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Preconditioning Strategy in Stem Cell Transplantation Therapy

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Abstract

Stem cell transplantation therapy has emerged as a promising regenerative medicine for ischemic stroke and other neurodegenerative disorders. However, many issues and problems remain to be resolved before successful clinical applications of the cell-based therapy. To this end, some recent investigations have sought to benefit from well-known mechanisms of ischemic/hypoxic preconditioning. Ischemic/hypoxic preconditioning activates endogenous defense mechanisms that show marked protective effects against multiple insults found in ischemic stroke and other acute attacks. As in many other cell types, a sub-lethal hypoxic exposure significantly increases the tolerance and regenerative properties of stem cells and progenitor cells. So far, a variety of preconditioning triggers have been tested on different stem cells and progenitor cells. Preconditioned stem cells and progenitors generally show much better cell survival, increased neuronal differentiation, enhanced paracrine effects leading to increased trophic support, and improved homing to the lesion site. Transplantation of preconditioned cells helps to suppress inflammatory factors and immune responses, and promote functional recovery. Although the preconditioning strategy in stem cell therapy is still an emerging research area, accumulating information from reports over the last few years already indicates it as an attractive, if not essential, prerequisite for transplanted cells. It is expected that stem cell preconditioning and its clinical applications will attract more attention in both the basic research field of preconditioning as well as in the field of stem cell translational research. This review summarizes the most important findings in this active research area, covering the preconditioning triggers, potential mechanisms, mediators, and functional benefits for stem cell transplant therapy.

Keywords

Stem cell preconditioning; Stroke; Ischemia; Neurodegenerative disorder; Heart attack

Introduction

Stem cell transplant is a rapidly developing potential regenerative therapy for stroke, heart attack, wound healing, kidney failure and other degenerative disorders. Stem cells and progenitor cells may promote tissue repair and functional recovery via enforcing trophic support and cell replacement mechanisms ^[1]. So far, several cell-based therapies using different stem cells and progenitors such as mesenchymal stem/stromal cells (MSCs), endothelial progenitor cells (EPCs), embryonic or hematopoietic stem cells and c-kit⁺ cells have been under extensive pre-clinical and clinical investigations for a variety of disorders ^[2]. However, a number of issues and problems remain unresolved and need

Conflict of Interest Statement

All authors declare that they have no conflict of interest.

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specific attention in order to develop successful clinical treatments. These may include, but are not limited to: appropriate cell source in consideration of therapeutic value and ethical concerns, cell type specific differentiation, survival of transplanted cells in the pathological environment subjected to multiple insults including ischemia/hypoxia, excitotoxicity, reactive oxygen species (ROS), inflammatory response, apoptotic cascade activation, excessive autophagy and so on. In addition, homing of transplanted cells to the lesion site, integration/engraftment of grafted cells with host cells/tissues, and, finally, desirable neural network repair and functional recovery require the development of target specific strategies ^[2–4]. Although many in the field may ignore these dilemmas and move forward to clinical trials, we believe that it is critically important to recognize and address these issues in order to avoid potential risks and failures in clinical application of the cell-based therapy.

Ischemic or hypoxic preconditioning has been extensively investigated in various cell types. organs, animal models and humans ^[4–9]. Although the benefits of ischemic/hypoxic preconditioning by mobilizing the endogenous defense mechanism are consistently demonstrated in cell cultures, animal models and human organs, the conventional preconditioning *in vivo* approach or the *in vitro* mechanistic investigation are not clinically feasible except in a few cases such as remote ischemic preconditioning (RIPC) that uses a similar ischemic insult applied to limbs or legs for a protective effect on the CNS^[4, 10–12]. However, the safety and therapeutic range of the ischemic insult in RIPC have not been well investigated; preconditioning stimuli still potentially cause structural damage in patients ^[13]. A recent development in combining the preconditioning strategy with stem cell therapy opens a door for a broader opportunity of clinical applications of hypoxic preconditioning ^[14–17]. Work from us and a few other groups showed that exposure of stem cells or progenitor cells to sub-lethal hypoxia or other preconditioning insults increased the tolerance of these cells to multiple injurious insults and protected them against the harsh environment after transplantation. Since then, there has been a surge of research papers on this topic (Tables 1 and 2).

Up to now, a number of preconditioning triggers have been tested in stem cells and stem cell-derived progenitor cells. These triggers are often sublethal insults such as ischemia ^[18], hypoxia ^[19–23], anoxia ^[24–26], hydrogen sulfide (H₂S) ^[27], hydrogen dioxide (H₂O₂) ^[28], and carbon monoxide (CO) ^[29]. Alternatively, preconditioning can be achieved using preconditioning mediators such as erythropoietin (EPO) ^[16, 30], stromal-derived factor-1 (SDF-1) ^[31–33], insulin-like growth factor-1 (IGF-1) ^[34], heat shock proteins (HSPs) ^[35, 36] or pharmacological agents such as diazoxide ^[37–40], apelin ^[41], isoflurane ^[42], lipopolysaccharide (LPS) ^[43], and cobalt protoporphyrin (CoPP) ^[44] (Tables 1 and 2).

The following review highlights some advances in preconditioned stem/progenitor cells, the mechanism of preconditioning and its benefits, which are bringing new approaches for enhanced cell quality/adaptability and improved transplantation therapy for human diseases.

A. Pathways Involved in Preconditioning Stem Cells and Other Cells

As energy metabolism dysfunction and glutamate excitotoxicity occur in ischemic brain injury, mass cell death is induced in hours to days with additional injury from increased free radicals, inflammatory responses, activation of apoptotic cascades and other pathological processes. Preconditioning treatments applied to stem cells have been shown to enhanced resistance to those insults by increasing survival signals ^[45, 46]. Many survival and protective molecules including hypoxia-inducible factor-1 (HIF-1) ^[47], trophic/growth factors ^[2], Akt ^[43, 48], extracellular signal-regulated kinase (ERK) ^[48], glycogen synthase kinase-3 β (GSK-3 β), matrix metalloproteinase-2 (MMP-2) ^[25], survivin ^[49] and Bcl-2 are involved in response to preconditioning stimuli. Selective upregulation of these molecules

coupled to enhancing protective signaling, is adequently controlled in both preconditioned stem cells and the cells adjacent to injury regions ^[50].

Central roles of HIF-1 in hypoxic/ischemic preconditioning-In ischemic/hypoxic preconditioning and neuroprotection against ischemic injury, HIF-1a and HIF-1ß play central roles ^[51, 52]. As a nuclear factor for transcriptional activation in response to hypoxia, HIF-1 acts as a low-oxygen sensor. Its translocation and activation in the nucleus result in production of several downstream genes such as vascular endothelial growth factor (VEGF). EPO, sodium-calcium exchanger-1 (NCX-1), pyruvate dehydrogenase kinase-1 (PDK-1), lactate dehydrogenase A (LDHA), and uncoupling protein-2 (UCP-2). These protein molecules act as survival signals, maintain cellular ion homeostasis, regulate the balance between oxidative stress and glycolytic metabolism in mitochondria and regulate many other stress-induced responses [52-55]. VEGF and EPO stimulate endogenous mechanisms for angiogenesis and neurogenesis, which are vital processes for wound healing and functional repairs of injuried brains [56-59]. Some other HIF-1 targeted genes such as CXCR4 and extracellular matrix proteins (MMPs) are key factors for cell migration ^[60]. Besides hypoxia, HIF-1 also responds to many inflammatory mediators such as ROS, NO, LPS and cytokines, and may further increase inducible nitric oxide synthase (iNOS) and antioxidant genes that are involved in regulation of cell fate in the inflammatory microenvironment ^[53, 61, 62]. Inhibition of HIF-1 degradation by prolyl hydroxylase inhibitor Dimethyloxalylglycine (DMOG) enhances MSC survival after exposure to serum deprivation (SD) and oxygen-glucose deprivation (OGD) [51, 63].

In stem cells, HIF-1 also plays a role in preconditioning and shows benefit for transplantation therapy (Figure 1). HIF-1 induces the cysteine glutamate exchanger system Xc- of NSCs by increasing expression of the light-chain subunit xCT ^[64], which is a rate-limiting step for brain antioxidant glutathione (GSH) production ^[65]. HIF-1 overexpression in MSCs upregulates a set of genes that contribute to cell adhesion, migration and paracrine effect. Transplantation of these cells into the myocardium of rats shortly after induction of myocardial infarction (MI) enhances the recovery of cardiac functions and angiogenesis ^[66]. A clinical drug for the treatment of angina pectoris, *Trimetazidine* (TMZ), has been used to precondition MSCs and shows cardioprotection mediated by HIF-1 ^[49]. These results have suggested that HIF-1 is an important mediator in stem cell preconditioning (Figure 1).

Preconditioning induced changes in mitochondria—Mitochondria play an important role in cytoprotection and preconditioning of cells ^[67, 68] (Figure 1). Generation of ROS in mitochondria is one of the main triggers that induce ischemic tolerance in brain and heart ^[69, 70]. Many insults, including H_2O_2 ^[71], H_2S ^[72] and CO ^[29] exert protective effects by inducing mitochondrial ROS production. Appropriate ROS production in mitochondria can effectively protect H9c2 cells and MSCs against consequent injurious oxidative stress induced by severe hypoxia ^[21, 22]. Preconditioning c-kit⁺ human cardiac stem cells with cobalt protoporphyrin (CoPP) induces oxygenase 1 to generate endogenous CO and increases H_2O_2 . This preconditioning effect is attenuated by knocking down HO-1, COX-2, or Nrf2 antioxidant genes ^[44]. Preconditioning BMSCs with O₂ can also increase the antioxidant capacity by upregulating stanniocalcin-1 ^[73].

Exposure to sublethal OGD can precondition neuronal cultures via increased H_2O_2 ^[74]. Minocycline-preconditioned NSCs upregulate antioxidant genes such as NQO1 and HO-1, induced by the stress-inducible transcription factor Nrf2 ^[75]. Coenzyme Q10 (CoQ10), essential for mitochondrial electron transport, is shown to protect NSCs against hypoxia ^[67]. Upregulated antioxidants may further protect transplanted NSCs against ischemic reperfusion injury and sustained inflammation.

The opening of mitochondrial permeability transition pores (mPTPs) leads to release of cytochrome c and apoptosis-inducing factor (AIF) into the cytoplasm and activation of apoptotic cascades. Overloaded Ca²⁺ and its interaction with polyphosphate (polyP) in the inner mitochondrial membrane can induce mPTP to open and thus increase mitochondrial membrane permeability in cardiomyocytes, neurons and astrocytes ^[76, 77]. This event, which is a leading cause of cardiac/brain damage and cell death, again, can trigger either a preconditioning or an injurious reponse depending on the severity, duration, and timing of the event. A sublethal hypoxia-induced preconditioning is able to stabilize mitochondrial membrane potentials ^[78]. In another report, the inhalation anesthetic isoflurane-induced preconditioning in cardiomyocytes was mediated by depolarized mitochondria membrane potentials and delayed opening of mPTPs ^[79].

 Ca^{2+} and/or ATP sensitive potassium channels exist on the mitochondrial membrane, which play key roles in regulating the mitochondrial potential. A large-conductance Ca^{2+} -activated potassium channel in mitochondrial fractions of H9c2 cells was shown to be involved in pharmacological preconditioning using N(6)-cyclopentyladenosine (adenosine A₁ receptor agonist) and NS1619 (Ca²⁺-activated K⁺ channel opener), which protects the cardiomyoblasts against *in vitro* hypoxia, glucose and serum deprivations ^[80].

Investigations on neuronal cells and cardiomyocytes showed that activation of mitochondrial ATP-sensitive potassium (mitoK_{ATP}) channels are involved in cytoprotection by attenuating mitochondrial Ca²⁺ overload thus preventing mPTP induction ^[81]. Diazoxide, a pharmalogical agent to open mitoK_{ATP}, can increase the survival of skeletal myoblasts ^[38, 39] and MSCs ^[37]. Isoflurane-induced preconditioning that increases the survival of cardiac progenitor cells (CPCs) has revealed similar mechanisms through targeting the mitoK_{ATP} ^[42].

Heat shock proteins are a group of conserved proteins that are upregulated during stress conditions including ischemia/hypoxia. The upregulated Hsp70 and Hsp90 after preconditioning in myocardium is reported to inhibit the mitochondrial release of second mitochondria-derived activator of caspase (SMAC) and prevent activation of caspase-9 and caspase-3 ^[36]. Hsp70 is also upregulated in other cells and tissues exposed to preconditioning insults including sublethal OGD, transient focal ischemia and intermittent hypoxia. The Hsp70 upregulation have protective effects on cortical neuronal cultures ^[82], PC12 adrenal medulla pheochromocytoma cells ^[83], and renal tubular cells ^[84]. Additionally, Hsp90 and Hsp70 may form a complex with Cx43 and facilitate the translocase of the outer membrane 20 (TOM20)-mediated translocation of Cx43 onto inner mitochondrial membranes ^[85], regulating another important molecular mechanism in preconditioning.

Gap-junction protein Cx43—Connexins are a group of gap-junction proteins that facilitate communications between adjacent cells in the form of hemichannels. Connexin-43 (Cx43) is highly expressed in heart and is a potential target for anti-arrhythmogenic therapy ^[86, 87]. RNAi-mediated Cx43 inhibition reduces survival of antigen-1-positive (SCA-1⁺) stem cells *in vitro* under OGD and *in vivo* after transplantation to infarct heart ^[88]. In the brain, astrocytes also express Cx43 hemichannels through which ATP and glutamate can be released to cause neuronal toxicity. Treatment with cytokines including TNF- α and IL-1 β can reduce glial membrane Cx43 level. This effect contributes to membrane permeability changes in response to inflammatory stimuli ^[89]. Some studies have suggested that preconditioning can reduce the degradation of Cx43 in astrocyte and other cells, thus markedly increasing the Cx43 hemichannels in the plasma membrane ^[90]. Opening of Cx43 hemichannels releases ATP and accumulates extracellular adenosine, which has been shown to activate purine signaling to have neuroprotective and

cardioprotective effects via suppression of metabolism. Hypoxic preconditioning of NSCs increases Cx43 and enhances hemi-channel functions after transplantation, which may be important for early communications between transplanted stem cells and host cells ^[91].

Recent data show that expression of Cx43 in mitochondrial membranes improves SCA-1⁺ stem cell survival after transplantation, consistent with the roles of Cx43 in cytoprotection via mitochondrial pathways ^[92]. IGF-1-activated ERK1/2 can induce the translocation of Cx43 onto the mitochondrial inner membrane (mito-Cx43), where mito-Cx43 may interact with Bcl-2 and reduce cytochrome c release from mitochondrial inner membrane, leading to anti-apoptotic effects ^[92, 93]. Supporting a relationship between mito-Cx43 and apoptotic signaling, genetically modified MSCs overexpressing Cx43 show increased Bcl-2 and phosphorylated Akt. This provides a potential anti-apoptotic mechanism for hypoxic tolerance either *in vitro* or *ex vivo* ^[94]. Furthermore, the K_{ATP} channel Kir6.1 can interact with Cx43 in mouse cardiomyocytes and embryo fibroblasts, implicating a role for Cx43 in ischemic/hypoxia preconditioning via K_{ATP} channels ^[95, 96]. Lithium chloride (LiCl) preconditioning of skeletal myoblasts can also upregulate the expression of Cx43 and promote skeletal myoblast proliferation through interactions with canonical Wnt signaling ^[97]. A possible molecular mechanism may involve inactivation of GSK-3 β , stabilization of β -catenin, and nuclear translocation to promote gene transcriptions.

SDF1-CXCR4 axis—Stromal-derived factor-1 (SDF-1), or CXCL12, is a CXC chemokine family member. Two major isoforms, SDF-1α and SDF-1β, generated by alternative gene splicing have been identified in membranes of various cell types ^[98]. In bone marrow SDF-1 binding to its receptor CXC chemokine receptor 4 (CXCR4), which is known as the SDF-1/CXCR4 axis, plays critical roles for mobilization, homing and engraftment of HSCs ^[99, 100]. Functional activities of the SDF-1/CXCR4 axis can also be elevated under hypoxic/anoxic exposures ^[101]. Upregulation of SDF-1 and/or CXCR4 genes under stress conditions has been confirmed in several stem cell types including MSCs ^[33, 47, 102], peripheral blood mononuclear cells (PBMNCs) ^[103], cardiosphere-derived Lin⁻ c-kit⁺ progenitors (CLK) ^[104], bone marrow c-kit⁺ cells ^[105] and hemangioblasts ^[106].

Hypoxia induces CXCR4 and CXCR7 expression in BMSCs via upregulated HIF-1a ^[47]. EPO, a cytokine regulating haematopoiesis and neuroprotection, is also shown to upregulate SDF-1 in ischemic heart myocardium and recruit CD34⁺/CXCR4⁺ cells from blood ^[107]. Blocking EPOR can reduce CD34⁺/CXCR4⁺ cells in the heart. These highlight the endogenous preconditioning mechanisms in mobilization of stem/progenitor cells and homing of these cells to lesion sites in heart. In the ischemic neonatal brain, upregulated SDF-1 helps to recruit BMSCs to the injuried regions ^[108]. Interestingly, both *in vivo* tumor cells and tumor cell-conditioned medium can recruit MSCs by increasing production of SDF-1 in MSCs. SDF-1 can bind to CXCR4 and CXCR7 to activate focal adhesion kinase (FAK) mainly through JAK2/STAT3 signaling to promote MSC migration ^[109]. Alternatively, SDF-1-induced migration of EPCs has been shown to be mediated by PI3K/ Akt/eNOS ^[110].

Regulation by microRNA and cfDNA—MicroRNAs (miRs) are a group of short RNA molecules that are involved in post-transcriptional downregulation via complementary binding to target mRNA transcripts. Through targeting caspase 8-associated protein-2 and programmed cell death-10 mRNAs respectively, miR-210 and miR-107 exert significant anti-apoptotic effects in BMSCs ^[111]. Differentiation-related functions of HIF-1β after hypoxic induction can be partially inhibited by miR-107 in bone marrow-derived EPCs ^[112]. During ischemia-reperfusion (I/R), endogenous protective miRs are upregulated ^[113]. Pharmacological agents, including diazoxide ^[113], can induce protective miR expressions. Except for microRNAs, cell-free DNAs (cfDNAs) in human blood plasma are higher in

patients suffering from many co-morbidities ^[114]. A recent investigation explored the preconditioning of MSCs with specific cfDNA for increased cell survival via Toll-like receptor 9 (TLR9) and translocation of nuclear factor-kappa B (NF κ B) ^[114]. This evidence highlights the possibility that miRs and cfDNAs may be potential new targets in stem cell preconditioning to promote their survival after transplantation.

B. Preconditioning-Induced Therapeutic Benefits in Stem Cell Therapies

Enhanced cell survival in vitro and after transplantation—The surviving quality of transplanted cells is the primary issue after the cells are transplanted into the ischemic brain or heart. The preconditioning triggers mentioned in this review generally show better survival of stem cells and progenitors *in vitro* and/or after transplantation (Tables 1 and 2).

In our investigations, preconditioning using sublethal hypoxia and EPO significantly increased the tolerance of treated cells to apoptotic and other insults in vitro as well as in the harsh environments of the ischemic core and peri-infarct regions ^[14-16]. BMSCs and embryonic stem cell-derived neural progenitor cells (ES-NPCs) survived better after sublethal exposure to low oxygen (1% O_2). There was 40–50% reduction in cell death and caspase-3 activation assays. The protective effects on cultured cells lasted for at least 6 days. Hypoxic preconditioning increased secretion of EPO and upregulated expression of Bcl-2, HIF-1a, EPO receptor (EPOR), neurofilament (NF), and synaptophysin in ES-NPCs. The cytoprotective effect was diminished by blocking EPOR, while pretreatment of ES-NPCs with recombinant human EPO mimicked the hypoxic preconditioning effect. After transplantation into the ischemic brain, there was 30–40% reduction in cell death of hypoxic preconditioned ES-NPCs 3 days after transplantation compared to non-hypoxic cells. These survived ES-NPCs also exhibited extensive neuronal differentiation in the ischemic brain and enhanced recovery of sensorimotor function ^[16]. A similar pro-survival effect of hypoxia pretreatment was seen in human ES-NPCs [115]. Our earlier report revealed that ES-NPCs had potentials for peripheral nerve injury repair as well ^[116]. Consequently, enhancing the stem cell survival by preconditioning is a logic approach for application of cell-based therapies for tissue repairs ^[17].

Enhanced paracrine protective effects—Preconditioned MSCs treated with growth factors (such as TGF-a, IGF-1 and FGF-2), pharmacological agents or ischemia/hypoxia show increased paracrine potentials. Upregulated factors may include angiopoietin-I ^[117, 118], VEGF ^[20, 117–119], fibroblast growth factor-2 (FGF-2) ^[118], hepatocyte growth factor (HGF) ^[120, 121], placental growth factor (PIGF) ^[120], brain-derived neurotrophic factor (BDNF) and glial cell line-derived neurotrophic factor (GDNF) ^[75]. Treatment using some of these recombinant proteins or induced endogenous expression reduces neuronal death, promotes angiogenesis and attenuates many pathophysiological changes ^[122, 123]. Our previous reports show that a sublethal hypoxic exposure increases expression of SDF-1 and CXCR4, together with BDNF, GDNF, VEGF, FIK-1, EPO and EPOR ^[14–16, 124]. Those factors have been shown to share same trophic mechanisms that are essential for the follow-up roles of grafted stem/progenitor cells that succeed in survival. Other cytokines, such as inducible nitric oxide synthase (iNOS) isoforms, are upregulated in bone marrow-derived EPCs from ischemic mice, mediating the recruitment to rescue the infarct myocardium after bone marrow transplantation ^[18].

Enhanced migration and homing of transplanted cells—Migration and homing to the ischemic/peri-infarct regions are clinically relevant to the efficacy of exogenous cell delivery. BMSCs are recruited to ischemic heart via regulation of increasing VEGF and SDF-1 in peripheral blood ^[125]. H₂O₂-induced preconditioning is reported to increase the migration of MSCs through upregulation of CXCR4 and activation of extracellular signal-

regulated kinase (ERK) ^[126]. Hypoxic preconditioning enhances migration of MSCs via induced expression of cMet which binds to HGF and facilitates the recruitment of MSCs ^[127]. Preconditioning HSCs with SDF-1 or dextran sulfate enhances their homing efficiency to bone marrow, which is mediated via several genes including CXCR4 and MMP-9 ^[128].

In our recent investigations, we have shown that hypoxic preconditioning of BMSCs upregulates migration-associated proteins and enhances cell mobility ^[14, 15, 129]. Our earlier work revealed a novel mechanism underlying FAK phosphorylation/activation via formation of a FAK-Kv2.1 complex ^[130]. We showed that the voltage-gated potassium channel, Kv2.1, interacted with FAK as an integrin protein. The formation of the FAK-Kv2.1 complex increased FAK phosphorylation in neurons and Kv2.1-expressing CHO cells, which played a key role in cellular polarization and directed migration towards the wounded area in a traumatic injury model and an *in vitro* wound healing test ^[131]. Our recent data further show that hypoxic preconditioning significantly promotes the FAK-Kv2.1 complex formation, increases FAK phosphorylation and upregulates CXCR4 in BMSCs. All of these hypoxic effects reinforce migration capacity and homing of transplanted cells to the lesion sites ^[14, 15, 129].

Preconditioning human amniotic fluid stem cells with GDNF greatly ameliorates renal tubular injury ^[132]. In this study, increased stem cell homing was demonstrated. In pigs, ischemia preconditioned by balloon occlusion/reperfusion, recruitment of HSCs and MSCs to infarcted regions is greatly promoted and circulating VEGF, TNF-α and IL-8 are increased ^[133]. A human study also showed increasing blood IL-8 concentration and CD34+ progenitor cells after transient ischemia of lower limbs ^[134]. In ischemia preconditioned mice that are subjected to unilateral renal artery clamping, circulating c-Kit⁺/Tie-2⁺ EPCs increased. Moreover, isolation and transplantation of this cell population to mice with acute renal ischemia show successful mobilization of the splenic pool and EPC enrichment in the renal medullopapillary region ^[135]. VEGF and its receptor Flk-1 are essential for the mobilization.

In a very recent investigation, we explored the novel intranasal delivery of stem cells after ischemic stroke. Hoechst dye-labeled normoxic or hypoxic pre-treated BMSCs (1×10^6 cells/animal) were delivered intranasally 24 hrs after stroke. Cells reached the ischemic cortex and deposited outside of blood vessels as early as 1.5 hrs after administration. Hypoxia-treated BMSCs showed increased levels of proteins associated with migration, including CXCR4, MMP-2, and MMP-9. These cells survive much better and have dramatically enhanced homing efficiency to the infarct cortex when compared with normoxic cultured BMSCs.

Increased regenerative and repair potentials of preconditioned cells—Increased regenerative and repair potentials are found following enhanced migration and homing of stem/progenitor cells to the lesion sites. Many chemokine and angiogenic genes are upregulated after hypoxic induction on bone marrow-derived hemangioblasts, which promote their differentiation toward endothelial lineage ^[106]. Hypoxia enhances the differentiation of EPC-like attaching cells, which *in vivo* promote neovascularization ^[136]. The function is highly dependent on its releasing VEGF and increasing VEGF2R expression in response to hypoxia ^[57]. Hypoxia preconditioned MSCs increase expressions of Wnt4 and stronger vascular regenerative properties of these cells were observed in a hindlimb ischemia model of the mouse ^[137]. Another important signaling molecule during development, sonic hedgehog (SHH), may be involved in EPC-mediated angiogenesis and neovascularization induced by VEGF, SDF-1 and angiopoietin-1 ^[138]. Hypoxic preconditioning of hMSCs can effectively restore the osteogenic differentiation, which

shows benefit for transplantation therapy for bone regeneration ^[139]. Treatment on hMSCs with polyP, the mPTP activator, also promotes differentiation of hMSCs into osteoblastic cells through activation of FGF-2 and other related genes at the early and later stages of osteoblastic differentiation ^[140, 141].

Some trophic/growth factors such as VEGF and BDNF have been used for stem cell cultures. They promote differentiation of human fetal CD133⁺ liver cells into myogenic and endothelial progenitors, thus enhancing angiomyogenesis ^[142]. Low-intensity ultrasound and/or TGF- β 1 treatment induces *in vitro* chondrogenic differentiation of MSCs ^[143]. A recent study using ultraviolet B to precondition ADSCs significantly promotes hair regeneration after transplantation into C(3)H/HeN mice. The regenerative mechanism has been shown to include a contribution from Nox4 generated ROS. Preconditioning using sevoflurane, a volatile anesthetic, promotes the proliferation of circulating CD134⁺ CD34⁺ and CD34⁺ flk-1⁺ EPCs, leading to enhanced vascular healing and myocardial regeneration ^[144, 145]. An in vitro study on EPCs preconditioned with SDF-1 demonstrated induced secretion of FGF-2 and MMP2, enhanced cell adhesion and increased differentiation into vascular tubes. All these effects contribute to the stimulating angiogenesis in ischemic hind limb ^[32]. These effects were significantly attenuated after incubation with a CXCR4 antagonist.

Transplantation of preconditioned MSCs leads to enhanced revascularization and skeletal muscle regeneration, which is observed in the hind limb ischemia model ^[127, 137, 146], myocardial infarction model ^[14] and ischemic stroke model ^[15]. In our investigations, hypoxia-preconditioned BMSCs can significantly reduce infarct size of infarcted heart compared with non-hypoxia-preconditioned MSCs. Hypoxic preconditioned BMSCs showed increased expression and release of angiogenic factors and enhanced angiogenesis, vascularization and myogenesis.

Suppressed inflammatory and immune responses after cell transplantation—

Inflammatory and immune responses in host tissues may impose secondary and continuous danger to transplanted cells. Autologous availability from the transplant recipient makes the stem cell transplantation therapy free of immunosuppressive drugs ^[3]. Transplantations of BMSCs and adipose tissue-derived poietic preadipocyte cells for treating MI also suppress inflammatory responses via significantly decreasing myocardial proinflammatory signaling molecules including TNF- α and IL-6 ^[147]. NSC transplantation after stroke causes downregulation of many inflammation-related genes (TNF- α , IL-1 β , IL-6, IFN- γ) in the brain, and attenuates the glial scar formation by inhibiting the activation of astrocytes ^[148].

Ischemia or low dose TNF- α preconditioned C2C12 myoblasts show tolerance to ischemic injury by affecting phosphorylation and translocation of cytosolic phospholipase A2 (cPLA2) to the nucleus ^[149]. Preconditioned cells show inhibitory effects on COX I and COX II production and inflammation. In human MSCs, in response to interferon-gamma (IFN- γ) released by activated T cells and NK cells, indoleamine 2,3-dioxygenase (IDO) inhibits immune responses via decreasing proliferation of those immunocytes ^[2, 150]. These observations suggest that preconditioning stem cells with cytokines such as TNF- α and IFN- γ may enhance their immunosuppressive effects after transplantation.

We have examined expression of many pro-inflammatory cytokines/chemokines in BMSCs subjected to hypoxia treatment and observed down-regulated genes such as CC3, CC5, CC17, CCL4, CXCR3 and CXCL10 ^[15]. In hypoxic preconditioned BMSCs, expression of IL-1 β , IL-6, TNF- α and OX-42 is noticeably reduced. After intravenous injection into adult rats 24 h after ischemic stroke, compared to normoxia-treated cells, hypoxia-preconditioned BMSCs show a greater ability to suppress microglial activity in the brain.

Enhanced functional recovery by preconditioned cells—The ultimate goal of tissue repair in regenerative medicine is the restoration or recovery of functional activities. Increasing evidence supports that preconditioned cells show markedly better ability in tissue repair and functional recovery. We have demonstrated that transplantation of hypoxia-preconditioned MSCs can significantly improve left ventricular systolic pressure (LVSP), left ventricular end-diastolic pressure (LVEDP) and rate of pressure rise of the infarcted heart compared with non-hypoxia-preconditioned MSCs transplantation ^[14]. Our study on CNS disorders reveals improved motor functions for stroke animals that receive hypoxia-preconditioned MSCs in a myocardial ischemia model of diabetic mouse, transplanted cells increased capillary density and attenuated myocardial fibrosis. Fractional shortening (FS) of the post-ischemic myocardium was markedly improved in the animals that received preconditioned MSCs ^[25]. Following traumatic brain injury (TBI), application of secretome from hypoxia-preconditioned MSCs has lead to better recovery of motor and cognitive functions in rats ^[121].

In the investigations on ischemic stroke models, we showed significantly more NeuNpositive and Glut1-positive cells in the ischemic core and peri-infact regions of animals that received hypoxia preconditioned BMSC transplantation than in those that received normoxia treated BMSCs. Some NeuN-positive and Glut-1-positive cells showed eGFP or BrdU immunoflourescent reactivity, suggesting differentiation from exogenous BMSCs into neuronal and vascular endothelial cells. In Rotarod testing performed 15 days after stroke, animals that received hypoxia preconditioned BMSCs showed better locomotion recovery compared with stroke control and non-hypoxic BMSC groups ^[15]. In our recent investigation of intranasal delivery of BMSCs, we tested sensorimotor functional recovery after the barrel cortex stroke. In adhesive-removal testing performed 3 days after transplantation, stroke mice that received hypoxia-preconditioned BMSCs behaved significantly better than normoxic BMSC and vehicle-treated animals.

C. Further Directions

It is well-known that ischemic/hypoxic preconditioning can generate a cross tolerance and that an individual trigger can increase resistance of preconditioned cells to different insults. The experimental approach that synthesizes the benefits of preconditioning stem cells and progenitor cells has already shown promising therapeutic efficacy ^[151]. However, it has been assumed that in patients suffering stroke or myocardial ischemia combined with other diseases such as diabetes, one type of preconditioning strategy or preconditioning alone may not induce enough protection ^[152]. Very recent studies have attempted to combine different preconditioning triggers to increase efficacy. For example, combination of apelin-13 treatment and hypoxia-preconditioned BMSCs has been proposed as a therapeutic strategy for diabetic stroke. We have previously shown that apelin-13 is neuroprotective against apoptosis and ischemic brain damage ^[48, 153]. In addition, apelin-13 preconditioned BMSCs showed enhanced resistance to apoptotic stimulation through activation of surviving signals. Apelin-13 can also help myocardial progenitor cells survive to repair postmyocardial infarction ^[41]. Combined pre-treatment with hypoxia may activate more protective mechanisms for synergetic effects. Another preconditioning strategy may involve targeting specific treatment to enhance the survival of implanted cells and paracrine effects on endogenous regeneration. For example, co-transplanted BMSCs can enhance islet graft survival and promote revascularization via paracrine effects ^[154]. Another recent trial on cotransplantation of NSCs with hypoxia preconditioned ADMSCs showed improved survival of NSCs after implantation into spinal cord injury sites ^[155].

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Preconditioned Stem Cells

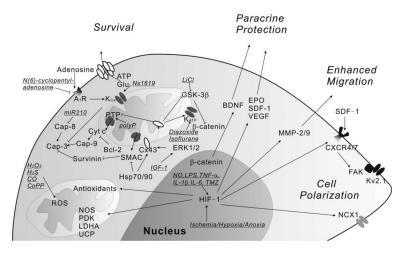


Figure 1. Central roles of HIF-1, mitochondria and signaling pathways in stem cell preconditioning

Ischemia, hypoxia, anoxia and some other insults increase HIF-1 expression. HIF-1 then regulates antioxidants, survival signals and many other genes related to cell adhesion, polarization, migration and paracrine protection. Mitochondria also play essential roles for improving cell viability responding to preconditioning insults. The underlined indicates the insults used.

Abbreviations: A_1R , adenosine A1 receptor; BDNF, brain-derived neurotrophic factor; Cap, caspase; CoPP, cobalt protoporphyrin; Cx43, connexin-43; CXCR, CXC chemokine receptor; Cyt c, cytochrome c; EPO, erythropoietin; ERK, extracellular signal-regulated kinase; FAK, focal adhesion kinase; GSK-3 β , glycogen synthase kinase-3 β ; HIF-1, hypoxiainducible factor-1; Hsp, heat shock protein; IGF-1, insulin-like growth factor-1; IL-1 β , interleukin-1beta; IL-6, interleukin-6; LPS, lipopolysaccharide; miR, micro-RNA; MMP, matrix metalloproteinase; NCX-1, sodium-calcium exchanger-1; NOS, nitric oxide synthase; PDK, pyruvate dehydrogenase kinase; polyP, polyphosphate; PTP, permeability transition pore; ROS, reactive oxygen species; SDF-1, stromal-derived factor-1; SMAC, second mitochondria-derived activator of caspase; TMZ, Trimetazidine; TNF- α , tumor necrosis factor-alpha; UCP, uncoupling protein; VEGF, vascular endothelial growth factor.

Table 1

Preconditioning triggers in stem cells and their benefits in the heart

Types and Triggers	Cells	Benefits		References
		In vitro	<i>In vivo</i> after transplantation	
Ischemia/Hypoxia/Anoxia	rat and mouse mesenchymal stem cells; rat H9c2 cardiomyoblast; rat L6 skeletal myoblast; mouse bone marrow-derived endothelial progenitor cells	improve survival;		[14, 19, 26, 35, 78]
			improve survival; increase paracrine factors; reduce infarct size; enhance angiogenesis, vascularization or myogenesis; promote functional recovery	[14, 18, 21, 24– 26, 40, 137, 156]
Preconditioning mediators: H ₂ S, IGF-1, FGF-2, TGF-a, TNF-a	rat MSCs; mouse SCA-1+ stem cells	improve survival; increase paracrine factors		[27, 117]
			improve survival; increase paracrine factors; reduce infarct size; show anti- inflammatory effects; promote functional recovery	[27, 88, 117, 119]
Pharmacological agents: diazoxide, isoflurane, LiCl, CsA, sildenafil, LPS, NS1619, N ₆ - cyclopentyladenosine, TMZ, OT, CoPP	mouse adipose tissure-derived stem cells; rat and mouse mesenchymal stem cells; human Nkx2.5 ⁺ cardiac progenitor cell; rat skeletal myoblast; rat H9c2 cardiomyoblast; c-Kit ⁺ human cardiac stem cells; human iPSC- derived cardiomyocyte	improve survival; increase paracrine factors; increase migration		[42–44, 80, 97, 118, 152, 157]
			improve survival; increase paracrine factors; recovery cardiac function	[37, 40, 43, 49, 105, 118, 158]
Injury insults: heat shock, CO	C2C12 myogenic cells; rat H9c2 cardiomyoblast	improve survival		[29, 36]

Table 2

Preconditioning triggers in stem cells and their benefits in the brain

Types and Triggers	Cells	Ben	References	
		In vitro	<i>In vivo</i> after transplantation	
Hypoxia	neural stem cells; bone marrow- derived mesenchymal stem cells	improve survival; increase paracrine factors; reduce inflammatory genes	improve survival; increase paracrine factors; promote anti-inflammatory effects; reduce infarct size; enhance angiogenesis, vascularization or neural differentiation; improve neurological performance	[15, 16, 64, 75]
Pharmacological agent: minocycline	neural stem cells	increase paracrine factors	improve survival; increase paracrine factors; promote anti-inflammatory effects; reduce infarct size; enhance angiogenesis, vascularization or neural differentiation; improve neurological performance	[75]