

ARTICLE

Received 18 Jun 2013 | Accepted 18 Jul 2013 | Published 14 Aug 2013

DOI: 10.1038/ncomms3325

OPEN

The *Capsaspora* genome reveals a complex unicellular prehistory of animals

Hiroshi Suga^{1,*}, Zehua Chen^{2,*}, Alex de Mendoza¹, Arnau Sebé-Pedrós¹, Matthew W. Brown³, Eric Kramer⁴, Martin Carr⁵, Pierre Kerner⁶, Michel Vervoort⁶, Núria Sánchez-Pons¹, Guifré Torruella¹, Romain Derelle⁷, Gerard Manning⁴, B. Franz Lang⁸, Carsten Russ², Brian J. Haas², Andrew J. Roger³, Chad Nusbaum² & Iñaki Ruiz-Trillo^{1,9,10}

To reconstruct the evolutionary origin of multicellular animals from their unicellular ancestors, the genome sequences of diverse unicellular relatives are essential. However, only the genome of the choanoflagellate *Monosiga brevicollis* has been reported to date. Here we completely sequence the genome of the filasterean *Capsaspora owczarzaki*, the closest known unicellular relative of metazoans besides choanoflagellates. Analyses of this genome alter our understanding of the molecular complexity of metazoans' unicellular ancestors showing that they had a richer repertoire of proteins involved in cell adhesion and transcriptional regulation than previously inferred only with the choanoflagellate genome. Some of these proteins were secondarily lost in choanoflagellates. In contrast, most intercellular signalling systems controlling development evolved later concomitant with the emergence of the first metazoans. We propose that the acquisition of these metazoan-specific developmental systems and the co-option of pre-existing genes drove the evolutionary transition from unicellular protists to metazoans.

¹Institut de Biologia Evolutiva (CSIC-Universitat Pompeu Fabra), Passeig Marítim de la Barceloneta 37-49, 08003 Barcelona, Spain. ²Broad Institute of Harvard and the Massachusetts Institute of Technology, Cambridge, Massachusetts 02142, USA. ³Department of Biochemistry and Molecular Biology, Faculty of Medicine, Centre for Comparative Genomics and Evolutionary Bioinformatics, Dalhousie University, Halifax, Nova Scotia, Canada B3H 1X5. ⁴Razavi Newman Center for Bioinformatics, Salk Institute for Biological Studies, 10010 North Torrey Pines Road, La Jolla, California 92037, USA. ⁵School of Applied Sciences, University of Huddersfield, Huddersfield HD1 3DH, UK. ⁶Institut Jacques Monod, CNRS, UMR 7592, Univ Paris Diderot, Sorbonne Paris Cité, F-75205 Paris, France. ⁷Centre for Genomic Regulation (CRG), Dr Aiguader 88, 08003 Barcelona, Spain. ⁸Département de Biochimie, Centre Robert-Cedergren, Université de Montréal, 2900 Boulevard Edouard Montpetit, Montréal (Québec), Canada H3C 3J7. ⁹Departament de Genètica, Facultat de Biologia, Universitat de Barcelona, Avinguda Diagonal 643, 08028 Barcelona, Spain. ¹⁰Institució Catalana de Recerca i Estudis Avançats (ICREA), Passeig Lluís Companys, 23, 08010 Barcelona, Spain. * These authors contributed equally to this work. Correspondence and requests for materials should be addressed to I.R.-T. (email: inaki.ruiz@multicellgenome.org).

How multicellular animals (metazoans) evolved from a single-celled ancestor remains a long-standing evolutionary question. To unravel the molecular mechanisms and genetic changes specifically involved in this transition, we need to reconstruct the genomes of both the most recent unicellular ancestor of metazoans and the last common ancestor of multicellular animals. To date, most studies have focused on the latter, obtaining the genome sequences of several early-branching metazoans, which provided significant insights into early animal evolution^{1–4}. However, available genome sequences of close unicellular relatives of metazoans have been insufficient to investigate their unicellular prehistory.

Recent phylogenomic analyses have shown that metazoans are closely related to three distinct unicellular lineages, choanoflagellates, filastereans and ichthyosporeans, which together with metazoans form the holozoan clade^{5–8}. Until recently, only the genome of the choanoflagellate *Monosiga brevicollis* had been sequenced⁹. This genome provided us with the first glimpse into the unicellular prehistory of animals, showing that the unicellular ancestor of Metazoa had a variety of cell adhesion and receptor-type signalling molecules, such as cadherins and protein tyrosine kinases (TKs)^{9–11}. However, many transcription factors involved in animal development, as well as some cell adhesion and the majority of intercellular signalling pathways were not found. They were therefore assumed to be both specific to metazoans and largely responsible for development of their complex multicellular body plans^{9,12}. This view was further reinforced with the recent genome sequence of another choanoflagellate, the colonial *Salpingoeca rosetta*¹³. However, inferences based on only a few sampled lineages are notoriously problematic, especially in light of the high frequency of gene loss reported in eukaryotic lineages¹⁴. Clearly, genome sequences from earlier-branching holozoan lineages are needed in order to robustly infer the order and timing of genomic innovations that occurred along the lineage leading to the Metazoa.

Here we present the first complete genome sequence of a filasterean, *Capsaspora owczarzaki*, an endosymbiont amoeba of

the pulmonate snail *Biomphalaria glabrata*¹⁵ and the sister group to metazoans and choanoflagellates^{7,8}. Recent analyses identified some proteins in *Capsaspora* crucial to metazoan multicellularity including cell adhesion molecules such as integrins and cadherins, development-related transcription factors, receptor TKs and organ growth control components^{16–21}. However, the whole suite of molecules involved in these pathways and other important systems has not to date been systematically analysed. By comparing the *Capsaspora* genome with those of choanoflagellate and metazoans, we develop a comprehensive picture of the evolutionary path from the ancestral holozoans to the last common ancestor of metazoans.

Results

The genome of *Capsaspora*. We sequenced genomic DNA from an axenic culture of *Capsaspora owczarzaki* (Fig. 1) and assembled the raw reads of approximately 8 × coverage into 84 scaffolds, which span 28 Mb in total. The N50 contig and scaffold sizes are 123 kb and 1.6 Mb, respectively. We predicted 8,657 protein-coding genes, which comprise 58.7% of the genome. Transposable elements make up at least 9.0% of the genome (Supplementary Figs S1 and S2, Supplementary Table S1 and Supplementary Note 1), a much larger fraction than in *M. brevicollis* (1%)²² or the yeast *Saccharomyces cerevisiae* (3.1%)²³.

The *Capsaspora* genome has a more compact structure than that of *M. brevicollis* or metazoans, containing 309.5 genes per Mb (Table 1). Genes have an average of 3.8 introns with a mean intron length of 166 bp. The mean distance between protein-coding genes is 724 bp. Interestingly, genes involved in receptor activity, transcriptional regulation and signalling processes have particularly large upstream intergenic regions compared with other genes. (Supplementary Figs S3–S5, Supplementary Note 1). This pattern is seen across most of the eukaryotic taxa we analysed. In contrast to its compact nuclear genome, *Capsaspora* has a 196.9 kb mitochondrial genome, which is approximately

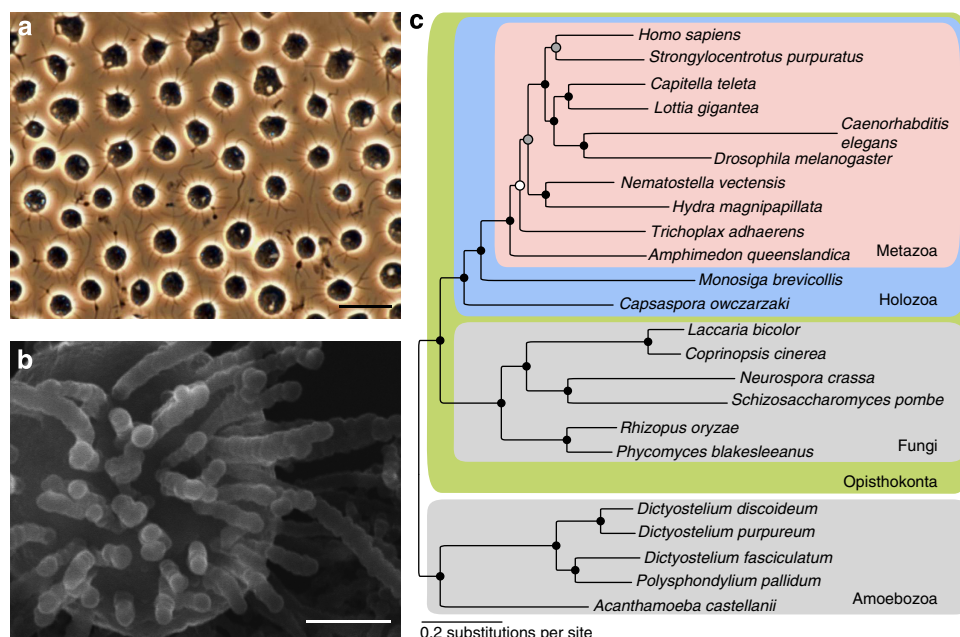


Figure 1 | The filasterean *Capsaspora owczarzaki*. (a,b) Differential interference contrast microscopy (a) and scanning electron microscopy (b) images of *C. owczarzaki*. Scale bar, 10 μ m (a) and 1 μ m (b). (c), Phylogenetic position of *C. owczarzaki*. Four different analyses on the basis of two independent data sets and two different methods indicate an identical topology, except for the clustering of all non-sponge metazoans (white circle). Details are in Supplementary Note 2. Gray and black circles indicate $\geq 90\%$ (0.90) and $\geq 99\%$ (0.99) of bootstrap values and Bayesian posterior probabilities, respectively, for all four analyses.

12 and 2.6 times larger than the average metazoan mtDNAs (~16 kb) and that of *M. brevicollis* (76.6 kb), respectively (Supplementary Fig. S6, Supplementary Tables S2 and S3 and Supplementary Note 1). Our multi-gene phylogenetic analyses with several data sets corroborate that *Capsaspora* is the sister group to choanoflagellates and metazoans^{7,8} (Fig. 1, Supplementary Figs S7–S10 and Supplementary Note 2).

The origins of metazoan protein domains. Utilizing all available genome sequences from early-branching metazoans and the two unicellular relatives of the Metazoa (*Capsaspora* and *M. brevicollis*), we inferred the protein domain evolution along the eukaryotic tree¹⁴ (Fig. 2, Supplementary Fig. S11, Supplementary Tables S4–S7 and Supplementary Note 3). We observed a continuous emergence of new protein domains (domains without statistically significant homologies to any proteomes in the

outgroup taxa) in the lineage leading to the Metazoa, but also substantial domain loss in fungi, *Capsaspora* and *M. brevicollis*. Protein domains acquired by the last common ancestor of filastereans, choanoflagellates and metazoans were enriched in ontology terms associated with signal transduction and transcriptional regulation (Fig. 2b, Supplementary Table S5). Interestingly, such domains include those composing proteins that are involved in metazoan multicellularity and development; for example the cell adhesion molecule integrin-β, and the transcription factors p53 and RUNX (Fig. 2b, Supplementary Table S4). Several domains involved in transcriptional regulation were secondarily lost in *M. brevicollis* (Fig. 2c, Supplementary Table S6)¹⁷. Domains involved in extracellular functions have been frequently lost in both *Capsaspora* and *M. brevicollis*. Our data indicate that 235 new domains emerged after the divergence of filastereans and choanoflagellates from the lineage leading to the Metazoa. These ‘metazoan-specific

Table 1 | Genome statistics of *Capsaspora owczarzaki* and other eukaryotes.

	<i>H. sa</i>	<i>N. vec</i>	<i>A. que</i>	<i>M. bre</i>	<i>C. owc</i>	<i>N. cra</i>	<i>S. cer</i>	<i>D. dis</i>
Genome size (Mb)	3,101.8	357.0	167.1	41.6	28.0	41.0	12.1	34.1
% GC	40.9	40.6	31.1	54.9	53.8	48.2	38.3	22.4
Number of genes	22,128	27,273	30,327	9,171	8,657	9,730	5,863	12,474
Gene density (per Mb)	7.1	76.4	181.4	220.3	309.5	237.3	485.7	365.5
CDS % genome	1.2	7.6	21.4	39.7	58.7	36.3	72.4	61.8
Mean intron # per gene	8.8	4.3	4.7	6.6	3.8	1.7	0.1	1.5
Mean intron size (bp)	5,645	799	251	171	166	115	203	139
Mean inter-CDS size (bp)	99,262	6,707	3,205	1,490	724	2,440	571	804

A. que, *Amphimedon queenslandica*; *C. owc*, *Capsaspora owczarzaki*; *D. dis*, *Dictyostelium discoideum*; *H. sa*, *Homo sapiens*; *M. bre*, *Monosiga brevicollis*; *N. cra*, *Neurospora crassa*; *N. vec*, *Nematostella vectensis*; *S. cer*, *Saccharomyces cerevisiae*.

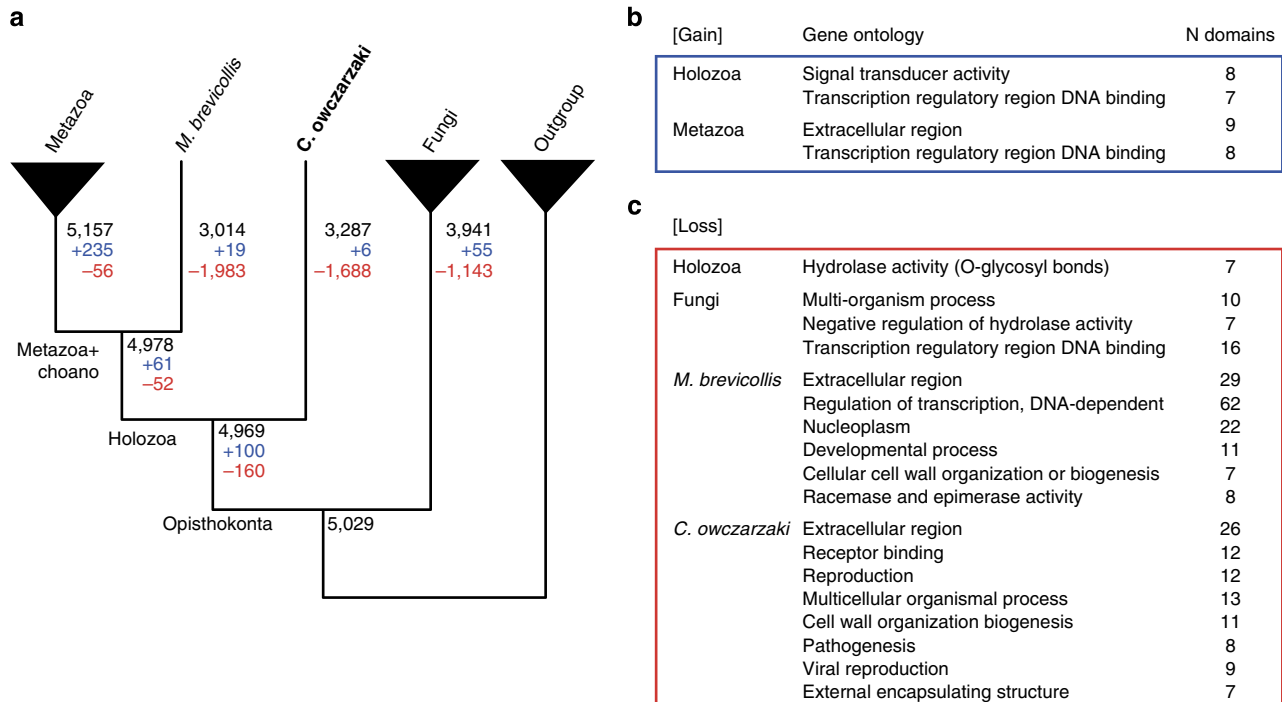


Figure 2 | Gain and loss of protein domains within the Opisthokonta. (a) The number of Pfam protein domains that were gained or lost at each evolutionary period was inferred by Dollo parsimony, which does not consider multiple independent evolution of a domain. Total number of protein domains, and the inferred numbers of domain gain (+) and loss (-) events are depicted at the tree edges. The full list of domains is in Supplementary Table S4. (b,c) GO terms that were enriched by the evolution of protein domains (b) or depleted by the loss of protein domains (c) were sought via the topology-weighted algorithm. The significant GO terms ($P < 1.0e - 3$) are shown at the tree edges together with the number of included Pfam domains. Terms including fewer than seven gained or lost domains are not shown. The list of domains included in each GO is in Supplementary Tables S5 and S6.

innovations', narrowed down from 299 to 235 by the use of *Capsaspora* genome, include those that are part of extracellular ligands and their associated components and are involved in metazoan development, such as Noggin, Wnt and transforming growth factor β (Supplementary Table S4). At the root of the Metazoa, we observed significant gains in ontology terms associated with transcriptional regulation and extracellular domains. This 'metazoan-origin' domain set, which is much better delineated through comparative analysis using both the *Capsaspora* and *M. brevicollis* genomes, likely comprises the key innovations relevant to the evolution of complex multicellular development.

Enrichment of domains in Holozoa. Gene duplication is an important evolutionary driving force that increases the functional capacity of proteomes²⁴. We thus examined not only the origin of domains involved in metazoan multicellularity but also the abundance of these domains in the genomes of different eukaryotic lineages. We chose 106 InterPro²⁵ protein domains that are most significantly overrepresented in metazoan genomes compared with the non-holozoan genomes, and counted the number of genes encoding these domains (Fig. 3, Supplementary Figs S12 and S13 and Supplementary Note 4). Our data show that these domains are, in metazoans, mainly involved in cell adhesion, intercellular communication, signalling, transcriptional regulation and apoptosis, which are relevant to multicellularity and development of metazoans. Most of these domains show clear enrichment exclusively in metazoans. However, the abundance of some of these domains is also increased in the genome of *Capsaspora*. Those that are particularly enriched include the laminin-type epidermal growth factor-like, Integrin- β 4, Sushi, protein tyrosine kinase, Pleckstrin homology, Src homology 3, p53-like transcription factor DNA binding and Band4.1 domain and leucine-rich repeat. These domains are not always similarly enriched in the *M. brevicollis* genome, as seen, for example, in the Integrin- β 4 domain and LRR. Overall, our analyses show that protein domains involved in cellular signal transduction and, to a certain extent, cell adhesion and extracellular regions were already abundant in the common ancestor of the Holozoa, whereas those in other categories such as channels and transporters expanded much later, during metazoan evolution.

Gene repertoire of *Capsaspora*. To further investigate the evolutionary origin of the molecular components required for multicellularity, we performed homology searches and, in most cases, phylogenetic analyses of genes involved in cell adhesion, transcriptional regulation, cell signalling, and nervous system function (Supplementary Note 5). Additionally, to better understand the basic biology of *Capsaspora*, we analysed gene families proteins involved in meiosis, cell cycle regulation, flagellum formation, post-transcriptional regulation and small RNA synthesis and functioning. Figure 4 schematically summarizes our main findings, depicting the cellular structures and pathways present in *Capsaspora* and metazoans. We note that none of the analyses provided any evidence of lateral gene transfer events from metazoans to *Capsaspora*.

The unicellular common ancestor of metazoans and *Capsaspora* appears to have been well equipped with some type of cell adhesion mechanism (Fig. 4, Supplementary Fig. S14, Supplementary Note 5). For example, the main components of the integrin adhesion machinery, which in metazoans is used for the attachment of cells to the extracellular matrix (ECM), are present in *Capsaspora*¹⁶. However, *M. brevicollis* lacks integrins and thus choanoflagellates may have secondarily lost them. Even though *Capsaspora* has integrins, it lacks homologues of metazoan ECM proteins such as fibronectins and laminins.

Nevertheless, several protein domains found in these ECM proteins are present as components of other proteins, raising the possibility of unknown ECM molecules secreted by *Capsaspora* that could interact with its integrin machinery. In contrast to *Capsaspora*, *M. brevicollis*, which lacks integrins, has some ECM proteins (Supplementary Fig. S14, Supplementary Note 5). *Capsaspora* also has several components of the dystrophin-associated glycoprotein complex, another cell-ECM adhesion system. Both *Capsaspora* and choanoflagellates have cadherin domain-containing proteins, but *M. brevicollis* has a much larger repertoire (23 proteins)⁹ than *Capsaspora*, which has only one²¹ (Supplementary Fig. S15). Both immunoglobulin-like cell adhesion molecules and C-type lectins, which are lacking in *Capsaspora*, were present in the unicellular common ancestor of metazoans and choanoflagellates, as they are encoded by the *M. brevicollis* genome.

Several transcription factors arose and diversified in metazoans (for example, those involved primarily in developmental patterning and cell differentiation such as group A basic helix-loop-helix, ANTP-class homeodomains, POU-class homeodomains, Six, LIM, Pax and group I Fox). However, many other transcription factors, including some previously thought to be metazoan-specific, for example, NF κ B, RUNX and Brachyury, were already present in the ancestral unicellular holozoans¹⁷ (Supplementary Figs S16–S18, Supplementary Table S8, Supplementary Note 5). Interestingly, some transcription factors that act downstream of some signalling pathways in metazoans, such as CSL (Notch-Delta pathway) and STAT (Jak-STAT pathway), are present in *Capsaspora*, whereas their upstream proteins are missing.

Our data reveal the contrasting evolutionary histories of extracellular (or membrane-bound) components versus cytoplasmic components of signalling pathways involved in metazoan multicellularity and development. Most metazoan receptors and diffusible ligands are either ancestral metazoan innovations or have independently diversified in metazoans, whereas the majority of their intracellular components were already present in the unicellular ancestors of metazoans (Fig. 4). Both *Capsaspora* and *M. brevicollis* lack receptors and ligands in several systems involved in cell communication and development in metazoans, for example, those in the Hedgehog, Rhodopsin family G-protein-coupled receptors, Wnt, transforming growth factor- β and nuclear receptor signalling pathways (Fig. 4). Notch signalling also seems to be a metazoan innovation, although *Capsaspora* has several receptor proteins that resemble the metazoan Notch and Delta proteins in their domain architecture, which may represent the ancestral components of this system (Supplementary Figs S19–S21, Supplementary Note 5). Both *Capsaspora* and *M. brevicollis* have large numbers of TKs (92 and 128, respectively)²⁰ (Supplementary Figs S22 and S23, Supplementary Table S9). Again, the receptor-type TKs independently diversified in *Capsaspora*, *M. brevicollis* and metazoans, whereas the cytoplasmic TKs are mostly homologous among these three lineages, highlighting the animal-specific adaptation of the receptor-ligand system in the Metazoa²⁰. The mitogen-activated protein kinase pathway, a downstream cytoplasmic signalling system of the TK pathway, is also present in *Capsaspora* in the diversified form that we see now in metazoans (Supplementary Figs S24 and S25, Supplementary Note 5). The diverse members of the G-protein α -subunit family and the regulator of G-protein-signalling family, which together coordinate signal transduction from the 7TM receptors to their specific effectors, are also present in the *Capsaspora* genome, indicating that the diversity of these components has been secondarily lost, to some extent, in the lineage leading to *M. brevicollis* (Supplementary Figs S26 and S27, Supplementary Note 5).

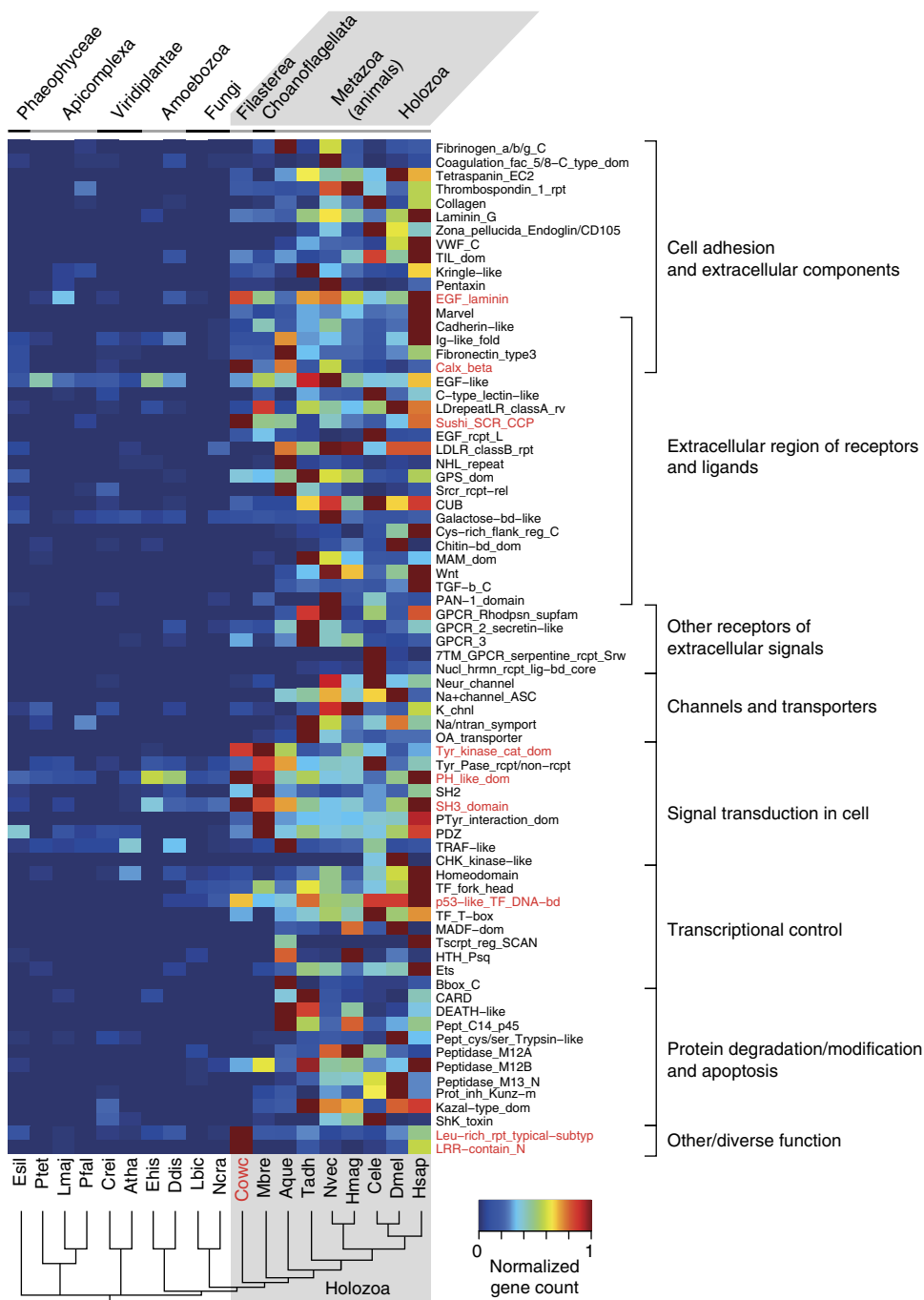


Figure 3 | *Capsaspora owczarzaki* enrichment of metazoan-biased protein domains. The number of genes encoding proteins that contain each of the selected InterPro domains were analysed (the InterPro short names are shown on the right) for 19 eukaryote genomes. We chose 106 domains that are significantly (Fisher’s exact test; $P < 1.0e - 20$) enriched in the metazoan genomes compared with the genomes of non-holozoan lineages. Redundant domains are not exhaustively shown. Domains present only in a single taxon are not shown (available in Supplementary Fig. S12). Values were normalized by the number of all protein-coding genes in the genomes, and relative values to the maximum were calculated. Numbers were manually entered for the protein tyrosine kinase catalytic domain (Tyr_kinase_cat_dom) to exclude mispredicted serine/threonine kinase domains (see Supplementary Note 5). Protein domains were manually classified into 12 functional categories, shown on the right. In this figure, the categories ‘Zinc-fingers’, ‘cytoskeleton and its control’, ‘Functions on DNA or RNA molecules’, ‘Virus and transposons’ and ‘Other/diverse functions’ are collapsed (only leucine-rich repeats are shown; full figure available in the Supplementary Fig. S13). Domains with high relative gene counts (> 0.65) in *Capsaspora* are depicted in red. Hsap, *H. sapiens*; Dmel, *D. melanogaster*; Cele, *C. elegans*; Hmag, *H. magnipapillata*; Nvec, *N. vectensis*; Tadh, *T. adhaerens*; Aque, *A. queenslandica*; Mbre, *M. brevicollis*; Cowc, *C. owczarzaki*; Ncra, *N. crassa*; Lbic, *L. bicolor*; Ddis, *D. discoideum*; Ehis, *E. histolytica*; Atha, *A. thaliana*; Crei, *C. reinhardtii*; Pfal, *P. falciparum*; Lmaj, *L. major*; Ptet, *P. tetraurelia*; Esil, *E. siliculosus*. A widely-accepted phylogeny among species is depicted on the bottom.

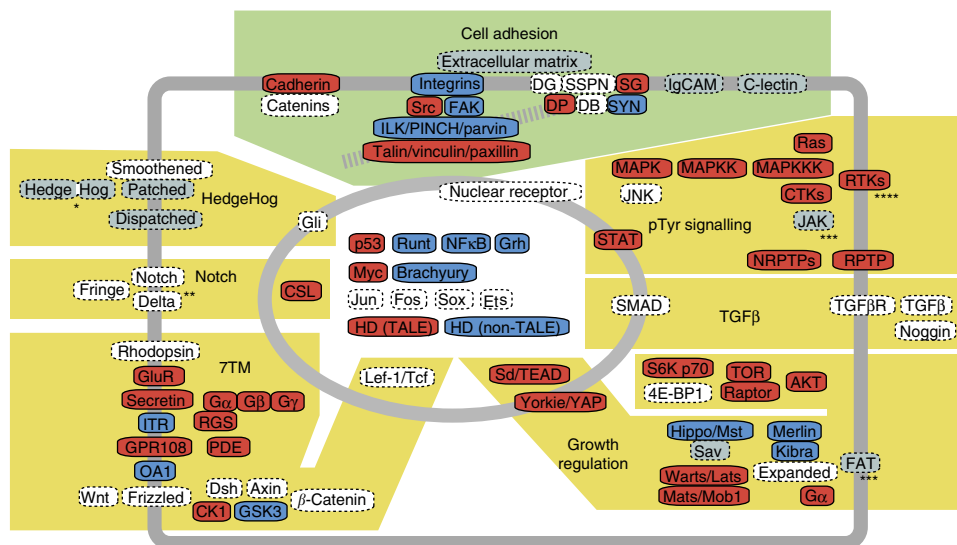


Figure 4 | Schematic representation of the putative *Capsaspora owczarzakii* cell. Protein components of major metazoan cell adhesion complexes (green background) and various signalling pathways including receptors (yellow background) are depicted. Components with red and blue backgrounds indicate those found both in *C. owczarzakii* and *M. brevicollis* and those found in *C. owczarzakii* but not in *M. brevicollis*, respectively. Dotted components are absent in the *C. owczarzakii* genome; greyed when *M. brevicollis* has them. A grey striped line represents an actin filament, to which the cell-ECM-adhesion complexes bind. See Supplementary Note 5 for details. *, two domains hedge and hog are found in different proteins in *M. brevicollis*. **, receptor-type proteins with domain architectures similar to Notch and Delta proteins are present in *C. owczarzakii*. ***, proteins with similar domain architectures are present in *M. brevicollis*, but not confidently mapped to those metazoan families by phylogenetic analyses. ****, the repertoires of RTKs are totally different between *C. owczarzakii*, *M. brevicollis* and metazoans, and thus likely to have diversified independently in each lineage. 4E-BP1, eukaryotic initiation factor 4E-binding protein 1; CK1, Casein kinase 1; C-Lectin, C-type lectin; CSL, CBF1/RBP-J κ /suppressor of hairless/LAG-1; CTKs, cytoplasmic tyrosine kinases; DB, Dystrobrevin; DG, Dystroglycan; DP, Dystrophin; Dsh, Dishevelled; Ets, E-twenty six; FOX, Forkhead box; G α , G-protein- α subunit; GluR, glutamate receptor; GPR108, G-protein-coupled receptor 108; GSK3, glycogen synthetase kinase 3; Grh, Grainy head; HD, homeodomain; IgCAM, Immunoglobulin-like cell adhesion molecule; ILK, Integrin-linked kinase; ITR, intimal thickness-related receptor; JNK, c-Jun N-terminal kinase; NRPTs, non-receptor protein tyrosine phosphatases; OA1, Ocular albinism 1-like; PDE, phosphodiesterase; RGS, regulator of G-protein signalling; RTKs, receptor tyrosine kinases; RPTP, receptor protein tyrosine phosphatase; S6K p70; 70kDa ribosomal protein S6 kinase; SAV, Salvador; Sd, Scalloped; SG, Sarcoglycan; SSPN, Sarcospan; SYN, Syntrophin; TALE, three amino-acid loop extension-class homeodomain; TGF β , Transforming growth factor β ; TOR, Target of rapamycin; YAP, Yes-associated protein.

Neither sexual reproduction nor meiosis has been reported in *Capsaspora*. Nonetheless, we identified in its genome a rich repertoire of proteins known to be involved in sex and meiosis in metazoans (Supplementary Fig. S28, Supplementary Note 5), suggesting the presence of a full sexual reproductive cycle in this organism. *Capsaspora* also has a rich repertoire of genes involved in cell cycle regulation (Supplementary Fig. S29), including some genes not present in *M. brevicollis*, such as cyclin E. We also found, as expected, that *Capsaspora*, which lacks flagellum or cilia, retains only a minor fraction (29 out of 117 genes) of the gene set encoding flagellar components (Supplementary Fig. 30, Supplementary Note 5). Moreover, all motor protein kinesins, which are involved in various basic cellular functions such as mitosis and transport in many cellular structures, are conserved between *Capsaspora* and *H. sapiens*, except for a few families including kinesins 2, 9, 13 and 17, which are thought to be flagellum components²⁶. We also identified several RNA-binding proteins (Supplementary Figs S31 and S32, Supplementary Note 5), some of which are homologous to those involved in stem cell or germ-line cell development, such as bruno, daz, pl10 and pumilio. Although we identified putative homologues of some RNA-binding proteins involved in synthesis and functioning of the non-coding RNA in metazoans (for example, armitage, exportin-5 and Tudor-SN), many other key players (piwi, argonaute, dicer, drosha and pasha) are absent, suggesting either that the non-coding RNA system is non-functional in *Capsaspora*, or that the silencing mechanism of this filasterean is

highly divergent. The *Capsaspora* genome also possesses, similar to the *M. brevicollis* genome, a large number of proteins homologous to those involved in neurosecretion and pre- and post-synapse formation and function (Supplementary Figs S33–S36, Supplementary Note 5).

Discussion

We have reported the first whole genome sequence of a filasterean, a close relative of metazoans. We show that the genome of *Capsaspora* encodes many proteins that are involved in cell adhesion, signalling and development in metazoans. Previously, the absence of a number of these proteins in the choanoflagellate *M. brevicollis* and in any sequenced fungi had misled inferences that they were metazoan-specific^{12,27,28}, underscoring the importance of taxonomic sampling in comparative genomics. By adding the whole genome information of the filasterean *Capsaspora*, the sister group of choanoflagellates and metazoans, we have reconstructed a more robust picture of the unicellular ancestry of metazoans. This evolutionary scenario will be increasingly clarified as genome data from additional holozoan taxa (for example, ichthyosporeans) become available.

Our data show that the unicellular common ancestor of metazoans, choanoflagellates and filastereans already possessed a wide variety of gene families that, in metazoans, are involved in multicellularity and development. This early genetic complexity

raises at least two possibilities with regard to the ancestral roles of the encoded proteins. First, these proteins may have been already fulfilling functions similar to their roles in extant multicellular animals, such as communication between individual cells and cell-type differentiation. Alternatively, these proteins had different functions such as environmental sensing and later were co-opted for different functions in the multicellular context during metazoan evolution. As cell-cell communication and clear spatial differentiation have not been reported in *Capsaspora*, the latter possibility seems more plausible.

Our analyses of the *Capsaspora* genome have also more precisely defined the set of proteins and domains that evolved immediately after the divergence of metazoan lineages from filastereans and choanoflagellates. Among those, the evolution of protein components that are involved in intercellular communication represents an especially important step for the innovation of multicellularity. We propose that the acquisition of these new ‘metazoan-specific’ genes with novel functions and the co-option of pre-existing genes that evolved earlier in the unicellular holozoan lineage together represent key innovations that led to the emergence of metazoans. The genome of *Capsaspora* also opens the door to new research avenues, namely the analysis of the ancestral functions of these genes, which will provide further insights into the molecular mechanisms that allowed unicellular protists to evolve into multicellular animals.

Methods

Cell culture and nucleic acid extraction and sequencing. Live cultures of *Capsaspora owczarzaki* (ATCC30864) and *Ministeria vibrans* (ATCC5019; used only for mtDNA sequencing) were maintained at 23 °C in the ATCC 803 M7 medium, and 17 °C in the ATCC 1525 medium, respectively. Genomic DNA and total RNA were extracted using standard methods.

Mitochondrial genome. MtDNA was sequenced from a random clone library²⁹ and gaps were filled by sequencing of respective PCR-amplified regions. Gene annotation of the mitochondrial genome was performed with MFannot (<http://megasun.bch.umontreal.ca/cgi-bin/mfannot/mfannotInterface.pl>), followed by manual inspection and addition of missing gene features.

Genome sequencing and assembly. Genomic DNA was sheared and cloned into plasmid (4 kb pOT and 10 kb pJAN) and fosmid (40 kb EpiFOS) vectors by standard methods. Resulting whole genome shotgun libraries were sequenced by Sanger chemistry, generating approximately eightfold paired-end raw reads: sixfold from the 4 kb library, 1.6-fold from the 10 kb library and 0.8-fold from the 40 kb library. Raw read sequences were submitted to NCBI’s Trace Archive and can be retrieved with the search parameters CENTER_NAME = ‘Bf’ and CENTER_PROJECT = ‘G941’.

Sequencing reads were assembled by the Arachne assembler³⁰ using the default parameters. After assembly, the AAImpover module (part of the Arachne assembler package) was run to improve assembly accuracy and contiguity. Finally, portions of the genome, which appeared to be misassembled, were manually broken to create the final assembly. The assembly was submitted to NCBI with accession number ACFS01000000, BioProject ID PRJNA20341.

RNA sequencing. Total RNA was isolated from two differently-staged *C. owczarzaki* cultures with Trizol (Life Technologies). Libraries were sequenced using GAI and HiSeq 2000 instruments (Illumina), which generated 76 base paired-end reads. The RNA-seq data were used for the protein prediction.

Gene prediction. An initial protein-coding gene set was called with Evidence-Modeler³¹ by the combination with three *ab initio* predictions by GeneMark.hmm-ES³², Augustus³³, GlimmerHMM³⁴, two sequence-homology-based predictions by Blast and GeneWise³⁵ and transcript structures built from ESTs by PASA package³⁶. The initial gene set was further improved by an incorporation of RNA-seq data using PASA³⁶ and Inchworm³⁷ pipelines to obtain a final gene set.

Synteny. We performed a synteny conservation analysis between *C. owczarzaki* and *M. brevicollis*, *A. queenslandica* and *N. vectensis* using DAGchainer³⁸ with default parameters.

Phylogenetic analysis. We analysed two independent data sets based on whole genome sequences: the mutual best hit (IMBH) data set used for assessing the phylogenetic position of the sponge *A. queenslandica*³ and the data set containing 145 putatively orthologous proteins (145POP data set), which were chosen by OrthoMCL2 software³⁹. The collected protein sequences were aligned using the MAFFT program⁴⁰, manually inspected and trimmed by the use of Gblocks program⁴¹ with the default parameters. We inferred the maximum likelihood trees by using RAXML 7.2.8 (ref. 42) with the LG + Γ model. A nonparametric bootstrap test with 100 replicates for each topology was performed. We further tested topologies by the Bayesian inference using PhyloBayes 3.2 (ref. 43) with the CAT + Γ evolutionary model⁴⁴. The Monte Carlo Markov Chain sampler was run for 10,000 generations, and then burned-in the last 8,000 saving every 10 generations.

Protein domain gain and loss analysis. We ran the Hmmscan program from HMMER 3.0 package⁴⁵ against the Pfam-A version 25 database using protein sets from 35 species: *Amphimedon queenslandica*, *Arabidopsis thaliana*, *Aspergillus oryzae*, *Branchiostoma floridae*, *Brugia malayi*, *Caenorhabditis elegans*, *Capitella teleta*, *Capsaspora owczarzaki*, *Chlamydomonas reinhardtii*, *Coprinopsis cinerea*, *Cryptococcus neoformans*, *Daphnia pulex*, *Dictyostelium discoideum*, *Drosophila melanogaster*, *Homo sapiens*, *Hydra magnipapillata*, *Laccaria bicolor*, *Lottia gigantea*, *Monosiga brevicollis*, *Naegleria gruberi*, *Nematostella vectensis*, *Neurospora crassa*, *Physcomitrella patens*, *Phytophthora sojae*, *Rhizopus oryzae*, *Schizosaccharomyces pombe*, *Strongylocentrotus purpuratus*, *Tetrahymena thermophila*, *Thalassiosira pseudonana*, *Tribolium castaneum*, *Trichoplax adhaerens*, *Trypanosoma brucei*, *Tuber melanosporum*, *Ustilago maydis* and *Volvox carteri*. Hits with the scores above the gathering threshold values were considered significant. Dollo parsimony criterion was used to infer the Pfam domains gained and lost along the branches of the phylogenetic tree. The Pfam domains were mapped to GO terms by the use of the Pfam2GO mapping (July 2011). The Ontologizer 2.0 program⁴⁶ was used for the GO term enrichment analysis. We evaluated whether a GO functional category evolved in a certain evolutionary position using a *P*-value calculated by the topology-weighted algorithm⁴⁷.

Domain enrichment analysis. Protein sets for 12 genomes (*H. sapiens*, *D. melanogaster*, *C. elegans*, *H. magnipapillata*, *N. vectensis*, *T. adhaerens*, *A. queenslandica*, *M. brevicollis*, *C. owczarzaki*, *N. crassa*, *L. bicolor* and *D. discoideum*) were first filtered by removing short proteins less than 30 amino acids. For genes that have multiple alternatively spliced isoforms, only the longest protein product was retained for each gene. Protein domain search was performed by the use of InterProScan⁴⁸ against InterPro database²⁵. The InterProScan results on the complete proteomes of other eukaryotes (*E. histolytica*, *A. thaliana*, *C. reinhardtii*, *P. falciparum*, *L. major*, *P. tetraurelia*, and *E. siliculosus*) were retrieved from the Uniprot (<http://www.uniprot.org/>) database. Protein domains that are enriched in metazoans compared with all the other non-metazoans except *C. owczarzaki* and *M. brevicollis* were selected by the use of Fisher’s exact test ($P < 1.0e - 20$). The number of genes containing such domains, but not the number of domains themselves, was considered. Values were normalized by the numbers of the protein-coding genes in the whole genome. The results were depicted in a heatmap by the R and its Bioconductor package⁴⁹.

Intergenic distance analysis. We approximated the intergenic distance by calculating the distance between two protein-coding sequences. We then ran two sided *t*-tests on these distances at upstream (or downstream) regions of genes in each functional category against all other genes in the same genome. Genes were classified by Gene Ontology (GO)⁵⁰ annotations, which were generated by the use of Blast2GO⁵¹ and InterPro2GO⁵² pipelines.

Gene family analysis. We chose several gene families that are particularly interesting in the context of the evolution of multicellularity. For each gene family, we inferred the presence and absence of the gene or protein domains in chosen taxa using the HMMER⁴⁵ package, mutual Blast and phylogenetic analyses based on maximum likelihood trees inferred by RAXML⁴². Analysed taxa include three bilaterians (*Homo sapiens*, *Strongylocentrotus purpuratus* and *Drosophila melanogaster*), three non-bilaterian metazoans (*Nematostella vectensis*, *Trichoplax adhaerens* and *Amphimedon queenslandica*), the choanoflagellate *M. brevicollis*, the filasterean *C. owczarzaki*, three fungi (*Rhizopus oryzae*, *Laccaria bicolor* and *Neurospora crassa*), and the amoebozoan *Dictyostelium discoideum*. We also searched, if necessary, further basal eukaryotes whose genomes have been sequenced, in order to know the origin of gene families that could predate the split between amoebozoans and opisthokonts.

References

- Putnam, N. *et al.* Sea anemone genome reveals ancestral eumetazoan gene repertoire and genomic organization. *Science* **317**, 86–94 (2007).
- Srivastava, M. *et al.* The *Trichoplax* genome and the nature of Placozoans. *Nature* **454**, 955–960 (2008).
- Srivastava, M. *et al.* The *Amphimedon queenslandica* genome and the evolution of animal complexity. *Nature* **466**, 720–726 (2010).

4. Chapman, J. *et al.* The dynamic genome of Hydra. *Nature* **464**, 592–596 (2010).
5. Lang, B. F., O'Kelly, C., Nerad, T., Gray, M. W. & Burger, G. The closest unicellular relatives of animals. *Curr. Biol.* **12**, 1773–1778 (2002).
6. Ruiz-Trillo, I., Roger, A., Burger, G., Gray, M. & Lang, B. A phylogenomic investigation into the origin of metazoa. *Mol. Biol. Evol.* **25**, 664–672 (2008).
7. Shalchian-Tabrizi, K. *et al.* Multigene phylogeny of choanozoa and the origin of animals. *PLoS One* **3**, e2098 (2008).
8. Torruella, G. *et al.* Phylogenetic relationships within the Opisthokonta based on phylogenomic analyses of conserved single-copy protein domains. *Mol. Biol. Evol.* **29**, 531–544 (2012).
9. King, N. *et al.* The genome of the choanoflagellate *Monosiga brevicollis* and the origin of metazoans. *Nature* **451**, 783–788 (2008).
10. Manning, G., Young, S., Miller, W. & Zhai, Y. The protist, *Monosiga brevicollis*, has a tyrosine kinase signaling network more elaborate and diverse than found in any known metazoan. *Proc. Natl Acad. Sci. USA* **105**, 9674–9679 (2008).
11. Pincus, D., Letunic, I., Bork, P. & Lim, W. Evolution of the phospho-tyrosine signaling machinery in premetazoan lineages. *Proc. Natl Acad. Sci. USA* **105**, 9680–9684 (2008).
12. Rokas, A. The origins of multicellularity and the early history of the genetic toolkit for animal development. *Annu. Rev. Genet.* **42**, 235–251 (2008).
13. Fairclough, S. R. *et al.* Premetazoan genome evolution and the regulation of cell differentiation in the choanoflagellate *Salpingoeca rosetta*. *Genome Biol.* **14**, R15 (2013).
14. Zmasek, C. & Godzik, A. Strong functional patterns in the evolution of eukaryotic genomes revealed by the reconstruction of ancestral protein domain repertoires. *Genome Biol.* **12**, R4 (2011).
15. Hertel, L., Bayne, C. & Loker, E. The symbiont *Capsaspora owczarzaki*, nov. gen. nov. sp., isolated from three strains of the pulmonate snail *Biomphalaria glabrata* is related to members of the Mesomycetozoea. *Int. J. Parasitol.* **32**, 1183–1191 (2002).
16. Sebe-Pedros, A., Roger, A., Lang, F., King, N. & Ruiz-Trillo, I. Ancient origin of the integrin-mediated adhesion and signaling machinery. *Proc. Natl Acad. Sci. USA* **107**, 10142–10147 (2010).
17. Sebe-Pedros, A., de Mendoza, A., Lang, B., Degnan, B. & Ruiz-Trillo, I. Unexpected repertoire of metazoan transcription factors in the unicellular holozoan *Capsaspora owczarzaki*. *Mol. Biol. Evol.* **28**, 1241–1254 (2011).
18. Young, S. *et al.* Premetazoan ancestry of the Myc-Max network. *Mol. Biol. Evol.* **28**, 2961–2971 (2011).
19. Sebe-Pedros, A., Zheng, Y., Ruiz-Trillo, I. & Pan, D. Premetazoan origin of the hippo signaling pathway. *Cell Rep.* **1**, 13–20 (2012).
20. Suga, H. *et al.* Genomic survey of premetazoans shows deep conservation of cytoplasmic tyrosine kinases and multiple radiations of receptor tyrosine kinases. *Sci. Signal.* **5**, ra35 (2012).
21. Nichols, S. A., Roberts, B. W., Richter, D. J., Fairclough, S. R. & King, N. Origin of metazoan cadherin diversity and the antiquity of the classical cadherin/beta-catenin complex. *Proc. Natl Acad. Sci. USA* **109**, 13046–13051 (2012).
22. Carr, M., Nelson, M., Leadbeater, B. & Baldauf, S. Three families of LTR retrotransposons are present in the genome of the choanoflagellate *Monosiga brevicollis*. *Protist* **159**, 579–590 (2008).
23. Kim, J., Vanguri, S., Boeke, J., Gabriel, A. & Voytas, D. Transposable elements and genome organization: a comprehensive survey of retrotransposons revealed by the complete *Saccharomyces cerevisiae* genome sequence. *Genome Res.* **8**, 464–478 (1998).
24. Ohno, S. *Evolution by Gene Duplication* 160 (Springer, 1970).
25. Hunter, S. *et al.* InterPro in 2011: new developments in the family and domain prediction database. *Nucleic Acids Res.* **40**, D306–D312 (2012).
26. Wickstead, B. & Gull, K. The evolution of the cytoskeleton. *J. Cell Biol.* **194**, 513–525 (2011).
27. Nichols, S. A., Dirks, W., Pearse, J. S. & King, N. Early evolution of animal cell signaling and adhesion genes. *Proc. Natl Acad. Sci. USA* **103**, 1251–1256 (2006).
28. Degnan, B., Vervoort, M., Larroux, C. & Richards, G. Early evolution of metazoan transcription factors. *Curr. Opin. Genet. Dev.* **19**, 591–599 (2009).
29. Burger, G., Lavrov, D., Forget, L. & Lang, B. Sequencing complete mitochondrial and plastid genomes. *Nat. Protoc.* **2**, 603–614 (2007).
30. Jaffe, D. *et al.* Whole-genome sequence assembly for mammalian genomes: Arachne 2. *Genome Res.* **13**, 91–96 (2003).
31. Haas, B. J. *et al.* Automated eukaryotic gene structure annotation using EVIDENCEModeler and the Program to Assemble Spliced Alignments. *Genome Biol.* **9**, R7 (2008).
32. Lomsadze, A., Ter-Hovhannissyan, V., Chernoff, Y. O. & Borodovsky, M. Gene identification in novel eukaryotic genomes by self-training algorithm. *Nucleic Acids Res.* **33**, 6494–6506 (2005).
33. Stanke, M. & Waack, S. Gene prediction with a hidden Markov model and a new intron submodel. *Bioinformatics* **19**(Suppl 2): ii215–ii225 (2003).
34. Majoros, W. H., Pertea, M. & Salzberg, S. L. TigrScan and GlimmerHMM: two open source *ab initio* eukaryotic gene-finders. *Bioinformatics* **20**, 2878–2879 (2004).
35. Birney, E., Clamp, M. & Durbin, R. GeneWise and Genomewise. *Genome Res.* **14**, 988–995 (2004).
36. Haas, B. J. *et al.* Improving the *Arabidopsis* genome annotation using maximal transcript alignment assemblies. *Nucleic Acids Res.* **31**, 5654–5666 (2003).
37. Grabherr, M. G. *et al.* Full-length transcriptome assembly from RNA-Seq data without a reference genome. *Nat. Biotechnol.* **29**, 644–652 (2011).
38. Haas, B. J., Delcher, A. L., Wortman, J. R. & Salzberg, S. L. DAGChainer: a tool for mining segmental genome duplications and synteny. *Bioinformatics* **20**, 3643–3646 (2004).
39. Li, L., Stoeckert, Jr. C. J. & Roos, D. S. OrthoMCL: identification of ortholog groups for eukaryotic genomes. *Genome Res.* **13**, 2178–2189 (2003).
40. Katoh, K., Kuma, K., Toh, H. & Miyata, T. MAFFT version 5: improvement in accuracy of multiple sequence alignment. *Nucleic Acids Res.* **33**, 511–518 (2005).
41. Castresana, J. Selection of conserved blocks from multiple alignments for their use in phylogenetic analysis. *Mol. Biol. Evol.* **17**, 540–552 (2000).
42. Stamatakis, A. RAxML-VI-HPC: maximum likelihood-based phylogenetic analyses with thousands of taxa and mixed models. *Bioinformatics* **22**, 2688–2690 (2006).
43. Lartillot, N., Lepage, T. & Blanquart, S. PhyloBayes 3: a Bayesian software package for phylogenetic reconstruction and molecular dating. *Bioinformatics* **25**, 2286–2288 (2009).
44. Lartillot, N. & Philippe, H. A Bayesian mixture model for across-site heterogeneities in the amino-acid replacement process. *Mol. Biol. Evol.* **21**, 1095–1109 (2004).
45. Eddy, S. R. A new generation of homology search tools based on probabilistic inference. *Genome Inform.* **23**, 205–211 (2009).
46. Bauer, S., Grossmann, S., Vingron, M. & Robinson, P. N. Ontologizer 2.0—a multifunctional tool for GO term enrichment analysis and data exploration. *Bioinformatics* **24**, 1650–1651 (2008).
47. Alexa, A., Rahnenfuhrer, J. & Lengauer, T. Improved scoring of functional groups from gene expression data by decorrelating GO graph structure. *Bioinformatics* **22**, 1600–1607 (2006).
48. Quevillon, E. *et al.* InterProScan: protein domains identifier. *Nucleic Acids Res.* **33**, W116–W120 (2005).
49. Gentleman, R. C. *et al.* Bioconductor: open software development for computational biology and bioinformatics. *Genome Biol.* **5**, R80 (2004).
50. Blake, J. A. & Harris, M. A. The Gene Ontology (GO) project: structured vocabularies for molecular biology and their application to genome and expression analysis. *Curr. Protoc. Bioinformatics*. Chapter 7, Unit 7.2 (2008).
51. Conesa, A. *et al.* Blast2GO: a universal tool for annotation, visualization and analysis in functional genomics research. *Bioinformatics* **21**, 3674–3676 (2005).
52. Burge, S. *et al.* Manual GO annotation of predictive protein signatures: the InterPro approach to GO curation. *Database (Oxford)* **2012**, bar068 (2012).

Acknowledgements

We thank Lora Lindsey for providing the differential interference contrast microscopic picture of *C. owczarzaki*. We thank Joshua Levin and Lin Fan for generating RNA-Seq libraries and the Broad Institute Genomics Platform for Sanger and Illumina sequencing. We thank Terrance Shea for genome assembly, Qiangdong Zeng for genome annotation and Lucia Alvarado-Balderrama, Narmada Shenoy and James Bochicchio for data release and NCBI submission. We thank Nicole King for providing useful insights to the manuscript. H.S. was supported by the Marie Curie Intra-European Fellowship within the 7th European Community Framework Programme. Genome sequencing, assembly and some supporting analysis was supported by grants from the National Human Genome Research Institute (HG003067-05 through HG003067-10), as were C.N., C.R., B.H. and Z.C. B.F.L. and A.J.R. acknowledge financial support through the Canadian Research Chair program. This study was supported by an ICREA contract, a European Research Council Starting Grant (ERC-2007-StG-206883) and a grant (BFU2011-23434) from the Spanish Ministry of the Economy and Competitiveness (MINECO) awarded to I.R.-T. M.V. was supported by CNRS, the Agence Nationale de la Recherche (ANR grant BLAN-0294) and the Institut Universitaire de France.

Author contributions

H.S. performed bioinformatic analyses, analysed the data, and wrote the paper; Z.C. performed bioinformatic analyses and analysed the data; A.d.M. performed bioinformatic analyses, analysed the data and was involved in study design; A.S.-P. performed bioinformatic analyses, analysed the data and performed the RNA extraction; M.W.B., E.K., M.C., P.K., M.V., N.S.-P., G.T., R.D. and G.M. performed bioinformatic analyses and analysed the data; B.F.L. extracted DNA and analysed the mitochondrial genome; C.R., B.J.H., A.J.R. and C.N. analysed the data and designed the sequencing strategy; I.R.-T. designed the study, analysed the data and wrote the paper. All authors discussed the results and commented on the manuscript.

Additional information

Accession Codes: The whole genome sequence and annotated protein sequences of *C. owczarzaki* are deposited in NCBI with accession number ACFS01000000, BioProject ID

PRJNA20341. The RNA-seq raw read sequences were submitted to NCBI's Short Read Archive with the accession numbers SRX096928, SRX096921, SRX155797, SRX155796, SRX155795, SRX155794, SRX155793, SRX155792, SRX155791, SRX155790 and SRX155789.

Supplementary Information accompanies this paper at <http://www.nature.com/naturecommunications>

Competing financial interests: The authors declare no competing financial interests.

Reprints and permission information is available online at <http://npg.nature.com/reprintsandpermissions/>

How to cite this article: Suga, H. *et al.* The *Capsaspora* genome reveals a complex unicellular prehistory of animals. *Nat. Commun.* 4:2325 doi: 10.1038/ncomms3325 (2013).



This work is licensed under a Creative Commons Attribution-NonCommercial-NoDerivs 3.0 Unported License. To view a copy of this license, visit <http://creativecommons.org/licenses/by-nc-nd/3.0/>