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# Multidrug resistance in relapsed AML: Evidence of biological heterogeneity

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### Abstract

**Background**—Studies of mechanisms mediating resistance to chemotherapy led to the discovery of the multidrug transporter ABCB1, often expressed in leukemic cells of patients with acute myeloid leukemia (AML). Most clinical trials evaluating the strategy of inhibiting efflux-mediated chemotherapeutic resistance have been unsuccessful, clearly indicating the need for a better approach.

**Methods**—Here, we investigated the clinical relevance of 380 genes whose expression has been shown to affect the response to chemotherapy, mostly through *in vitro* studies, in 11 paired samples obtained at AML diagnosis and at relapse. The expression profiling of these 380 genes was performed using TaqMan-based qRT-PCR. Patients had a median age of 58 years at diagnosis, a median duration of complete remission (CR) of 284.5 days, and a median overall survival (OS) of 563 days. Cytogenetic abnormalities were detected at diagnosis in four patients, while five displayed a normal karyotype and two were not investigated.

**Results**—Hierarchical clustering shows that samples taken at diagnosis and relapse clustered in pairs for six patients out of the eleven studied, suggesting recurrence of the same leukemic blast, while for the other five patients, the data indicate their relapse blasts arose from different origins. A patient-by-patient analysis of the paired samples led to the striking observation that each had a unique gene signature representing different mechanisms of resistance.

DISCLOSURES The authors make no disclosures. CONFLICT OF INTEREST

The authors report no conflicts of interest.

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**Conclusions**—The data underline the need for personalized molecular analysis to tailor treatment for patients with AML.

### **Keywords**

acute myeloid leukemia; acquired drug resistance; therapy-related drug resistance; multidrug resistance; leukemia cell lines

### INTRODUCTION

Acute myeloid leukemia (AML) is a heterogeneous disease characterized by clonal proliferation of malignant precursors of a myeloid lineage with impaired differentiation of normal hematopoietic progenitors<sup>1</sup>. While the majority of AML patients achieve remission, most eventually relapse, ultimately succumbing to the disease. Recent studies revealed the clonal architecture of secondary AML, which is a dynamic process shaped by multiple cycles of mutation acquisition and clonal selection<sup>2</sup>. However, the underlying alterations in gene transcription that allow relapse, after chemotherapy remain poorly understood.

ABCB1 (MDR1/P-gp) exports a wide variety of drugs that are mechanistically and structurally unrelated,<sup>3</sup> and is often found to be highly expressed in leukemic blasts <sup>4–6</sup>. Although inhibition of ABCB1 to increase chemosensitivity has been successful *in vitro*, translating this strategy to clinical settings has failed<sup>7, 8</sup>. Indeed, trials evaluating ABCB1 inhibitors in over 2,000 patients with AML have failed to yield positive results<sup>9</sup>. Since the discovery of ABCB1 and the emergence of the genomic era, numerous genes have been revealed to mediate drug resistance<sup>10</sup>. Yet the benefits of this research for patients who develop resistance to chemotherapy are minimal.

The current analytical methods for high-throughput gene expression profiling are based upon mean values to find differentially expressed genes, with the goal of identifying a common gene signature that defines a trend among patients. However, such a strategy is clearly insufficient, as the variability among patients is totally ignored. For such an analysis to identify rare signatures in individuals that are associated with drug resistance in which the prediction is based on a Boolean rule, the analysis of several hundred samples would be needed to avoid overfitting problems. Nevertheless, in a heterogeneous disease such as AML, one effective way to identify rare resistance mechanisms is to do a patient-by-patient analysis of paired samples taken at diagnosis and after relapse, thereby, minimizing false hits due to interpersonal variability<sup>11</sup>.

We have conducted a unique study using paired samples to discern the mechanisms involved in the acquisition of MDR in patients with AML, using highly sensitive and specific TaqMan-based qRT-PCR<sup>12</sup>. The 380 genes involved in phase I and II metabolism, signal transduction, DNA repair, stress response, tumor suppressor activity, oncogenic transformation, apoptosis, and drug uptake or efflux were chosen based on their potential role in MDR, as indicated by reports published over the past three decades<sup>13</sup>. Here, we extend to the transcriptional level the recent genetic discoveries in AML showing great heterogeneity of the tumor<sup>2</sup>, as the data demonstrate that the mechanisms of resistance are highly heterogeneous.

### MATERIALS AND METHODS

### **Patient Samples**

The collection of tumors for research and specifically molecular analysis was first approved by the ethical review board of the Karolinska Institutet, Stockholm, Sweden (ethical permit

number: KI Dnr 03-600) and written informed consent was obtained from the patients. Peripheral blood was then collected from eleven patients with AML at diagnosis and at relapse after treatment, and separated with Ficoll-Paque (GE Healthcare, Piscataway, NJ, USA) according to the manufacturer's instructions. Blasts were cryopreserved at the biobank at the Department of Hematology, Karolinska University Hospital. Pathological analysis at the Karolinska Institutet confirmed that each sample contained at least 80% leukemic blasts. Patients had undergone treatment with cytarabine combined with daunorubicin, idarubicin, etoposide, mitoxantrone, and/or thioguanine. Data on clinical outcomes were obtained from patient records. Duration of complete remission was the number of days between CR (bone marrow aspirates that showed less than 5% blasts) and first documented relapse in blood or bone marrow. Patients had a median age of 58 years at diagnosis, ranging from age 28 to 72. The median duration of complete remission (CR) was 284.5 days, with a range of 48 to 1166 days and the median overall survival (OS) was 563 days, ranging from a low of 193 to a high of 1664 days (Table 1)<sup>14</sup>. Cytogenetic aberrations were found in four patients at the time of diagnosis, five displayed a normal karyotype while two were not investigated. Regarding mutations of individual genes, e.g. FLT3 or NPM1, no such assessments were made at diagnosis, since the collection of samples took place during

#### Preparation of Total RNA

the time period 1987-2001.

Total RNA was prepared using the Trizol method (Invitrogen, Carlsbad, CA, USA). RNA was quantified using a NanoDrop ND-1000 spectrophotometer (NanoDrop Technologies Inc., Wilmington, DE, USA). The integrity of the RNA samples was assessed using an Agilent 2100 Bioanalyzer (Agilent Technologies, Foster City, CA, USA) and then stored at  $-80^{\circ}$ C. RIN > 7 for each RNA sample analyzed.

### **Reverse Transcription**

Synthesis of cDNA from 1  $\mu$ g total RNA in a 20  $\mu$ l reaction volume was carried out using the High Capacity cDNA kit with RNAse inhibitor (Applied Biosystems, Foster City, CA, USA) as per the manufacturer's instructions. The reverse transcription conditions were as follows: 10 minutes at 25°C, 120 minutes at 37°C, 5 minutes at 85°C. Following reverse transcription, cDNA was stored at 4°C.

### TaqMan Low Density Arrays (TLDAs)

Expression levels of 380 MDR-associated genes were measured using custom-made TaqMan Low Density Arrays in addition to the ribosomal RNA 18s being arrayed in four replicates (Applied Biosystems, Foster City, CA, USA)<sup>13, 15</sup>. cDNA was mixed with 2X Taqman Universal PCR Master Mix (Applied Biosystems, Foster City, CA, USA), loaded on a TLDA card, and run on an ABI Prism 7900 HT Sequence Detection System (Applied Biosystems, Foster City, CA, USA) as per the manufacturer's instructions.

### **Data Analysis**

Data from the TLDAs was collected for each of the eleven paired AML samples (available from the GEO Database, accession number: GSE33787). The normalization of the data was performed in subtracting the median expression of each sample from all gene expression data for that sample. The expressions from replicate probes were averaged together. This analysis was carried out using *BRB ArrayTools*, a microarray-data statistical analysis tool (http://linus.nci.nih.gov/BRB-ArrayTools.html)<sup>16</sup>. In order to remove genes that are not likely to be informative, those expressed by fewer than 50% of the samples were filtered out, resulting in analysis of 331 genes. Hierarchical clustering was done on the normalized, filtered data, using an average linkage algorithm with 1-Pearson correlation as the distance.

A Spearman rank test was used to assess the correlation between FAB and the expression of each gene (threshold: Spearman Rank p-value <0.05). The Cox proportional Hazards test was used to study the correlation of gene expression with the duration of complete response. The False Discovery Rate (FDR) for each gene was calculated using the Benjamini-Hochberg method for both methods. Pairwise comparisons were manually performed using the delta delta Ct method <sup>17</sup>.

### RESULTS

### Comparison of MDR-linked Gene Expression Profiles of Paired AML Samples Taken at Diagnosis and After Relapse

Unsupervised hierarchical clustering was performed on all paired samples (Fig. 1). For six out of eleven patients, the pair of samples (at diagnosis and after relapse) clustered together, indicating that the development of resistance did not involve a major change in pattern of gene expression. This also supports that at least for this set of patients, diagnosis and relapse leukemias had similar origins. For the other five pairs of samples that clustered apart, for which large changes in the gene expression profile were observed between the paired samples, no distinguishing trend could be found for age, the subtype (based on the cell type from which the leukemia developed and its degree of maturation-i.e. French-American-British (FAB) classification<sup>18</sup>, the CR duration, the treatment, or the overall survival time Table 1.

Genes that significantly differ in expression between diagnosis and relapse blast samples from the same patient may give insight into what contributes to relapse and why relapsed AML may be more resistant to treatment. Supervised comparison of samples taken at diagnosis and after relapse, paired according to the patient, revealed 27 genes that are differentially expressed, in most cases only to a small extent, between these two groups (p<0.05; Table 2). None of these genes fulfilled the stringent criteria of false discovery rate (FDR) <0.05, but this may be due to the low number of samples analyzed.

### Gene Correlation with French-American-British (FAB) Classification Reveals That the Expression Level of BCL2A1 And GSR Increases Along with FAB Subtypes

We expected that some of the MDR genes measured in this study would reflect the state of differentiation of AML cells and would therefore correlate with FAB classification. In fact, 52 genes out of the 331 genes that passed the filtering criteria (see data analysis section in Experimental Procedures) were found to correlate with FAB classification for samples taken at diagnosis (p<0.05). Among these genes, two, encoding the anti-apoptotic bcl2-related protein a1 (BCL2A1) and glutathione reductase (GSR), fulfilled a false discovery rate (FDR) lower than 15% and were found to be positively correlated, implying that the expression level of these genes increases along with FAB (from 0 to 5) (Fig. 2, Table 3). More precisely, the probability of finding these genes by chance at p<0.05 is 7.1 and 14.3%, respectively. Four additional genes fulfilled a FDR<15% and were negatively correlated with FAB classes, and were therefore up-regulated in immature cells compared with differentiated cells. These genes were POLH, NOLA2, ABCD4, and MNAT1 (Table 3). Fifteen genes were found to be correlated with FAB at p<0.05 for samples taken at relapse. However, none of these genes fulfilled a FDR<15% (data not shown).

## Gene Correlation with Duration of Complete Response Does Not Highlight Any Trend Among Patients

Genes that correlate with the duration of the first and second complete remissions (CRs) may be important predictors of relapse. This would hold true unless a small population of cells present at diagnosis is responsible for relapse or there is a fundamental change in the

biology of AML between diagnosis and relapse<sup>19</sup>. We found 38 genes to be correlated with CR in samples taken at diagnosis at p<0.05 (data not shown). None fulfilled a FDR<15%. Seven were found in recurrent samples but none were statistically significant (data not shown).

### Patient-By-Patient Analysis of Paired Diagnosis and Relapse Samples

Given that there were no striking correlations between expression of specific genes and overall resistance to chemotherapy, we hypothesized that each patient may have a unique set of genes within his/her AML blasts that contribute to the observed drug resistance, which would have been missed by statistical analysis highlighting trends of gene patterns observed "on average" across samples<sup>20</sup>. This issue was addressed by performing a patient-by-patient analysis of paired diagnosis and relapse samples (Table 4), which led to the striking observation that when each of these patients relapsed their AML cells expressed genes representing different potential mechanisms of resistance. Table 5 shows the expression profile of nine ABC transporters that have been shown to mediate multidrug resistance (MDR) (data extracted from Table 4). Although no trend was revealed based upon FAB classification, as mentioned above, it is observed that the leukemic cells of all patients except patient 1 (FAB: M4) showed increase at relapse of at least one ABC transporter capable of transporting either anthracyclines, vinca-alkaloids, or both. The analysis of samples taken from patient 9 (FAB: M5b) reveals that four ABC transporters, ABCB1 (MDR1/P-gp), ABCC1 (MRP1), ABCC5 (MRP5) and ABCG2 (BCRP) are over-expressed in leukemic cells. This indicates that conventional chemotherapy (anthracyclines/vincaalkaloids) isn't likely to benefit this patient and will probably only have a negative effect by causing deterioration of the patient's condition through the side effects of these compounds.

ABCB1, which has been evaluated in patients with AML as a potential target for pharmacologic down-regulation of efflux-mediated chemotherapy resistance, was found to be over-expressed in the leukemic cells of five patients out of the eleven (Fig. 3). A similar observation was made for ABCG2, although that transporter may actually be associated with the intrinsic biology of the leukemia rather than with drug efflux mediating resistance per se (Fig. 3).

### DISCUSSION

Genome-wide analyses have revealed the molecular genetic heterogeneity of AML<sup>21–23</sup>. A number of reports have established that AML cases can be classified into various groups based on their chromosomal abnormalities, somatic acquired mutations, gene and microRNA expression profiles and methylation status<sup>24</sup>. However, our understanding of the mechanisms causing the relapse of patients is limited. Although most patients with AML achieve CR, a large fraction of them will relapse and have a dismal prognosis, demonstrating the dire need to specifically address the reason for relapse. Pairs of samples taken at diagnosis and after relapse, combined with a reliable gene expression profiling assay, are prerequisites to understanding mechanisms mediating MDR.

In this study based on paired samples obtained at AML diagnosis and at relapse, for the first time, we assessed 380 MDR-related genes using TaqMan-based qRT-PCR, a state-of-the-art gene expression profiling assay. An unsupervised hierarchical clustering revealed that samples taken at diagnosis and relapse clustered in pairs for six patients out of the eleven studied, indicating return of the same leukemic blast, while the other five relapses had different transcription patterns and may have originated from different blasts. None of the gene signatures found to be correlated with either relapse or duration of remission were statistically significant. The observed enormous heterogeneity in gene expression across

study patients remained true when we examined AML by subtype (M1, M4 and M5 subtypes are represented by three samples each) (Table 4).

The present investigation extends to the transcriptional level the recent findings highlighting the dynamic clonal evolution during AML relapse<sup>2</sup>. Importantly, these data also demonstrate that systemic chemotherapy has a substantial effect on the increased number of new mutations. Identifying new targets whose expression is altered after chemotherapy is critical to limit the occurrence of relapse. However, a personalized approach presents multiple challenges, such as pinpointing the clinically relevant targets from among numerous candidates in each individual gene signature, and eventually designing multiply-targeted treatment regimens to limit the survival of tumor cells through alternative drug resistance pathways. Although by definition the individual changes we see in this study are not "statistically significant", each patient acts as his/her own control. By evaluating only genes known to be capable of conferring resistance to drugs such as those used to treat AML, we can generate specific hypothesis for each patient about how best to treat their relapsed AML. These hypotheses could be tested in short term *ex vivo* cultures of their cells using drugs known not to be subject to resistance mechanisms expressed in their AML cells, or by using inhibitors of demonstrated resistance mechanisms.

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### Figure 1.

Unsupervised hierarchical clustering on 11 paired samples. The X-axis shows clusters of samples, while the Y-axis shows the expression levels according to the filtering criteria (red and green represent up- and down-regulation, respectively. The data suggest the role of differentiation in contributing to relapse, as three specimens of M5a and b subtypes had diagnosis and relapse samples that clustered apart, while all three patients with acute myeloblastic leukemia with minimal maturation (M1 subtype) had diagnosis and relapse samples that clustered together.

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### Figure 2.

Transcript level of the anti-apoptotic BCL2-related protein A1 (BCL2A1) and glutathione reductase (GSR) correlated with FAB classification in samples taken at diagnosis. The transcript level values are presented as - $\Delta$ CT. (A) BCL2A1 and (B) GSR were found to correlate with FAB classification for samples taken at diagnosis (p<0.05). These genes fulfilled a false discovery rate (FDR) lower than 15% and were found to be positively correlated, implying that the expression level of these genes increases along with FAB (from 0 to 5) (See Table S3). More precisely, the probability of finding these genes by chance at p<0.05 is 7.1 and 14.3%, respectively.



### Figure 3.

ABC transporter-mediated multidrug resistance. Histogram presenting the expression levels of ABCB1 and ABCG2, two ABC transporters intensively studied in patients with AML, in relapse samples compared with their paired samples taken at diagnosis. No bar appears when the measured gene is not expressed.

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## Table 1

Characteristics of 11 adult patients with AML who had undergone conventional chemotherapy and contributed paired samples (at diagnosis and after relapse)

Patient	FAB <sup>a</sup>	Sex	Age	CR <sup>b</sup> (days)	$\operatorname{Treatment}^{\mathcal{C}}$	OS <sup>d</sup> (days)	Karyotype according to chromosome banding analysis	Chrom score <sup>e</sup>	WBCf	Platelets	SCT&	чПр
1	M4	F	62	56	A/E/N	264	45,XX,del(5)(q?),der(11)r(11;?) (p15q25?;?)-18	3	19.6	44	n	n
2	M1	Μ	67	588	A/E/N	1252	del (7q)(q22) 6 of 8 metafases	2	6	65	n	n
3	M1	F	63	190	A/E/N	440	46,XX,Ph+/46,XX,Ph+, 18,mar/46,XX	3	83	303	n	n
4	M5b	Μ	72	1166	A/T	1664	46,XX	2	9.6	165	n	n
5	M4	F	72	532	A/D/T	686	46,XX	2	32.2	36	n	y
6	M5A	F	29	48	A/E/I	193	46,XX	2	35	87	n	n
7	M1	F	54	113	A/E/I	225	46,XX	2	45	48	n	n
8	M4	F	53	368	A/D/T	1165	Unknown	6	12.4	47	y	n
6	M5B	F	53	893	A/E/N	1495	Unknown	6	15.6	33	n	n
10	M2	F	43	201	A/E/N	384	46,XX	2	34	96	y	n
11	M0	Μ	23	757	A/E/I	1174	46, XY, add, (10)(p13), del(11)(q13), add(17)(q25)/46, XY	2	37	150	y	n

 $^{a}\!\mathrm{FAB}$  type: French-American-British classification system;

 $b_{
m CR}$ : complete remission;

<sup>c</sup> Treatment: A/E/N=Ara-C/Etoposide/Novantrone, A/D/T=Ara-C/Daunorubicin/Thioguanin, A/D=Ara-C/Daunorubicin; A/E/I=Ara-C/Etoposide/Idarubicin; A/I=Ara-C/Idarubicin;

 $d_{
m OS}$ : overall survival;

 $^{e}$ Chrom score: riskgroups according to WHO: 9 = unknown, 1 = good, 2 = intermediate, 3 = bad, 4 = very bad;

 $f_{\rm WBC}$ : white blood cell count, at diagnose, x 10(9)/L;

 $g^{\mathcal{B}}$ SCT: allogeneic stem cell transplantation performed, y/n;

 $h_{AHD}$ : associated hematological disease, y/n

### Table 2

Differentially expressed genes in samples taken at diagnosis compared with samples taken at relapse

Genes	Parametric p-value	FDR <sup>a</sup>	Geometric mean <sup>b</sup> (Level of expression at diagnosis/level of expression at relapse)
MSH3	0.002	0.508	0.81
GSS	0.007	0.508	0.82
ERCC5	0.010	0.508	0.82
RAD50	0.010	0.508	0.71
MLH1	0.013	0.508	0.88
EHBP1	0.014	0.508	0.65
ERCC4	0.014	0.508	0.82
BIRC6	0.016	0.508	0.8
SLC19A2	0.016	0.508	0.73
ERCC8	0.018	0.508	0.7
RAD1	0.020	0.508	0.79
RAF1	0.021	0.508	1.27
GSTM5	0.021	0.508	0.13
ERBB2	0.022	0.508	0.66
MLH3	0.023	0.508	0.72
BID	0.026	0.536	1.44
RAD17	0.028	0.556	0.75
MNAT1	0.031	0.556	0.78
POLI	0.033	0.556	0.66
GSTZ1	0.035	0.556	0.73
SP1	0.039	0.556	1.13
TNF	0.041	0.556	2.81
ABCF1	0.042	0.556	1.19
DOT1L	0.043	0.556	1.29
MAPK8	0.046	0.556	0.82
GSTM3	0.046	0.556	0.54
POLH	0.046	0.556	0.79

<sup>a</sup>False discovery rate (FDR) is measured using the Benjamini-Hochberg method and is an estimate of the proportion of the genes with a p-value < 0.05 that represent false positives. For example, there is 50.8% of chance that MSH3 is a false positive. One can consider that genes with a FDR > 15% are not statistically significant.

 $^{b}$ The geometric mean denotes the average of the logarithmic values (base 2), converted back to linear values.

### Table 3

Genes correlated with FAB for samples taken at diagnosis

Gene symbol	Correlation coefficient	FDR	Parametric p-value
BCL2A1	0.886	0.0715	0.0004
GSR	0.834	0.143	0.0026
KLF1	0.794	0.255	0.0156
TP73	0.784	0.237	0.0070
ABCC3	0.774	0.255	0.0137
VEGFA	0.763	0.237	0.0092
TIMP1	0.739	0.255	0.0134
SLC28A3	0.727	0.271	0.0324
AQP9	0.724	0.258	0.0242
ATP6V0C	0.699	0.257	0.0208
FKBP1A	0.695	0.257	0.0231
TP53BP2	0.694	0.257	0.0231
MVP	0.693	0.257	0.0231
GSTK1	0.685	0.258	0.0255
SLC29A1	0.674	0.26	0.0281
ASAH1	0.67	0.26	0.0281
TNFSF10	0.645	0.281	0.0370
MAP2K1	0.645	0.281	0.0370
XRCC4	0.624	0.3	0.0440
ACTB	0.622	0.307	0.0478
PARP2	-0.622	0.307	0.0478
SIRT5	-0.622	0.307	0.0478
C8orf33	-0.627	0.3	0.0440
MSH2	-0.633	0.287	0.0404
ERCC5	-0.635	0.287	0.0404
XRCC5	-0.638	0.287	0.0404
MSH6	-0.645	0.281	0.0370
RAD17	-0.648	0.281	0.0370
PARP1	-0.66	0.271	0.0309
ABCE1	-0.666	0.271	0.0309
ERCC8	-0.669	0.26	0.0281
HSPB1	-0.682	0.258	0.0255
PIK3CA	-0.688	0.257	0.0231
SLC5A6	-0.69	0.257	0.0231
TOP2B	-0.696	0.257	0.0208
RAD1	-0.703	0.257	0.0208
HSF1	-0.718	0.255	0.0168

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Gene symbol	Correlation coefficient	FDR	Parametric p-value
BCL2	-0.719	0.255	0.0168
APEX1	-0.721	0.255	0.0168
ABCA2	-0.723	0.255	0.0168
SIRT4	-0.727	0.271	0.0324
SLC7A1	-0.736	0.255	0.0134
ABCF3	-0.749	0.255	0.0119
ABL1	-0.761	0.237	0.0092
SLC25A30	-0.762	0.237	0.0092
ERCC3	-0.766	0.237	0.0092
GLO1	-0.79	0.237	0.0061
CDK2	-0.807	0.211	0.0044
POLH	-0.832	0.143	0.0026
NOLA2	-0.833	0.143	0.0026
ABCD4	-0.861	0.142	0.0013
MNAT1	-0.928	< 1e-07	< 1e-07

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### Table 4a

Patient-by-patient analysis showing genes with greater than 4-fold up- or down-regulation

Patient 1	l (M4)
Gene	Change
MMP9	6.5
CLDN5	6.2
TGFA	-5.1
SLC7A11	-5.6
PDGFRB	-6.8
CLDN4	-7.4
CDH1	-10.0
ABCB4	-10.7
AQP9	-16.5
CCL2	-19.2
ABCD2	-21.2
SLC7A9	-28.7
Patient 2	2 (M1)
Gene	Change
F3	-5.0
SLC29A2	-5.3
BIRC5	-5.3
ATP7B	-5.9
AQP9	-6.2
MT2A	-7.0
PTEN	-7.0
CYP2D6	-7.1
ABCA6	-7.1
NR1I2	-7.6
SGPP1	-7.6
APOE	-7.6
STARD4	-8.1
ABCB1	-9.4
CCNE1	-10.3
ATP8B1	-10.9
MT1F	-11.1
MMP2	-13.4
SLCO4A1	-13.5
TNF	-13.6
IGF1R	-14.7
ABCB4	-14.9

MYC	-17.1
SLC2A5	-33.4
GJA1	-90.0
CLU	-127.3
Patient 3	8 (M1)
Gene	Change
IL6	19.7
FN1	14.0
ABCC3	13.3
CLDN7	10.8
GPR177	9.6
SLC7A8	7.7
CLDN2	7.6
FOS	6.8
SLC28A3	6.5
SLC22A1	6.3
CYP2D6	5.3
GSTA4	5.3
BCL2A1	5.0
SGPP1	5.0
SFN	-5.9
ABCG2	-7.4
CDKN2A	-8.4
CLDN5	-9.5
<b>TP73</b>	-15.3
Patient 4	(M5b)
Gene	Change
MMP2	122.9
KIT	74.1
SLCO4A1	69.0
ATP1B1	27.0
NR1I2	21.5
SLC7A8	16.9
GSTM5	11.1
APOE	10.6
ITGAE	10.5
TCEAL4	9.3
ABCB6	6.9
MYC	6.4
XRCC2	5.9

NR1H3	5.8
ATP7B	5.3
ABCC3	-5.1
<b>TP73</b>	-5.2
ATP6V0C	-5.7
BIRC3	-5.8
GSTT2	-9.8
SLC28A3	-10.0
TGFA	-10.5
HIF1A	-11.6
NFKBIA	-11.6
MMP9	-14.6
CLDN7	-17.6
SLC22A1	-18.0
CDKN1A	-20.3
TNF	-21.6
BCL2A1	-26.0
FN1	-27.1
VEGFA	-32.7
AQP9	-71.4
CCL2	-119.4
IL6	-235.8
Patient 5	5 (M4)
Gene	Change
CYP2D6	15099.5
FASLG	34.6
MMP2	12.8
KIT	12.4
PDGFRB	11.4
OCLN	10.2
ATP1B1	7.9
SLC16A2	7.4
GSTM5	6.7
ABCB1	5.7
APOE	5.5
F3	5.4
NR1I2	5.1
AKR1C1*	12
	4.2
PTEN	-4.0

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ABCC3	-6.4
KCNMA1	-6.5
SFN	-6.8
MMP9	-9.8
CCL2	-46.4
CYP2E1	-145.8
Patient 6	(M5a)
Gene	Change
SIRT4	1246.9
CASP3	68.2
GPX3	37.5
GSTM5	36.6
ASAH3	23.5
MMP9	12.1
HSPB1	12.0
CYP2C9	10.7
CLDN4	9.0
SFN	6.0
GBP1	-5.1
KLF1	-8.1
GSTA4	-12.1
FN1	-12.2
SLC28A3	-26.4
AQP9	-136.9

\*AKR1C1 assay also detects AKR1C2; CYP2C8 assay also detects CYP2C19; CYP2A6 also detects CYP2A7 and CYP2A13

### Table 4b

Patient-by-patient analysis showing genes with greater than 4-fold up- or down-regulation

Patient 7 (M1)			
Gene	Change		
TP73	82.1		
CDKN2A	52.5		
SGPP1	43.0		
SLCO1B3	26.5		
CCL2	23.1		
MKI67	17.1		
CDKN1A	14.0		
TOP2A	13.1		
BIRC5	9.4		
SLC9A3R2	9.0		
GPX3	6.7		
HSPB1	6.0		
ABCB5	-5.3		
GBP1	-6.0		
ABCB1	-6.0		
SFN	-6.5		
CLDN3	-6.8		
ATP1B1	-7.3		
CLDN5	-7.7		
KLF1	-11.2		
CYP2A6*	-11.7		
IGF1R	-28.1		
CLDN4	-43.1		
GJA1	-176.7		
Patient 8	(M4)		
Gene	Change		
FASLG	24.4		
GBP1	17.7		
KCNMA1	14.5		
GPR177	10.3		
PDGFRB	9.6		
NTRK2	7.2		
MT1H	6.3		
CLDN7	5.8		
STAT1	5.6		
TAP1	5.6		

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ABCD2	5.1
IGF1R	-5.9
F3	-6.2
ITGAE	-6.5
ABCB6	-6.5
TCEAL4	-6.7
<b>TP73</b>	-6.7
SLC7A8	-7.5
CLU	-8.8
ABCC4	-10.0
SLC2A5	-11.9
CLDN3	-14.2
CCND1	-24.6
SLC7A11	-27.6
КІТ	-34.8
MMP2	-37.0
Patient 9	(M5b)
Gene	Change
GSTM5	135.4
GPX3	91.3
ABCB1	24.6
ITGAE	21.1
SLC9A3R2	20.9
ATP7B	14.3
MMP2	14.2
ATP1B1	13.0
ABCG2	8.3
CASP3	7.7
SIRT4	7.7
GSTM3	6.7
CLDN4	6.6
KIT	6.4
SLC7A11	6.2
APEX1	5.7
MGMT	5.7
ABCC1	5.4
CHEK1	
	5.0
IGF1R	5.0 -5.3
IGF1R MT1X	5.0 -5.3 -5.3

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GJA1	-5.8
ABCA9	-5.8
ASAH2	-6.3
CDKN1A	-7.0
TNF	-7.5
GSTT1	-8.3
BIRC3	-8.6
SLC22A4	-9.8
ABCD2	-12.2
TNFSF10	-13.1
ABCB4	-13.3
NFKBIA	-13.7
TP73	-20.6
BCL2A1	-22.8
CLDN5	-24.1
SLC28A3	-28.8
MT2A	-37.3
ABCC3	-43.5
BAG3	-55.6
S100A10	-80.4
SLC25A5	-132.9
GBP1	-148.4
MT1H	-209.5
SLC22A1	-227.5
IL6	-1115.3
AQP9	-1642.9
Patient 10	) (M2)
Gene	Change
FASLG	41.7
ATP8B1	10.2
CLDN4	7.2
MT1A	6.1
AURKA	-11.1
TNF	-13.3
IL6	-14.9
Patient 11	(M0)
Gene	Change
ATP7B	148.1
TP73	90.5
VEGFA	44.9

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AQP9	35.8
ABCC3	25.9
BCL2A1	19.9
GPR177	16.3
CDKN1B	12.7
LIG4	11.5
ABCC6	11.4
S100A10	11.0
CIAPIN1	10.5
TGFA	9.4
MMP9	9.1
ABCA9	8.2
FZD1	7.5
JUN	7.2
ABCA6	6.0
CLDN7	5.6
ETS1	5.5
TNFSF10	5.1
AKR1C1*	-5.1
KCNMA1	-5.4
PDK1	-5.7
NR1I2	-6.4
BRCA2	-6.8
ABCB9	-7.0
MMP2	-7.4
ITGAE	-7.8
CLDN4	-7.9
XRCC2	-8.0
KIT	-37.2

\* AKR1C1 assay also detects AKR1C2; CYP2C8 assay also detects CYP2C19; CYP2A6 also detects CYP2A7 and CYP2A13

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Genes	P11	P 2	P 3	P 7	P 10	P 1	P 5	P 8	P 6	P 4	P 9
	M0	IM	IM	M1	M2	M4	M4	M4	M5a	M5b	M5b
ABCA3	-2.2	NA	NA	2.1	NA	NA	NA	NA	2.6	NA	NA
ABCB1	3.5	-9.4	2.5	-6.0	-2.2	NA	5.7	3.8	-2.4	-4.6	24.6
ABCB4	4.6	-14.9	NA	NA	NA	-10.7	2.5	NA	NA	NA	-13.3
ABCC1	NA	NA	NA	NA	NA	NA	2.0	-2.5	NA	4.3	5.4
ABCC2	NA	3.8	-2.9	-2.0	NA	NA	NA	NA	NA	NA	-2.9
ABCC3	25.9	NA	13.3	NA	NA	-3.8	-6.4	NA	NA	-5.1	-43.5
ABCC4	NA	-3.0	NA	NA	NA	NA	NA	-10.0	-3.2	3.4	3.2
ABCC5	NA	NA	NA	NA	NA	NA	NA	$N\!A$	-2.4	NA	$N\!A$
ABCG2	NA	3.8	-7.4	NA	3.3	NA	NA	2.5	2.0	NA	8.3
*											

Fold-change of 9 ABC transporters known to be involved in chemotherapy resistance, in samples taken after relapse compared with their counterparts taken at diagnosis

Abbreviations: P = patient; NA = not applicable (no detection of the measured genes)