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STRIATAL AMYLOID PLAQUE DENSITY PREDICTS BRAAK NEUROFIBRILLARY STAGE AND CLINICOPATHOLOGICAL ALZHEIMER'S DISEASE: IMPLICATIONS FOR AMYLOID IMAGING

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Abstract

Amyloid imaging may revolutionize Alzheimer's disease (AD) research and clinical practice but is critically limited by an inadequate correlation between cerebral cortex amyloid plaques and dementia. Also, amyloid imaging does not indicate the extent of neurofibrillary tangle (NFT) spread throughout the brain. Currently, the presence of dementia as well as a minimal brain load of both plaques and NFTs is required for the diagnosis of AD. Autopsy studies suggest that striatal amyloid plaques may be mainly restricted to subjects in higher Braak NFT stages that meet clinicopathological diagnostic criteria for AD. Striatal plaques, which are readily identified by amyloid imaging, might therefore be used to predict the presence of a higher Braak NFT stage and clinicopathological AD in living subjects. This study determined the sensitivity and specificity of striatal plaques for predicting a higher Braak NFT stage and clinicopathological AD in a postmortem series of 211 elderly subjects. Subjects included 87 clinicopathologically classified as non-demented elderly controls and 124 with AD. A higher striatal plaque density score (moderate or frequent) had 95.8% sensitivity, 75.7% specificity for Braak NFT stage V or VI and 85.6% sensitivity, 86.2% specificity for the presence of dementia and clinicopathological AD (National Institute on Aging – Reagan Institute "intermediate" or "high"). Amyloid imaging of the striatum may be useful as a predictor, in living subjects, of Braak NFT stage and the presence or absence of dementia and clinicopathological AD. Validation of this hypothesis will require autopsy studies of subjects that had amyloid imaging during life.

Keywords

Alzheimer's disease; amyloid imaging; striatum; amyloid plaques; diagnosis; therapy; asymptomatic; preclinical; autopsy

Introduction

Amyloid imaging may revolutionize Alzheimer's disease (AD) research and clinical practice but is critically limited by an inadequate correlation between cerebral cortex amyloid plaques and dementia. Recent amyloid imaging results [1–6] have confirmed longstanding neuropathological reports [7–19] that many non-demented elderly individuals have cortical amyloid plaques. Amyloid imaging does not indicate the extent of neurofibrillary tangle

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(NFT) spread throughout the brain and this is a major shortcoming as current diagnostic criteria require the presence of both dementia as well as a minimal brain load of both plaques and NFTs to make the clinicopathological diagnosis of AD [20].

Autopsy studies as well as amyloid imaging suggest that the presence of amyloid plaques in the cerebral cortex alone is not an accurate predictor of clinicopathological AD but that the additional presence of amyloid plaques in the caudate nucleus and/or putamen (together termed the striatum) might make this distinction possible. Striatal amyloid plaques were first comprehensively reported by Rudelli, Ambler and Wisniewski in 1984 [21] and there was renewed interest after the development of Aβ immunohistochemistry [22]. While some investigators reported that striatal plaques were only of the diffuse type [23], several studies clearly showed that a wide range of plaque morphologies are present, including diffuse, neuritic and cored types [21–25]. Biochemical studies have suggested that striatal plaques are composed predominantly or entirely of Aβ 1–42 and 1–43, with some common posttranslational modifications [26, 27]. Some studies indicated that striatal plaques are largely restricted to individuals that had clinically-documented dementia [28, 29], although others have found them in small numbers of non-demented subjects [30]. Striatal plaques have also been reported to correlate with the presence of dementia in Parkinson's disease and dementia with Lewy bodies [31–33]. Additionally, striatal plaques have been reported to correlate with neuropsychological measures [30] and to predominantly occur at later histopathological stages of AD, corresponding to Braak neurofibrillary tangle stages V and VI [28].

These studies indicate that striatal amyloid plaques, which are readily identified by amyloid imaging, might therefore be used to predict the presence of clinicopathological AD in living subjects. Imaging reports of amyloid plaques have been primarily concerned with their presence in subjects with early-onset, autosomal dominant inheritance of AD, where they occur even at early-stage disease [34–37], but a few studies have documented striatal amyloid in late-onset sporadic AD [38,39] and one of these found the striatal amyloid signal in late-onset subjects to be equivalent to that in early-onset disease [40].

We therefore undertook to estimate, using a large subject number of autopsied and neuropathologically characterized subjects, the sensitivity and specificity of histopathologically-estimated striatal plaque load for predicting the presence of a higher Braak NFT stage and clinicopathological criteria for the diagnosis of AD.

Materials and Methods

Human subjects

The study took place at Banner Sun Health Research Institute (BSHRI), located in the Sun Cities retirement communities of northwest metropolitan Phoenix, Arizona. The Institute is part of Banner Health, a regional not-for-profit health care provider centered in Arizona. Brain necropsies were performed on elderly subjects who had volunteered for the BSHRI Brain Donation Program, a longitudinal clinicopathological study of normal aging, dementia and parkinsonism [41]. The operations of the Brain Donation Program have been approved by the Institutional Review Board of Banner Health.

Subjects were chosen by searching the Brain Donation Program database for non-demented elderly control and AD subjects that had had at least one standardized neurological assessment at our center during life. Subjects with conditions primarily considered to be movement disorders, including Parkinson's disease, progressive supranuclear palsy and corticobasal degeneration were excluded. Non-demented control subjects were defined as those that had not had a clinical diagnosis of dementia or parkinsonism. Subjects with AD

were defined as those that had clinically-documented dementia and a neuropathological diagnosis of AD, meeting National Institute on Aging – Reagan Institute (NIA-Reagan) criteria of "intermediate" or "high" probability that dementia was due to AD; this included any combination of Braak NFT stages III-VI and moderate or frequent CERAD neuritic plaque density [20]. Subjects (see Table 1 for general subject characteristics) were clinically characterized by standardized periodic neurological and neuropsychological assessments, review of private medical records, self-report and telephone interviews with spouses and/or caregivers. As part of the Brain Donation Program's standard protocol, two years of private medical records are obtained from the subjects' private physicians, both at the time of enrollment and at the time of death. Subjects also fill out a medical history questionnaire in which they are asked to report any significant health conditions. Additionally, at the time of death, a telephone interview is conducted with the spouse and/or caregiver in which the presence of any major health conditions, symptoms or signs are again queried. All subjects in this study received at least one standardized assessment at BSHRI, which generally includes a physical examination, depression inventory, activities of daily living instrument, a neurological examination, a neuromotor assessment, a Mini Mental State Examination (MMSE), the Unified Parkinson's Disease Rating Scale (UPDRS), Global Deterioration Scale (GDS), Functional Assessment Staging (FAST) and a neuropsychological test battery.

Tissue processing and histological methods

The cerebrum was cut at the time of brain removal in the coronal plane into 1 cm thick slices and then divided into left and right halves. The brainstem was sliced axially while the cerebellum was sliced parasagitally. The slices from the right half were frozen between slabs of dry ice while the slices from the left half were fixed by immersion in buffered 4% formaldehyde for 48 hours at 4 degrees C. Following cryoprotection in ethylene glycol and glycerol, selected 3×4 cm cerebral, cerebellar and brainstem blocks were sectioned at 40 μ m thickness on a sliding freezing microtome. Sections were stained with H & E, thioflavine S and enhanced silver methods for amyloid plaques and neurofibrillary tangles, using the Campbell-Switzer and Gallyas methods [42]. Thioflavine S is one of the methods recommended and validated for neuritic plaque density grading by the Consortium to Establish a Registry for Alzheimer's Disease (CERAD) [43] while Braak neurofibrillary tangle staging was originally described using the Gallyas stain [44]. The validity and accuracy of this combination of stains for estimating the density of Aβ deposits has also been established in our own laboratory through strong correlations with autoradiographic binding of Florbetapir ($R = 0.95$), an amyloid imaging ligand, to postmortem human brain sections from AD subjects [45], and with biochemical measures (ELISA) of A β (R = 0.89) in human cerebral cortex extracts (unpublished data).

Histopathological scoring was performed blinded to clinical and neuropathological diagnosis. Amyloid plaque and NFT density were graded and staged at standard sites in frontal, temporal, parietal and occipital cortex as well as hippocampus and entorhinal cortex, based on the aggregate impression from the 40 μm sections stained with thioflavine S, Campbell-Switzer and Gallyas methods. The striatum was assessed within the putamen at the coronal level of the anterior thalamus. Scores for plaque density were derived by considering all types of plaques (cored, neuritic and diffuse) together, to obtain a "total" plaque score, while cored and neuritic plaques were also separately estimated. Plaque density scores were obtained by assigning values of none, sparse, moderate and frequent (see Figure 1), according to the published CERAD templates [43]. Conversion of the descriptive terms to numerical values resulted in scores of 0–3 for each area, with a maximum score of 15 for all five cortical areas combined ("total plaque score" and "total tangle score" in Table 2). Neurofibrillary tangle abundance and distribution was also graded in these thick sections, using the CERAD templates [43] for estimating tangle density, and

the original Braak protocol [44] ("Braak Stage" in Table 2) for estimating topographical distribution. Diagnostic criteria for vascular dementia were adapted from those of Roman et al [46]. Diagnostic criteria for dementia with Lewy bodies were those of the third Dementia with Lewy Bodies Consortium [47]; the diagnosis was assigned when subjects met "intermediate" or "high" definitions. All subjects were genotyped for apolipoprotein E (ApoE) using a modification of a standard method [48].

Statistical Analyses

The primary statistical analyses consisted of sensitivity and specificity calculations, performed using NCSS software. Group measures were compared using Student's t-test or Mann-Whitney U-test as appropriate. The significance level was considered to be 0.05.

Results

Characteristics of study subjects

The basic characteristics of the study subjects are shown in Table 1. The 211 subjects were clinicopathologically classified as 87 non-demented elderly controls and 124 with AD. Of the AD cases, 18 also met clinicopathological criteria for vascular dementia (VaD), 18 met criteria for dementia with Lewy bodies (DLB) and 5 for hippocampal sclerosis (HS) while 2 met criteria for AD and DLB as well as VaD. Subjects with AD alone did not differ significantly in any demographic or AD-related neuropathological measures from those with AD/DLB, AD/VaD or AD/HS and therefore all were grouped together for further analysis. The AD and control groups differed as expected in their MMSE scores and in the percentages carrying the apolipoprotein $E - E4$ allele, with the AD group having significantly lower MMSE scores and significantly higher carrier rates than the control group. The groups did not significantly differ in terms of postmortem interval but the control group was significantly older $(p < 0.001)$. Table 2 shows scores for AD histopathology. The groups differed as expected based on group definitions, with the AD group having significantly higher striatal plaque scores, total cortical plaque scores, total cortical tangle scores, cortical CERAD neuritic plaque density and Braak stage.

Striatal plaques (total, all types) were present in 118/124 AD subjects and in 27/87 elderly non-demented control subjects. Moderate or frequent striatal plaques (total, all types) occurred in 106/124 AD subjects but only 10/87 controls. Striatal plaques of the neuritic or cored type (Figure 2) were present in 100/124 AD subjects and in 17/87 control subjects. Total striatal plaque density scores correlated significantly with total cortical plaque score, total cortical tangle score, Braak neurofibrillary stage, CERAD neuritic plaque density, MMSE score and apolipoprotein $E - E4$ gene dosage (Table 3).

A higher striatal total plaque density score (moderate or frequent) predicted a higher Braak NFT stage (Braak V or VI) with 95.8% sensitivity and 75.7% specificity (Table 4). A higher striatal total plaque density score (moderate or frequent) predicted the presence of dementia and clinicopathological AD (dementia with an intermediate or high NIA-Reagan rating) with 85.6% sensitivity and 86.2% sensitivity (Table 6). Making the criteria for striatal plaque density more permissive or more restrictive, or restricting criteria to the presence or absence of only the neuritic or cored plaque types (Tables 5 and 7), resulted in less overall predictive accuracy.

Discussion

Recent amyloid imaging results [1–6] have confirmed longstanding neuropathological reports [7–19] that many non-demented elderly individuals have cortical amyloid plaques. It seems increasingly likely that non-demented subjects with cortical amyloid plaques

represent a preclinical stage of AD, as cortical plaque density within these subjects correlates significantly with some measures of decreased cognition [1,3,49,50]. Additionally, non-demented subjects with plaques possess other characteristics of AD, including a cortical cholinergic deficit and a higher carriage rate of the apolipoprotein $E -$ E4 allele [8,10]. Amyloid imaging therefore may provide a means to identify, for the purposes of recruitment for AD prevention clinical trials, non-demented subjects who are at increased risk for the development of dementia.

As a diagnostic method for AD, however, amyloid imaging is critically limited by a relatively poor correlation between cerebral cortex amyloid plaques and dementia. Currently, the clinical diagnosis of AD requires the presence of dementia [51]. Amyloid imaging does not indicate the extent of NFT spread throughout the brain and this also limits its usefulness, as the autopsy diagnosis of AD is based on the presence of dementia as well as a minimal brain load of both plaques and tangles [20].

Autopsy studies with limited clinical correlative data have suggested the amount of striatal amyloid deposition might be a useful marker of the presence of clinicopathological AD as striatal plaques occur only at later histopathological stages of AD, and largely after dementia onset [28,29]. In this study, we have confirmed this impression with a much larger sample size, as the results of our study of 211 subjects have shown a higher striatal total plaque density score (moderate or frequent) predicted a higher Braak NFT stage (Braak V or VI) with 95.8% sensitivity and 75.7% specificity. Additionally, a higher striatal total plaque density score (moderate or frequent) predicted the presence of clinicopathological AD with 85.6% sensitivity and 86.2% specificity. Restricting striatal plaque measures to include only neuritic and cored plaques did not increase the diagnostic accuracy. It is probable that amyloid imaging readily detects diffuse as well as cored and neuritic plaques [52,53] and therefore the diagnostic accuracy estimates presented here for total striatal plaques are probably more appropriate for extrapolation to the clinical situation.

In conclusion, amyloid imaging of the cerebral cortex and striatum together may allow for the clinical diagnosis of AD. Validation of this hypothesis will require large autopsy studies of subjects that had amyloid imaging during life.

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Figure 1.

Photomicrographs depicting representative examples of striatum with no plaques and with sparse, moderate or frequent plaques. These are converted to numerical scores (0–3) for statistical purposes. The sections were stained with the Campbell-Switzer silver stain.

Figure 2.

Higher magnification photomicrographs depicting diffuse and cored/neuritic plaques in the putamen of a subject with a clinicopathological diagnosis of Alzheimer's disease. The section shown in A was stained with Thioflavine S while that in B was stained with the Campbell-Switzer silver stain. Gray arrows indicate diffuse plaques and white arrows indicate neuritic/cored plaques.

General characteristics of study subjects. Values for age and MMSE score are mean and standard deviation. For postmortem interval (PMI) the median is
given. The groups differed as expected in their MMSE scores and in their General characteristics of study subjects. Values for age and MMSE score are mean and standard deviation. For postmortem interval (PMI) the median is β4 allele. The groups did not significantly differ given. The groups differed as expected in their MMSE scores and in their percentages carrying the apoEin PMI but the control group was significantly older than the AD group.

 NIH-PA Author ManuscriptNIH-PA Author Manuscript NIH-PA Author Manuscript NIH-PA Author Manuscript Striatal plaque scores compared to average cortical measures of Alzheimer's disease-associated histopathology. All values are the median followed by
mean and standard deviation. The AD group had significantly higher total mean and standard deviation. The AD group had significantly higher total striatal plaque score, striatal cored/neuritic plaque score, total cortical plaque Striatal plaque scores compared to average cortical measures of Alzheimer's disease-associated histopathology. All values are the median followed by score, total cortical tangle score, CERAD neuritic plaque density and Braak stage than the normal elderly control group. score, total cortical tangle score, CERAD neuritic plaque density and Braak stage than the normal elderly control group.

 \overline{a}

Correlations (Spearman) of striatal total plaque score with other measures of AD histopathology, cognitive function (MMSE) and apolipoprotein E - E4 Correlations (Spearman) of striatal total plaque score with other measures of AD histopathology, cognitive function (MMSE) and apolipoprotein E – E4 gene dosage (0 = no E4 allele, 1 = 1 E4 allele, 2 = 2 E4 alleles). All correlations involved all subjects and were significant with $p < 0.0001$. gene dosage (0 = no E4 allele, 1 = 1 E4 allele, 2 = 2 E4 alleles). All correlations involved all subjects and were significant with $p < 0.0001$.

Cortical Total Plaque Score Cortical CERAD Neuritic Plaque Density Cortical Total Tangle Score Braak Neurofibrillary Stage MMSE ApoE-E4 Dosage **Cortical Total Plaque Score Cortical CERAD Neuritic Plaque Density Cortical Total Tangle Score Braak Neurofibrillary Stage MMSE ApoE–E4 Dosage** 0.40 0.73 0.66 0.71 0.72 − 0.63 0.40 -0.63 0.72 0.71 0.66 0.73

Sensitivity and specificity of a higher total striatal plaque score (moderate or frequent) for predicting a higher Braak neurofibrillary stage (V or VI). Values in each cell are the number of subjects. The sensitivity is 95.8% while the specificity is 75.7%.

Sensitivity and specificity of the presence or absence of neuritic/cored striatal plaques for predicting a higher Braak neurofibrillary stage (V or VI). Values in each cell are the number of subjects. The sensitivity is 90.1% while the specificity is 70.0%.

Sensitivity and specificity of a higher total striatal plaque score (moderate or frequent) for predicting clinicopathological AD (dementia with NIA-Reagan rating of intermediate or high). Values in each cell are the number of subjects. The sensitivity is 85.6% while the specificity is 86.2%.

Sensitivity and specificity of the presence or absence of striatal cored/neuritic plaques for predicting clinicopathological AD (dementia with NIA-Reagan rating of intermediate or high). Values in each cell are the number of subjects. The sensitivity is 80.6% while the specificity is 71.0%.

