (56%)	0.15
Operation time	75.5 (33.9)	77.1 (37.9)	0.56
Blood loss	63.7 (95.1)	63.2 (103.4)	0.94
Blood Replacement			
Intraoperative replacement	2 (1%)	3 (1%)	0.74
Post-operative transfusion	0 (0%)	0 (0%)	
No. of days in hospital (SD)	0.94 (0.8)	0.96 (0.9)	0.86
Intraoperative complications <sup>§</sup>			
Dural tear/spinal fluid leak	10 (3%)	10 (2%)	0.67
Nerve root injury	0 (0%)	2 (0%)	0.61
Other	2 (1%)	1 (0%)	0.80
None	293 (96%)	393 (97%)	0.93
Postoperative complications/events <sup>¶</sup>			
Nerve root injury <sup>3</sup>	1 (0%)	0 (0%)	0.88
Wound hematoma	1 (0%)	2 (0%)	0.80
Wound Infection	7 (2%)	10 (2%)	0.90
Other <sup>4</sup>	10 (3%)	14 (3%)	0.91
None <sup>5</sup>	284 (94%)	381 (94%)	0.96
Post-operative mortality (death within 6 weeks of surgery)	0 (0%)	0 (0%)	
Post-operative mortality (death within 3 months of surgery)	0 (0%)	1 (0.1%) <sup>‡</sup>	
Additional surgeries (1-year rate) <sup>//</sup>	16 (5%)	26 (6%)	0.512
Additional surgeries (2-year rate) <sup>//</sup>	19 (6%)	41 (10%)	0.069
Additional surgeries (3-year rate) <sup>//</sup>	25 (8%)	42 (10%)	0.318
Additional surgeries (4-year rate) <sup>//</sup>	31 (10%)	47 (12%)	0.515
Recurrent disc herniation	16 (5%)	29 (7%)	
Complication or Other	7 (2%)	13 (3%)	
New condition	5 (2%)	4 (1%)	

\* Surgical information was available for 304 Non-Opioid patients and 406 Opioid patients.

<sup>§</sup> None of the following were reported: aspiration, operation at wrong level, vascular injury.

<sup>‡</sup> Patient died after heart surgery at another hospital, the death was judged unrelated to spine surgery.



// Any reported complications up to 8 weeks post operation. None of the following were reported: bone graft complication, CSF leak, paralysis, caudaequina injury, wound dehiscence, pseudarthrosis.

// One-, two-, three- and four-year post-surgical re-operation rates are Kaplan Meier estimates and p-values are based on the log-rank test. Numbers and percentages are based on the first additional surgery if more than one additional surgery.

Table 3

Outcome	1-Year				2-Year				3-Year				4-Year			
	Narcotics use at baseline		Treatment Effect <sup>†</sup> / 95% CI		Non-operative		Surgical		Treatment Effect <sup>†</sup> / 95% CI		Non-operative		Surgical		Treatment Effect <sup>†</sup> / 95% CI	
SF-36 Bodily Pain (BP) (0-100) (SE) <sup>††</sup>	Non-Opioid	45 (1.6)	40.7 (1.7)	4.2 (-0.5, 9)	47.5 (1.6)	42.2 (1.7)	5.3 (0.5, 10.1)	45.4 (1.7)	43.1 (1.7)	2.3 (-2.7, 7.2)	45.2 (1.8)	43.4 (1.8)	1.9 (-3.2, 6.9)			
	Opioid	45.9 (1.4)	38.2 (2)	7.6 (2.7, 12.6)	45.3 (1.5)	39.6 (2)	5.7 (0.7, 10.8)	47.2 (1.5)	37.8 (2.2)	9.4 (4.1, 14.8)	47.1 (1.6)	38.9 (2.2)	8.2 (2.7, 13.7)			
pvalue	0.66		0.30	0.28	0.29	0.30	0.89	0.38	0.043	0.032	0.41	0.094	0.066			
SF-36 Physical Function (PF) (0-100) (SE) <sup>††</sup>	Non-Opioid	42.3 (1.5)	35.6 (1.6)	6.7 (2.2, 11.3)	43.2 (1.5)	36.9 (1.6)	6.4 (1.8, 10.9)	43.4 (1.6)	38 (1.7)	5.4 (0.8, 10.1)	42.5 (1.6)	37.8 (1.7)	4.7 (-0.1, 9.5)			
	Opioid	42.9 (1.4)	34.6 (1.9)	8.3 (3.5, 13)	42.3 (1.4)	36.4 (1.9)	5.9 (1.1, 10.7)	43.3 (1.4)	34.9 (2)	8.5 (3.4, 13.5)	42.5 (1.5)	34.4 (2)	8.1 (2.9, 13.3)			
pvalue	0.77		0.67	0.60	0.64	0.83	0.89	0.98	0.20	0.33	0.99	0.16	0.29			
Mental Component Summary (MCS) (0-100) (SE) <sup>††</sup>	Non-Opioid	8 (0.7)	5.4 (0.7)	2.5 (0.6, 4.5)	5.7 (0.7)	6.1 (0.7)	-0.4 (-2.3, 1.6)	6.2 (0.7)	5.6 (0.7)	0.6 (-1.4, 2.6)	6.3 (0.7)	6 (0.7)	0.3 (-1.7, 2.4)			
	Opioid	7.3 (0.6)	5.8 (0.8)	1.5 (-0.5, 3.5)	6.3 (0.6)	5.7 (0.8)	0.6 (-1.5, 2.6)	6.1 (0.6)	4.7 (0.9)	1.4 (-0.8, 3.6)	5.6 (0.6)	6.2 (0.9)	-0.6 (-2.9, 1.6)			
pvalue	0.44		0.69	0.42	0.52	0.68	0.46	0.90	0.38	0.55	0.41	0.83	0.48			
Physical Component Summary (PCS) (0-100) (SE) <sup>††</sup>	Non-Opioid	17 (0.7)	14.3 (0.7)	2.6 (0.6, 4.6)	18.7 (0.7)	14.9 (0.7)	3.7 (1.7, 5.7)	17.2 (0.7)	15.5 (0.7)	1.8 (-0.3, 3.8)	17.5 (0.7)	15.3 (0.7)	2.2 (0.1, 4.3)			
	Opioid	17.4 (0.6)	13.9 (0.8)	3.5 (1.4, 5.6)	17.9 (0.6)	14.7 (0.8)	3.2 (1.5, 5.3)	18.2 (0.6)	14.5 (0.9)	3.7 (1.5, 6)	18 (0.7)	13.8 (0.9)	4.1 (1.9, 6.4)			
pvalue	0.61		0.65	0.49	0.37	0.84	0.67	0.25	0.38	0.15	0.60	0.18	0.17			
Oswestry Disability Index (ODI) (0-100) (SE) <sup>††</sup>	Non-Opioid	-36.3 (1.3)	-30 (1.4)	-6.2 (-10, -2.4)	-36.3 (1.3)	-31.5 (1.4)	-4.8 (-8.6, -1)	-36.1 (1.3)	-32.6 (1.4)	-3.6 (-7.4, 0.3)	-36 (1.4)	-32.9 (1.4)	-3.2 (-7.1, 0.8)			
	Opioid	-36.1 (1.1)	-28.1 (1.6)	-8 (-11.9, -4)	-35.9 (1.2)	-30.7 (1.6)	-5.1 (-9.2, -1.1)	-37.2 (1.2)	-29.6 (1.7)	-7.6 (-11.8, -3.4)	-36.5 (1.3)	-30.6 (1.7)	-5.9 (-10.2, -1.6)			
pvalue	0.92		0.32	0.48	0.82	0.72	0.89	0.54	0.14	0.12	0.79	0.26	0.30			
Sciatica Bothersomeness Index (0-24) (SE) <sup>§§</sup>	Non-Opioid	-10.8 (0.4)	-9.5 (0.4)	-1.2 (-2.4, -0.1)	-10.8 (0.4)	-9.7 (0.4)	-1.1 (-2.3, 0)	-10.5 (0.4)	-10 (0.4)	-0.5 (-1.6, 0.7)	-11 (0.4)	-9.9 (0.4)	-1.1 (-2.3, 0.1)			
	Opioid	-10.9 (0.3)	-9 (0.5)	-2 (-3.2, -0.8)	-10.5 (0.3)	-8.9 (0.5)	-1.6 (-2.8, -0.4)	-11 (0.4)	-9.1 (0.5)	-1.9 (-3.2, -0.7)	-11.1 (0.4)	-9.2 (0.5)	-1.9 (-3.2, -0.6)			
pvalue	0.74		0.30	0.30	0.54	0.19	0.53	0.26	0.14	0.058	0.86	0.29	0.35			
Low Back Pain Bothersomeness (0-6) (SE) <sup>¶¶</sup>	Non-Opioid	-2.1 (0.1)	-1.6 (0.1)	-0.5 (-0.8, -0.2)	-2.1 (0.1)	-1.8 (0.1)	-0.3 (-0.6, 0)	-2.1 (0.1)	-1.9 (0.1)	-0.2 (-0.5, 0.1)	-2 (0.1)	-1.9 (0.1)	-0.1 (-0.5, 0.3)			
	Opioid	-2.1 (0.1)	-1.5 (0.1)	-0.6 (-1, -0.2)	-2.1 (0.1)	-1.6 (0.1)	-0.5 (-0.9, -0.1)	-2.2 (0.1)	-1.4 (0.2)	-0.8 (-1.2, -0.4)	-2.1 (0.1)	-1.5 (0.2)	-0.5 (-0.9, -0.1)			
pvalue	0.95		0.45	0.54	0.64	0.15	0.41	0.41	0.009	0.011	0.62	0.077	0.098			
Very/somewhat satisfied with symptoms (%)	Non-Opioid	71	55.6	15.4 (5.2, 25.5)	71.9	62.2	9.7 (-0.3, 19.7)	70.1	62.8	7.3 (-3.1, 17.7)	73.4	58	15.3 (4.5, 26.2)			
	Opioid	68.5	53.1	15.4 (4.5, 26.3)	71.3	57.8	13.5 (2.6, 24.3)	69	59.8	9.2 (-2.5, 20.8)	69.8	63.1	6.7 (-5.1, 18.5)			
pvalue	0.56		0.64	0.96	0.88	0.42	0.62	0.81	0.60	0.82	0.43	0.41	0.25			

Outcome	Narcotics use at baseline		1-Year		2-Year		3-Year		4-Year		
	Surgical	Non-operative	Treatment Effect <sup>†</sup> (95% CI)	Surgical	Non-operative	Treatment Effect <sup>†</sup> (95% CI)	Surgical	Non-operative	Treatment Effect <sup>†</sup> (95% CI)	Surgical	Non-operative
IDH											
Work status: working (%)	88.6	84.6	4.1 (-3.5, 11.7)	82.9	85.8	-3 (-11.3, 5.4)	81.8	79	2.8 (-7, 12.5)	85.8	75.7
	85.3	79.9	5.4 (-3.1, 13.9)	87.7	81.3	6.5 (-1.8, 14.7)	85.8	77.3	8.5 (-1.3, 18.4)	85.9	71
p-value	0.28	0.26	0.95	0.16	0.30	0.087	0.26	0.75	0.34	0.97	0.46

\* Adjusted for age, gender, marital status, smoking status, race, compensation, herniation location, working status, stomach comorbidity, depression, other\*\* comorbidity, self-rated health trend, duration of most recent episode, treatment preference and baseline score (for SF-36, ODI, and Sciatica Bothersomeness), and center.

<sup>†</sup> Treatment effect is the difference between the surgical and non-operative mean change from baseline. Analysis is done using a mixed model with a random subject intercept term. Surgery is defined as surgery within 3 months of enrollment.

<sup>††</sup> The SF-36 scores range from 0 to 100, with higher score indicating less severe symptoms.

<sup>†††</sup> The Oswestry Disability Index ranges from 0 to 100, with lower scores indicating less severe symptoms.

<sup>§§</sup> The Sciatica Bothersomeness index range from 0 to 24, with lower scores indicating less severe symptoms.

<sup>¶¶</sup> The Low Back Pain Bothersomeness Scale ranges from 0 to 6, with lower scores indicating less severe symptoms

\*\* Other comorbidities include: stroke, diabetes, osteoporosis, cancer, fibromyalgia, chronic fatigue syndrome (CFS), post traumatic stress disorder (PTSD), alcohol, drug dependency, heart, lung, liver, kidney, blood vessel, nervous system, hypertension, migraine, anxiety, stomach, bowel.

**Table 4**

Average over four years area under the curve results (per year) from adjusted as-treated outcome analysis by utilization of opioid at enrollment.

IDH	ESI	Surgical	Non-operative	Treatment Effect <sup>†</sup> (95% CI)
SF-36 Bodily Pain (BP) (0–100) (SE)	Non-Opioid	44 (1.2)	39.9 (1.3)	4.2 (0.5, 7.8)
	Opioid	44.1 (1.1)	36.7 (1.5)	7.4 (3.6, 11.2)
	<i>pvalue</i>	0.96	0.078	0.15
SF-36 Physical Function (PF) (0–100) (SE)	Non-Opioid	41 (1.2)	34.8 (1.3)	6.2 (2.6, 9.8)
	Opioid	40.7 (1.1)	33.2 (1.4)	7.5 (3.8, 11.3)
	<i>pvalue</i>	0.84	0.36	0.55
Oswestry Disability Index (ODI) (0–100) (SE)	Non-Opioid	–34.8 (1)	–29.9 (1.1)	–4.9 (–7.9, –1.8)
	Opioid	–35.1 (0.9)	–28.4 (1.2)	–6.7 (–9.9, –3.5)
	<i>pvalue</i>	0.84	0.30	0.34
Sciatica Bothersomeness Index (0–24) (SE)	Non-Opioid	–9.7 (0.3)	–8.6 (0.3)	–1.1 (–1.9, –0.2)
	Opioid	–9.8 (0.3)	–8.1 (0.3)	–1.7 (–2.6, –0.8)
	<i>pvalue</i>	0.78	0.17	0.20

**Table 5**

Crossover of Assigned/Chosen Treatment Groups up to Four Years Follow-up

	Non-Opioid (n = 520)	Opioid (n = 542)	p-value*
Assigned/Chose Surgery Crossover to Nonoperative (%)	54/290 (19%)	43/375 (11%)	0.0108
Assigned/Chose Nonoperative Crossover to Surgery (%)	71/230 (31%)	75/167 (45%)	0.0045

\* p-value is from the Chi-square test, which tests whether there is a statistically significant difference in crossover between Non-Opioid and Opioid groups for the surgical group and nonoperative group, respectively.

Percent Having Surgery (among enrolled)								
Non-Opioid					Opioid			
	Assigned/Chose Surgery		Assigned/Chose Non operative		Assigned/Chosen Surgery		Assigned/Chose Non operative	
N	290		230		375		167	
6W	194	67%	16	7%	294	78%	27	16%
3M	219	76%	37	16%	319	85%	47	28%
6M	229	79%	55	24%	329	88%	62	37%
1Y	233	80%	64	28%	331	88%	67	40%
2Y	235	81%	67	29%	331	88%	70	42%
3Y	235	81%	68	30%	331	88%	72	43%
4Y	236	81%	71	31%	332	89%	75	45%