

Published in final edited form as:

*Curr Opin Cell Biol.* 2011 February ; 23(1): 65–70. doi:10.1016/j.ceb.2010.09.008.

## Functional interactions between nucleoporins and chromatin

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### Abstract

As the gatekeepers of the eukaryotic cell nucleus, nuclear pore complexes (NPCs) mediate all molecular trafficking between the nucleoplasm and the cytoplasm. In recent years, transport-independent functions of NPC components, nucleoporins, have been identified including roles in chromatin organization and gene regulation. Here, we summarize our current view of the NPC as a dynamic hub for the integration of chromatin regulation and nuclear trafficking and discuss the functional interplay between nucleoporins and the nuclear genome.

### Introduction

Nuclear pore complexes (NPCs) are large multiprotein channels embedded in the nuclear envelope (NE) that mediate the transport of macromolecules between the nucleus and the cytoplasm of eukaryotic cells [1]. Initial proteomic studies in yeast and vertebrates revealed that NPCs are composed of ~30 different nucleoporins (Nups) [2]. The recent identification of a novel transmembrane Nup in *Saccharomyces cerevisiae* [3•] suggests that the current list of NPC components may not be complete, and that additional Nups remain to be discovered. In general, Nups can be subdivided into at least two classes. The first class represents the stable scaffold components of the NPC, such as the Nup107/160 complex [4], which stabilize the highly curved pore membrane and are critical for nuclear pore biogenesis [5–7] and maintenance [8]. The second class includes peripheral Nups that are attached to the scaffold and often contain Phenylalanine-Glycine (FG) repeats that function directly in nucleocytoplasmic transport [9]. Interestingly, many nucleoporins of this group are mobile and shuttle off and on the NPC [4, 10], but it is unclear if this dynamic behavior is linked to their transport functions.

By controlling the access of transcription factors and the exit of RNA molecules, the NPC plays a critical transport-based role in gene expression [9]. In addition to the well-established roles of Nups in the transport process, it is becoming evident that the NPC components have additional functions in mitosis [11] and gene regulation, the latter being the focus of this review. Here, we will examine recent insights into our understanding of how NPC components participate in gene regulation and discuss the functional significance of these interactions for developmental processes of higher eukaryotes.

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## NPC components bind to genomic loci

Electron microscopy images of multiple cell types have revealed that the NE is underlined with electron-dense heterochromatin, interrupted by NPC-associated light-staining euchromatin [12]. One influential interpretation of these findings was summarized in the 'gene gating' hypothesis, which proposed that nuclear pores specifically interact with active genes to promote co-regulation of transcription with mRNA export [13]. Several studies performed in yeast support a potential anchoring of active genes at NPCs. For instance, a subset of inducible genes such as the GAL genes and HXK1 relocate to the NPCs from interior sites under certain activation conditions [14, 15]. Furthermore, a genome-wide analysis in *S. cerevisiae* demonstrated that multiple Nups occupied regions of highly transcribed genes [17••] (Figure 1). Interestingly, conserved DNA sequences were identified that are required to target NPC-regulated genes to the nuclear periphery [16•], suggesting that NPC components play an active role in gene regulation in yeast.

A link between Nups and active genes has also been discovered in multi-cellular organisms, although the situation appears to be more complicated. Analysis of chromatin binding behavior of *Drosophila* Nups revealed the presence of several NPC components at active genes [18••,19••,20••]. Surprisingly, the Nup-chromatin contacts were commonly found to occur in the nucleoplasm, i.e. away from the NE-embedded NPCs (Figure 1). Most of the Nups identified to participate in nucleoplasmic gene binding and regulation, including Tpr, Nup153, Nup98, Nup62 and Nup50, belong to the peripheral and dynamic NPC components [18••,19••,20••]. This is consistent with a model of dynamic Nups able to come off the nuclear pore to interact with gene loci inside the nucleus — that is, not at NE contact sites. This notion is supported by the reported transcription-dependent mobility of Nup153 and Nup98 both within the nucleus and at the nuclear pore [10].

Since the majority of active genes are located in the nuclear interior, this model could overcome a major limitation of the 'gene gating hypothesis', which implies that active genes have to be positioned to pores at the NE for optimal co-regulation of transcription and mRNA export. Furthermore, intranuclear gene regulation by Nups may facilitate the maintenance of the three-dimensional organization of the genome. There is emerging evidence for a regulatory role of chromatin organization in gene activities. The tethering of genomic loci to subnuclear structures such as the NPCs, the NE and various nuclear bodies may directly affect transcription and RNA processing [44]. Such interactions between Nups and genomic loci, which can change in response to developmental processes [19, 40], would involve global changes in chromatin organization if they occurred exclusively at the nuclear periphery. In contrast, intranuclear Nups have been observed that intranuclear Nups can achieve dynamic regulation by binding to different targets in the nuclear interior without altering the subnuclear positioning of these genes and their neighboring genetic elements (Figure 2).

As an important note, in *Drosophila* embryonic cells, nucleoplasmic Nup98, accounting for the majority of total detected Nup98-gene interaction, selectively interacts with highly transcribed genes [19••,20••]. By contrast, NPC-bound Nup98 targets show no enrichment for active genes [20••], which implies that the role of peripheral nuclear pores in gene regulation is more complicated than originally thought. A conclusion that should be drawn from these findings is that Nup-chromatin interactions that are identified by genome wide approaches in higher eukaryotes do not necessarily indicate peripheral association with NPCs.

## Causative relationship between Nup association and transcription stimulation

The selective physical interaction of multiple Nups with active genes suggests that Nup association may directly enhance transcription. As mentioned above, NPC-localization is correlated with higher transcription activity for various yeast genes. Furthermore, fusion of multiple scaffold Nups with DNA binding domain of *lexA* stimulated transcription of the reporter gene, suggesting that NPC core components are capable of recruiting factors that promote transcription [25]. Moreover, *Drosophila* Nup153 and Megator associate with the dosage compensation complex, which stimulates transcriptional elongation, and both Nups are required for the NPC-anchoring of the male X chromosome and its gene upregulation, supporting a causative link between NPC association and gene activation [21]. It should be noted, however, that one study did not find a connection between Nups and dosage compensation, a matter that requires further clarification [22]. Additionally, there are cases in which failure of gene-NPC tethering did not cause strong transcription defects, suggesting that under some circumstances, transcription can occur without positioning at the NPC [12].

What might be the role of Nups in transcription in the nuclear interior? In salivary glands of *Drosophila* larvae, intranuclear Nup98 and Sec13, which are recruited to developmentally induced genes, were found to be required for transcription of their targets [19••]. Moreover, in *Drosophila* embryonic cells, elevating the levels of nucleoplasmic, NPC-uncoupled Nup98 increased the expression of its target genes genome-wide, whereas reducing specifically the levels of intranuclear Nup98 decreased the expression of its targets [19••, 20••]. These findings suggest that intranuclear Nup98 is not only necessary but also sufficient to stimulate transcription of its targets. The mechanism of transcriptional stimulation by Nup98 and potentially other FG-Nups may involve the recruitment of the histone acetyltransferase CBP/p300 through their FG-repeats [23]. If and how Nups interact with chromatin remodeling complexes remains a subject of future research.

### Transcription regulation of developmental genes by Nups

In *Drosophila* embryonic cells Nup98, Nup62 and Nup50 targets are enriched for genes that regulate development and cell cycle [21]. Importantly, Nup98, Sec13 and FG-Nups are recruited to developmentally induced genes in *Drosophila* salivary glands, and this association is required for the expression of these genes [19••]. Together, they suggest a role for Nup regulation in developmental programs in multi-cellular organisms.

The proposed role of Nups in developmental gene regulation is further supported by the finding that deletions or mutations in various Nups caused tissue-specific developmental defects or diseases [9]. The tissue-specific nature of defects with Nup mutations might seem surprising given the indispensable role of the NPC in nucleocytoplasmic transport, but could be explained by their regulation of development-specific or disease-specific genes.

### Coordination of transcription and export at the NPC

In addition to transcription stimulation, gene association with NPC components may function to promote mRNA export. It has been shown that diffusion of mRNAs to NPC is not rate limiting for export and NPC localization may not enhance mRNA export efficiency by reducing gene-pore distances [24]. Instead, gene tethering at the NPC may promote mRNA export by the coordination of transcription and export. For instance, cotranscriptional recruitment of mRNA processing and packaging factors as well as NPC targeting may facilitate the formation of messenger ribonucleoprotein particles (mRNPs) with a conformation ready for transport. If mRNP formation was complete before its movement to the NPC for translocation to the cytoplasm, energy-dependent structural

alterations such as unfolding and refolding of certain ribonucleoprotein domains might have to occur at the NPC for optimal interaction with transport factors and for adaptation to the shape of the NPC channel. By contrast, if mRNPs are formed while it is in contact with transport factors and NPC components, the transport-ready conformation of the particle can possibly be achieved in one step and no subsequent protein unfolding and refolding is necessary. Moreover, if transcribed genes are localized to NPCs, transcriptional feedback can be more efficiently relayed in response to mRNP malformation and export defects [25].

On chromatin, there are two complexes implicated in cotranscriptional NPC tethering and subsequent coordination of transcription and export; the SAGA and THO complexes (Figure 3). Discovered as a histone acetyltransferase complex at promoters, SAGA is linked through Sus1 to the NPC-anchored complex TREX-2 (Sac3/Thp1/Sus1/Cdc31), which is required for mRNA export [26, 27]. The interplay between NPC tethering, transcriptional activation and mRNA export is supported by a series of mutational studies. SAGA components (Ada2, Sgf73, and Sus1), TREX-2 components (Sac3 and Thp1) as well as the Sac3-associated mRNA exporter Mex67 are all required for stimuli-induced repositioning of GAL genes [28–30]. As the physical linker, Sus1 is required for both the expression of ~9% of yeast genes and GAL1 induction and mRNA export [26, 28, 29]. Moreover, Thp1 and Mex67 disruption caused transcriptional defects under some circumstances [31]. Recently, other than transcription activation at the promoter, SAGA has also been implicated in transcriptional elongation, pointing to a potential chromatin-NPC link by Sus1 at gene coding regions [32].

The THO complex (Tho2/Hpr1/Mft1/Thp2) is present at gene coding regions and traditionally considered to promote transcriptional elongation [31]. Sub2 helicase and Yra1, the adaptor for the mRNA exporter Mex67, associate with THO to form the THO/TREX complex, linking transcription and export [33••]. Deletion of each THO/TREX component impaired mRNA export, and Sub2 and Yra1 are required for the transcription of at least some genes [33••]. Additionally, for a subset of yeast genes, THO/Sub2 was found to coordinate mRNA 3'-end processing with gene-NPC interaction [34••].

It is not known whether the promoter-and-coding-region-associated SAGA and the coding-region-associated THO complexes act on the same set of genes for NPC interaction. In yeast, the SAGA-associated TREX-2 component Sac3 co-purified with THO/TREX components [27]. Moreover, both SAGA and THO components are recruited to GAL or GAL: reporter genes upon transcription induction, suggesting coregulation of GAL genes by the two complexes [26,33••]. Interestingly, *Drosophila* SAGA and THO complexes also have a common component, E(y) 2, the Sus1 homologue. However, SAGA and THO mutations caused different phenotypic manifestations of the E(y)2 mutation, suggesting that E(y)2-SAGA and E(y)2-THO do not have identical targets and that subsets of genes may employ different machineries for cotranscriptional tethering to the NPCs [46].

In addition, the pattern and mechanism of gene–NPC interaction may be dynamically regulated by chromatin structure. Upon induction of histone acetylation, the percentage of genes with Nup93 association at promoters increased, while the percentage of genes with Nup93 association at coding regions decreased [47]. This observation raised the possibility that genes could gain promoter-NPC tethering mediated by SAGA complexes in response to chromatin opening.

Apart from SAGA and THO complexes, a screen of a yeast viable knockout library identified other factors essential for NPC-tethering of GAL-reporter during transcriptional induction and short periods of repression after removal of the induction signal. For example, ISW1 chromatin remodeling proteins were found to mediate NPC-association of

transcribing genes and components of the RNA-degrading exosome were shown to be required for posttranscriptional NPC interaction [35••].

## NPC, gene looping and organization of chromatin domains

One newly discovered functional significance of NPC-gene interaction is to maintain genes in a 'ready-to-transcribe' status through gene looping (Figure 3). In yeast, the gene HXK1 relocalizes to the NPC and is looped by association of its 5'-end and 3'-end when induced. Both NPC localization and the gene loop persist for some time after removal of the inducing signal, allowing HXK1 to be reactivated faster when re-induced. The NPC component Mlp1 was shown to interact with the HXK1 promoter and 3'-end, and be required for looping and rapid reactivation of HXK1 [36••].

In addition, looping of genetic elements by NPCs suggests a role in the establishment and/or maintenance of chromatin domains. Euchromatin regions might be looped out by association with NPCs, and thus insulated from the silencing environment of adjacent heterochromatin. According to such a model, NPC components are expected to associate with boundaries of different chromatin domains, and Nup deletions should cause ectopic spreading of silencing factors into active genomic regions and/or aberrant activation of silent domains. In fact, some yeast Nups are in contact with both active genes and repressed regions such as telomeres, suggesting a positioning of NPCs in between active and silent chromatin domains [37–40]. Furthermore, a reporter gene placed within a heterochromatic environment was insulated from heterochromatin spreading when tethered to the NPC at both ends by Nup2 [39]. Consistent with a role of inhibiting heterochromatin spreading, Tpr knockdown caused failure of heterochromatin exclusion under NPCs along the NE's nuclear surface [41]. Moreover, several SAGA components that potentially tether euchromatin–heterochromatin boundary elements to the NPCs have been found to exhibit activities that protect euchromatic regions from silencing [42,43]. In conclusion, NPCs may function to establish an active chromatin subdomain in the otherwise silent environment under the NE at euchromatin–heterochromatin boundaries (Figure 3).

## Outlook

Regulation of gene expression is a crucial area of cell and developmental biology, which has the potential to transform highly diverse and important areas of biomedical research, such as differentiation, stem cell and regenerative biology, cancer mechanisms, aging processes and many epigenetic events as they relate to environmental influences, memory formation and developmental outcomes. Recent data suggest a view of the NPC as one of the central hubs at which crucial gene regulatory pathways converge [44]. Import of transcription factors, assembly of transcription machinery and distant gene loci, mRNA processing and export processes, and finally, the memory of these activating events through cell divisions may all in some ways connected to the NPC. In order to begin to understand this complex interplay, it will be necessary to dissect the molecular events and interactions that individual NPC components control. Understanding the novel role of Nups in directly regulating transcription and how it relates to transport pathways, epigenetic memory and cancer has the potential to considerably advance our knowledge on the regulation of gene expression.

## Acknowledgments

We would like to thank Maya Capelson, Jesse Vargas, Brandon Toyama, Tobias Franks and Robbie Schulte for helpful suggestions. This work was supported by a grant from the American Cancer Society RSG 09-178-01-DDC.

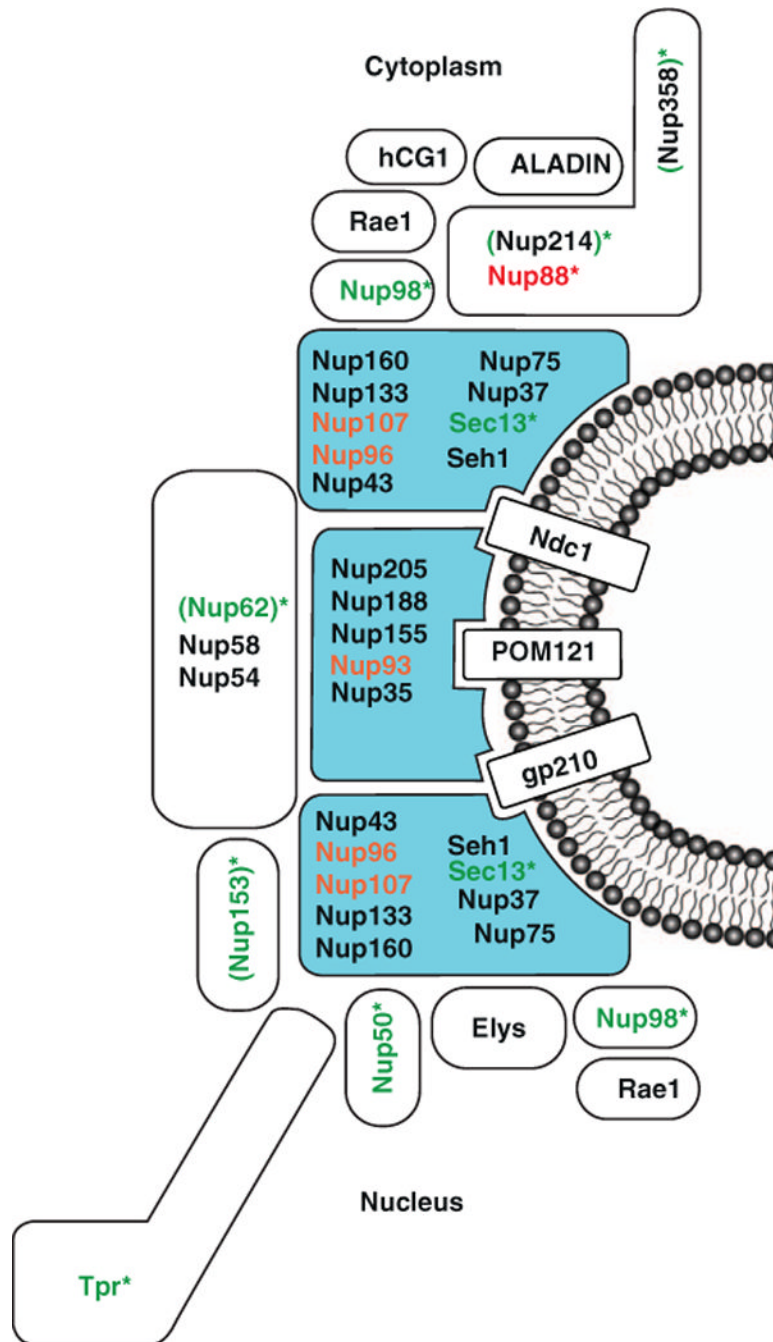
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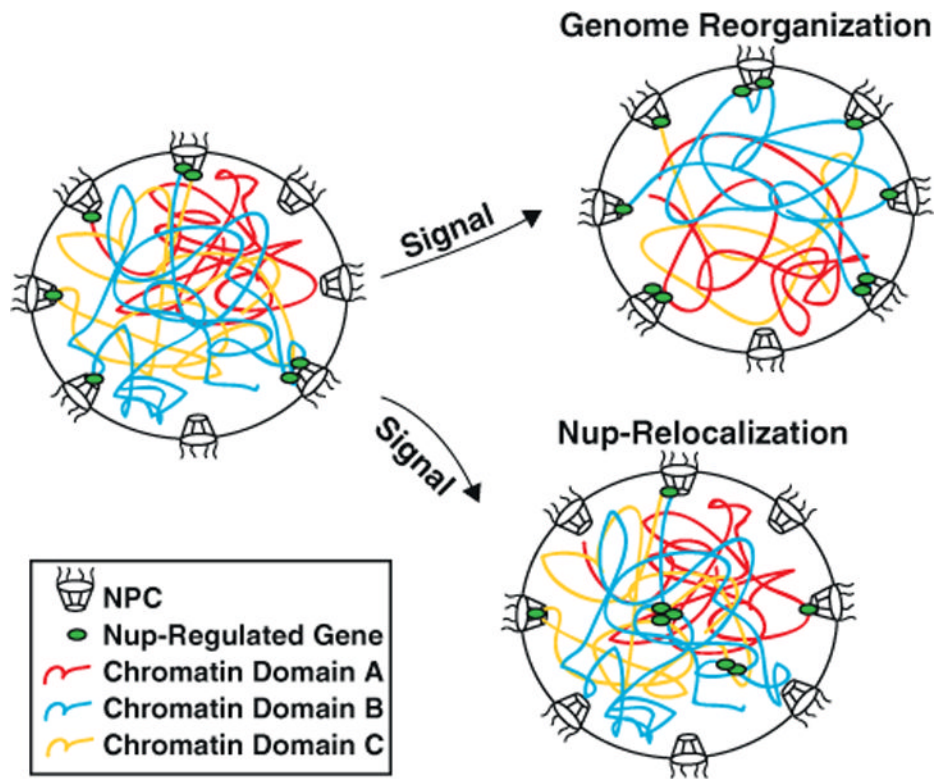




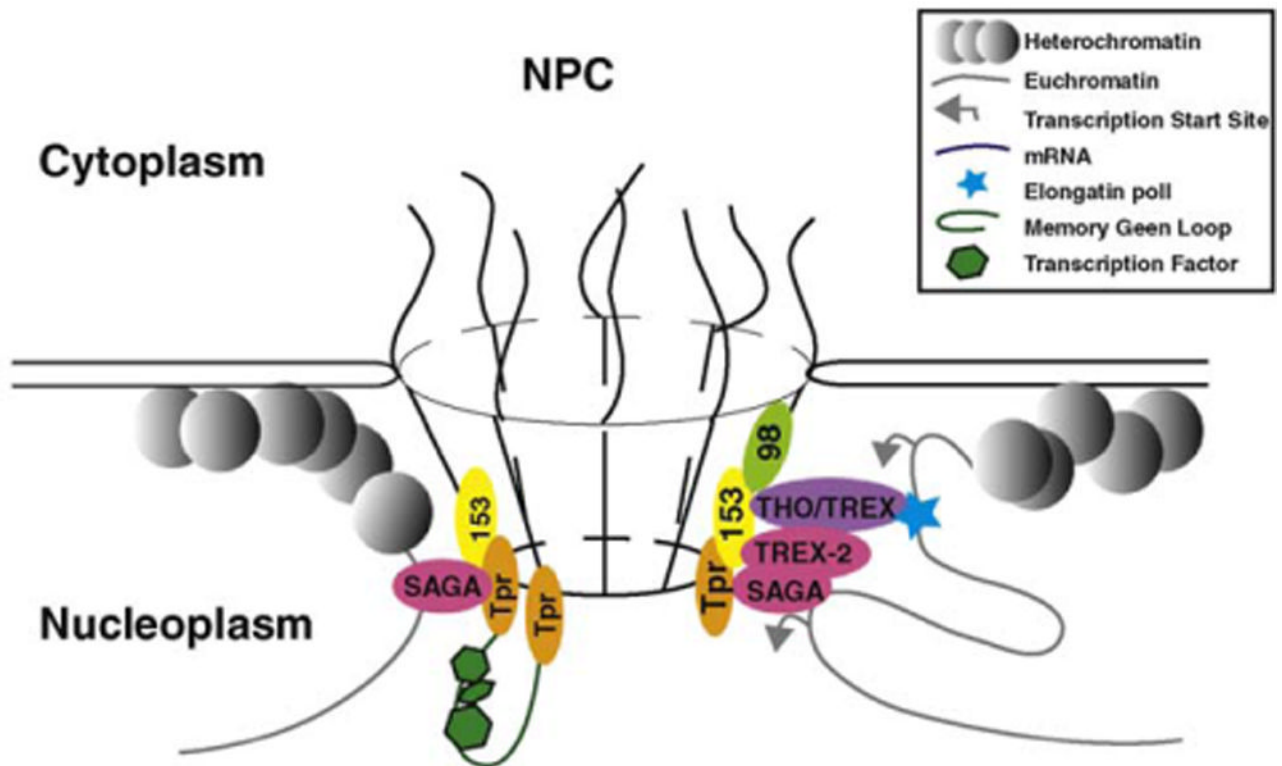
**Figure 1.**

Nup-gene association. Vertebrate Nups are shown based on the functions of corresponding homologues in yeast or *Drosophila*. Subcomplexes of the NPC that are composed of scaffold Nups are colored in blue. Nups that show preferential association with active genes are shown in green. Nup88, the only Nup found to interact with repressed loci, is shown in red [17,18••,19••,20••]. Nups that are linked to both active and repressed genes are shown in orange [17, 47]. Nic96, the Nup93 homologue in yeast, preferentially associates with active genes. By contrast, human Nup93 targets in Hela cells are enriched for repressive histone marks. Asterisks indicate Nups with intranuclear (i.e. away from the pore) gene association. One or more of the Nups in parenthesis (Nup62, Nup153, Nup214, and Nup358), recognized

by 414 antibody, interact with active genes in the nucleoplasm in *Drosophila* salivary glands [20••].



**Figure 2.** Signal-induced alterations in Nup regulation of gene activities may be achieved by genome reorganization that results in different sets of genes being positioned at the NPCs, Nup relocalization to new intranuclear loci, or both. One example of Nup relocalizing to intranuclear genes in response to signaling is shown.



**Figure 3.**

NPCs function in gene looping, organization of chromatin domains, and coordination of transcription and export. Vertebrate Nups are shown based on the functions of corresponding homologues in yeast or *Drosophila*. Nup2 (vertebrate homologue Nup153) and Megator (vertebrate homologue Tpr) can protect active regions from heterochromatin spreading, and the SAGA complex may mediate the barrier activity of NPCs [39–43]. Mlp1 (vertebrate homologue Tpr) is required for the maintenance of memory gene loops and possibly sequestration of transcription factors during repression, allowing the associated gene to be reactivated faster [45]. In addition, NPCs may be cotranscriptionally tethered to genes by THO/TREX and/or SAGA-TREX-2 complexes [33••,20••]. SAGA complex is also present at coding regions apart from promoters [32], which is omitted here for simplicity. Mlp1 (vertebrate homologue Tpr) can be copurified with SAGA complex, and they associate with the same region of GAL promoters [45]. Sac3 (TREX-2 component) copurified with Nup1 (vertebrate homologue Nup153), and requires Nup1 for NPC anchoring [27]. SAGA-TREX-2 and THO/TREX complexes may physically interact [27, 46]. THO mutation resulted in a stalled mRNA intermediate associated with Nup60 (vertebrate homologue Nup153) and Nup116 (related to vertebrate Nup98), suggesting that THO coordination of mRNP formation and export may involve these two Nups [34••]. Abbreviations: 98, Nup98; 153, Nup153; polII: RNA polymerase II.