

# Glucose Metabolism After Renal Transplantation

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**OBJECTIVE**—We determined prevalence, risk factors, phenotype, and pathophysiological mechanism of new-onset diabetes after transplantation (NODAT) to generate strategies for optimal pharmacological management of hyperglycemia in NODAT patients.

**RESEARCH DESIGN AND METHODS**—Retrospective cohort study comparing demographics, laboratory data, and oral glucose tolerance test (OGTT)-derived metabolic parameters from kidney transplant recipients versus subjects not receiving transplants.

**RESULTS**—Among 1,064 stable kidney transplant recipients ( $\geq 6$  months posttransplantation), 113 (11%) had a history of NODAT and 132 (12%) had pretransplant diabetes. In the remaining patients, randomly assigned OGTTs showed a high prevalence of abnormal glucose metabolism (11% diabetes; 32% impaired fasting glucose, impaired glucose tolerance, or both), predominantly in older patients who received tacrolimus as the primary immunosuppressant. Compared with 1,357 nontransplant subjects, stable kidney transplant recipients had lower basal glucose, higher glycated hemoglobin, lower insulin secretion, and greater insulin sensitivity in each of the three subgroups, defined by OGTT 2-h glucose ( $< 140$ ,  $140$ – $199$ ,  $\geq 200$  mg/dL). These findings were reinforced in linear spline interpolation models of insulin secretion and sensitivity (all  $P < 0.001$ ) and in another regression model in which the estimated oral glucose insulin sensitivity index was substantially higher (by  $79$ – $112$  mL/min  $m^2$ ) for transplant versus nontransplant subjects despite adjustments for age, sex, and BMI (all  $P < 0.001$ ).

**CONCLUSIONS**—Glucose metabolism differs substantially between kidney transplant recipients and nontransplant controls. Because impaired insulin secretion appears to be the predominant pathophysiological feature after renal transplantation, early therapeutic interventions that preserve, maintain, or improve  $\beta$ -cell function are potentially beneficial in this population.

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**M**ore than 15,000 adults in the United States receive kidney transplants from deceased and living donors every year, and the majority will have improved quality of life and

stable allograft function over the longer-term (1). By the end of the third posttransplant year, however,  $>40\%$  of previously nondiabetic kidney transplant recipients develop new-onset diabetes after

transplantation (NODAT) (2), which portends elevated risks for cardiovascular disease (3). NODAT is not mentioned in the American Diabetes Association position statement, but the American Diabetes Association experts emphasize that it is less important to label the particular type of diabetes than to understand the pathogenesis of hyperglycemia to treat it effectively (4). The pathophysiology underlying NODAT, however, is at present only poorly understood.

Type 2 diabetes mellitus (DM) in the general population ranges from predominantly insulin resistance with relative insulin deficiency to predominantly an insulin secretory defect with insulin resistance (4). In kidney transplant recipients with overt NODAT versus normal glucose tolerance (NGT), insulin resistance is increased and  $\beta$ -cell function is diminished (5), but the relative importance of either one component has been controversially reported (6–11). Calcineurin inhibitors, albeit standard initial maintenance immunosuppressive agents in the United States (1), are diabetogenic and inhibit a signaling pathway that is crucial for  $\beta$ -cell growth and function (12). Glucocorticoids are often used simultaneously and are well-known to decrease insulin sensitivity (13) but also might diminish insulin secretion (14).

In the current study, we aimed at assessing mechanisms of NODAT development in kidney transplant recipients. Our first goal was to determine prevalence, risk factors, and phenotype of a disturbed posttransplant glucose metabolism. Because previous treatment strategies for NODAT were suggested to follow type 2 DM (15), our second goal was to compare insulin secretion and insulin sensitivity between kidney transplant recipients and nontransplant individuals. In addition, we sought to validate results from stable kidney transplant recipients ( $\geq 6$  months) against recent findings from patients studied as early as 3 months posttransplantation (16). We hypothesized that these pathomechanistic details, together, would help generate strategies for optimal management of hyperglycemia in NODAT patients.

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## RESEARCH DESIGN AND METHODS

### Study participants and data retrieval

In stable kidney transplant recipients, glucose metabolism was analyzed using data from the Transplant-Associated Hyperglycemia (TAHG) study, an open, noninterventional, observational cohort study (Medical University of Vienna [MUV] Ethics Committee approval 566/2009). All outpatients  $\geq 6$  months after renal transplantation with one or more visits between March 2009 and March 2010 at MUV renal transplant clinic were eligible. Patients were pseudonymized, and their routinely recorded clinical data were extracted electronically or by chart review. As part of a routine screening program starting in March 2009, all kidney transplant recipients without history of pretransplantation DM (pretransplantation DM = type 1 DM and type 2 DM) or NODAT were randomly assigned to an oral glucose tolerance test (OGTT) using Glucoral 75 citron (Germania Pharmazeutika, Vienna, Austria) after an overnight fast. Three hundred seven patients had their OGTT (fasting and 2-h glucose) completed by March 2010, and OGTT-derived data were included in the present analysis. A random 105 of these 307 OGTTs were performed with measurements of glucose and insulin at 0, 10, 20, 30, 60, 90, and 120 min, allowing the estimation of several metabolic parameters, as described.

In kidney transplant recipients during the early postoperative period, glucose metabolism was analyzed using data from the Treat-to-target Trial of Basal Insulin in Posttransplant Hyperglycemia (TIP) study, a recently completed randomized controlled clinical trial (16). In the control arm of the TIP study, 20 of 25 patients with standard-of-care antihyperglycemic management were not using antidiabetic pharmacotherapy  $91 \pm 6$  days postoperatively and underwent an OGTT with sequential measurements of glucose and insulin (as noted). OGTT-derived metabolic results and basic demographic information, as listed in Table 3 and partially published previously (16), were used for comparison with patients from the TAHG study.

In nontransplant subjects, glucose metabolism was evaluated using unselected pseudonymized data from the Venice Regional General Hospital (VGH), Italy. By this hospital's standard

of care, patients who were admitted underwent a routine OGTT, regardless of the underlying medical problem. Only OGTTs from subjects without a known pathology affecting glucose metabolism are reported. OGTT-derived metabolic results and basic demographic information, as listed in Table 3, were used for comparison with patients from the TAHG study and TIP study. Parts of the study cohort have been published elsewhere (17). The majority ( $>90\%$ ) of nontransplant subjects and kidney transplant recipients were Caucasian. All data obtained from nontransplant subjects and kidney transplant recipients were analyzed retrospectively.

### Laboratory measurements

At MUV, glucose was assessed by the hexokinase method, glycated hemoglobin  $A_{1c}$  (HbA<sub>1c</sub>) was assessed by high-performance liquid chromatography separation of hemoglobin fractions (18), and insulin was assessed by chemiluminescence immunoassay (19). At VGH, glucose was assessed by glucose oxidase, HbA<sub>1c</sub> was assessed by high-performance liquid chromatography, and insulin was assessed by radioimmunoassay. The upper range of normal for fasting insulin in healthy individuals was 29  $\mu\text{U}/\text{mL}$  at MUV and 22  $\mu\text{U}/\text{mL}$  at VGH.

### Definition of impaired glucose metabolism

NODAT was defined as need for antidiabetic treatment in patients without diabetes history before transplantation (15) or according to the OGTT result (2-h glucose  $\geq 200$  mg/dL; fasting glucose  $\geq 126$  mg/dL) (4,15). Impaired glucose tolerance (IGT) was defined as 2-h glucose 140–199 mg/dL during the OGTT, and impaired fasting glucose was defined as fasting glucose 100–125 mg/dL (4). Three subgroups of patients were formed according to the 2-h glucose level during the OGTT ( $<140$ , 140–199,  $\geq 200$  mg/dL).

### Evaluation of insulin secretion, insulin sensitivity, and $\beta$ -cell function

Insulin secretion during the OGTT was assessed from the area under the curve (AUC; trapezoidal rule) and  $\beta$ -cell function was assessed by insulinogenic index (IGI) using the ratio of suprabasal (dynamic) insulin AUC to the corresponding suprabasal glucose AUC (20). Insulin sensitivity was evaluated in dynamic

conditions through the oral glucose insulin sensitivity index (OGIS), which describes glucose clearance per unit change of insulin concentration (21), and at fasting by the quantitative insulin sensitivity check index (QUICKI) (22). All these indices have been validated in the nontransplant population (23). Here, we also validated OGIS and QUICKI against the infusion rate of an euglycemic-hyperinsulinemic glucose clamp performed in 21 stable kidney transplant recipients from the TAHG study. Briefly, blood samples were drawn for glucose measurements from venous catheters at minutes  $-5$ ,  $-3$ ,  $-2$ , and 0. Thereafter, the euglycemic-hyperinsulinemic clamp was started with a primed continuous infusion of insulin (24) and a later rate of 40  $\text{mU} \cdot \text{min}^{-1} \cdot \text{m}^{-2}$  for 2 h; blood samples were drawn at minutes 4, 5, 8, and 10, and every 5 min thereafter. Whole-body insulin sensitivity (Mbw = glucose uptake, normalized to body weight) values were calculated from glucose infusion rates during the clamp tests, corrected for glucose space and urinary glucose loss as previously described (24).

### Statistical analyses

Among descriptive statistics, we used counts and percentages and means  $\pm$  SD, unless otherwise indicated. Because data reported in Table 1 were collected over the course of 1 year, we calculated patient age on 30 September 2009. If patients had multiple measurements of the same laboratory parameter, then we determined the patient median to calculate the mean of the group. In Tables 2 and 3, age and HbA<sub>1c</sub> are reported for the day of the OGTT.

Demographic parameters, immunosuppression, and laboratory values in various patient groups were compared with the unpaired two-tailed Student *t* test for continuous variables, and the unadjusted  $\chi^2$  test or Fisher exact test (when appropriate) was used for categorical variables. Analysis of variance was used for simultaneous comparisons of continuous variables between three groups.

Ordinary least-squares regression models were used to analyze the association of 2-h glucose (independent variable) with both insulin AUC and OGIS (dependent variables). The 2-h glucose was modeled using a linear spline function with a single knot at 140 mg/dL (Fig. 1A). OGIS also was regressed on IGI by 2-h glucose and dichotomized at 140 mg/dL (Fig. 1B). Results were centered at the

Table 1—Demographics and patient characteristics of all stable\* kidney transplant recipients at MUV, by history of diabetes prevalence

Variable	No NODAT n = 819	NODAT† n = 113	Pretransplantation DM n = 132	P‖
Age, years	55 ± 14	61 ± 12	61 ± 11	<0.001
Male, %	62	58	71	0.32
Time since transplantation, years	9.0 ± 7.2	10.3 ± 6.1	5.9 ± 5.3	0.037
Time on dialysis,‡ years	2.5 ± 2.1	2.6 ± 1.6	2.4 ± 1.6	0.57
BMI at transplantation,§ kg/m <sup>2</sup>	25.2 ± 4.4	26.7 ± 4.4	27.5 ± 5.0	0.029
Immunosuppression early after transplantation				
CsA, %	56	62	39	0.23
Dose, mg Sandimmun Neoral	259 ± 244	221 ± 220	249 ± 188	0.28
FK506, %	34	34	48	0.96
Dose, mg Prograf	8.4 ± 4.1	8.6 ± 4.1	8.2 ± 3.7	0.79
mTOR inhibitor, %	0	0	0	1.00**
Antimetabolite, %	84	80	88	0.28
Dose, g CellCept	1.7 ± 0.4	1.7 ± 0.5	1.6 ± 0.5	0.72
Glucocorticoid, %	95	98	92	0.22
Dose, mg Aprednislon	17.8 ± 5.6	19.0 ± 10	18.0 ± 6.0	0.20
Immunosuppression at last visit				
CsA, %	35	35	30	0.91
Dose, mg Sandimmun Neoral	114 ± 43	89 ± 37	119 ± 52	0.002
FK506, %	51	57	61	0.28
Dose, mg Prograf	4.3 ± 2.5	3.1 ± 1.3	4.4 ± 3.2	<0.001
mTOR inhibitor, %	1	1	1	1.00**
Antimetabolite, %	80	77	86	0.50
Dose, g CellCept	1.7 ± 0.5	1.8 ± 0.4	1.7 ± 0.4	0.19
Glucocorticoid, %	78	68	84	0.022
Dose, mg Aprednislon	4.2 ± 2.7	3.5 ± 2.2	4.6 ± 3.7	0.025
Serum glucose,¶ mg/dL	102 ± 19	129 ± 39	125 ± 45	<0.001
HbA <sub>1c</sub> , relative %	5.6 ± 0.5	6.8 ± 1.1	6.9 ± 1.1	<0.001
IFCC HbA <sub>1c</sub> , mmol/mol	38 ± 5.5	51 ± 12	52 ± 12	<0.001
Hemoglobin, g/dL	12.6 ± 1.8	12.6 ± 1.9	12.3 ± 1.8	0.67
Uric acid, mg/dL	7.2 ± 1.6	7.4 ± 1.8	7.1 ± 1.7	0.28
Serum creatinine, mg/dL	1.8 ± 1.1	1.7 ± 0.9	2.0 ± 1.2	0.13
C-reactive protein, mg/dL	0.5 ± 1.0	0.7 ± 0.8	0.9 ± 1.6	0.17
Serum albumin, g/L	42 ± 4	41 ± 4	40 ± 4	0.11
Urine protein-to-creatinine ratio, mg/g	449 ± 1,060	534 ± 1,029	485 ± 1,183	0.44
Urine albumin, mg/L	157 ± 401	179 ± 378	147 ± 352	0.60
Urine protein, g/24 h	0.3 ± 0.8	0.3 ± 0.6	0.3 ± 1.0	0.56

Immunosuppression early after transplantation indicates the agent and dose recorded at the patient's first visit in the outpatient clinic after posttransplant discharge; at last visit is during the study period. Boldface numbers indicate findings with  $P < 0.05$ . CsA, cyclosporine A; FK506, tacrolimus; IFCC, International Federation of Clinical Chemistry and Laboratory Medicine; mTOR, mammalian target of rapamycin. \*Functioning graft for  $\geq 6$  months. †Antidiabetic medication but no history of diabetes before transplantation. ‡Dialysis time for repeat transplantations was counted since the patient's last transplant failure, and dialysis time was not counted (as zero) for preemptive transplant patients. §Available for  $N = 499$  patients overall. ¶Not routinely a fasting measurement (i.e., patients were not required to remain fasting). ‖No NODAT vs. NODAT \*\*Fisher exact test.

mean OGIS within each category of 2-h glucose. Model results for the subgroup of TIP patients with 2-h glucose  $< 140$  mg/dL were hidden in all figures because of insufficient sample size.

For OGIS and QUICKI validations, these parameters were regressed with Mbw from the euglycemic-hyperinsulinemic clamp. To consider a possible effect of individual patient characteristics on OGTT-derived measures, we modeled the association of transplant status ( $\geq 6$

months posttransplant vs. nontransplant) with each OGTT-derived measure in each of three glucose strata (OGTT subgroups) and evaluated unadjusted and adjusted results. Each OGTT-derived measure (AUC insulin, IGI, OGIS, QUICKI) was regressed on glucose category, transplant status, and an interaction term to produce estimates in each glucose stratum, both unadjusted and adjusted for age, sex, and BMI (Supplementary Table 2). For calculations we used MS Excel 2003,

SAS 9.2 for Windows (SAS Institute, Cary, NC), and Stata 9.0 (Stata, College Station, TX).

**RESULTS**—Data from all 1,064 stable kidney transplant recipients followed-up at MUV during the study period were available for analysis. Mean age was  $56 \pm 14$  years, 63% were males, and the median time since transplantation was 8 years (interquartile range, 4–14 years).

## Glucose after transplantation

**Table 2—Characteristics of randomly selected, previously nondiabetic, stable\* kidney transplant recipients at MUV undergoing an OGTT, by OGTT outcome**

Variable	<140 mg/dL (n = 216)	140–199 mg/dL (n = 62)	≥200 mg/dL (n = 29)	P¶
Age, years	53 ± 13	62 ± 11	63 ± 11	<0.001
Male, %	63	76	62	0.16
Time since transplantation, years	9.2 ± 7.6	5.9 ± 6.0	7.9 ± 6.7	0.006
Time on dialysis,† years	2.2 ± 1.9	2.9 ± 2.0	2.8 ± 1.8	0.013
BMI at transplantation,‡ kg/m <sup>2</sup>	24.8 ± 4.0	25.7 ± 5.7	26.6 ± 3.6	0.28
BMI at OGTT,§ kg/m <sup>2</sup>	25.3 ± 5.3	24.5 ± 5.3	26.4 ± 8.1	0.43
Immunosuppression early after transplantation				
CsA, %	52	42	48	0.35
Dose, mg Sandimmun Neoral	238 ± 163	305 ± 340	180 ± 105	0.26
FK506, %	33	48	48	0.038
Dose, mg Prograf	8.0 ± 3.4	7.7 ± 4.2	6.6 ± 2.4	0.51
mTOR inhibitor, %	0	0	0	1.00**
Antimetabolite, %	87	85	83	0.85
Dose, g CellCept	1.7 ± 0.4	1.7 ± 0.5	1.5 ± 0.6	0.37
Glucocorticoid, %	97	95	97	0.79**
Dose, mg Aprednislon	17.8 ± 5.6	18.0 ± 4.0	16.7 ± 5.3	0.59
Immunosuppression at OGTT				
CsA, %	32	18	28	0.09
Dose, mg Sandimmun Neoral	110 ± 41	114 ± 44	92 ± 14	0.71
FK506, %	50	69	72	0.006
Dose, mg Prograf	4.3 ± 2.7	3.6 ± 2.0	3.1 ± 1.6	0.11
mTOR inhibitor, %	1	2	0	1.00
Antimetabolite, %	84	77	90	0.30
Dose, g CellCept	1.7 ± 0.5	1.6 ± 0.5	1.8 ± 0.5	0.67
Glucocorticoid, %	79	84	79	0.67
Dose, mg Aprednislon	3.8 ± 2.6	4.7 ± 3.2	4.7 ± 2.4	0.05
Serum glucose,§ mg/dL	96 ± 14	106 ± 17	113 ± 31	0.001
HbA <sub>1c</sub> , relative %	5.6 ± 0.4	5.7 ± 0.5	6.1 ± 0.9	<0.001
IFCC HbA <sub>1c</sub> , mmol/mol	38 ± 4.4	39 ± 5.5	43 ± 9.8	<0.001
Hemoglobin, g/dL	12.8 ± 1.8	12.5 ± 2.0	12.8 ± 2.1	0.48
Uric acid, mg/dL	7.0 ± 1.5	7.5 ± 1.6	7.1 ± 1.6	0.05
Serum creatinine, mg/dL	1.7 ± 0.7	1.8 ± 0.7	1.6 ± 0.7	0.57
C-reactive protein, mg/dL	0.4 ± 0.6	0.5 ± 0.5	0.5 ± 0.6	0.46
Serum albumin, g/L	43 ± 3	42 ± 3	41 ± 4	0.013
Urine protein-to-creatinine ratio, mg/g	283 ± 517	530 ± 1,592	581 ± 1,350	0.08
Urine albumin, mg/L	92 ± 225	165 ± 563	168 ± 447	0.24
Urine protein, g/24 h	0.2 ± 0.2	0.3 ± 0.8	0.3 ± 0.6	0.08

Immunosuppression early after transplantation indicates the agent and dose recorded at the patient's first visit in the outpatient clinic after posttransplant discharge. Boldface numbers indicate findings with  $P < 0.05$ . CsA, cyclosporine A; FK506, tacrolimus; IFCC, International Federation of Clinical Chemistry and Laboratory Medicine; mTOR, mammalian target of rapamycin. \*Functioning graft for  $\geq 6$  months. †Dialysis time for repeat transplantations was counted since the patient's last transplant failure, and dialysis time was not counted (as zero) for preemptive transplant patients. ‡Available for  $N = 138$  patients at transplantation, and for  $N = 228$  patients at the OGTT. §Not routinely a fasting measurement (i.e., patients were not required to remain fasting). ¶Overall  $P$  value. ||Fisher exact test.

### Prevalence of impaired glucose metabolism and its association with the patient profile

By patient history, 12% of our stable renal transplant population had pretransplantation DM and 11% had overt NODAT. Serum glucose, HbA<sub>1c</sub>, and age were increased in kidney transplant recipients with pretransplantation DM and NODAT compared with those without diabetes

history (Table 1). Kidney transplant recipients with pretransplantation DM and NODAT also had lower serum albumin and higher C-reactive protein levels. Glucocorticoid use in percent, glucocorticoid dosage, and calcineurin inhibitor dosage (cyclosporine A and tacrolimus) were significantly lower in NODAT patients at their last visit during the study period, but not at their first visit after postoperative

discharge, which is possibly a sign of indication bias. Compared with patients without diabetes history, those with NODAT and pretransplantation DM also had higher BMI at transplantation and NODAT patients had their transplant for a longer time.

Among stable kidney transplant recipients without NODAT history, routinely performed OGTTs were completed

**Table 3—Baseline characteristics and OGTT-derived indices in previously nondiabetic kidney transplant recipients at 3 months (early KTRs) and ≥6 months posttransplantation (stable KTRs) at MDV, grouped by OGTT outcome, and compared with the nontransplant population (non-KTRs) at VGH**

	<140 mg/dL			140–199 mg/dL			≥200 mg/dL					
	Early KTRs	Stable KTRs	Non-KTRs	Early KTRs	Stable KTRs	Non-KTRs	Early KTRs	Stable KTRs	Non-KTRs			
N of patients	4	55	815	8	34	338	8	16	204			
Age, years	47 ± 18	48 ± 14	51 ± 13	58 ± 11	62 ± 12	57 ± 11	61 ± 16	63 ± 11	60 ± 11			
Male, %	75	58	60	75	74	59	38	63	62			
BMI, kg/m <sup>2</sup>	26.3 ± 3.6	24.8 ± 4.6	26.9 ± 4.4	28.0 ± 4.5	24.8 ± 4.0	27.5 ± 4.2	25.7 ± 5.2	28.1 ± 6.1	27.7 ± 4.3			
HbA <sub>1c</sub> , relative %	6.1 ± 0.7	5.6 ± 0.5	5.3 ± 0.7	<0.001	5.6 ± 0.4	5.9 ± 0.6	5.6 ± 0.7	0.006	6.6 ± 0.5	6.3 ± 0.9	5.9 ± 0.9	0.16
IFCC HbA <sub>1c</sub> , mmol/mol	43 ± 7.7	38 ± 5.5	34 ± 7.7	<0.001	38 ± 4.4	41 ± 6.6	38 ± 7.7	0.006	49 ± 5.5	45 ± 9.8	41 ± 9.8	0.16
Glucose, 0 h, mg/dL	84 ± 6	89 ± 10	103 ± 16	<0.001	102 ± 11	99 ± 14	118 ± 15	<0.001	134 ± 21	113 ± 22	131 ± 19	<0.001
Glucose, 2 h, mg/dL	103 ± 20	108 ± 23	99 ± 23	0.006	171 ± 20	165 ± 17	164 ± 16	0.93	292 ± 88	237 ± 39	249 ± 46	0.32
Insulin, 0 h μU/mL	6.9 ± 3.4	7.4 ± 5.9	10.5 ± 7.7	<0.001	9.5 ± 4.4	8.5 ± 6.9	12.0 ± 7.7	0.014	7.5 ± 6.2	7.4 ± 4.8	14.3 ± 9.1	<0.001
Insulin, AUC mU/mL 2h	4.4 ± 2.3	4.8 ± 2.5	6.0 ± 3.5	0.001	3.9 ± 1.8	5.6 ± 3.2	6.8 ± 3.7	0.09	2.5 ± 2.0	3.4 ± 1.9	6.2 ± 3.4	<0.001
IGI, mmol insulin/mmol glucose	0.053 ± 0.039	0.082 ± 0.054	0.065 ± 0.108	0.049	0.028 ± 0.017	0.067 ± 0.085	0.039 ± 0.030	0.072	0.014 ± 0.011	0.022 ± 0.015	0.027 ± 0.021	0.38
OGIS, mL/min m <sup>2</sup>	468 ± 20	446 ± 63	333 ± 52	<0.001	391 ± 49	388 ± 52	295 ± 43	<0.001	330 ± 36	362 ± 55	282 ± 43	<0.001
QUICKI	0.45 ± 0.06	0.46 ± 0.07	0.41 ± 0.04	<0.001	0.41 ± 0.04	0.44 ± 0.07	0.39 ± 0.03	<0.001	0.42 ± 0.05	0.44 ± 0.07	0.37 ± 0.03	0.002

Boldface numbers indicate findings with  $P < 0.05$ . KTR, kidney transplant recipient; AUC, area under the curve; IFCC, International Federation of Clinical Chemistry and Laboratory Medicine; IGI, insulinogenic index; OGIS, oral glucose insulin sensitivity; QUICKI, quantitative insulin sensitivity check index. \*Stable KTRs vs. non-KTRs.

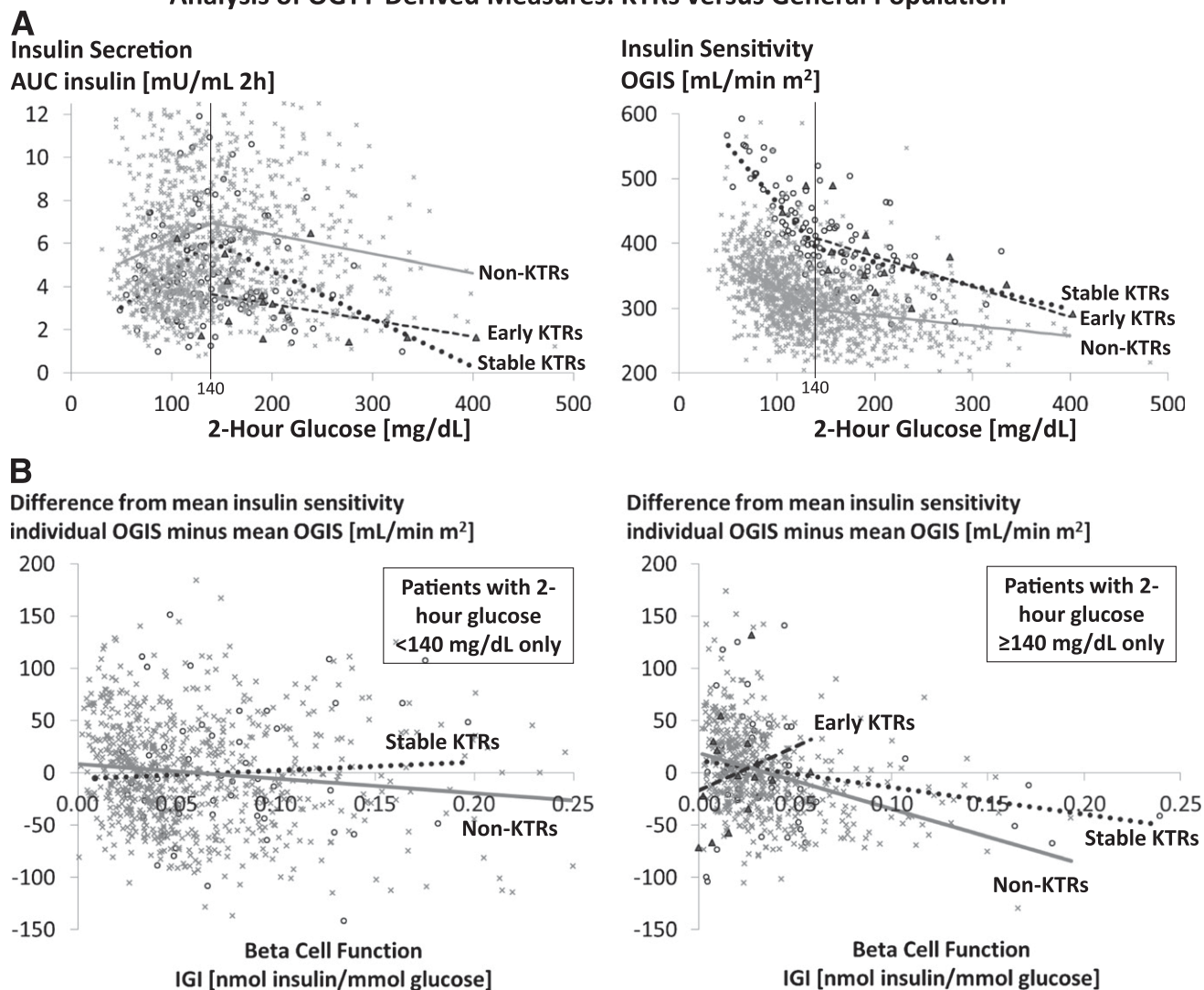
in 307 patients during the study period; 11% of these OGTTs showed diabetes and 8% showed IGT, whereas impaired fasting glucose occurred in 12% and IGT plus impaired fasting glucose occurred in 12%. Throughout the three subgroups of OGTT outcome (normal toward diabetic), serum glucose, HbA<sub>1c</sub>, patient age, and tacrolimus use increased significantly, glucocorticoid dosage trended higher, and glucocorticoid use was similar (Table 2). Serum albumin was significantly lower in kidney transplant recipients with OGTTs showing IGT and diabetes, whereas urine protein, albumin, and protein-to-creatinine ratio trended higher (all  $P \leq 0.24$ ). Compared with patients with normal OGTT results, those with IGT and diabetes had spent longer time on dialysis before transplantation and time since transplantation was shorter, whereas BMI was not different.

**OGTT-derived analysis of insulin secretion, insulin sensitivity, and β-cell function**

Of all 307 OGTTs in the stable renal transplant population, 105 were performed with sequential measurements of glucose and insulin, which allowed calculations of insulin secretion, insulin sensitivity, and β-cell function. As a prerequisite, the OGTT-derived index of insulin sensitivity, OGIS, was validated against the clamp-derived Mbw, and showed a significant association ( $r^2 = 0.38$ ;  $P = 0.003$ ). Throughout the three subgroups of OGTT outcome (normal toward diabetic), insulin secretion (basal and OGTT-derived AUC) and basal glucose were lower in kidney transplant recipients compared with 1,357 nontransplant controls, whereas insulin sensitivity and HbA<sub>1c</sub> were higher (Table 3). Thus, the glucose metabolism in kidney transplant recipients differed from the nontransplant control population.

In Fig. 1A, we provide every individual's value for insulin secretion (AUC insulin) and insulin sensitivity (OGIS) by OGTT-derived 2-h glucose (on the y-axis). Up to 2-h glucose of 140 mg/dL insulin secretion increased, and from 2-h glucose of 140 mg/dL onwards insulin secretion decreased, whereas insulin sensitivity decreased throughout the whole range of 2-h glucose in all three patient populations. However, the graphs for insulin secretion in both renal transplant populations remained below that of the nontransplant control population ( $P > 0.001$  and  $P = 0.016$ , respectively) and

## Analysis of OGTT-Derived Measures: KTRs versus General Population



**Figure 1**—Glucose metabolism after renal transplantation. OGTT-derived measures are shown from subjects at 3 months posttransplant (TIP study patients = early kidney transplant recipients [KTRs]) and  $\geq 6$  months posttransplant (TAHG study patients = stable KTRs) in comparison with the general population (VGH patients = non-KTRs). A: Insulin secretion by 2-h glucose (left) and insulin sensitivity by 2-h glucose (right). Model shows ordinary least-squares regression analysis of 2-h glucose (independent variable) against (left) insulin AUC and (right) OGIS (dependent variables). Within each of the three patient groups (TIP, TAHG, non-KTRs), 2-h glucose was modeled using a linear spline function with a single knot at 140 mg/dL. P for interaction testing slope difference between stable KTRs and non-KTRs was  $<0.001$  (left) and  $<0.001$  (right). P for interaction testing slope difference between early KTRs and non-KTRs was 0.016 (left) and  $<0.001$  (right). B: Insulin sensitivity by  $\beta$ -cell function (left) in patients with 2-h glucose  $<140$  mg/dL and (right) in patients with 2-h glucose  $\geq 140$  mg/dL. Model shows ordinary least-squares regression of IGI (independent variable) against OGIS (dependent variable). Results were centered at the mean OGIS within each category of 2-h glucose. P for interaction testing slope difference between stable KTRs and non-KTRs was 0.21 (left) and 0.05 (right). P for interaction testing slope difference between early KTRs and non-KTRs was 0.06 (right). A and B: Model results for the subgroup of TIP study patients with 2-h glucose  $<140$  mg/dL were hidden because of insufficient sample size. x, Non-KTRs; o, stable KTRs;  $\blacktriangle$ , early KTRs.

for insulin sensitivity remained consistently above that of the nontransplant control population (both  $P < 0.001$ ).

We hypothesized that peripheral insulin sensitivity in kidney transplant recipients might be increased secondary to a decrease in  $\beta$ -cell function as described in early studies on pancreatogenic diabetes (25). Therefore, we evaluated the relationship between individual values for IGI

(x-axis) versus OGIS (y-axis), relative to the mean OGIS of the population (Fig. 1B). In the nontransplant control population, as expected and previously shown (26), higher values for  $\beta$ -cell function were genuinely correlated with lower values for insulin sensitivity, i.e., with a negative slope. However, compared with the nontransplant population, the slope of the regression line was close to zero for stable

kidney transplant recipients with 2-h glucose  $<140$  mg/dL ( $P = 0.21$ ), was positive for early postoperative transplant patients with 2-h glucose  $\geq 140$  mg/dL ( $P = 0.06$ ), and was negative but much flatter for stable kidney transplant recipients with 2-h glucose  $\geq 140$  mg/dL ( $P = 0.05$ ). These results indicated that the relationship between insulin sensitivity and  $\beta$ -cell function was altered in the transplant population.

### Sensitivity analysis by BMI and adjusted analysis

Compared with kidney transplant recipients, BMI of the control population was significantly higher in those individuals with normal OGTT results and with OGTT results showing IGT, whereas age and sex distribution differed nondirectionally through the three subgroups of OGTT outcome (Table 3). However, a sensitivity analysis of baseline characteristics and OGTT-derived indices in transplant compared with nontransplant patients grouped by BMI <30 compared with  $\geq 30$  kg/m<sup>2</sup> yielded results that were consistent with those reported in Table 3 (Supplementary Table 1). Moreover, when we adjusted insulin sensitivity estimates (OGIS and QUICKI) for age, sex and BMI, OGIS and QUICKI remained significantly higher in transplant patients, regardless of the subgroup of OGTT outcome (all  $P < 0.001$ ; Supplementary Table 2). Specifically, adjusted OGIS was 82–104 mL/min/m<sup>2</sup> higher for transplant versus nontransplant subjects.

**CONCLUSIONS**—This study shows cross-sectionally for the MUV transplant center that 11% of all stable kidney transplant recipients had a history of NODAT and that 43% of those without diabetes history had abnormal OGTT results (11% diabetic). Hence, an estimated 50% of stable kidney transplant recipients had impaired glucose metabolism, among them 22% had NODAT. Compared with patients with a normal OGTT outcome, the use of tacrolimus, patient age, and proteinuria was increased in the subgroups with IGT and diabetes. Compared with a nontransplant control population without previously known impairment of glucose metabolism, kidney transplant recipients had lower BMI and decreased insulin secretion, but increased insulin sensitivity. Even when results were adjusted for age, sex, and BMI, we found that kidney transplant recipients had lower insulin secretion but higher insulin sensitivity.

Several previous studies analyzed OGTT outcomes after renal transplantation (27–30). Overall, the reported prevalence of abnormal glucose metabolism was remarkably similar to the present results and ranged from 32 (28) to 51% (27). Our previous OGTT-based analysis of TIP study control patients at 3 months posttransplantation, however, showed 84% abnormal glucose metabolism, with 52% NODAT (16). Patients in the TIP

study were older than patients in other reports, and all of them had received the more diabetogenic primary immunosuppressant tacrolimus (31) immediately after transplantation, whereas in the described analyses only 12% of patients in a United States cohort (30) and 15% of patients in a Norwegian study (29) received tacrolimus. Moreover, because patients with NODAT have an increased mortality risk (3,32), they could have been lost to cross-sectional analyses.

A study led by Ekstrand et al. (33) in 1992 has shown reduced insulin sensitivity in 10 kidney transplant recipients with NGT compared with 10 healthy control subjects. However, the transferability of these much older findings into the modern transplant era is questionable, because their kidney transplant recipients had received 0.40 mg/kg/day methylprednisolone on average (equivalent to 35 mg prednisolone in a 70-kg patient), as well as no tacrolimus (7 of 10 patients had received cyclosporine). Moreover, mean HbA<sub>1c</sub> in these kidney transplant recipients was higher than in the controls (by 0.7 relative % [7.7 mmol/mol]), perhaps indicating that glucose metabolism was more impaired, although both patient groups were in the category of NGT.

Ekstrand et al. (33) concluded from their additional study findings that both insulin resistance and insulin deficiency are necessary for NODAT to develop, but subsequent analyses (6,7,9,10) are supportive of our finding that insulin secretion rather than insulin resistance might be the principal problem. Even the study by Midtvedt et al. (8) and a more recent examination led by Hornum et al. (11) might not disagree with this concept. Specifically, Midtvedt et al. (8) identified a significant difference in insulin secretion between patients with NODAT compared with those with NGT. Hornum et al. (11) noted a significant decrease in insulin sensitivity from before to after transplantation, but they did not analyze insulin sensitivity separately within subgroups of patients with NGT, IGT, and diabetes, and the results also are in contrast to an earlier study by Nam et al. (7). In our experience, insulin resistance is perceived to be more important than insulin secretion, and this perhaps has to do with the title of the publication by Midtvedt et al. (8). Moreover, nephrologists are well aware of the risk associated with the metabolic syndrome (30) and they also know that glucocorticoids increase insulin resistance.

On the other hand, nephrologists may not be equally concerned with insulin secretion, and the fact that this can potentially be preserved.

Attempting to clarify the mechanism of increased insulin sensitivity, we assumed that insulin sensitivity as a compensatory counter-regulation to decreased insulin secretion would be reflected by even higher OGIS values for lower IGI values in the renal transplant population compared with nontransplant subjects. However, such a relationship was not observed. Instead, the finding depicted in Fig. 1B indicated, if anything, that insulin sensitivity had been added, independent of  $\beta$ -cell function. In line with the latter hypothesis, recent data showed acutely improved insulin sensitivity after 5-h calcineurin inhibitor infusion in healthy human volunteers (34).

Because screening OGTTs at MUV are only performed in particular risk groups, nontransplant subjects from MUV could not serve as controls, which necessitated the use of a control group from a different city. Compared with a previously published large OGTT-based study from the German general population aged 55–74 years, which showed 7% previously undiagnosed diabetes and 26% prediabetes, respectively (35), our control group was younger and leaner but still had more diabetes (15%), possibly because subjects were recruited from the hospital setting. Our results therefore must be interpreted with caution, even though OGTT-derived measures showed consistency with the principal results when they were adjusted for age, sex, and BMI (Supplementary Table 2). Additional confirmation of our findings in other transplant and control populations is warranted.

In 84 nontransplant subjects with NGT from the German Diabetes Center (36) the average value of 447 mL/min/m<sup>2</sup> for OGIS was almost identical, whereas average values for AUC insulin and  $\beta$ -cell function (IGI) during an OGTT were higher (data provided by M.R.) by 40 and 83%, respectively, than in stable kidney transplant recipients with NGT from the current study, as shown in Table 3. However, basal glucose and 2-h glucose were 15 and 17%, respectively, lower, indicating that the subjects were metabolically healthier. The finding of similar insulin sensitivity but increased insulin secretion and increased  $\beta$ -cell function in these control subjects with lower glucose values therefore is in agreement with an overall higher insulin

sensitivity combined with lower insulin secretion identified in kidney transplant recipients compared with nontransplant controls.

In 191 nontransplant type 2 patients with diabetes receiving sulfonyl urea or metformin therapy alone (37), the average value for OGIS was 239 mL/min/m<sup>2</sup>, i.e., 34% lower than in stable diabetic kidney transplant recipients from the current study, as shown in Table 3 (data provided by G.P.). Basal glucose and 2-h glucose were higher, by 88 and 56%, respectively, indicating that these treated type 2 patients with diabetes were metabolically unhealthier than our stable diabetic transplant patients. Nevertheless, these data from an unrelated control cohort also suggest that kidney transplant recipients, when compared with nontransplant subjects, may have increased insulin sensitivity, thereby predominantly experiencing an insulin secretion problem.

For immunoassays, which were used to determine insulin concentration in serum, assay standardization is crucial and previously has been questioned (38). However, the normal range of the insulin assays was higher for the MUV than for the VGH laboratory, indicating, if anything, that insulin secretion in kidney transplant recipients might have been even lower than in the nontransplant population assessed at VGH. Moreover, if differences existed, then they would only have affected insulin AUC, whereas OGTT-derived index values for insulin sensitivity (calculated by relative differences during the OGTT) are expected to be adequate and comparable.

OGTTs in stable kidney transplant recipients were performed per the start of a routine screening program at MUV in March 2009. Although patients were randomly called in, those who suspected they had diabetes might have been more likely to attend the examination, which could have resulted in higher diabetes prevalence. This potential bias unlikely would have affected our comparison of OGTT-derived insulin sensitivity and secretion with the nontransplant control population, however, because this comparison was made by 2-h glucose (Table 3, Fig. 1). Moreover, our data from kidney transplant recipients during the early postoperative period, the control group of a randomized controlled trial (16), showed consistent results.

In conclusion, the current study found insulin secretion decreased and insulin sensitivity increased in kidney

transplant recipients compared with >1,000 nontransplant control patients. In agreement with several previous studies but contrary to perhaps general belief, decreased insulin secretion rather than increased insulin resistance therefore seems to be the primary or causal factor for NODAT development. Based on these results, antidiabetic agents that preserve, maintain, or improve  $\beta$ -cell function, such as exogenous insulin, glucagon-like peptide 1 analogs, dipeptidyl-peptidase 4 inhibitors, and thiazolidinediones (39), may merit attention for the vulnerable (40) transplant population and early post-transplant period. Future research is, however, necessary to confirm our analyses and also should be aimed at elucidating the mechanism of the increase in insulin sensitivity we observed. Such research must further clarify whether our findings of lower fasting glucose but higher HbA<sub>1c</sub> at similar or higher 2-h glucose levels than in the nontransplant population are, as we would speculate, the direct consequence of the observed alteration in the insulin secretion/sensitivity axis. Ultimately, it should be determined if long-term outcomes in NODAT patients are similar or worse than those in the general population with the same HbA<sub>1c</sub>, for example, through higher glycemic variability.

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M.He. designed the study, performed OGTTs at MUV, collected and researched data, and wrote the manuscript. A.Kai. and A.Kar. researched data (statistics), contributed to the discussion, and reviewed the manuscript. J.W. designed the study, performed OGTTs at MUV, contributed to the discussion, and reviewed the manuscript. M.Ha. designed the study, performed OGTTs at MUV, collected data, contributed to the discussion, and reviewed the manuscript. D.D. performed OGTTs at MUV, collected data, and reviewed the manuscript. A.T. reviewed the manuscript and calculated OGTT-derived indices. W.H.H. and A.S. contributed to the discussion and reviewed the manuscript. M.W. reviewed the manuscript and organized and financed clamp analyses at MUV. M.R. researched data, contributed to the discussion, reviewed the manuscript, and provided data from an unrelated study cohort. E.M. organized OGTTs at VGH. G.P. contributed to the discussion, reviewed the manuscript, and calculated OGTT-derived indices. F.K.P. designed the study, contributed to the discussion, and reviewed the manuscript. M.D.S. designed the study, researched data, contributed to the discussion, and reviewed the

manuscript. M.He. is the guarantor of this work and, as such, had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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