

NIH Public Access

Author Manuscript

J Mammary Gland Biol Neoplasia. Author manuscript; available in PMC 2013 December 01.

Published in final edited form as:

J Mammary Gland Biol Neoplasia. 2012 December ; 17(0): 205–216. doi:10.1007/s10911-012-9264-2.

Targeting the PI3K/Akt/mTOR pathway for breast cancer therapy

Justin Cidado¹ and Ben Ho Park¹

¹The Sidney Kimmel Comprehensive Cancer Center at Johns Hopkins, Baltimore, MD

Abstract

Recent advances in genetics and genomics have revealed new pathways that are aberrantly activated in many breast cancers. Chief among these genetic changes are somatic mutations and/or gains and losses of key genes within the phosphoinositide 3-kinase (PI3K) pathway. Since breast cancer cell growth and progression is often dependent upon activation of the PI3K pathway, there has been intense research interest in finding therapeutic agents that can selectively inhibit one or more constituents of this signaling cascade. Here we review key molecules involved with aberrant PI3K pathway activation in breast cancers and current efforts to target these components for therapeutic gain.

Introduction

Breast cancer is the second most common malignancy diagnosed in women and the second leading cause of cancer-related deaths. It is a heterogeneous disease often characterized by its hormone receptor status and expression of human epidermal growth factor receptor 2 (Her2). These molecular markers used to classify breast cancer subtypes are also what typically predict response to targeted therapies. Thus, identifying novel breast cancer targets and developing diagnostic biomarkers in order to provide early and effective therapy remains of paramount importance.

To date, a number of therapies have been developed to specifically inhibit crucial oncogenic targets in a variety of cancers. For breast cancers in particular, endocrine therapies such as the selective estrogen receptor modulator (SERM), tamoxifen, antagonizes estrogen receptor-a (ER) in ER(+) cancers while the humanized monoclonal antibody, trastuzumab, inhibits receptor signaling in *HER2*-amplified/overexpressing cancers. Each therapy achieves a relatively high response rate in their respective patient populations, but an equally and discouragingly high number of patients become refractory to the treatment over time.

Recently, biological studies point to aberrant PI3K/Akt/mTOR signaling pathway (hereafter referred to as PI3K signaling pathway) activation as central for cancer growth, survival, and motility as well as targeted therapy resistance mechanisms [1-4]. Consequently, heavy emphasis on PI3K signaling research has led to the development of numerous pathway inhibitors [5]. Here we will review the recent progress in pharmacological intervention of the PI3K pathway including its rationale, limitations, and methods of patient stratification.

Corresponding Author/Address for reprints: Ben Ho Park, M.D., Ph.D. The Sidney Kimmel Comprehensive Cancer Center at Johns Hopkins 1650 Orleans Street, Rm 151 Baltimore, MD 21287 Phone: 410-502-7399 Fax: 410-614-4073 bpark2@jhmi.edu.

PI3K-Akt-mTOR signaling cascade

Normal signaling

The family of phosphoinositide 3-kinases (PI3K) consist of three classes of heterodimeric lipid kinases composed of a catalytic and regulatory subunit that, when activated, phosphorylate the 3'-hydroxyl group of phosphotidylinositols. The Class I_A PI3Ks are the most well understood in terms of signal transduction and physiological relevance and are also the most widely implicated in the pathogenesis of breast and other cancers. Consequently, they are discussed at length in this review. The catalytic subunits that comprise Class I_A PI3Ks are p110 α , p110 β , p110 γ , and p110 δ , which are encoded by the genes *PIK3CA*, *PIK3CB*, *PIK3CG*, and *PIK3CD*, respectively. In vitro, each p110 subunit has the capability of binding each of the regulatory subunits – p85 α (p85 α , p55 α , p50 α), p85 β , and p55 γ – which are encoded by the genes *PIK3R1*, *PIK3R2*, and *PIK3R3*, respectively. For the sake of convention, the regulatory subunits are collectively referred to as p85 [6-11].

Activation of Class I_A PI3Ks typically occurs through growth factor stimulation via receptor tyrosine kinases (RTKs), such as epidermal growth factor receptor (EGFR) and insulin-like growth factor-1 receptor (IGF-R1)[12-15]. However, other mechanisms such as signaling through G-protein-coupled receptors (GPCRs) and the small GTPase Ras have also been suggested to initiate PI3K activation [16-18]. In the case of RTKs, the Src homology 2 (SH2) domain of the p85 regulatory subunit binds a specific phosphotyrosine (pTyr) residue of the RTK, thus relieving the intermolecular inhibition of the p110 catalytic subunit and bringing it in close proximity to the lipid substrates in the membrane [19]. There the PI3K phosphorylates the D3 position on phosphoinositides to yield biologically active phosphatidylinositol-3,4,5-trisphosphate (PI(3,4,5)P₃), which can then interact with lipid binding domains in PI3K effector proteins, changing their localization and/or activity [20, 4]. Conversely, PI3K is negatively regulated by the tumor suppressor, phosphatase and tensin homologue deleted on chromosome 10 (Pten), through the dephosphorylation of PI(3,4,5)P₃ back to its inactive lipid state [21].

After active PI3K generates PI(3,4,5)P₃, the phosphotidylinositol recruits PDK-1 (3'phosphoinositide-dependent kinase 1) and the serine/threonine kinase Akt/PKB (protein kinase B) via binding of their plextrin homology (PH) domains to the plasma membrane where they are subsequently phosphorylated and activated [22]. This event is often viewed as the central node of the pathway since the activity of Akt is responsible for pleiotropic effects on molecular functions within the cell, such as cell cycle progression, apoptosis, transcription, and translation. There are three different yet structurally similar Akt isoforms (i.e. Akt1, Akt2, and Akt3) that are products of three distinct genes but share greater than 80% homology at the amino acid level [23]. Therefore, it is not surprising that each isoform is activated through very similar mechanisms: two crucial phosphorylation events involving homologous residues between the isoforms. For example, Akt1 is partially activated through an initial phosphorylation at threonine 308 by PDK-1 and then fully activated by the subsequent phosphorylation at serine 473 by several potential kinases, such as PDK-1, integrin-linked kinase (ILK), DNA-dependent protein kinase (DNA-PK), mammalian target of rapamycin when bound to Rictor (mTORC2), or Akt itself [24-31]. This active form of Akt then translocates to either distinct areas within the cytosol or into the nucleus where it phosphorylates a host of downstream substrates, directly or indirectly, thereby mediating a multitude of effects. One of the major and most studied downstream effectors of Akt is the serine/threonine kinase mTOR (mammalian target of rapamycin), which is usually associated with other proteins in a complex known as mTORC1 [32]. Similar to the other aforementioned downstream substrates, Akt can activate mTOR indirectly through phosphorylation of Tsc2 (tuberous sclerosis complex 2). Tsc1 and Tsc2 are tumor

suppressor proteins, thus the inhibited Tsc1/Tsc2 dimer allows the GTP-binding protein Rheb (Ras homolog enriched in brain) to remain in its active GTP-bound state, causing a rise in mTORC1 (mTOR in a protein complex with Raptor) activity [33, 34]. Down regulation of mTORC1 can occur under hypoxic and lower energy/ATP conditions through phosphorylation of the Tsc1/Tsc2 complex, on residues distinct from those phosphorylated by Akt, by REDD1 (regulated in development and DNA damage response 1) and AMPK (AMP-activated protein kinase), respectively [35, 36]. In the mTORC1 complex, mTOR phosphorylates p70S6K (70 kDa ribosomal protein S6 kinase) and 4E-BP1 (eIF4E-binding protein), leading directly to increased translation and synthesis of cell cycle regulating and ribosomal proteins [37, 38]. The activation of p70S6K also serves a dual role of negative feedback to reduce the activation of the PI3K pathway through phosphorylation and subsequent inhibition of the adaptor molecule insulin receptor substrate 1 (IRS-1), which interrupts the signaling between the ligand-stimulated IGF-R1 and PI3K [39, 40].

Activation of PI3K pathway in cancer

As mentioned, the PI3K signaling pathway is aberrantly activated in numerous cancers, including breast cancers, with the two most commonly observed mechanisms of activation being RTK signaling via amplification/overexpression of Her2 and somatic mutations in specific components of the PI3K pathway [41, 42].

PI3K Activation in Breast Cancer Subtypes: Breast cancer subtypes are classified according to either gene expression profiles or immunohistochemistry staining, and each subtype reflects a different biology and clinical outcome. Immunohistochemical staining used to classify breast cancer subtypes currently includes ER, progesterone receptor (PR), Her2, and in some instances, the percentage of cells with Ki67 expression. Each breast cancer subtype can have varying and unique mechanisms of PI3K pathway alterations, resulting in potentially different clinical manifestations, necessitating the evolution of unique targeted therapeutic regimens. Thus, it has become paramount to identify and classify PI3K pathway aberrations according to breast cancer subtype, which is beyond the scope of this review, but has been extensively discussed in recent manuscripts elsewhere [43].

RTKs: PI3K is activated when the SH2 domain of the p85 regulatory subunit binds phosphotyrosine residues of activated RTKs or adaptor molecules; in breast cancer, overexpression, amplification, or mutation of an RTK can directly lead to constitutive activation of the PI3K pathway. Examples in human cancers include overexpression of fibroblast growth factor (FGF) and its corresponding receptor (FGFR), gain of function mutations of EGFR, and amplification of *HER2* [44].

Somatic alterations: The phosphatase that is encoded by *PTEN*, which dephosphorylates $PI(3,4,5)P_3$ to $PI(4,5)P_2$, counters the action of PI3K, and thus negatively regulates the pathway. Loss of Pten function has been documented in a variety of carcinomas through sporadic loss of function mutations, hetero- or homozygous deletion, and/or epigenetic silencing [45]. In addition, a congenital predisposition to breast cancer, known as Cowden's syndrome, is caused by germline mutations in *PTEN*[46]. While the aforementioned mutations are found at low frequencies within breast cancer, loss of heterozygosity affecting the *PTEN*locus, 10q23, occurs in roughly 30-40% of tumors [47, 48]and promoter hypermethylation occurs in approximately 50% of breast cancers [49, 50]. This loss of Pten protein in tumors results in accumulation of $PI(3,4,5)P_3$ and, consequently, increased activation of Akt. Despite the frequency with which Pten function is abrogated in breast cancer, it remains unclear whether Pten loss by itself can activate the PI3K pathway and contribute to tumorigenesis. Indeed, recent studies have suggested that loss of Pten leads to activation of the PI3K pathway that is dependent upon p110β [51, 52]. Along these lines,

some studies have reported that loss of Pten reduces the efficacy of RTK inhibitors as single agents [44], suggesting loss of Pten activates the PI3K pathway distal to RTK activation.

The *PIK3CA* gene, which encodes the p110a catalytic subunit of PI3K, is mutated at a high frequency in a number of human malignancies [3]. In breast cancers, *PIK3CA* has a reported overall mutation rate of 25%, of which more than 80% reside in the "hot spot" regions within exon 9 of the helical domain and exon 20 of the catalytic domain [53]. These three mutations, E542K and E545K in exon 9 and H1047R in exon 20, confer increased PI3K catalytic activity, leading to cellular transformation through growth factor- and anchorage-independent cellular proliferation [54-56]. *PIK3CA* mutations commonly occur in ER (+) or *HER2* amplified breast tumors, and this has been speculated to be a major determinant of resistance to endocrine and Her2 targeted therapies, as will be discussed later [57].

The *AKT* family of genes encodes three isoforms: Akt1, Akt2, and Akt3. To date, however, only the first two isoforms have documented alterations that contribute to breast carcinogenesis. For Akt1, within the lipid-binding PH domain, a somatic mutation resulting in a glutamic acid to lysine substitution at amino acid 17 (E17K) likely leads to transformation due to constitutive localization to the membrane, even in the absence of PI(3,4,5)P₃. This mutant, recently identified in 8% of breast cancers [58], is constitutively phosphorylated at S473 in the absence of serum but remains responsive to PI3K activation at T308, suggesting that this mutation alone may not be sufficient to drive tumorigenesis. Amplification and overexpression of Akt1 have also been noted albeit in a smaller subset of breast cancers. Conversely, amplification of the Akt2 gene has been detected in 4% of breast cancers while overexpression was found in 10-20%, which corresponds to increased kinase activity in these tumors [59].

PI3K Pathway Inhibitors

Rationale for targeting the PI3K pathway: oncogene addiction

Typically, it is uncommon for cancer to arise from a single genetic abnormality. Rather, cancer is the cumulative effect of multiple genetic and epigenetic aberrations. But through a phenomenon known as "oncogene addiction," the cancer becomes dependent upon a particular alteration for survival as well as preservation of the malignant state. In theory, this dependency upon specific pathways, for instance the PI3K pathway in certain breast cancers, leaves the cancer vulnerable to potential pharmacological intervention that will selectively target the cancer cell while sparing normal tissue [60, 61]. What follows are some of the genes in the PI3K pathway that are currently being targeted for breast cancer therapy.

HER2

Aberrant cell signaling through the epidermal growth factor receptor family members has been associated with driving cancer cell growth. Because of their proximal position in signal transduction to both the MAPK and PI3K pathways, a review of targeting the PI3K pathway for breast cancer therapy would not be complete without some mention of this important class of RTKs. The most well-recognized and clinically relevant molecule with regard to breast cancer is the *HER2* oncogene. Amplification/overexpression of Her2 is present in approximately 25% of all breast cancers. This has translated into a remarkable success story with the introduction of trastuzumab, a humanized monoclonal antibody that has shown great success in the treatment of metastatic and early stage Her2 positive breast cancers [62]. Although various criteria have been developed to identify Her2 positive breast cancers, including immunostaining and fluorescence in situ hybridization (FISH) analysis [63], it still appears that a significant proportion of patients with Her2 positive disease will have acquired or de novo resistance to trastuzumab. This has necessarily spurred further interest

in second generation Her2 directed therapies. The first of such therapies was the oral small molecule inhibitor lapatinib, a dual EGFR/Her2 kinase inhibitor. In metastatic patients, lapatinib with capecitabine demonstrated effectiveness with impressive response rates in trastuzumab refractory patients [64]. Results in early stage breast cancers using lapatinib with and without trastuzumab (Adjuvant Lapatinib and/or Trastuzumab Treatment Optimization (ALTTO)) have been completed and final results are expected soon, but initial results from a neoadjuvant study appears to demonstrate that dual Her2 inhibition with both lapatinib and trastuzumab may afford better outcomes (Neo ALTTO trial, San Antonio Breast Cancer Symposium, 2010). Similarly, in the metastatic setting, combining lapatinib with trastuzumab has been shown to be an effective non-chemotherapy containing regimen in women with Her2 positive disease [65].

Newer Her2 directed therapies are also in late stage development. TDM-1 is a cytotoxic agent linked to trastuzumab and in early clinical trials appears to have efficacy in trastuzumab refractory patients with very good tolerability. Another monoclonal antibody, pertuzumab, which inhibits the dimerization of Her2 to other EGFR family members has recently been reported in a Phase III trial to have impressive response rates when combined with trastuzumab and chemotherapy in metastatic disease (CLEOPATRA) [66]. Finally, due to the increased propensity of Her2 disease to metastasize to the central nervous system (CNS) and the inability of antibodies to effectively cross the blood-brain barrier, in theory making CNS metastases "sanctuary disease sites," there has been increased interest in studying the use of small molecule Her2 inhibitors (e.g. lapatinib) for the treatment of CNS disease. Neratinib, a small molecule EGFR/Her2 inhibitor similar to lapatinib is also currently being studied in Her2 metastatic disease with the hope that these Her2 directed small molecule inhibitors may afford better control of CNS metastatic disease.

PI3K inhibitors - first generation

Numerous PI3K pathway inhibitors have been developed, but the two earliest and most extensively explored are LY294002 and wortmannin. Despite effective inhibition of the PI3K pathway and demonstration of anti-tumor activity in breast cancer cell models, both have been limited to preclinical studies due to poor solubility, instability, and high toxicity [67, 68]. However, the backbone structures of LY294002 and wortmannin have served as templates for derivatives and prodrugs with better pharmacological properties. For instance, a vasculature-targeting Arg-Gly-Asp peptide was conjugated to LY294002 to form the prodrug SF-1126, a dual PI3K-mTOR inhibitor akin to the parent compound yet more stable and well tolerated, at least in murine models [69]. Similarly, the wortmannin analog PX-866 is a pan-PI3K inhibitor with increased cell permeability and a prolonged serum half-life [70]. Subsequent generations of pan-PI3K, dual PI3K-mTOR, isoform-specific PI3K, Akt, and mTOR inhibitors have been developed to circumvent the limitations of first generation compounds as well as unravel the complexity of the PI3K and alternative pathways through use of combination therapies [71].

PI3K inhibitors – next generation

Class-specific and isoform-specific PI3K inhibitors are attractive options since PI3K is the most proximal component of the pathway other than RTKs; therefore, targeting PI3K itself rather than Akt or mTOR could provide global inhibition of the downstream components within the pathway. This strategy, however, has potential for greater toxicity. Compounds selective for Class I PI3Ks currently in clinical development are GDC-0941 [72], XL-147 [73], BKM120 [74], GSK1059615As previously mentioned, several lines of evidence suggest that loss of Pten can lead to PI3K pathway activation, though recent data suggests that this may occur through activation of p110β activation [51, 52]. The exact mechanism of how this occurs remains to be elucidated, and indeed regulation of *PTEN* gene expression

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appears extraordinarily complex. Recent studies demonstrate the presence of a *PTEN* pseudogene within the human genome, showing an exquisitely balanced interplay between microRNAs (miRNAs) and *PTEN*/pseudogene mRNA, all contributing towards the tightly regulated expression of *PTEN*[91]. From a therapeutic standpoint, targeting the loss of a tumor suppressor gene has always been a challenging prospect. However, recent studies of a now classic "bench to bedside" drug development story suggested that the use of isogenic cell lines could identify molecules, poly(ADP-ribose) polymerase (PARP) inhibitors, that proved "synthetic lethal" for BRCA1 and BRCA2 null cells [92-94]. Somewhat surprisingly, one of these same groups recently reported using an identical approach that PARP inhibitors also imparted a synthetic lethal phenotype to cell lines with gene knock out of *PTEN* but not its isogenic controls [95]. Thus, although not historically thought of as a "druggable" target in the PI3K pathway, current research focusing on Pten loss for breast cancer therapy is ongoing.

AKT

Due to its central role in signal transduction and hyperactivation in many if not most human cancers, there has been intense research interests in developing Akt inhibitors as antineoplastic agents. Similar to the strategy for targeting PI3K in breast and other cancers, panisoform inhibitors have been developed, whereas synthesis of isoform-specific agents has proven difficult given the high degree of homology between the three Akt isoforms. There have been different strategies for developing Akt inhibitors, including ATP-competitive inhibitors, phosphotidylinositol analogs, and allosteric inhibitors. While pan-Akt, ATPcompetitive agents such as GSK690693 and A-443654 have demonstrated anti-tumor activity in preclinical models and are now in Phase I trials, allosteric inhibitors are also in various late stages of development and may have advantages in terms of selectivity and specificity [96, 97]. These compounds interact with the PH domain or hinge region, promoting an inactive form of the enzyme by preventing localization to the membrane or access to the PDK-1-dependent phosphorylation site. MK-2206 is one such highly selective, allosteric pan-inhibitor that has entered Phase II clinical trials and shown marked suppression of breast cancer growth with acceptable tolerability [90, 98].

mTOR

Since its discovery in 1975, rapamycin has been extensively studied in relation to mTOR inhibition. The main proposed mechanism of action of rapamycin and its analogs is the formation of a complex with the FK506-binding protein (FKBP12) that can then bind the Cterminal region of mTOR, interfering with the kinase activity of the multimeric mTORC1 complex but not with mTORC2 [99]. However, similar to the situation with first generation PI3K inhibitors, rapamycin's undesirable pharmacological properties necessitated the derivation of more reliable analogs, colloquially known as "rapalogs." Three such rapalogs, RAD001 (everolimus), CCI-779 (temsirolimus), and AP-23573 (deferolimus) have shown cytostatic activity in preclinical models and anti-tumor activity when used in combination with chemotherapies [100]. More recently, the BOLERO-2 trial demonstrated that in metastatic breast cancer patients refractory to hormone therapies, the addition of everolimus to exemestane afforded improved progression free survival compared to women taking exemestane alone [101]. Despite the promise of these mTORC1 allosteric inhibitors, feedback activation of PI3K and Akt persists via mTORC2, potentially shifting the focus to ATP-competitive compounds that can inactivate both complexes and completely abrogate mTOR signaling. The first molecule of this class was PP242, and now its derivative, INK128, as well as several other pan-mTOR inhibitors such as AZD-8055 and OSI-027, are in early phase clinical trials for solid malignancies including breast cancers [32, 102].

Combination therapies

The PI3K pathway is extraordinarily complex and made more so due to the presence of multiple feedback loops and crosstalk with other signaling pathways [103]. The negative auto-regulation within the PI3K pathway and redundancy with the MAPK and LKB1/ AMPK pathways has likely evolved to ensure proper homeostatic control of cell growth in response to mitogenic signals and to prevent unwanted cell growth under specific cellular states, such as low energy conditions [104, 105]. The feedback inhibition leading to Akt activation could theoretically be overcome by alterations in any number of PI3K pathway nodal points as discussed above, which has also led to enthusiasm for the promise of small molecule inhibitors to antagonize such oncogenic events. But at the same time, the realization that compensatory alterations within either the PI3K or other redundant pathways can lead to acquired resistance to the therapy has tempered initial excitement. That said, a recurring tenant in clinical oncology is that increased efficacy is often demonstrated through the use of multiple agents, and in the case of PI3K-directed therapies, using additional PI3K pathway-specific compounds, targeted inhibitors in parallel and compensatory pathways, chemotherapies, or combinations thereof may afford the opportunity to surmount the vexing problem of drug resistance [106, 10].

As mentioned above, *PIK3CA* is the most frequently mutated component of the PI3K pathway in breast cancers, with over 80% of these mutations occurring in one of three hotspot residues, and the highest percentage found in the exon 20 hotspot mutation. Within the context of breast cancers, they are often associated with ER/PR expression, as well as HER2 overexpression, and are for the most part mutually exclusive with Pten loss [107] and *AKT1* mutations [53, 107]. These mutations are thought to predict for response to other therapies targeting additional components of the PI3K pathways, e.g. *PIK3CA* mutations might be expected to predict for positive responses to mTOR inhibitors. In addition and as mentioned previously, *PIK3CA* mutations are felt to be negative predictors of response to molecules targeting proteins more proximal to PI3K, including Her2 directed therapies [83, 108, 109, 100, 110] though data on this has not yet been fully validated in clinical trials. However, this provides the rationale for the potential use of Her2 directed therapies in combination with PI3K inhibitors, a testable hypothesis that is currently being addressed in a number of clinical trials.

Given the high incidence of *PIK3CA* mutations in ER/PR-positive breast cancers, along with evidence of non-genomic signaling of the MAPK pathway by ER signaling [111], it has been hypothesized that aberrant activation of the PI3K pathway may mediate resistance to endocrine therapies. Preclinical work and early phase trials support this notion. For example, a recent study demonstrated that estrogen deprivation in ER(+) breast tumors increased the apoptotic effects of the PI3K and dual-PI3K/mTOR inhibitors, BKM120 and BGT226 respectively [112]. The compounds were most effective when used in combination with fulvestrant, a selective estrogen receptor down-regulator (SERD). These results also concur with the recent findings of the BOLERO-2 trial as mentioned above.

However, activation of pathways due to *PIK3CA* mutations, and possibly Pten loss, appears to be more complex than originally thought. Though expected effects of Akt and mTOR activation have been reported using various in vitro models, several groups reported that MAPK pathway activation also surprisingly occurs when *PIK3CA* mutations are present and/or loss of *PTEN* [55, 56, 113, 114]. Although others have previously demonstrated that mutant Ras can signal through p110a via its Ras Binding Domain (RBD) [115], the finding that mutant *PIK3CA* molecules can "reverse" this crosstalk was unexpected and indeed these mutations appear to function as more proximal mediators of signaling akin to RTK stimulation [116]. Recent reports suggest that HER3 and other molecules may mediate some of this complex process [117]. Thus, it may be that early clinical trials of PI3K inhibitors

would not necessarily be expected to greatly impact breast cancers with *PIK3CA* mutations and/or Pten loss. Based on the emerging complexity and "rewiring" of pathways imparted by *PIK3CA* mutations and Pten loss, dual RTK/PI3K and PI3K/MAPK pathway inhibitors are currently being evaluated as combination therapies in early phase trials to rationally target these two key growth promoting pathways. As might be expected, increased toxicity has been seen in some of these early trials, necessitating careful evaluation as these trials continue to move forward.

Need for Clinical Biomarkers

Patient selection & biomarkers

Currently in clinical oncology drug development, targeted therapies rely upon the establishment of companion diagnostics that are reproducible and robust, such that they will have a high likelihood of predicting response to a given therapy. Notable examples in breast cancer therapy include the presence of ER/PR for endocrine therapies, as well as methods for assessing Her2 overexpression/amplification by immunohistochemistry and FISH, for predicting response to Her2 directed therapies such as trastuzumab and lapatinib. More recent examples of breast cancer biomarkers include those cancers with loss of BRCA1 or BRCA2 predicting for response to PARP inhibitors [94]. Finally, the establishment of Oncotype DX as a predictive assay, apart from its utility as a prognostic test, affords the ability to estimate the likelihood of chemotherapy benefit for a given patient [118]. Although chemotherapy is not considered a targeted therapy for breast cancer, this last example highlights the unmet need in establishing predictive assays to choose patients who will have the highest likelihood of benefit, thus sparing needless toxicity and costs to patients and society.

For PI3K pathway inhibitors, there has been intense interest in developing companion diagnostics for the reasons mentioned above. How reliably a given test performs will depend on a number of factors, including both biological and technical challenges. Using the above examples, PIK3CA mutations, Pten loss and AKT1 (E17K) mutations might be logical choices as positive predictors of response to PI3K, Akt and mTOR inhibitors. To date, however, no single study has demonstrated that any of these genetic alterations have strong predictive abilities. In the case of *PIK3CA* mutations and Pten loss, this may be due to the activation of other pathways as previously mentioned, suggesting that drugs targeting additional pathways may be needed. Interestingly, the E17K Akt1 mutation demonstrated no obvious phenotype when introduced as a somatic mutation into breast epithelial cells via gene targeting [119]. The reasons for this are unknown but could include effects of single versus multiple copy numbers of the E17K allele, cell-specific context of the mutation, additional unknown but requisite mutations in cooperating genes, etc. However, suffice it to say that the mutation itself will not necessarily predict for pathway activation nor, consequently, for response to targeted therapies. Intriguingly, the Braf V600E mutation, which is both the target and a predictive marker of response for the small molecule inhibitor vemurafenib, was found to be highly active in metastatic melanomas harboring the mutation [120] but not in colorectal cancers with mutant Braf V600E. This has recently been shown to be due to the presence of EGFR in experimental models, which modulates the activity of the mutant Braf protein [121]. This example highlights that finding predictive markers of response to targeted therapeutics in breast cancer using somatic mutations such as PIK3CA may not be applicable for other tumor types and vice versa.

Of concern for the use of *PIK3CA* mutation status as positive predictors of response to PI3K-targeted therapies is the growing realization that tumor heterogeneity may make the use of somatic alterations problematic. Similar to recent data suggesting ER and Her2 status can change in metastatic lesions [122, 123], reports have also emerged suggesting that

PIK3CA mutational status in breast cancer patients is prone to discordance when comparing primary versus metastatic lesions [124, 125]. As most trials heretofore have used archival tissue specimens to assess mutation status, current thinking suggests that this may not truly reflect the status of disease at the time of consideration for directed targeted therapies. As such, biopsy of metastatic lesions is becoming more common place for breast and other cancers. However, the inability to obtain biopsies from metastatic sites presents a conundrum for many patients and potentially limits the utility of future PI3K targeted therapies. Therefore, attempts to evaluate disease and predictors of response in a non-invasive manner have gained much interest and attention.

Ideally, a non-invasive functional test would offer great utility as a predictive assay for PI3K-directed therapies in breast cancer. Because hyperactivation of the PI3K pathway predictably leads to increases in glucose metabolism, there has been great enthusiasm for researching the utility of [18F] fluorodeoxyglucose (FDG)-positron emission tomography (PET) to not only evaluate disease burden but to simultaneously assess whether FDG-PET scanning can offer predictive value for PI3K pathway, whether genetic, epigenetic, or otherwise would lead to a functional state of increased glucose metabolism that should correlate with response to therapy. This approach, however, may have a "fatal flaw" in that not knowing where the "lesion" is could prevent efficacy and reduce the perceived predictive power. For example, hyperactivation of the PI3K pathway by Pten loss may lead to a positive result by FDG-PET scans, but an isoform specific p110a inhibitor would likely not be effective in such a tumor. Thus, FDG-PET may be useful as an adjunct test for functional analysis of the pathway in addition to evaluation of the genetic lesion that accounts for pathway activation.

Newer technologies in development offer the potential for mutation detection from blood. As example, recent studies have shown the utility of detecting *PIK3CA* mutations using blood from breast cancer patients [126]. However, for such a test to be clinical meaningful, sensitivity and specificity must be greatly increased beyond traditional sequencing methods and PCR assays. BEAMing [127, 128] is a novel technique based upon digital, emulsion-based PCR with mutation detection. This technology has been shown to reliably detect rare mutant alleles found in the blood of colorectal cancer patients [129, 130]. More recently, retrospective and prospective studies have also demonstrated the feasibility and utility of BEAMing for evaluating the *PIK3CA* mutation status of breast cancer patients (manuscript in revision). Similar to other studies, however, discordance between primary and metastatic lesions was present again, suggesting that assessing mutation status at the time of targeted therapy would likely afford the best chance of predicting response. Future work with targeted therapies against the PI3K pathway in breast cancer will almost certainly incorporate additional novel assays such that targeted therapies truly are given to the proper patients.

Conclusions

Breast cancer therapy has undergone a remarkable revolution in the past two decades with the advent of truly targeted therapies with remarkable efficacy and tolerable toxicities. Indeed, the successes of endocrine therapies for ER/PR positive disease and trastuzumab therapies for Her2-positive disease have not only shed new insights into the molecular underpinnings driving subtypes of breast cancer, but have also provided a glimpse into how drug resistance to these therapies can emerge. This history of success coupled with current knowledge and understanding of breast cancer due to gene expression profiling, mutational analysis, copy number changes and genomic rearrangements have led to a strong impetus and optimism that future targeted therapies will continue to greatly decrease breast cancer

mortality with minimal side effects. Targeting the PI3K pathway in particular, along with cutting edge companion diagnostic predictors of response, engenders great enthusiasm that future therapies for breast cancer will truly be curative for all types and stages of breast cancer.

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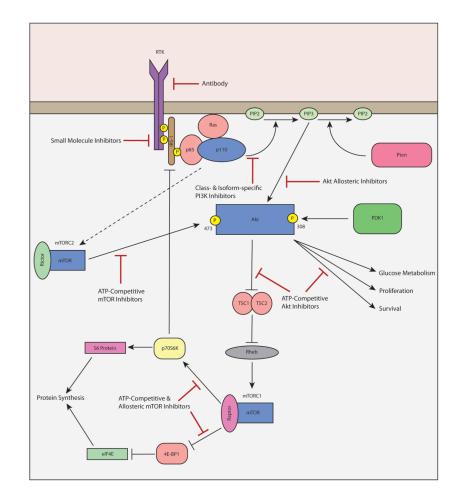


Figure 1.

The PI3K/Akt/mTOR pathway. Activation of a receptor tyrosine kinase (RTK) leads to increased activity of PI3 kinase complexes (p85 and p110) which in turn converts phosphatidylinositol-4,5-bisphosphate (PIP2) to phosphatidylinositol-3,4,5-trisphosphate (PIP3); a reaction that is antagonized by the tumor suppressor Pten. PIP3 then phosphorylates and activates Akt, which through a series of subsequent phosphorylation events leads to activation of the mTOR complexes, mTORC1 and mTORC2. mTOR complexes are also kinases that activate via phosphorylation substrates such as S6 and 4E-BP1 that increase transcription of growth promoting genes. Points of pharmacologic inhibition that are currently the focus of drug development are shown.