

# Diagnostic Predictors of Obesity-Hypoventilation Syndrome in Patients Suspected of Having Sleep Disordered Breathing

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SCIENTIFIC INVESTIGATIONS

**Introduction:** Obesity-hypoventilation syndrome (OHS) is associated with significant morbidity and mortality and requires measurement of arterial pCO<sub>2</sub> for diagnosis.

**Objective:** To determine diagnostic predictors of OHS among obese patients with suspected obstructive sleep apnea/hypopnea syndrome (OSAHS).

**Methods:** Retrospective analysis of data on 525 sleep clinic patients (mean age 51.4 ± 12.7 years; 65.7% males; mean BMI 34.5 ± 8.1). All patients had sleep studies, and arterialized capillary blood gases (CBG) were measured in obese subjects (BMI > 30 kg/m<sup>2</sup>).

**Results:** Of 525 patients, 65.5% were obese, 37.2% were morbidly obese (BMI > 40 kg/m<sup>2</sup>); 52.3% had confirmed OSAHS. Hypercapnia (pCO<sub>2</sub> > 6 kPa or 45 mm Hg) was present in 20.6% obese and 22.1% OSAHS patients. Analysis of OHS predictors showed significant correlations between pCO<sub>2</sub> and BMI, FEV<sub>1</sub>,

FVC, AHI, mean and minimum nocturnal SpO<sub>2</sub>, sleep time with SpO<sub>2</sub> < 90%, pO<sub>2</sub>, and calculated HCO<sub>3</sub> from the CBG. PO<sub>2</sub> and HCO<sub>3</sub> were independent predictors of OHS, explaining 27.7% of pCO<sub>2</sub> variance (p < 0.0001). A calculated HCO<sub>3</sub> cutoff > 27 mmol/L had 85.7% sensitivity and 89.5% specificity for diagnosis of OHS, with 68.1% positive and 95.9% negative predictive value.

**Conclusion:** We confirmed a high prevalence of OHS in obese OSAHS patients (22.1%) and high calculated HCO<sub>3</sub> level (> 27 mmol/L) to be a sensitive and specific predictor for the diagnosis of OHS.

**Keywords:** Obstructive sleep apnea, obesity hypoventilation syndrome

**Citation:** Macavei VM; Spurling KJ; Loft J; Makker HK. Diagnostic predictors of obesity-hypoventilation syndrome in patients suspected of having sleep disordered breathing. *J Clin Sleep Med* 2013;9(9):879-884.

Obesity is now considered a global epidemic, and it is projected that by the year 2025, 36% of females and 47% of males will be obese in the UK.<sup>1</sup> A recent US study on obesity epidemic showed a levelling off trend for 2009-2010 compared with 2003-2008, with a prevalence of obesity of 35.5% among adult men and 35.8% among adult women.<sup>2</sup> This rise in obesity is likely to lead to an increase in respiratory consequences such as obesity-hypoventilation syndrome (OHS), previously known as Pickwickian syndrome.<sup>3</sup> It is defined as a combination of obesity (body mass index [BMI] > 30 kg/m<sup>2</sup>) and daytime hypercapnia (pCO<sub>2</sub> > 45 mm Hg or > 6 kPa) in the absence of other known causes of alveolar hypoventilation.<sup>4</sup> Obesity is also a main risk factor for obstructive sleep apnea/hypopnea syndrome (OSAHS). Some studies have found hypercapnia in 10% to 20% of OSAHS patients; however, these studies were limited by small sample size, biased recruitment of patients with COPD or severe obesity, and the exclusive enrollment of one gender group and/or ethnic background.<sup>5-7</sup> The exact prevalence of OHS is not known, but it is likely the prevalence is different in unselected OSAHS patients.

Little is known about prevalence of OHS in the obese population, irrespective of OSAHS. Current estimates suggest that around 0.3% to 0.4% of the population may have OHS.<sup>8,9</sup> Prevalence of OHS is set to increase with rising obesity; therefore, accurate assessment of prevalence of OHS is critical for planning health services to make provision for this condition. Furthermore, the need for early detection of OHS is clear because delay in diagnosis and treatment is associated with significant

## BRIEF SUMMARY

**Current Knowledge/Study Rationale:** The need for early detection of obesity hypoventilation syndrome (OHS) is clear because delay in the diagnosis and treatment is associated with significant morbidity and mortality. In this study we aimed to determine the prevalence of obesity-hypoventilation syndrome among obese patients with suspected sleep apnea and ascertain the validity of previously reported predictors of OHS.

**Study Impact:** Our study suggests that a normal calculated HCO<sub>3</sub> from the CBG can exclude daytime hypercapnia in obese patients, while an elevated bicarbonate and/or nocturnal hypoxia should prompt further investigation for OHS. Routine measurement of bicarbonate in obese patients with suspected sleep apnea can be a useful screening tool for diagnosis of OHS and/or sleep disordered breathing.

morbidity and mortality.<sup>10,11</sup> If left untreated, OHS is associated with a mortality of 23% at 18 months following discharge from hospital; adequate treatment of OHS reduces this to 3%. In addition, untreated OHS patients are likely to require invasive mechanical ventilation with longer hospital stay.<sup>11</sup>

The standard assessment to screen for daytime hypercapnia is measurement of arterial blood gases. Performing this measurement in all obese individuals for diagnosis of OHS has its obvious limitations, in terms of the invasive nature of the test and resources required. Identification of simpler clinical predictors of OHS may help with early diagnosis and management. It has been suggested that obese patients with hypercapnia have higher BMI, more severe OSAHS, and worse restrictive chest wall mechanics than normocapnic obese patients.<sup>8</sup> There are no clear thresholds

with regard to the above parameters that could guide clinicians in identifying those with hypercapnia. A useful and practical measurement appears to be the bicarbonate level. It is readily available and is less invasive than arterial puncture and therefore an attractive choice as a screening tool for hypercapnia.<sup>7,12</sup> However, clinical usefulness of this approach needs further evaluation.

Given the high volume of need for noninvasive ventilation for suspected OHS as a cause of hypercapnic respiratory failure at our institution,<sup>13</sup> a quality improvement project was undertaken to systematically identify patients with OHS presenting to the sleep disorders center for evaluation.

In this study we aimed to determine prevalence of OHS among obese patients with suspected OSAHS and to check the validity of previously reported predictors of OHS such as body mass index, sleep apnea, and bicarbonate level.

## MATERIAL AND METHODS

The study cohort comprised of 525 consecutive patients referred to the sleep clinic at North Middlesex University Hospital, London, UK, between January 2009 and January 2011. They were evaluated according to a specifically designed protocol which included presenting symptoms, past medical history (respiratory, metabolic and cardiovascular comorbidities, smoking status), and demographic details (age, gender, and ethnicity). Subsequent anthropometric measurements such as height, weight, neck size, waist/hip ratio, Mallampati score, Epworth Sleepiness Scale score, and spirometry values were obtained.

Four hundred twenty-five patients underwent limited polygraphy sleep study (Embletta; Stowood Scientific Instruments, Oxford UK), which measured oronasal flow using pressure transducer, thoraco-abdominal movement using respiratory inductance plethysmography (RIP), and nocturnal oxygen saturation and heart rate using pulse oximetry.

One hundred patients underwent home overnight oximetry (Konica-Minolta Pulsox-300i; Stowood Scientific Instruments, Oxford UK), which measured nocturnal oxygen saturation and heart rate.

Capillary blood gases (CBG) were measured only in obese subjects (BMI > 30 kg/m<sup>2</sup>) to identify the presence of hypercapnia in both patients with and without OSAHS. Earlobe arterialized blood sampling was performed as per method described in the British Thoracic Society (BTS) guidelines.<sup>14</sup> CBG measurements were taken between 10:00 and 12:00 in sitting position, following 20 min rest. The earlobe was warmed with a hot compress, and rubefacient cream was used during the resting period. Hypercapnia was defined as pCO<sub>2</sub> > 6 kPa (45 mm Hg), and normocapnia as pCO<sub>2</sub> ≤ 6 kPa (45 mm Hg). HCO<sub>3</sub> level was calculated using the Henderson-Hasselbalch formula from the CBG.

The analysis and interpretation of the polygraphy and oximetry data was performed using proprietary software (Somnologica for Embletta version 5.1.0 and DS-5 version 2.10, Stowood Scientific Instruments, Oxford UK). Spirometry was obtained from all patients (Medisoft Hyp'Air, Pulmolink, Kent UK), along with reference values calculated from patient height, weight, and age using equations from the European Respiratory Society.<sup>15</sup>

Apnea was defined as a cessation of airflow at the upper airway lasting > 10 seconds. Hypopnea was defined as a decrease in airflow, chest, or abdominal excursions with > 50% associ-

ated with oxygen desaturation ≥ 4% below baseline value. The apnea-hypopnea index (AHI) was calculated as the number of apnea and hypopnea episodes per hour of sleep. Patients with an AHI > 5 were diagnosed with obstructive sleep apnea (OSAHS). Severity of OSAHS was scaled according to AHI: mild (5-15), moderate (15-30), and severe (> 30). The oxygen desaturation index (ODI) was calculated by establishing the total number of episodes of significant oxygen desaturation (> 4% fall from baseline) per hour of sleep. ODI and mean oxygen saturation were recorded on portable polygraph or overnight oximeter from all 525 subjects. Minimum nocturnal SpO<sub>2</sub>, total recording time with SpO<sub>2</sub> < 90% (TRT90), percentage of time spent in supine vs non-supine, supine AHI, and apnea index were calculated from the portable polygraphy sleep studies on 425 cases.

Obesity was classified according to World Health Organization (WHO) criteria at BMI > 30 kg/m<sup>2</sup> into 3 classes: class 1 (30-34.9), class 2 (35-39.9), and class 3 or morbid obesity (≥ 40). Patients with BMI > 30 kg/m<sup>2</sup> and daytime hypercapnia (pCO<sub>2</sub> > 6 kPa or 45 mm Hg) were categorized as OHS.

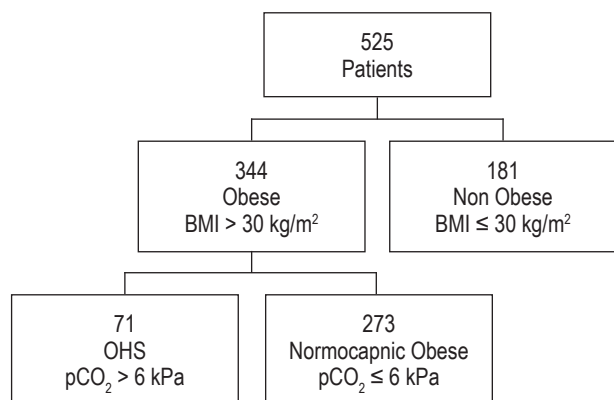
Ethical approval for this study was obtained from National Research Ethics Service Committee (Reference: 11/LO/1891).

## Data Analysis

Patient's clinical details, physiological measurements, and sleep study findings were entered into Stata 10 software for statistical analysis. Demographic, anthropometric, pulmonary function tests, arterial blood gases, and sleep study data of all obese patients were analyzed. The prevalence of OHS was determined by establishing proportion of patients meeting known criteria for the diagnosis of OHS. First, group comparison analysis was performed between normocapnic versus hypercapnic obese patients using Student t-test,  $\chi^2$ , or Mann-Whitney U test when applicable. Second, univariate analysis between daytime pCO<sub>2</sub> and other anthropometric variables (neck size, waist hip ratio, BMI), spirometry values (FEV<sub>1</sub>, FVC, FEV<sub>1</sub>/FVC ratio), capillary blood gases (pH, pO<sub>2</sub>, calculated HCO<sub>3</sub>) and sleep data (AHI, ODI, mean SpO<sub>2</sub>, minimum nocturnal SpO<sub>2</sub>, TRT90, % time in supine position) were calculated using Pearson correlation or Kendall tau test when applicable. Finally, multiple regression analysis was completed taking into account pCO<sub>2</sub> as dependent variable and the other parameters who correlated with pCO<sub>2</sub> after univariate analysis as independent variables. Statistical significance was set at p < 0.05 (two-tailed).

## RESULTS

Of 525 consecutive patients included in the retrospective analysis, mean age ± SD was 51.4 ± 12.7 years, and mean BMI 34.5 ± 8.1 kg/m<sup>2</sup>; 345 were males (65.7%). A total of 344 patients (65.5%) were obese, of which 128 (37.2%) were morbidly obese with a BMI > 40 kg/m<sup>2</sup> as presented in **Figure 1**. Of 525 subjects, 122 (23.2%) were current smokers, 148 (28.2%) ex-smokers and 255 (48.6%) nonsmokers. Prevalence of airflow obstruction was 9.7% (51/525), defined by FEV<sub>1</sub>/FVC ratio < 70%. Diabetes prevalence was 17.7% (93/525) in our study population and 60.3% (317/525) had a family history of snoring or sleep apnea. Cardiovascular risk factors such as hypertension 39.81% (209/525) or hypercholesterolemia 36.9% (194/525) were common. Obstructive sleep apnea prevalence among

**Figure 1**—Study population flow diagram

obese patients was 79.9% (275/344 patients with AHI > 5 and symptoms), with mean AHI of  $32.5 \pm 23.9$  and ESS  $11.8 \pm 5.7$ . OHS prevalence among OSAHS patients was 61/275 (22.1%). Patients with comorbidities that may cause daytime hypercapnia such as severe COPD ( $FEV_1/FVC$  ratio < 50%; 4 patients) were excluded from the OHS group. Respiratory (asthma, COPD), metabolic (diabetes, hyperlipidemia) and thyroid comorbidities were more common in OHS group when compared to normocapnic obese patients as described in **Table 1**.

A total of 71/344 patients (20.6%) fulfilled the OHS criteria of concurrent obesity (BMI > 30 kg/m<sup>2</sup>) and daytime hypercapnia ( $pCO_2 > 6$  kPa or 45 mm Hg). **Table 2** summarizes differences between obese and nonobese patients. Obese patients were older, with increased anthropometric measurements (neck, waist/hip ratio) and lower spirometry values ( $FEV_1$ , FVC). Obese patients had significantly higher sleep variables (AHI, ODI), lower oxygen saturation during sleep (mean  $SpO_2$ , minimum nocturnal  $SpO_2$ ), spending more time with  $SpO_2$  below 90%, and less time in supine position.

A comparison between obesity hypoventilation patients and normocapnic obese is described in **Table 3**. Of 344 obese patients, 220 (63.9%) were males. Mean age for obese group  $\pm$  SD was  $52.2 \pm 12.4$  years. Ethnic distribution showed 257 (74.7%) white, 61 (17.7%) black, 19 (5.5%) Asian, and other 7 (2%). OSAHS (AHI > 5 and symptomatic) was more common in hypercapnic obese patients 85.9% (61/71) versus normocapnic obese 80.2% (219/273).

Prevalence of daytime hypercapnia increased with BMI as shown in **Figure 2**; 10.9% (14/128) in class 1 obesity, 20.5% (18/88) in class 2 obesity, and 30.4% (39/128) in morbidly obese patients.

Hypercapnic patients were older, heavier, had lower spirometry values, and experienced more sleep events than normocapnic obese patients. Anthropometric measurements (BMI, neck size, waist hip ratio), pulmonary function volumes ( $FEV_1$ , FVC), sleep data (ODI, mean  $SpO_2$ , minimum nocturnal  $SpO_2$ , TRT90), and CBG data (pH,  $pO_2$ ,  $pCO_2$ , calculated  $HCO_3$ ) were statistically significant between the 2 groups.

Univariate analysis using Pearson correlation or Kendall tau test showed a significant relationship between  $pCO_2$  and BMI ( $r = 0.187$ ;  $p = 0.0223$ ),  $FEV_1$  ( $r = -0.271$ ;  $p = 0.0218$ ), FVC ( $r = -0.253$ ,  $p = 0.0328$ ), AHI ( $r = 0.271$ ;  $p = 0.0083$ ),

**Table 1**—Patients comorbidities in OHS versus normocapnic obese group

Comorbidities	OHS (n = 71)	Normocapnic obese (n = 273)
Asthma	28.1% (20)	19.7% (54)
COPD	9.8% (7)	6.9% (19)
Hypertension	52.1% (37)	46.8% (128)
Diabetes	30.9% (22)	20.1% (55)
Hyperlipidemia	45% (32)	41.7% (114)
IHD	11.2% (8)	13.1% (36)
TIA/Stroke	2.8% (2)	4.3% (12)
CKD	1.4% (1)	2.9% (8)
GERD	53.5% (38)	56% (153)
Arrhythmia	9.7% (7)	9.8% (24)
Underactive thyroid	11.1% (8)	9.5% (26)

**Table 2**—Clinical characteristics of obese versus non obese patients\*

Characteristics	Obese (n = 344)	Nonobese (n = 181)	p value
Age, years	$52.2 \pm 12.4$	$49.8 \pm 13.1$	0.0357
BMI, kg/m <sup>2</sup>	$38.7 \pm 7$	$26.7 \pm 2.5$	< 0.0001
Neck size, cm	$43.5 \pm 2.6$	$38.5 \pm 1.8$	< 0.0001
Waist/hip	$0.991 \pm 0.078$	$0.947 \pm 0.083$	< 0.0001
ESS	$11.4 \pm 5.7$	$10.3 \pm 5.8$	0.0389
$FEV_1$ , %	$88.4 \pm 20.5$	$93.5 \pm 18.6$	0.0053
FVC, %	$93 \pm 19.6$	$98.6 \pm 18.4$	0.0017
$FEV_1/FVC$ , %	$80 \pm 9.2$	$80.7 \pm 9.3$	0.3880
AHI, events/h	$24.8 \pm 24.5$	$11.3 \pm 14.9$	< 0.0001
ODI, events/h	$29.7 \pm 28.9$	$12.9 \pm 17.4$	< 0.0001
Mean $SpO_2$ , %	$91.8 \pm 5.5$	$94.4 \pm 3.8$	< 0.0001
Min. $SpO_2$ , %	$77.3 \pm 12.1$	$84 \pm 9.4$	< 0.0001
TRT90, %	$14.8 \pm 21.5$	$5.5 \pm 11$	< 0.0001
T supine, %	$32.7 \pm 26.3$	$40.9 \pm 24.7$	0.0017

\*Data presented as mean  $\pm$  SD or % of patients.

mean  $SpO_2$  ( $r = -0.214$ ;  $p = 0.0091$ ), minimum nocturnal  $SpO_2$  ( $r = -0.248$ ;  $p = 0.0026$ ), time spent with  $SpO_2$  below 90% (TRT90,  $r = 0.328$ ;  $p = 0.0014$ ),  $pO_2$  ( $r = -0.389$ ;  $p = 0.0008$ ), and calculated  $HCO_3$  ( $r = 0.306$ ;  $p = 0.0093$ ).

The relationship between  $pCO_2$  and several OHS predictors was estimated using multiple regression allowing for BMI,  $FEV_1$ , FVC, AHI, mean  $SpO_2$ , minimum nocturnal  $SpO_2$ , TRT90,  $pO_2$ , and calculated  $HCO_3$ . This model was overall significant ( $p = 0.0005$ ), and variables taken into account were responsible for 52.2% of  $pCO_2$  variance. Following stepwise multiple regression,  $pO_2$  and calculated  $HCO_3$  were found to be independent predictors of OHS, explaining 27.7% of  $pCO_2$  variance ( $p < 0.0001$ ).

Logistic regression analysis was used to determine various serum bicarbonate thresholds and their statistical value in detecting daytime hypercapnia as presented in **Figures 3** and **4**.

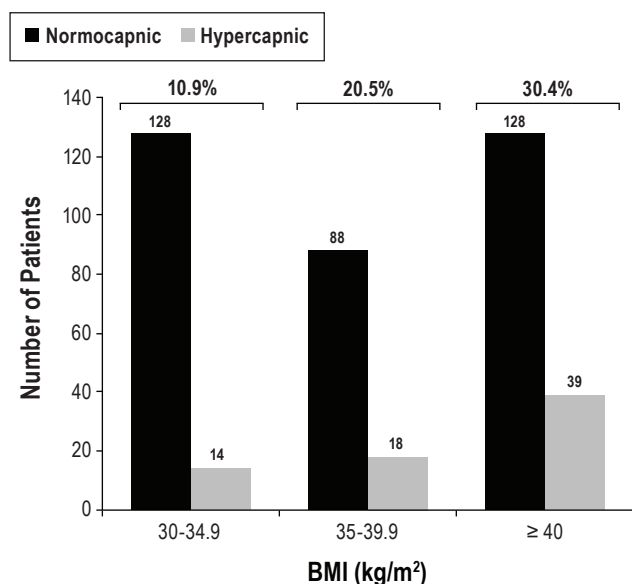
A calculated bicarbonate threshold of 27 mmol/L was the most effective in detecting obesity hypoventilation syndrome, with 85.7% sensitivity and 89.5% specificity as described in **Table 4**.

**Table 3**—Clinical characteristics of normocapnic obese patients versus OHS patients\*

Characteristics	Normocapnic obese (n = 273)	Hypercapnic obese (n = 71)	p value
Age, years	51.7 ± 12.1	54.5 ± 13.2	0.0874
BMI, kg/m <sup>2</sup>	37.9 ± 6.5	41.6 ± 7.7	0.0001
Neck size, cm	43.2 ± 2.5	44.7 ± 2.6	< 0.0001
Waist/hip	0.986 ± 0.077	1.01 ± 0.078	0.0232
ESS	11.3 ± 5.7	12.1 ± 5.3	0.2533
FEV <sub>1</sub> , %	92.3 ± 18.3	73.3 ± 21.8	< 0.0001
FVC, %	96.7 ± 17.7	78.6 ± 20	< 0.0001
FEV <sub>1</sub> /FVC, %	80.5 ± 8.5	78.3 ± 10.8	0.0681
ODI, events/h	26.9 ± 25.4	40.1 ± 38.1	0.0006
Mean SpO <sub>2</sub> , %	92.7 ± 4.2	88.4 ± 8	< 0.0001
Minimum SpO <sub>2</sub> , %	79 ± 10.8	70.8 ± 14.2	< 0.0001
TRT90, %	11.7 ± 18.1	30 ± 29.1	< 0.0001
pH	7.42 ± 0.024	7.4 ± 0.033	< 0.0001
pCO <sub>2</sub> , kPa/mm Hg	5 ± 0.4/37.5 ± 3	6.8 ± 1.1/51 ± 8.2	< 0.0001
pO <sub>2</sub> , kPa/mm Hg	9.5 ± 1.3/71.2 ± 9.7	7.8 ± 1.5/58.5 ± 11.2	< 0.0001
Calculated HCO <sub>3</sub> , mmol/L	24.5 ± 1.7	28.2 ± 2.1	< 0.0001

\*Data presented as mean ± SD or % of patients.

**Figure 2**—OHS distribution in obese patients



## DISCUSSION

We found a high prevalence (20.6%) of daytime hypercapnia (OHS) in obese patients attending our sleep clinic. The prevalence increased with an increase in obesity, from 10.9% in mild obesity to 30.4% in morbid obesity. The high prevalence in OHS obese patients in our study was mainly due to high prevalence of obstructive sleep apnea in our obese and hypercapnic obese patients—80% and 86%, respectively. Therefore overall prevalence of OHS in obese sleep apnea patients (22.1%) was similar to prevalence in obese patients (20.6%). Although 51.4% of our patients (obese and non-obese) were either current smokers or ex-smokers, only 9.7% had evidence of airflow

obstruction. Patients with moderate to severe airflow obstruction (FEV<sub>1</sub>/FVC ratio < 50%) as well other causes of daytime hypercapnia were excluded. This study was performed at an altitude of 57 ft, which is just above sea level. Therefore it is unlikely that respiratory alkalosis secondary to altitude hypoxia could lead to a compensatory bicarbonate production.

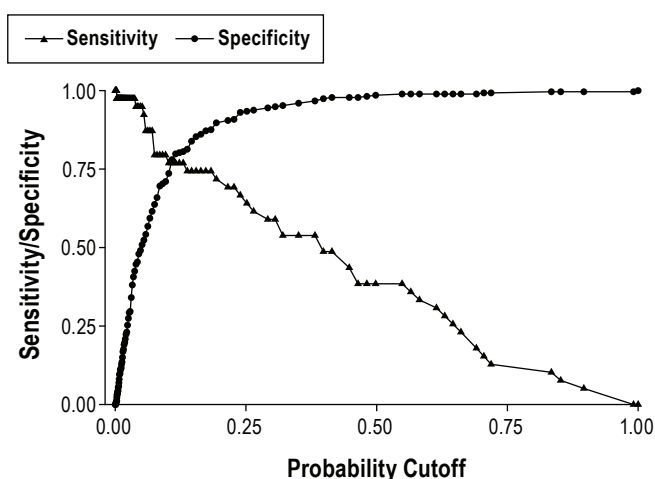
The exact prevalence of OHS among the general population is unknown; however, the estimated prevalence among the US obese population is thought to be 0.3%.<sup>7,8</sup> At the other extreme, the prevalence of OHS among hospitalized adult patients with a BMI > 35 kg/m<sup>2</sup> is high, at 31%.<sup>9</sup> Several studies have found that as BMI increased, the OHS prevalence increased significantly up to 48% when the BMI was > 50.<sup>5-7,10,16,17,19</sup> In the Asian population, OHS is associated with OSAHS at a lower BMI, mainly due to cephalometric differences, such as narrowing of the oropharynx and inferior displacement of the hyoid bone.<sup>24-26</sup> In this study, BMI and several other anthropometric measurements (waist/hip ratio, neck size) registered significantly higher values in OHS group versus normocapnic obese patients. We found a prevalence of OHS among obese patients of 20.6%, increasing to 30.4% in those who were morbidly obese. The association between daytime hypercapnia and obesity can be explained by a higher incidence of severe OSAHS among morbidly obese patients.

Most previous studies have examined the prevalence of OHS in patients attending sleep clinic or patients with a confirmed diagnosis of OSAHS. The prevalence among sleep clinic patients has varied from 10% to 20% in different studies, and much higher in patients with confirmed obstructive sleep apnea from 20% to 30%.<sup>5,6,17,21,24</sup> A relatively low prevalence of 9% was seen among Japanese OSAHS patients with a mean age of 48 years and BMI of 29.<sup>24</sup> Other studies with more selective inclusion criteria such as patients from one gender or of a distinct ethnic group reported higher prevalence up to 38%.<sup>16,18</sup> A high prevalence of OHS in some previous studies could also be due to the inclusion of patients with significant airflow limitation. In this study, the prevalence of OHS among patients with OSAHS was

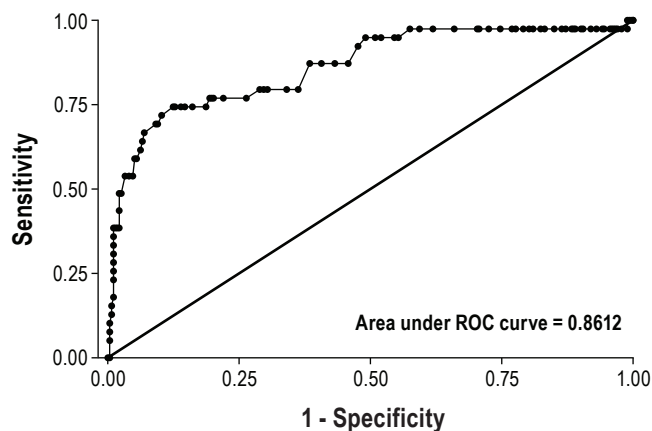
**Table 4**—Calculated bicarbonate from the CBG cutoff values

Calculated HCO <sub>3</sub> cutoff mmol/L	Sensitivity (%)	Specificity (%)	Positive pred. value (%)	Negative pred. value (%)
26	87.3	78.0	50.8	95.9
27	85.7	89.5	68.1	95.9
28	74.6	95.2	80.3	93.5
29	66.2	98.1	90.3	91.7

**Figure 3**—Bicarbonate performed well as a diagnostic test, with a curve above 45°, rising steeply close to y-axis then flattening out, with the best cut-off giving high sensitivity and specificity



**Figure 4**—Receiver operating characteristic (ROC) curve analysis for serum bicarbonate levels was performed using logistic regression



22.1%. This relatively high prevalence of OHS despite a relatively low average BMI of 34.5 kg/m<sup>2</sup> compared to other studies may be explained by a high overall prevalence of OSAHS (80%).

A practical tool used for the screening of OHS when interpreting sleep studies, seems to be the amount of time spent with SpO<sub>2</sub> < 90%.<sup>27</sup> A recent meta-analysis study showed significantly increased time spent with SpO<sub>2</sub> < 90% in OHS versus normocapnic OSAHS patients (56% vs 19%).<sup>7</sup> Other authors have found this sleep variable to be an independent predictor for OHS, accounting for 24% variance of pCO<sub>2</sub>.<sup>21</sup> In our study, TRT90 was significantly increased in the OHS group—30% compared to 11.7% in normocapnic obese patients. Sleep data variables (mean SpO<sub>2</sub>, minimum nocturnal SpO<sub>2</sub>, and TRT90) showed consistent correlation between severity of nocturnal hypoxia and daytime hypercapnia. In our study, AHI correlated with pCO<sub>2</sub> after univariate analysis, and unlike other studies was not found to be an independent predictor for OHS.<sup>10,19-21</sup>

Although gold standard for diagnosis of OHS remains raised arterial pCO<sub>2</sub>, an increase in the bicarbonate level due to metabolic compensation of respiratory acidosis has been found to be a sensitive screening tool for daytime hypercapnia.<sup>7,27</sup>

In our study, bicarbonate level was calculated from the CBG data using arterial pH and pCO<sub>2</sub> partial pressure in Henderson-Hasselbalch formula. Previous comparison between measured versus calculated bicarbonate levels in 17,621 samples, has found an R<sup>2</sup> value of 0.93, indicating a close relationship between serum and calculated HCO<sub>3</sub> values.<sup>28</sup>

In our study using logistic regression with various thresholds for HCO<sub>3</sub>, a cutoff value of 27 mmol/L had the highest sensitivity (85.7%) and specificity (89.5%) in detecting OHS.

In a previous study using serum bicarbonate sampling, Mokhlesi et al. found after ROC curve analysis an identical HCO<sub>3</sub> threshold of 27 mmol/L to have a sensitivity of 92% and a specificity of 50% in identifying OHS. The authors have suggested using serum bicarbonate in conjunction with other markers of OSAHS severity such as AHI when screening for OHS.<sup>27</sup> That study revealed a prevalence of OHS of 20% in sleep apnea patients with a mean BMI of 43 and a mean AHI of 62.<sup>27</sup> In our study, we found a similar prevalence of OHS in sleep apnea patients of 22.1%, despite a lower severity of sleep apnea with a mean BMI of 34.5 and a mean AHI of 32.5.

In terms of study limitations, we acknowledge that calculated HCO<sub>3</sub> from the CBG using Henderson-Hasselbalch formula is not identical with serum bicarbonate measurements, and a 1 mmol/L variation of in HCO<sub>3</sub> can have a significant impact on sensitivity and specificity when screening for OHS. Therefore we recommend serum bicarbonate sampling in conjunction with other clinical markers of nocturnal hypoxia.

Previous studies have confirmed high levels of agreement between serum bicarbonate measurements from venous versus arterial samples, suggesting that venous samples can be an acceptable substitute for arterial measurement in clinical setting.<sup>22</sup> However, development of commercially available bicarbonate finger strips may further help with noninvasive screening for daytime hypercapnia, allowing an earlier diagnosis of OHS, especially in primary practice.

## CONCLUSION

Early identification of daytime hypercapnia using simple clinical predictors can avoid invasive arterial blood gas sampling and reduce morbidity and mortality associated with OHS. A normal HCO<sub>3</sub> value may exclude daytime hypercapnia, while an elevated bicarbonate and/or nocturnal hypoxia should guide clinicians to look for OHS.

The outcome of this study has changed our clinical practice so that we routinely measure serum bicarbonate level on all obese patients followed by the measurement of capillary blood gas pCO<sub>2</sub> in patients with raised HCO<sub>3</sub> > 27 mmol/L.

We conclude that routine measurement of serum bicarbonate in obese patients can be a useful screening tool for early diagnosis of OHS and/or sleep disordered breathing.

## ABBREVIATIONS

AHI, apnea-hypopnea index  
 BMI, body mass index  
 BTS, British Thoracic Society  
 CBG, capillary blood gas  
 CKD, chronic kidney disease  
 COPD, chronic obstructive pulmonary disease  
 ESS, Epworth Sleepiness Scale  
 FEV<sub>1</sub>, forced expiratory volume in 1st second  
 FVC, forced vital capacity  
 HCO<sub>3</sub>, serum/arterial bicarbonate  
 GERD, gastroesophageal reflux disease  
 IHD, ischemic heart disease  
 ODI, oxygen desaturation index  
 OHS, obesity hypoventilation syndrome  
 OSAHS, obstructive sleep apnea/hypopnea syndrome  
 RIP, respiratory inductance plethysmography  
 SpO<sub>2</sub>, pulse oximeter oxygen saturation  
 SD, standard deviation  
 TIA, transient ischemic attack  
 TRT90, total recording time with SpO<sub>2</sub> below 90%  
 UK, United Kingdom  
 US, United States  
 WHO, World Health Organization

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## ACKNOWLEDGMENTS

The authors thank Jennifer Quinn, Epidemiologist Consultant at London School of Hygiene and Tropical Medicine for useful suggestions. Research work was performed at Sleep and Ventilation Unit, Department of Respiratory Medicine, North Middlesex University Hospital, London, UK.

## SUBMISSION & CORRESPONDENCE INFORMATION

Submitted for publication March, 2013

Submitted in final revised form April, 2013

Accepted for publication April, 2013

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## DISCLOSURE STATEMENT

This was not an industry supported study. The authors have indicated no financial conflicts of interest.