

Targeted Modifications in Adeno-Associated Virus Serotype 8 Capsid Improves Its Hepatic Gene Transfer Efficiency *In Vivo*

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Abstract

Recombinant adeno-associated virus vectors based on serotype 8 (AAV8) have shown significant promise for liver-directed gene therapy. However, to overcome the vector dose dependent immunotoxicity seen with AAV8 vectors, it is important to develop better AAV8 vectors that provide enhanced gene expression at significantly low vector doses. Since it is known that AAV vectors during intracellular trafficking are targeted for destruction in the cytoplasm by the host-cellular kinase/ubiquitination/proteasomal machinery, we modified specific serine/threonine kinase or ubiquitination targets on the AAV8 capsid to augment its transduction efficiency. Point mutations at specific serine (S)/threonine (T)/lysine (K) residues were introduced in the AAV8 capsid at the positions equivalent to that of the effective AAV2 mutants, generated successfully earlier. Extensive structure analysis was carried out subsequently to evaluate the structural equivalence between the two serotypes. scAAV8 vectors with the wild-type (WT) and each one of the S/T → Alanine (A) or K-Arginine (R) mutant capsids were evaluated for their liver transduction efficiency in C57BL/6 mice *in vivo*. Two of the AAV8-S → A mutants (S279A and S671A), and a K137R mutant vector, demonstrated significantly higher enhanced green fluorescent protein (EGFP) transcript levels (~9- to 46-fold) in the liver compared to animals that received WT-AAV8 vectors alone. The best performing AAV8 mutant (K137R) vector also had significantly reduced ubiquitination of the viral capsid, reduced activation of markers of innate immune response, and a concomitant two-fold reduction in the levels of neutralizing antibody formation in comparison to WT-AAV8 vectors. Vector bio-distribution studies revealed that the K137R mutant had a significantly higher and preferential transduction of the liver (106 vs. 7.7 vector copies/mouse diploid genome) when compared to WT-AAV8 vectors. To further study the utility of the K137R-AAV8 mutant in therapeutic gene transfer, we delivered human coagulation factor IX (h.FIX) under the control of liver-specific promoters (LP1 or hAAT) into C57BL/6 mice. The circulating levels of h.FIX:Ag were higher in all the K137R-AAV8 treated groups up to 8 weeks post-hepatic gene transfer. These studies demonstrate the feasibility of the use of this novel AAV8 vectors for potential gene therapy of hemophilia B.

Introduction

RECOMBINANT ADENO-ASSOCIATED VIRUS (AAV) vectors have gained significant attention as a gene therapy vector due to their lack of pathogenicity and their ability to infect different tissues (Flotte and Carter, 1995; Choi *et al.*, 2005; Wu *et al.*, 2006; Mueller and Flotte, 2008). So far, 12

serotypes of AAV (AAV1 to AAV12) vectors have been studied extensively as gene therapy vectors (Schultz and Chamberlain, 2008). These AAV serotypes share a common genome, but their unique capsid structure helps them recognize different cell-surface receptors (Grimm and Kay, 2003). AAV serotype 2 is the most extensively studied but has demonstrated only limited preclinical success (Snyder

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et al., 1997, 1999; Wang *et al.*, 1999; Mount *et al.*, 2002; Nathwani *et al.*, 2002). The capsid antigens of AAV2 are known to elicit a cytotoxic T-cell response as evidenced during liver-directed gene therapy in patients with hemophilia B (Nakai *et al.*, 2002; Manno *et al.*, 2006). To overcome such limitations imposed by AAV2 vectors, alternate AAV serotypes are being rigorously evaluated for hepatic gene transfer (Nakai *et al.*, 2002). AAV serotype 8, in particular, has consistently demonstrated remarkable transduction efficiency (10- to 100-fold) and lower immunogenicity in comparison to AAV2 vectors (Gao *et al.*, 2002; Davidoff *et al.*, 2005; Wang *et al.*, 2005; Nathwani *et al.*, 2006, 2007; Vandenberghe *et al.*, 2006). The rapid uncoating of the AAV8 vector post-internalization overcomes many of the limitations of AAV2 (Thomas *et al.*, 2004). Importantly, in the context of hepatic gene transfer, peripheral venous administration of AAV8 vectors is very effective, suggesting the high liver-targeting capacity of these vectors (Davidoff *et al.*, 2005). In addition, the long-term safety and efficacy of AAV8 vectors during hepatic gene therapy has been established in not only murine but also canine and primate models (Davidoff *et al.*, 2005; Wang *et al.*, 2005; Nathwani *et al.*, 2006, 2007). These studies formed the basis for the successful AAV8-mediated gene transfer in patients with hemophilia B (Nathwani *et al.*, 2011). In this trial, two patients who received the highest dose (2×10^{12} vg/kg) of the vector developed capsid-specific T cells that required glucocorticoid therapy to attenuate this response. Therefore, irrespective of the serotype employed, the concept of vector dose-dependent immune response persists. It is thus important to develop novel AAV8 vectors that provide enhanced gene expression at significantly less vector doses to achieve successful gene transfer in humans.

A major barrier that negatively affects AAV-mediated gene expression is the degradation of the viral particles during their intracellular trafficking *via* the ubiquitination-proteasomal degradation machinery (Zhong *et al.*, 2008). As a measure to evade phosphorylation and subsequent ubiquitination leading to vector loss, next generation of AAV2 vectors were designed with point mutations on surface exposed tyrosine residues on the capsid protein creating vectors that had higher transduction in both *in vitro* and *in vivo* settings (Zhong *et al.*, 2008). The superimposition of similar tyrosine \rightarrow phenylalanine mutations in the AAV8 capsid did not alter the gene expression from these vectors in muscle and heart tissue (Qiao *et al.*, 2012). However, like in the case of AAV2, pretreatment of cells with a proteasomal inhibitor (MG132) with AAV8 has been shown to increase their nuclear translocation and their gene expression (Liu *et al.*, 2012), although its systemic administration is likely to have severe side effects (Rajkumar *et al.*, 2005). These data suggest that amino acids other than tyrosines may be recognized on the AAV8 capsid by the host-cellular kinase machinery. Our recent studies in AAV2 vectors have implicated serine (S) and threonine (T) or lysine (K) amino acids as potential cellular kinase or ubiquitination targets, which, when substituted with compatible amino acids, improved AAV2 transduction *in vitro* and *in vivo* (Gabriel *et al.*, 2013). Based on these data, the present study was designed to test the efficacy of AAV8 vectors, which are modified at critical S/T/K residues on the vector capsid.

Material and Methods

Animals

C57BL/6 mice were purchased from Jackson Laboratory (Bar Harbor, ME). All animal experiments were approved and carried out according to the Institutional Guidelines for Animal Care (Christian Medical College, Vellore, India).

Site-directed mutagenesis

S \rightarrow A, T \rightarrow A, and K \rightarrow R mutations were introduced on the AAV8 rep/cap plasmid by QuikChange II XL Site-Directed Mutagenesis Kit (Stratagene, Agilent Technologies, La Jolla, CA) following the manufacturer's protocol (Table 1). The sites on the AAV8 capsid were selected for site-directed mutagenesis on the basis of their equivalence to the mutated residues of effective AAV2 mutants generated earlier (Gabriel *et al.*, 2013). Mutations were carried out at S279A, S501A, S671A, T252A, and K137R. The presence of the desired point mutation was verified by restriction enzyme analysis and DNA sequencing (Applied Biosystems 3130 Genetic Analyzer; Life Technologies, Warrington, United Kingdom). The amino acids on AAV8 capsid are numbered according to the National Center for Biotechnology Information (NCBI) database (accession ID: NC_006261.1).

In silico analysis of AAV8 mutants:

Prediction of phospho and ubiquitination sites

Sites of phosphorylation and ubiquitination on the AAV8 capsid were predicted using online prediction tools, namely NetPhosK (www.cbs.dtu.dk/services/NetPhosK/), Phosida (www.phosida.com/), Kinasephos (<http://kinasephos.mbc.nctu.edu.tw/>), and Scansite (<http://scansite.mit.edu/>) for phosphosites, and UbPred (www.ubpred.org/), Composition of K Spaced Amino Acid Pairs (CKSAAP_ubsite; http://protein.cau.edu.cn/cksaap_ubsite/), and Prediction of Ubiquitination site with Bayesian Discriminant Method (BDM_PUB; <http://bdmpub.biocuckoo.org/index.php>) for ubiquitination site prediction.

Analysis of three-dimensional structure

The available three-dimensional (3-D) structure of the AAV8 capsid (PDB code:2qa0) (Nam *et al.*, 2007) was analyzed extensively to determine interaction interfaces of capsid protein chains. Accessibility-based method was employed to determine the residues participating in the protein-protein interactions between capsid proteins. Briefly, solvent accessibility of every residue was computed using NACCESS tool (Hubbard, 1993), and the residues were grouped as solvent-exposed if the solvent accessibility values were more than 7%, while those with lesser accessibility were called buried residues. The residues were called interface residues if they were buried (accessibility <7%) in protein complex while being solvent-exposed (accessibility >10%) in isolated chains. The structure of the viral capsid was visualized using PYMOL software (DeLano, 2002) and was compared to the structure of AAV2 capsid using DALI tool (Holm and Park, 2000) for structure-based comparison.

TABLE 1. NUCLEOTIDE SEQUENCE OF THE MUTATION PRIMERS USED FOR SITE-DIRECTED MUTAGENESIS IN ADENO-ASSOCIATED VIRUS SEROTYPE 8 CAPSID, AND THE CHANGE IN NUCLEOTIDE AND RESTRICTION PATTERNS OF SILENT MUTATION OBTAINED WITH THE PRIMERS

Residue	Sequence (5' to 3')	Nucleotide change	Change in restriction enzyme due to silent mutation
S279A	Wild-type primer sequence: CTACTTCGGCTACAGCACCCCCTGGGGG Mutant Primer Sequence: CTACTTCGGCTACGCCACCCCCTGGGGG	<u>AGC</u> → <u>GCC</u>	SfI disappears
S501A	Wild-type primer sequence: GACAACCGGGCAAAACAACAATAGCAACTTTGCCTGGACT Mutant primer sequence: GACAACCGGTCAAACAACAATGCCAACTTTGCCTGGACT	<u>AGC</u> → <u>GCC</u>	G → T AgeI appears
S671A	Wild-type primer sequence: CCTTCAACCAGTCAAAGCTGAACTCTTTCATCACGCAATACA Mutant primer sequence: CCTTCAACCAGTCAAAGCAGAACGCTTTCATCACGCAATACA	<u>TCT</u> → <u>GCT</u>	T → A AluI disappears
T252A	Wild-type primer sequence: ACCCGAACCTGGGCCCTGCCACCTACAACAACCACCTCTAC Mutant primer sequence: ACCCGAACCTGGGCCCTGCCGGCCTACAACAACCACCTCTAC	<u>ACC</u> → <u>GCC</u>	C → G NaeI appears
K137R	Wild-type primer sequence: GGTTGAGGAAGGCGCTAAGACGGCTCCTGG Mutant primer sequence: GGTTGAGGAAGGGGCTAGGACGGCTCCTGG	<u>AAG</u> → <u>AGG</u>	C → G HhaI disappears

Prediction of antigenicity

Antigenicity of the capsid proteins was predicted using online tools, namely BCPREDS (Chen *et al.*, 2007), ABCpred (Saha and Raghava, 2006), and BepiPred (Larsen *et al.*, 2006), which employ machine-learning algorithms.

Generation of recombinant vectors

Highly purified stocks of self-complementary wild-type (WT) AAV8, or the mutant AAV8 vectors encoding the enhanced green fluorescent protein (EGFP) gene driven by the chicken β -actin promoter containing the cytomegalovirus (CMV) enhancer and SV40 poly A signal or the human coagulation factor IX (h.FIX) under the control of liver-specific promoters, human alpha-1-antitrypsin (hAAT) (Manno *et al.*, 2006), or LP1 promoter (consisting of core liver-specific elements from human apolipoprotein hepatic control region) (Nathwani *et al.*, 2011) were generated by polyethyleneimine-based triple transfection of AAV-293 cells (Stratagene). Briefly, forty numbers of 150-mm² dishes 80% confluent with AAV 293 cells were transfected with AAV8 rep-cap, transgene-containing and AAV-helper free (p.helper) plasmids. Cells were collected 72 hr post-transfection, lysed, and treated with 25 units/ml of benzonase nuclease (Sigma Aldrich, St Louis, MO). Subsequently, the vectors were purified by iodixanol gradient ultracentrifugation (Optiprep, Sigma Aldrich) (Zolotukhin *et al.*, 1999) followed by column chromatography (HiTrap Q column, GE Healthcare, Pittsburgh, PA). The vectors were finally concentrated to a final volume of 0.5 ml in phosphate buffered saline (PBS) using Amicon Ultra 10K centrifugal filters (Millipore, Bedford, MA). The physical particle titers of the vectors were quantified by slot blot analysis and expressed as viral genomes (vgs)/ml (Kube and Srivastava, 1997). The p.AAV-CB-EGFP, p.AAV-LP1-

h.FIX, and p.AAV-hAAT-h.FIX constructs were kind gifts from Dr. Arun Srivastava, University of Florida, Gainesville; Dr. Amit Nathwani, University College London; and Dr. Katherine High, Children's Hospital of Philadelphia, Philadelphia, PA, respectively.

Recombinant AAV8 vector transduction studies in vivo

Groups of 8- to 12-week-old C57BL/6 mice (n=4-8 per group) were mock-injected or injected with 5×10^{10} vgs each of WT-AAV8 or AAV8 mutant vectors, containing EGFP as the transgene, *via* the tail vein. Mice were euthanized 2 or 4 weeks after vector administration. Cross-sections from three hepatic lobes of the mock-injected and vector-injected groups were assessed for EGFP expression by a fluorescence microscope (Leica CTR6000; GmbH, Stuttgart, Germany). Images from five visual fields of mock-infected and vector-infected cells were analyzed by ImageJ analysis software (NIH, Bethesda, MD). Transgene expression (mean value) was assessed as total area of green fluorescence and expressed as mean pixels per visual field (mean \pm SD). The best-performing AAV8 capsid mutant, along with the WT-AAV8 vector containing h.FIX as the transgene (under LP1 and hAAT promoter), were administered into 8- to 12-week-old male C57BL/6 mice (n=3-4 per group) intravenously at different doses (2.5×10^{10} and 1×10^{11} vgs per mouse). Blood was collected retro-orbitally 2, 4, and 8 weeks post-vector administration. The antigenic activity of h.FIX (FIX:Ag) was measured using a commercial kit (Asserachrom, Diagnostica Stago, Asniers, France).

Biodistribution studies

Liver, spleen, lung, heart, kidney, and muscle tissue were collected from each of the mice administered with either

WT-AAV8 or the mutant vectors, 2 or 4 weeks after gene transfer. Genomic DNA was isolated using the QIAamp[®] DNA Mini Kit (Qiagen, Valencia, CA) according to the manufacturer's protocol. Quantitative polymerase chain reaction (PCR) was used to estimate the vector copy numbers in 100 ng of template genomic DNA by amplifying the viral inverted terminal repeats (ITRs) with specific probes/primers as described (Aurnhammer *et al.*, 2012) using a low ROX qPCR mastermix according to manufacturer's protocol (Eurogentec, Seraing, Belgium). Data was captured and normalized to mouse glyceraldehyde-3-phosphate dehydrogenase (GAPDH) housekeeping control gene and analyzed in an ABI Prism 7500 Sequence Detection System Version 1.1 Software (Life Technologies, Applied Biosystems).

Real-time PCR assay

Groups (n=4 per group) of 8- to 12-week-old C57BL/6 mice were mock-injected or injected with 1×10^{11} vgs each of WT-AAV8 or K137R-AAV8 mutant vectors intravenously. Two hours later, mice were euthanized. Total RNA was isolated from liver sections of each mouse using the NucleoSpin[®] RNA isolation kit (Machery-Nagel, Düren, Germany). Approximately 2 μ g of RNA was reverse transcribed using the first-strand RT kit (Qiagen, SABiosciences). The transcript levels of interleukin (IL) 1; IL6; tumor necrosis factor (TNF) α ; Kupffer cells (KC); regulated on activation, normal T cell expressed and secreted (RANTES); IL12; toll-like receptor (TLR) 2; and TLR9 was measured using primers described earlier (Hussain *et al.*, 2008; Jayandharan *et al.*, 2011) with a PCR master mix (MESA GREEN Mastermix plus, Eurogentec).

To measure EGFP transcript levels, total RNA was isolated from the murine liver samples 2 or 4 weeks post-vector administration (5×10^{10} vgs per mouse) using TRIZOL[®] reagent (Sigma Aldrich). Approximately 1 μ g of RNA was reverse-transcribed using Verso[™] Reverse Transcriptase according to the manufacturer's protocol (Thermo Scientific, Surrey, United Kingdom). TAQMAN[®] PCR was done using primers/probe against EGFP gene (Forward Primer: CTTCAAGATCCGCCACAACATC; Reverse Primer: ACC ATGTGATCGCGTCTCTC; Probe: FAM-CGCCGACCAC TACCAGCAGAACC-TAMRA) and according to the manufacturer's protocol (Eurogentec). GAPDH was used as the housekeeping control gene. Data was captured and analyzed using the ABI Prism 7500 Sequence Detection (Life Technologies, Applied Biosystems).

Ubiquitin conjugation assay and immunoblotting

Ubiquitination assay of viral capsids were done using the Ubiquitin-Protein Conjugation kit according to the manufacturer's protocol (Boston Biochem, Cambridge, MA). Briefly, energy solution, conjugation fraction A, conjugation fraction B, and ubiquitin were mixed to a final reaction volume of 100 μ L. The conjugation reaction was then initiated by adding 3×10^8 heat-denatured WT-AAV8, mutant AAV8, or WT-AAV5 viral particles and incubated at 37°C for 4 hr. Equal volumes of sodium dodecyl sulfate (SDS) denatured samples were then resolved on a 4–20% gradient gel. The ubiquitination pattern for the different viral particles was detected by immunoblotting of the samples with mouse anti-ubiquitin monoclonal antibody (P4D1) and horseradish

peroxidase (HRP)-conjugated anti-mouse IgG1 secondary antibody (Cell Signaling Technology, Boston, MA). To check for equal loading of the samples, 3×10^8 particles of WT-AAV8 and K137R-AAV8 vectors were heat denatured with radioimmunoprecipitation assay (RIPA) buffer containing protease inhibitor cocktail (Cell Signaling Technology). The samples were then resolved on a 4–20% gradient gel. The VP1, VP2, and VP3 capsid proteins were detected with AAV clone B1 antibody (Fitzgerald, North Acton, MA) and HRP-conjugated anti-mouse IgG1 secondary antibody (Cell Signaling Technology).

Estimation of neutralizing antibodies against AAV8 vectors

Heat inactivated serum samples from WT-AAV8 or S→A and K→R mutant AAV8-injected animals were assayed for the neutralizing antibody (NAb) titers as described previously (Calcedo *et al.*, 2009) by the Immunology Core at the University of Pennsylvania. The NAb titer is reported as the highest plasma dilution that inhibited AAV transduction of Huh7 cells by 50% or more compared with that of the naive serum control.

Results

Criteria for choosing the specific serine/threonine/lysine residues in the AAV8 capsid for mutagenesis

Our recent studies on bioengineering of AAV2 capsid at specific S/T/K sites have demonstrated an increase in the transduction efficiency of the mutant vectors both *in vitro* and *in vivo* (Gabriel *et al.*, 2013). However, since the potential of AAV2 as a vector for hepatic gene transfer is limited due to higher prevalence of neutralizing antibodies against AAV2 than AAV8 vectors (43.5 vs. 22.6%) (Li *et al.*, 2012), and the recent success demonstrated with AAV8 vectors for gene therapy of hemophilia B (Nathwani *et al.*, 2011), we reasoned that modified AAV8 vectors will be a better alternative for hepatic gene therapy. Thus, in the present studies

Serotype	K137R	T252A	S279A	S501A	S671A
AAV1	GAKT	LPTY	GYST	NNSN	FASF
AAV2	PVKT	LPTY	GYST	NNSE	FASF
AAV3	AAKT	LPTY	GYST	NNSN	FASF
AAV4	AGET	LPTY	GFST	TGSD	VNSF
AAV5	GAKT	LPSY	GYST	RASV	VSSF
AAV6	GAKT	LPTY	GYST	NNSN	FASF
AAV7	GAKT	LPTY	GYST	NNSN	FASF
AAV8	GAKT	LPTY	GYST	NNSN	LNSF
AAV9	AAKT	LPTY	GYST	NNSE	LNSF
AAV10	GAKT	LPTY	GYST	NNSN	LASF

FIG. 1. Schematic representation of the serine (S), threonine (T), and lysine (K) residues mutated in adeno-associated virus serotype 8 (AAV8) and their conservation status across other AAV serotypes. VP1 protein sequences from AAV serotypes 1 through 10 were aligned by ClustalW, and the conservation status of each of the target sites for mutagenesis is shown in red. Color images available online at www.liebertpub.com/hgtb

TABLE 2. PHYSICAL PARTICLE PACKAGING TITERS (VIRAL GENOMES/ML) OF VARIOUS SERINE/THREONINE/LYSINE MUTANT AAV8 VECTORS

Serine (S) >alanine (A)	Threonine (T) >alanine (A)	Lysine (K) >arginine (R)
S279A (8×10^{12}) S501A (4×10^{13}) S671A (4×10^{13})	T252A (8×10^{11})	K137R (4×10^{13})

Average packaging titers from at least two packaging experiments are shown. The titer of wild-type self-complementary AAV8 vectors was 4×10^{13} viral genomes/ml in the laboratory.

we selected specific AAV8 serine (S279, S501, and S671) and threonine (T252) residues whose mutagenesis on equivalent residues in AAV2 sequence showed the highest increase in transduction efficiency. Incidentally, the residues chosen for mutagenesis in AAV8 capsid are also conserved in at least 9 out of the 10 AAV capsid sequences analyzed (Fig. 1). The lysine K137 residue was chosen based on the highest probability of being ubiquitinated as predicted by the UbPred software (www.ubpred.org). Except for the T252A mutant, which had a 50-fold lower titer, all the other S/K mutant vectors had comparable packaging efficiency to WT-AAV8 vectors suggesting that S→A, K→R mutations were compatible modifications on the AAV8 capsid (Table 2).

Structure–function analysis of the proposed sites of mutagenesis

The mutants generated were subjected to extensive computational analysis to evaluate structural and functional equivalence between the two serotypes, AAV2 and AAV8, as opposed to the sequence equivalence, which was the criteria behind the selection of mutation positions. These mutation positions were scrutinized with respect to their inclusion in phosphodegrons (phosphorylation sites recognized as degradation initiation signals by ubiquitin ligases), they being either phosphosites or ubiquitination sites, or their participation in the protein–protein interactions of the capsid proteins. Also, inclusion of these positions in the antigenic segments on capsid proteins and/or in the receptor-binding regions were investigated further.

As summarized in Table 3, S671 lies in the phosphodegrogen region (652–671) rich in prolines. The presence of phosphosites (S667, S671, T654, T662, T663, and T674) and a Ub-site (K652) were predicted to lie within the phosphodegrogen region, as highlighted in Figure 2A and B. This serine residue neither participates in the interaction interface nor in receptor

binding. This phosphodegrogen region containing S671 has also been predicted to be highly antigenic (presence of B-cell epitopes) by all the three prediction tools independently. Thus, mutation in the AAV8 capsid protein at S671 can be expected to be able to evade host degradation machinery effectively. Structure comparison between AAV2 and AAV8 serotypes, as shown in Figure 2C and D, revealed that the particular phosphodegrogen is well conserved across the two serotypes.

However, same is not the case with the other two phosphodegrons seen in AAV2. As indicated in the Figure 2C, the crucial acidic residues of the phosphodegrogen 3 of AAV2 have been replaced in AAV8 capsid protein, along with some of the phosphosites in the region. Hence, the equivalent of this phosphodegrogen is absent in AAV8. Replacing residue S501 in this region may not be very effective in increasing the transduction efficiency of the vector, although the equivalent residue S498 in AAV2 was found to be very effective in improving the transduction of AAV2 (Gabriel *et al.*, 2013). Also, S501 residue lies in the receptor-binding region of AAV8, which marks one of the few differences between the two serotypes.

The residue K137 is not part of the available AAV8 capsid structure. However, thorough sequence analysis of the region indicated presence of phosphodegrogen-like region nearby, namely the residues 122–167 that are rich in prolines. Interestingly, the prolines in this region seem to be well conserved in AAV2, as can be seen in Figure 2F. Besides K137, the phosphosites (T138, S149, S153, S156) and Ub-sites (K142, K143) have been predicted to lie within this phosphodegrogen region in AAV8. Also, the K137 residue does not belong to the receptor-binding region. The phosphodegrogen-like region has been predicted to be a B-cell epitope, which suggests that it could be solvent exposed and highly antigenic. Thus, K137 can be an excellent target to be mutated to improve transduction efficiency of the vector by increasing its half-life in the host cell.

The positions T252 and S279 appear to be weak targets for mutagenesis in AAV8, although their sequence counterparts in AAV2 were effective in improving transduction efficiency of the vector upon mutagenesis (Gabriel *et al.*, 2013). As can be seen in Table 3, S279 lies in the interaction interface of the capsid proteins. As the two residues have neither been predicted as phosphosites nor been predicted to be antigenic, mutating these residues may not be very effective in improving the transduction efficiency of AAV8 vector.

Despite the strong indications from the structural studies mentioned above, as some of the proposed mutations may be

TABLE 3. STRUCTURE ANALYSIS OF MUTATION POSITIONS

Serial no.	Mutation	Phosphodegrogen prediction	Phosphosite prediction	Ub-site prediction	Interface residue	Receptor-binding region	Antigenic nature (B-cell epitope prediction)
1	S671A	Yes (652–671)	Yes	—	No	No	Yes
2	K137R	Yes (122–167)	—	Yes	—	No	Yes
3	S501A	No	No	—	Yes	Yes	Weak
4	S279A	No	No	—	Yes	No	Weak
5	T252A	No	Yes	—	No	No	No

Mutation positions were analyzed extensively based on various criteria as listed.

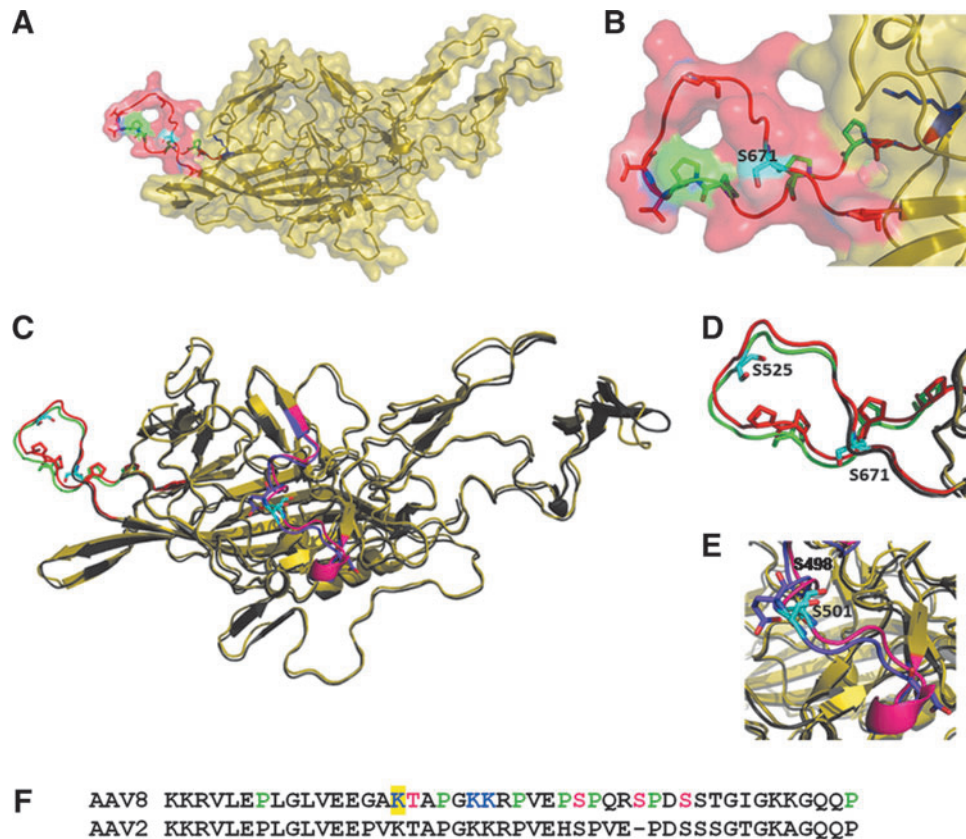


FIG. 2. The phosphodegion in AAV8 capsid structure. **(A)** The figure shows the capsid protein from AAV8 (PDB id: 2qa0), which is colored yellow. S671 (colored cyan) lies in phosphodegion region (652–674), which is colored red. The phosphodegion is rich in prolines, which are colored green. The predicted phosphosites (serines and threonine) are shown in red while the predicted ubiquitination sites are in blue. **(B)** The zoomed-in view of phosphodegion region (652–674) containing S671. **(C)** Comparison of phosphodegions in AAV8 and AAV2. The figure shows structural superimposition of AAV8 (PDB id: 2qa0) and AAV2 (PDB id: 1lp3) in yellow and gray, respectively. Phosphodegion in AAV8, colored red, is equivalent to phosphodegion2 in AAV2 (515–528), which is colored green. Phosphodegions in both AAV2 and 8 are rich in proline residues. The residue S525 in AAV2 (colored cyan) lies in the phosphodegion and has been shown to increase the transduction efficiency (Gabriel *et al.*, 2013). **(D)** The zoomed-in view of the phosphodegion2 in AAV2 and AAV8. **(E)** The zoomed-in view of phosphodegion3 of AAV2 in comparison with that in AAV8. The presence of another phosphodegion region in AAV2 (phosphodegion 3: residues 489–507), which is rich in acidic residues is colored purple. S489 and S498 in this region of AAV2 have shown to increase the transduction efficiency *in vivo*. Whereas, the equivalent region in AAV8, colored pink, lacks the acidic residues. **(F)** The figure shows the predicted phosphodegion stretch (122–137) in AAV8, which contains K137, highlighted in yellow. The phosphodegion is rich in proline and is colored green. The predicted phosphosites (T138, S149, S153, and S156) are colored magenta while the predicted ubiquitination sites (K137, K142, and K143) are colored blue. Color images available online at www.liebertpub.com/hgtb

only marginally effective in improving the transduction efficiency, all the mutants were generated subsequently. Thorough experimental analysis of these mutants helped in verifying the apparent structural differences between the two serotypes despite high sequence identity and their implications in functioning of the viruses.

AAV8-EGFP serine and lysine mutant vectors demonstrate higher hepatic gene transfer efficiency *in vivo*

In anticipation of achieving a robust liver-directed expression of the various AAV8 mutant vectors, as mentioned above, their potential efficacy was examined *in vivo*. AAV8 S/T/K mutant vectors were administered at a dose of 5×10^{10} vgs/animal. Two of the S→A mutants (S279A and

S671A) and the K137R mutant tested had a 3.6- to 11-fold higher EGFP expression by fluorescence imaging (Fig. 3A and B) and a 9- to 46-fold higher EGFP transcript level as analyzed by quantitative PCR (Fig. 3E). The T252A mutant had lower levels of EGFP expression when compared to the WT-AAV8 vector, 2 weeks postadministration (Fig. 3C, D, and F). When the transduction efficiency of the K137R mutant was assessed in a more immunogenic BALB/c strain of mice (Michou *et al.*, 1997; Breous *et al.*, 2010), a similar increase in transduction was noted for the K137R mutant (14-fold by fluorescence imaging, data not shown) as compared to the WT-AAV8 vector. To further corroborate this data, the biodistribution of AAV8 vectors in recipient mice was analyzed by quantitative PCR measurement of vector genomes in various tissues. Table 4 demonstrates that the K137R mutant had the best tropism for liver as compared to the

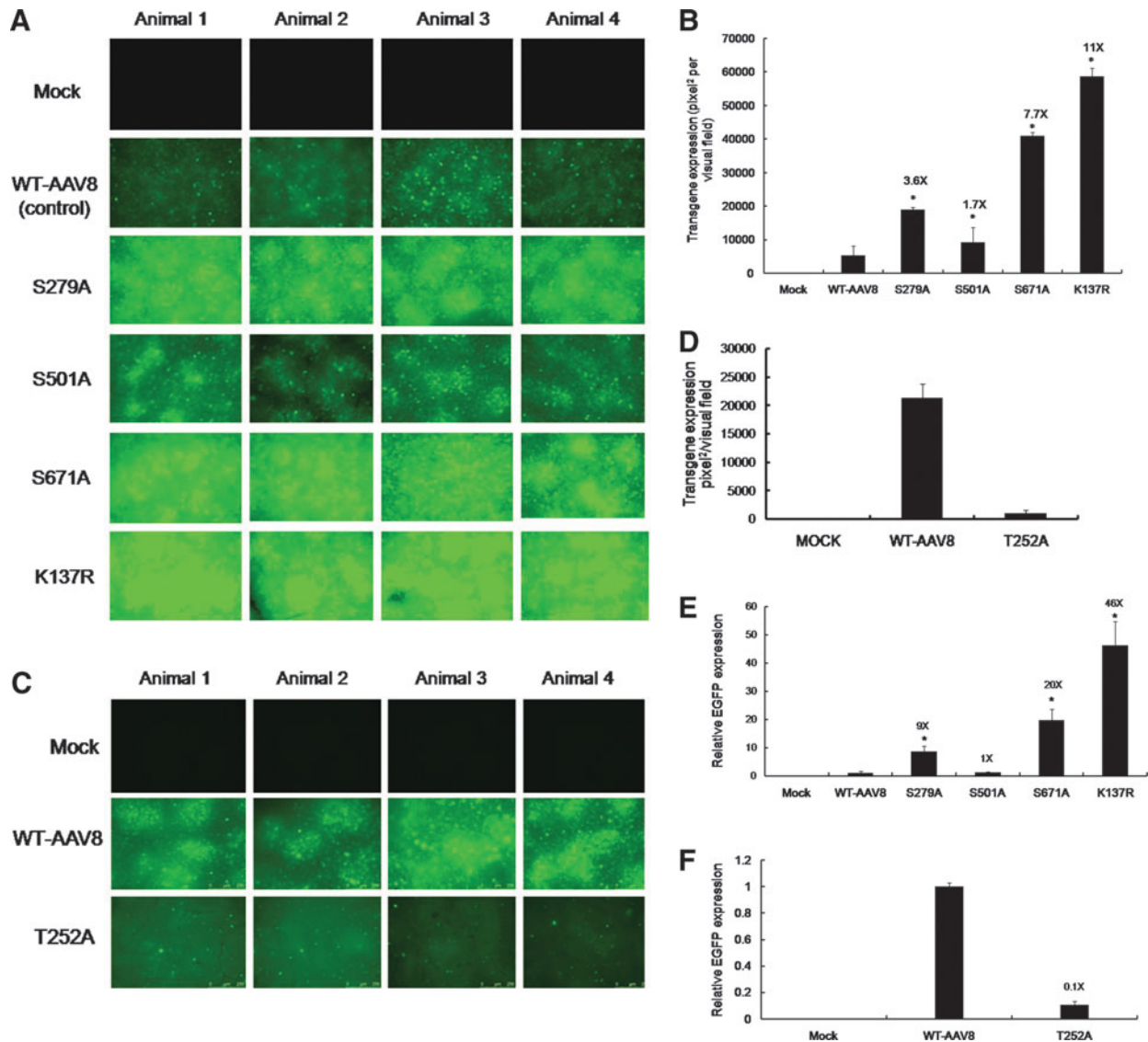


FIG. 3. AAV8 serine and lysine mutant vectors exhibit enhanced transduction upon hepatic gene transfer *in vivo*. **(A)** Representative images from each animal ($n=4$ for mock, S279A, S501A, and S671A; $n=8$ for WT and K137R) showing the transgene expression as detected by fluorescence microscopy 4 weeks postinjection with 5×10^{10} particles/animal of wild-type or S \rightarrow A and K \rightarrow R mutant AAV8 via the tail vein. **(B)** Quantitative analyses of the data from **(A)**. **(C)** Representative images from each animal ($n=4$) showing the transgene expression as detected by fluorescence microscopy 2 weeks postinjection with 5×10^{10} particles of wild-type or T \rightarrow A mutant AAV8 vectors. **(D)** Quantitative analyses of the data from **(C)**. All the images were taken at the same exposure within each group (**A** or **C**) tested [207 milliseconds for **(A)** and 1.2 sec for **(C)**, gain ($n=2$) and intensity ($n=4$) in a fluorescence microscope (Leica CTR6000). **(E)** Enhanced green fluorescent protein (EGFP) transcript levels normalized to glyceraldehyde-3-phosphate dehydrogenase (GAPDH) expression in murine hepatocytes 4 weeks or **(F)** 2 weeks post-vector administration as measured by quantitative polymerase chain reaction (PCR). Analysis of variance (ANOVA) was used to compare EGFP expression between wild-type (WT) or mutant AAV8 treated groups. $*p < 0.05$. Color images available online at www.liebertpub.com/hgtb

WT-AAV8 (106 vs. 7.7 vector copies/mouse diploid genome). Interestingly, a preferential transduction of the lungs (0.13 vs. 0.03 vector copies/mouse diploid genome), and muscle tissue (1.38 vs. 0.45 vector copies/mouse diploid genome) was also noted for the K137R mutant suggesting that this vector has a better systemic transduction profile when compared to the other vectors tested. Similarly, the S \rightarrow A mutant vectors (S279A, S501A, and S671A) generally showed increased transduction of the liver and muscle compared to

WT-AAV8 vectors (Table 4). However, the T252A mutant was considerably retargeted to other tissues such as the lungs (Table 4), which may explain the low levels of EGFP expression seen in the liver (Fig. 3C, D, and F). These results clearly indicate that the S \rightarrow A and K \rightarrow R mutant capsids augment the hepatic transduction of AAV8 vectors, with K137R being the most effective. Hence, this mutant in particular was subjected to further analysis to gain insights into mechanisms by which it exhibits its effects on transduction of the vector.

TABLE 4. VECTOR BIODISTRIBUTION IN VARIOUS ORGANS IN C57BL/6 MICE 2 OR 4 WEEKS POST-GENE TRANSFER WITH THE WT-AAV8 AND S/T/K MUTANT AAV8 VECTORS

Vector type	Muscle	Liver	Kidney	Lung	Spleen	Heart
WT-AAV8 (4 weeks)	0.45±0.2	7.77±0.8	0.0016±0.00045	0.03±0.07	0.004±0.0009	0.0003±0.00006
K137R-AAV8 (4 weeks)	1.38±0.4	106.8±11	0.0011±0.0003	0.13±0.09	0.004±0.0006	0.0006±0.00004
S279A-AAV8 (4 weeks)	2.84±0.5	30.3±3.2	0.0029±0.0007	0.01±0.003	0.002±0.0005	0.0007±0.00001
S501A-AAV8 (4 weeks)	3.07±0.6	15±1.9	0.003±0.0008	0.11±0.08	0.0009±0.0008	0.003±0.0002
S671A-AAV8 (4 weeks)	1.89±0.3	65.89±5.6	0.0006±0.00012	0.051±0.01	0.001±0.0006	0.001±0.0008
WT-AAV8 (2 weeks)	0.008±0.08	0.51±0.1	0.0006±0.0002	0.0008±0.0002	0.022±0.009	0.0001±0.00005
T252A (2 weeks)	0.01±0.005	0.01±0.002	0.0001±0.00006	0.01±0.004	0.0005±0.00009	0.0006±0.00009

Values are shown as mean (±SD) vector copy numbers per mouse diploid genome.

K137R mutant vector demonstrates higher hF.IX expression in vivo

To further study the utility of the K137R-AAV8 mutant in therapeutic gene transfer, we delivered h.FIX under the control of liver-specific promoters at two different doses (2.5×10^{10} vgs per mouse for LP1-F.IX and 1×10^{11} vgs per mouse for hAAT-F.IX) in 8- to 12-week-old male C57BL/6 mice. As can be seen in Figure 4, the circulating levels of h.FIX were higher in all the K137R-AAV8-treated groups as compared to the WT-AAV8-treated groups either at 2 weeks (62% vs. 37% for hAAT constructs and 47% vs. 21% for LP1 constructs), 4 weeks (78% vs. 56% for hAAT constructs and 64% vs. 30% for LP1 constructs), 8 weeks (90% vs. 74% for hAAT constructs and 77% vs. 31% for LP1 constructs) post-hepatic gene transfer. These results further corroborate the potential of the K137R mutant for hepatic gene therapy of hemophilia B.

56% for hAAT constructs and 64% vs. 30% for LP1 constructs), or 8 weeks (90% vs. 74% for hAAT constructs and 77% vs. 31% for LP1 constructs) post-hepatic gene transfer. These results further corroborate the potential of the K137R mutant for hepatic gene therapy of hemophilia B.

K137R mutation decreases ubiquitination of AAV8 viral capsid

To understand if the improved transduction achieved with the lysine mutant vector (K137R) is due to reduced ubiquitination of viral capsid, an *in vitro* ubiquitination assay was performed, followed by western blotting to detect the levels of mono- and poly-ubiquitin moieties on the AAV capsid (Fig. 5A). The AAV8 K137R mutant vector had significantly reduced ubiquitination pattern compared to WT-AAV8 vector. AAV8 capsid proteins VP1 (87kDa), VP2 (72kDa), and VP3 (62kDa) were probed as gel-loading controls, which showed similar levels of these proteins across the samples tested (Fig. 5B). These data provide direct evidence that the superior transduction achieved with the K137R mutant vector is due to the reduced ubiquitination of the viral capsid, which possibly results in rapid intracellular trafficking of the virus and improved gene expression.

The K137R mutant vector demonstrates reduced inflammatory cytokine and cross-neutralizing antibody response

As can be seen in Figure 6, the levels of inflammatory cytokines such as IL1, IL6, TNF α , IL12, KC, RANTES, and innate immune responsive TLRs 2 and 9 were upregulated in the hepatocytes within 2hr of the WT-AAV8 administration indicating the activation of innate immune response toward the virus as reported earlier (Jayandharan *et al.*, 2011; Martino *et al.*, 2011). Interestingly, the K137R vector had a significantly reduced activation of IL6 (6.9-fold), TNF α (2.5-fold), KC (1.5-fold), IL12 (2-fold), and TLR9 (2.2-fold) compared to the WT-AAV8 vectors (Fig. 6). Further measurement of neutralizing antibodies against the various mutants demonstrated a 2-fold reduction in the neutralizing antibody titer for the K137R-AAV8 vector (Table 5). These results imply that the mutant K137R vector is significantly less immunogenic when compared to WT-AAV8 vectors.

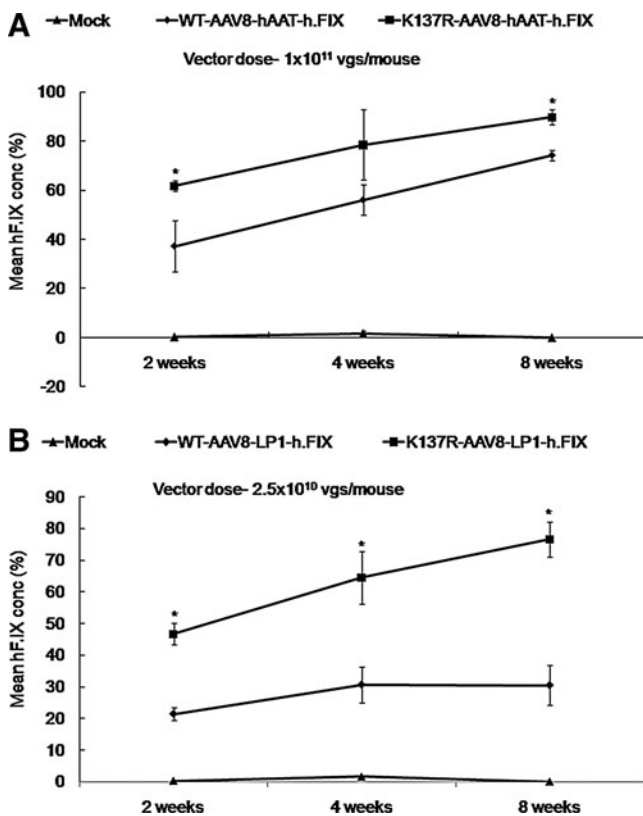


FIG. 4. K137R-AAV8 vector demonstrates superior hF.IX expression in comparison to WT-AAV8 vectors in C57BL/6 mice. Increased h.FIX expression from transgene constructs driven by either hAAT (A) or LP1 (B) promoters from animals injected with K137R-AAV8 vector and compared to the WT-AAV8 vector up to 8 weeks after hepatic gene transfer. * $p < 0.05$ vs. WT-AAV8 injected mice.

Discussion

Among the various alternate AAV serotypes (Schultz and Chamberlain, 2008) and a new generation of hybrid vectors

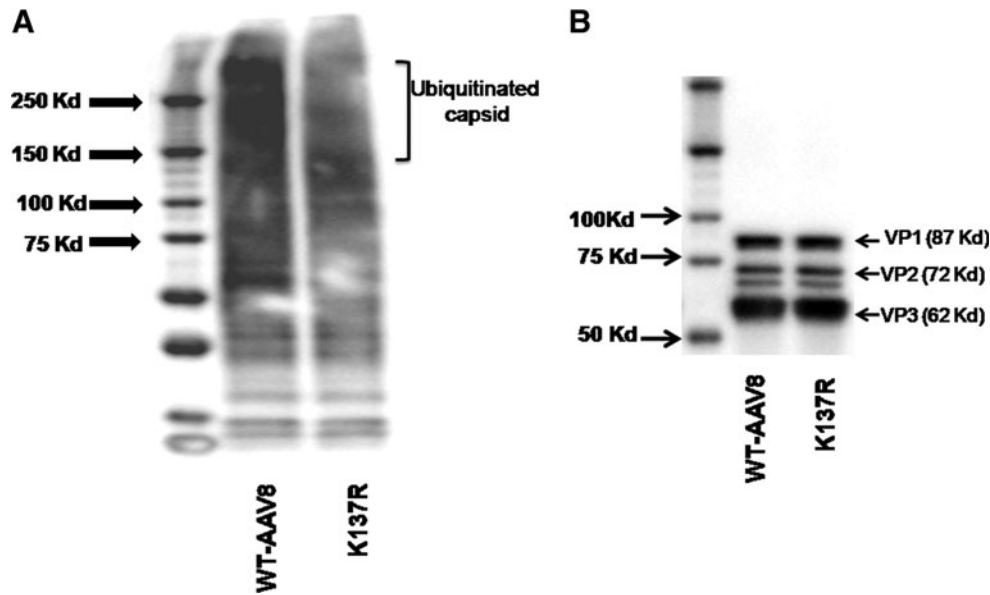


FIG. 5. K137R-AAV8 lysine mutant vector demonstrates reduced ubiquitination in comparison to WT-AAV8 vector. **(A)** Approximately 3×10^8 viral particles of WT-AAV8 and K137R-AAV8 vectors were denatured at 95°C for 5 minutes. The denatured viral particles were then used to perform the ubiquitin conjugation assay according to the manufacturer's protocol. The processed samples were electrophoresed on a 4–20% denaturing polyacrylamide gel and the ubiquitination pattern detected by immunoblotting using an anti-ubiquitin antibody. The mono-to-polyubiquitin conjugates are detected as a smear at molecular weight >150Kda. **(B)** Approximately 3×10^8 viral particles of WT-AAV8 and K137R-AAV8 vectors were denatured with radioimmunoprecipitation assay (RIPA) buffer containing protease inhibitor cocktail at 95°C for 10 minutes. The samples were resolved in a 4–20% denaturing polyacrylamide gel and the VP1 (87Kda), VP2 (72 KDa), and VP3 (62 Kda) capsid proteins were detected with AAV clone B1 antibody as described in the Materials and Methods section.

(Choi *et al.*, 2005) available for gene delivery, there is tremendous interest with AAV8 vectors. In particular, its ability to uncoat and release its genome rapidly after cellular entry may enable therapeutic transgene expression using a lower dose of vector (Thomas *et al.*, 2004; Nakai *et al.*, 2005). Also, the relatively shorter persistence of AAV8 capsid proteins in hepatocytes compared to AAV2 decreases the risk of an anti-capsid immune response (Thomas *et al.*, 2004). The ability of AAV8 vector to transduce liver efficiently by peripheral vein delivery is another factor that augurs well for widespread use of AAV8 vectors (Nathwani *et al.*, 2001, 2011; Davidoff *et al.*, 2005). AAV8 vectors also

have an ability to bypass natural neutralizing antibodies to AAV2, and combined with its low seroprevalence in humans, make it an ideal vector for gene therapy (Erles *et al.*, 1999; Li *et al.*, 2012). It is these unique biological characteristics that contributed to the success of AAV8 vectors in the conversion of six patients with severe hemophilia B into a moderate disease (Nathwani *et al.*, 2011). For this approach to be widely applicable and therapeutically successful, several issues have to be resolved (High, 2012), including the need for improvements in AAV8 vectors that can elicit lesser immune response or have the capability to express high levels of transgene at lower doses.

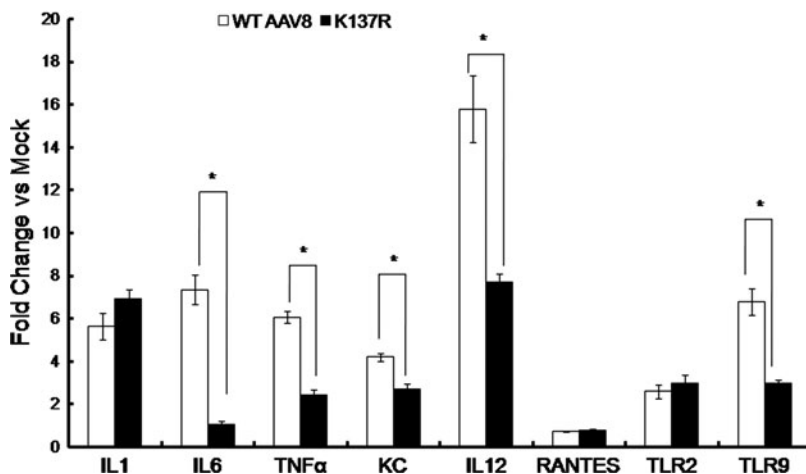


FIG. 6. K137R-AAV8 vector elicits reduced inflammatory cytokine response compared to WT-AAV8 vectors *in vivo*. Quantitative PCR was used to profile the hepatic expression of key proinflammatory cytokines and other markers of innate immune response as described in the Materials and Methods section. The relative fold change in the target gene expression from mice injected with WT-AAV8 and K137R-AAV8 vector in comparison to mock-injected animals are shown. * $p < 0.05$ denotes statistical significance as compared to the WT-AAV8-injected mice.

TABLE 5. NEUTRALIZATION ANTIBODY FORMATION AGAINST WILD-TYPE OR MUTANT AAV8 VECTORS

S. No.	Groups	Reciprocal NAb titer
1	Mock	0
2	WT-AAV8	320
3	S279A	320
4	S501A	640
5	S671A	320
6	K137R	160
7	Anti-AAV8 rabbit control serum	20480

Pooled serum samples from WT-AAV8 or S/K-AAV8 mutant injected mice (n=4 per group) 4 weeks after vector administration was studied. Heat-inactivated serum samples were assayed for the neutralizing antibody (NAb) titers as described in Materials and Methods. The NAb titer is reported as the highest plasma dilution that inhibited AAV transduction of Huh7 cells by 50% or more compared with that of the naive serum control. Limit of detection of the assay was 1/5 dilution.

The targeted modification of the AAV8 vector capsid, such as tyrosine to phenylalanine mutations, has been successful during gene transfer to the retina (Petrs-Silva *et al.*, 2011; Deng *et al.*, 2012) but their efficacy has been modest when targeted to other tissues such as muscle, heart (Qiao *et al.*, 2012). This suggests that cell-specific barriers affect their transduction potential. It is known that serine/threonine kinases are abundant in murine liver compared to tyrosine kinases (Villen *et al.*, 2007), and since S/T/K are abundant (18.3%) on the AAV8 capsid over tyrosine residues (4.4%), we reasoned that mutating amino acids other than tyrosines on AAV8 capsid may provide further opportunities to augment AAV8-mediated gene expression. Toward this, S/T/K residue positions were selected for

mutagenesis by sequence comparison between the effective AAV2 capsid mutants generated earlier (Gabriel *et al.*, 2013) and the AAV8 capsid. Systematic computational analysis carried out subsequently helped us point out the similarities and differences between the capsid structures of the two serotypes with respect to the conservation of phosphodegron regions along with phosphosites and ubiquitination sites, receptor-binding regions, and interaction interfaces between the capsid proteins. This analysis thus enabled us to envisage and understand the effects of the capsid mutations on transduction efficiency of the vectors. Indeed, our studies demonstrate that these selective modifications at S/K residues enhanced the liver-directed EGFP gene expression of AAV8 vectors. Certain mutant vectors such as S501A and S671A showed altered, but higher, transduction of the muscle tissue, which might make them useful for gene therapy of muscular dystrophy (Bowles *et al.*, 2012) or cardiovascular diseases (Zincarelli *et al.*, 2010; Pacak and Byrne, 2011). In particular, the K137R mutant had significantly higher systemic transduction efficiency, possibly due to decreased ubiquitination of the viral capsid resulting in rapid intracellular trafficking of the virus and improved gene expression. In addition, the potential therapeutic benefit of K137R mutant has been demonstrated with increased levels of h.FIX expression up to 2 months post-hepatic gene transfer. Further ongoing studies in hemophilia B mice are likely to shed more light on the potential of this vector for gene therapy of hemophilia B in humans.

The K137R mutant generated in this study was also less immunogenic when compared to WT-AAV8 vectors. Previous studies have demonstrated that ubiquitinated and proteasomally processed AAV peptides are transported to the endoplasmic reticulum and are restricted by MHC Class I molecules (Yan *et al.*, 2002; Vandenberghe *et al.*, 2006; Finn

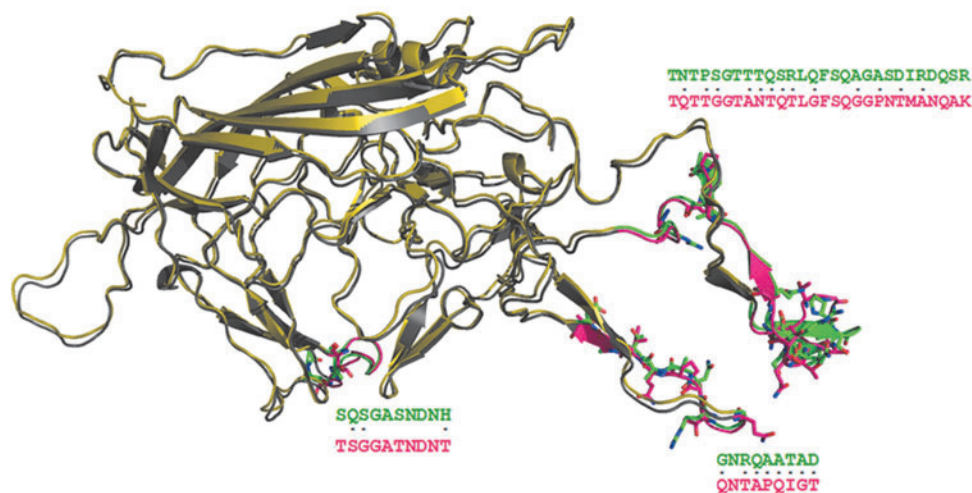


FIG. 7. Comparison of AAV8 and AAV2 capsid structures. Superimposition of AAV2 (colored yellow) and AAV8 (colored gray) capsid structures are shown. The green-colored region in AAV2 and the magenta-colored region in AAV8 show drastic residue substitutions. The residues that are drastically substituted are represented as sticks and are also marked as dots (.) in the sequence alignment between AAV2 and AAV8. These regions with drastic substitutions are away from the phosphodegron-containing and receptor-binding regions. It can be speculated that these regions could interact with the N-terminal region of the capsid structure for which the crystal structure is currently not available. Drastic differences in the interface could have an influence on the interaction with the N-terminal region of capsid structure flanking the K137. This could affect the conformation of region spanning K137 in AAV2 and AAV8 and thus contribute to their varied transduction efficiency as seen experimentally with the K137R mutation in AAV2 and AAV8 serotypes (Gabriel *et al.*, 2013). Color images available online at www.liebertpub.com/hgtb

et al., 2010). This presentation flags hepatocytes for recognition and destruction by capsid-specific CD8⁺ T cells. In addition, the processed vector can also be taken up the professional antigen-presenting cells, which after MHC class II restriction can activate a CD4⁺ T-cell response (Chen *et al.*, 2006; High, 2012). In line with these observations, the use of proteasomal inhibitors prior to AAV8 administration has shown reduced immune response and increased transduction efficiency *in vivo* (Karman *et al.*, 2012; Liu *et al.*, 2012). More importantly, K137 is known to be within a previously described MHC class II T-cell recognition epitope (L126-P140) of AAV8 in both humans and mice (Sabatino *et al.*, 2005; Chen *et al.*, 2006). This residue is also in the vicinity of a previously characterized AAV8 neutralizing antibody epitope (N113-R132) in humans (Wobus *et al.*, 2000; Gurda *et al.*, 2012). Based on these data and the reduced ubiquitination seen on the K137R capsid, it is possible that the K137R mutant has reduced antigen recognition/presentation of vector capsid in comparison to WT-AAV8 vectors. However, further detailed studies are needed to confirm this phenomenon.

Interestingly, when the same mutation, K137R, was carried out in AAV2 (Gabriel *et al.*, 2013) that is at the equivalent and conserved position in the sequence of AAV2 capsid, it did not improve its transduction efficiency. Sequence comparison of the two serotypes revealed conservation of the lysine residue as well as the phosphodegron-like neighborhood of it. In absence of the crystal structure for the region of about 200 residues encompassing K137 in both AAV2 and AAV8, it is very difficult to pinpoint the differences, if any. Nevertheless, a theoretical possibility can be envisaged that may provide a plausible explanation for the differential effects of this K137R mutation in the two serotypes. The 200-residue stretch, that is absent in the crystal structures of the two capsids, equivalent to a separate domain, can be imagined to interact with the surface of a domain from the crystal structure. The possible surfaces of the AAV2 and AAV8 capsid crystal structures that could interact with the conserved region encompassing K137 have been compared, as shown in the Figure 7. When such a comparison was carried out, three distinct regions were identified, which showed drastic residue differences between AAV2 and AAV8. Thus, the differential effects of the mutation may be arising from the interactions of the conserved regions with the unconserved regions in the two serotypes. Potential differences in the interactions involving the region with K137 could result in a different chemical and structural environment around K137 that may manifest into different effects in AAV2 and AAV8 for the mutation K137R. These possibilities, however, need an experimental validation in terms of determination of crystal structure of the full-length capsid protein.

These optimized AAV8 capsid-mutant AAV vectors in general, and K137R mutant in particular, have the potential to become important tools for therapeutic hepatic gene transfer, such as in hemophilia or alpha 1-antitrypsin deficiency. Although this study has shown proof-of-concept—the efficacy of these single-mutant AAV8 vectors with a limited number of serine or lysine modifications—our ongoing studies with multiple combinations of these mutant vectors is likely to further improve the efficiency of these second-generation AAV8 vectors.

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Author Disclosure Statement

No competing financial interests exist for all the authors.

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