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# Physical and Psychological Symptom Profiling and Event-Free Survival in Adults with Moderate to Advanced Heart Failure

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# Abstract

**Introduction**—Heart failure (HF) is a heterogeneous symptomatic disorder. The goal of this study was to identify and link common profiles of physical and psychological symptoms to 1-year event-free survival in adults with moderate to advanced HF.

**Methods**—Multiple valid, reliable, and domain-specific measures were used to assess physical and psychological symptoms. Latent class mixture modeling was used to identify distinct symptom profiles. Associations between observed symptom profiles and 1-year event-free survival were quantified using Cox proportional hazards modeling.

**Results**—The mean age (n=202) was  $57\pm13$  years, 50% were male, and 60% had class III/IV HF. Three distinct profiles, mild (41.7%), moderate (30.2%), and severe (28.1%), were identified

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that captured a gradient of both physical and psychological symptom burden (p<0.001 for all comparisons). Controlling for the Seattle HF Score, adults with the "moderate" symptom profile were 82% more likely (hazard ratio 1.82 (95% confidence interval 1.07–3.11), p=0.028), and adults with the "severe" symptom profile were more than twice as likely (hazard ratio 2.06 (95% confidence interval 1.21–3.52), p=0.001) to have a clinical event within one year than patients with the "mild" symptom profile.

**Conclusions**—Profiling patterns among physical and psychological symptoms identifies HF patient subgroups with significantly worse 1-year event-free survival independent of prognostication based on objective clinical HF data.

# Introduction

Heart failure (HF) is the fastest growing cardiovascular disorder in the U.S. and the most common reason for hospitalization among older adults.<sup>1–3</sup> Approximately one out of every seven adults with HF has symptoms at rest or with minimal exertion despite medical therapy<sup>4–6</sup> and endures severe symptom burden and poor health-related quality-of-life.<sup>7–9</sup> As the prevalence of HF increases,<sup>10</sup> so will the number of adults living with daily symptoms who have poor quality-of-life and/or suffer premature death.

It is widely recognized that HF is a complex and heterogeneous disorder.<sup>11, 12</sup> Similarly, the occurrence and type of symptoms vary among patients with HF.<sup>13, 14</sup> Beyond the hallmark physical signs and symptoms of HF, such as edema and dyspnea, adults with HF also experience sleep disturbances<sup>15, 16</sup> and significant psychological symptoms, such as depression, anxiety, and hostility.<sup>17–19</sup> Yet, little is known about associations among physical and psychological symptoms in HF, particularly in adults with moderate to advanced HF. Moreover, we are bereft of insight into which patterns of physical and psychological symptoms are associated with unfavorable event-free survival, particularly as most risk prediction models included demographics and objective indices of HF severity and treatment only.<sup>20–22</sup>

Accordingly, the aims of this study were to 1) identify common profiles among multiple domains of physical and psychological symptoms, and 2) quantify the relationship between observed symptom profiles and 1-year event free survival. We hypothesize that distinct profiles among physical and psychological symptoms could be identified and would be associated with a gradient of clinical-event risk in adults with moderate to advanced HF. Further, we hypothesized that observed symptom profiles would provide complementary and additive information to demographic and clinical characteristics in predicting event-free survival.

### Methods

#### **Theoretical Framework**

One framework for understanding psychological symptoms in HF involves considering them as consequences of physical symptoms (i.e. secondary symptoms).<sup>23, 24</sup> We hypothesized that because physical symptoms (such as shortness of breath and daytime sleepiness) and psychological symptoms (such as depression and anxiety) have common pathophysiological

determinants in HF they should occur concomitantly. That is, there are established links between neurohormonal activation and both physical symptoms<sup>25, 26</sup> and psychological symptoms.<sup>27, 28</sup> There are recognized links between platelet dysfunction and physical<sup>29</sup> as well as psychological symptoms.<sup>30, 31</sup> There are links between endothelial dysfunction and physical symptoms<sup>32, 33</sup> and psychological symptoms.<sup>34</sup> Finally there are established links between inflammation and both physical<sup>35</sup> and psychological symptoms<sup>36</sup> in patients with HF. Accordingly, our approach involved identifying patterns among both physical and psychological symptoms in adults with moderate to advanced HF.

Our model of physical and psychological symptoms in HF (Figure 1) was informed by several tenets of Lenz's Theory of Unpleasant Symptoms.<sup>37, 38</sup> Specifically, we operationalized what Lenz termed 'interactions among symptoms' by identifying latent profiles (C) based on the intercepts (*i*) and slope (*s*) estimates of multiple continuous (*y*) and categorical (*u*) physical and psychological symptom measures. Moreover, and in an assessment of clinical utility, we linked observed symptom profiles to indices of what Lenz called 'performance.'<sup>37, 38</sup> In our case, we quantified associations between observed symptom profiles and 1-year clinical event-free survival (U), and adjusted these associations for the influence of a commonly-used HF risk prediction score (the Seattle HF Score (SHFS)).

# Study Design

This paper addresses a primary aim of a completed prospective cohort study investigating gender differences in physical and psychological symptoms among adults with moderate to advanced HF. Key aspects of the study design include a 1:1 female to male enrollment, and a sampling frame of patients with current heart failure symptoms. Formal inclusion criteria included; 1) being willing and able to provide informed consent, 2) being 21 years of age or greater, 3) having the ability to read and comprehend 5<sup>th</sup> grade English, 4) experiencing current HF symptoms (New York Heart Association (NYHA) functional classification of II-IV), 5) being on optimal HF treatment or having HF treatment optimized in the opinion of the treating HF cardiologist, and 6) receiving health services locally or by a referral practice. Patients were deemed ineligible if they had a diagnosis of major cognitive impairment (e.g. Alzheimer's disease) in the medical record, had a major and uncorrected visual impairment, or were unable to complete the study requirements including completing questionnaires written in English. Patients also were excluded if they had previously received heart transplantation or ventricular assist device implantation.

All patients were recruited through a single HF outpatient clinic in the Pacific Northwest that evaluates for and offers advanced HF therapies (e.g. ventricular assist devices and heart transplantation) between 2010 and 2012. Potential participants were approached for study participation immediately following a HF clinic visit. Written informed consent and HIPAA authorization were obtained from all interested participants by study staff not directly involved in patient care; the study was reviewed and approved by the appropriate academic institutional review board. Study participants completed a survey comprised of socio-demographic questions and physical and psychological symptoms measures in the clinic or returned the survey by mail. Review of the participant's electronic medical record occurred

at enrollment and one year following enrollment. Using the extensive referral network and linked electronic medical records and follow-up telephone calls to participants, details on clinical events that occurred during the year following symptom assessment were recorded. There was a 4.2% refusal rate for study participation and a 92% survey completion rate for recruited patients; results in this paper include only patients who completed the symptom survey at enrollment.

#### Measurement

Socio-demographics were assessed using a questionnaire that inquires about gender, age, marital/partnership status, ethnicity/race and employment. NYHA functional classification was assessed on the day of enrollment by attending HF cardiologists immediately prior to patient enrollment. Clinical and treatment characteristics, including last known left ventricular ejection fraction (LVEF), were collected during an in-depth review of participants' electronic medical record. Comorbidities were assessed during the electronic medical record review with the Charlson Comorbidity Index.<sup>39</sup> A list of 19 comorbid diseases were weighted and characterized as representing low (1–2), medium (3–4), and high (5 or more) comorbid burden. Symptom measures, described below, were chosen specifically to mitigate item overlap and because of the established and solid psychometric properties and frequent use in the study of HF.

# **Physical Symptoms**

Acute symptom distress was measured using the 18-item Heart Failure Somatic Perception Scale (HFSPS).<sup>40</sup> Based on the theory of unpleasant symptoms, the HFSPS asks how much the participant was bothered by 18 common physical HF symptoms. The six response options range from 0 (I did not have this symptom) to 5 (extremely bothersome). Theta reliability of the original HFSPS was 0.71–0.78.<sup>41</sup> Scores are calculated by summing responses; higher values on the HFSPS indicate worse physical symptom distress. The HFSPS was chosen over other HF symptoms measures<sup>9, 42</sup> because of the favorable and established psychometric properties and because it is solely a measure of physical (and not psychological) symptoms.

#### **Daytime Sleepiness**

Daytime sleepiness was measured using the 8-item Epworth Sleepiness Scale (ESS).<sup>43</sup> The ESS asks respondents to rate how likely they would be to doze off or fall asleep in 8 situations by choosing response options that range from 0 (would never doze) to 3 (high chance). Scores are calculated by summing responses and higher ESS scores indicate worse daytime sleepiness; a score of 10 indicates significant daytime sleepiness.<sup>43</sup>

#### Depression

Depression was measured using the 9-Item Patient Health Questionnaire (PHQ9).<sup>44</sup> The PHQ9 scores each of the 9 related DSM-IV criteria providing four response options ranging from 0 (not at all) to 3 (nearly every day); scores are calculated by summing responses. The PHQ9 has 88% sensitivity and specificity for major depression (score 10), which was the cutoff for depression used in this analysis.<sup>44</sup>

#### **Anxiety and Hostility**

Anxiety and hostility were measured using the 11-item Brief Symptom Inventory (BSI).<sup>45</sup> The BSI asks about respondents' feelings and provides five response options ranging from 0 (not at all) to 4 (extremely). Anxiety (5 items) and hostility (6 items) subscale scores (ranging from 0 to 4) are calculated by adding the ratings and dividing the total by the number of items in the subscale, with higher scores indicating higher distress.

# **Clinical Events**

Time to first all-cause mortality, hospitalization, emergency room admission, ventricular assist device implantation, and heart transplantation was assessed as a cumulative endpoint during the 365 days following enrollment. Clinical events and associated dates were extracted from the electronic medical record and/or assessed by contacting participants by telephone to inquire about events that may have occurred outside of the healthcare system and network of medical records.

#### **Statistical Analysis**

All analyses were performed using Stata/MP version 11.0 (StataCorp, College Station, TX) except where noted. Means and standard deviations (SD) and proportions were used to describe the sample. Cronbach's alpha was calculated as an index of internal consistency of symptom measures. Pearson's correlations were used to quantify linear associations between symptom measures; Bonferroni adjustments were applied to correct for multiple comparisons.

Latent class mixture modeling was used to identify distinct and common symptom profiles among categories of depression (PHQ9 10 vs. <10), and continuous measures of acute symptom distress (HFSPS score), daytime sleepiness (ESS score), anxiety (BSI anxiety score), and hostility (BSI hostility score) (performed with *Mplus* v.6, Los Angeles, CA). Latent class mixture modeling was chosen over deterministic alternatives to account for the mix of categorical and continuous indicators and to effectively quantify uncertainty in profile membership. Our approach to model specification was based on procedures explicated by Ram and colleagues.<sup>46</sup> The Lo-Mendell-Rubin adjusted likelihood ratio test,<sup>47</sup> convergence (model entropy near 1.0), the proportion of sample in each profile (not less than 5%), and posterior probabilities (average probability of belonging in "most likely" profile near 1.0) were used to compare alternative models (e.g. *k* vs. *k*-1 profiles).<sup>48, 49</sup> Differences in symptoms among profiles were quantified using analysis of variance or  $\chi^2$ tests.

Cox proportional hazards modeling was used in the analysis of time to first event. Hazard Ratios (HR) with 95% Confidence Intervals (CI) were calculated to quantify the influence of symptom profiles in explaining 1-year event-risk. The proportional hazards assumption was justified based on Schoenfeld residuals; the hazard function was constant over time. Model fit was assessed using the overall model  $\chi^2$  and by calculating Harrell's C statistic. To account for the influence of many other factors, the influence of symptom profiles on event-free survival was adjusted for the SHFS. The SHFS was calculated based on the original model developed by Levy and colleagues.<sup>22</sup> In brief, demographic (i.e. age, gender)

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objective clinical indices (i.e. ischemic etiology, NYHA functional class, left ventricular ejection fraction, systolic blood pressure, hemoglobin, % lymphocyte count, uric acid, sodium, cholesterol) and HF treatment (i.e. beta blocker, angiotensin converting enzyme inhibitor, allopurinol, diuretic dose, statin use, and device therapy) were multiplied by respective slope coefficients<sup>22</sup> to generate a single composite risk-prediction score that in this sample ranged from -0.16 to 3.34. Both unadjusted and adjusted estimates of the influence of observed symptom profile membership on 1-year event-free survival are presented. With a sample of 202, power of .80, alpha of 0.05, and 50% event rate, the minimal detectable HR assessed *a priori* was 1.50.

# Results

The sample (n=202) was predominantly male, Caucasian, and in middle adulthood (Table 1). Most participants were married and approximately 60% had NYHA class III or IV HF. The average LVEF was 28%; the median time from echocardiography until enrollment was 63 days. The average wedge pressure was approximately 19mm/Hg; the median time from right heart catheterization to enrollment was 9 days. Given the size of the standard deviations relative to mean values, there was considerable heterogeneity in all symptom measures. Cronbach's alpha of the symptom measures ranged from 0.80 (BSI hostility items) to 0.90 (HFSPS acute symptom distress).

#### Physical and Psychological Symptom Profiling

There were moderate to strong linear associations among all symptom measures (Table 2) indicating that physical and psychological symptoms are not independent. Non-parametric correlations (Spearman's rho) were comparable with similar levels of statistical significance (*data not shown*). Three distinct physical and psychological symptom profiles were identified (model entropy = 0.962; Lo-Mendell-Rubin test = 191.98, p=0.001). There was a graded increase in the severity of all symptom measures across the three profiles (Table 3). Moreover, there were significant differences in the proportions of patients meeting criteria for categories of depression and for excessive daytime sleepiness by profile. We labeled the three profiles according to overall symptom severity as "mild," "moderate," and "severe." The posterior probabilities for belonging in the most likely profile were 0.989, 0.999, and 0.997, respectively, for the severe, moderate, and mild profiles indicating very limited uncertainty in symptom profile membership.

#### Symptom Profiles and 1-Year Event-Free Survival

More than half of the sample (56.5%) had a clinical event during a mean follow-up time of  $240\pm141$  days until first event. Individual symptom measures were not associated with differences and in combination did not generate a model with statistically significance in predicting clinical event risk (Table 4). Both symptom profile membership and the SHFS independently predicted 1-year event-free survival (Table 5). Adjusting for the SHFS, patients in the moderate symptom profile were 82% more likely (p=0.023), and patients in the severe symptom profile were more than twice as likely (p=0.004) to have a clinical event within one year compared with patients in the mild symptom profile (Table 5; Figure 2).

# Discussion

In this prospective cohort study of 202 adults with symptomatic HF, we found strong associations among all measures of symptoms, indicating that physical and psychological symptoms are not independent in HF. Moreover, we identified three common and distinct profiles that capture a clinically-intuitive gradient of both physical and psychological symptom burden. This is the first study to identify unique patterns among both physical and psychological and psychological symptoms using multiple, reliable, valid, and symptom-specific measures in HF. Importantly, adjusting for the SHFS the observed symptom profiles were associated with large differences in 1-year event-free survival. Hence, these results serve as preliminary evidence that profiles among physical and psychological symptoms provide additive and complementary information to a commonly-used HF risk prediction model that is based largely on objective clinical data.

Patterns among physical symptoms in HF have been identified previously. For example, Song and colleagues<sup>50</sup> used a single measure of symptoms (Memorial Symptom Assessment Scale-HF) to identify a physical symptom cluster centered on dyspnea and another centered on lack of energy and difficulty sleeping in a South Korean sample. Importantly, Song and colleagues indicated that the omission of psychological symptoms was a limitation to their HF symptom clustering approach. Hertzog and colleagues<sup>51</sup> also used a single measure of symptoms (investigator developed) to identify three physical symptom profiles. Of particular note, the frequency of depression was not statistically different across the three physical symptom clusters.<sup>51</sup> Our results provide evidence that physical symptoms should not be considered independent from psychological symptoms in HF. Jurgens et al.<sup>52</sup> and Lee and colleagues<sup>53</sup> identified symptom clusters using selected Minnesota Living with Heart Failure Questionnaire items. A benefit to that approach is the ability to incorporate psychological factors into symptom clustering. A limitation of extracting symptoms from inventories like the Minnesota Questionnaire or Memorial Assessment, however, is that single items don't necessarily reflect the symptom construct of interest and can interject measurement bias.<sup>54, 55</sup> Our study builds upon these prior findings by including both physical and psychological symptoms into patient profiling, and by our use of measures that were designed specifically for the reliable and valid measurement thereof, not by extracting single items from a symptom inventory.

Associations between HF symptom profiles and event-free survival have also been published previously. Specifically, Song and colleagues<sup>50</sup> identified two physical symptom clusters that were predictive of a gradient in clinical event-risk, and Lee and colleagues<sup>53</sup> identified and emotional/cognitive symptom cluster in which total symptom distress was predictive of, and a physical symptom cluster in which symptom distress was not independently associated with, event-free survival. Song and colleagues<sup>50</sup> adjusted the relationship between symptom clusters and event-free survival for several clinical factors, and Lee and colleagues<sup>53</sup> controlled for five demographic, anthropometric, and clinical factors. Our approach builds upon the findings of our colleagues in that we adjusted our estimates of event hazard for a well-known risk prediction composite score that has been validated in many HF populations and is used clinically for prognostication.<sup>56–62</sup> Thus, our findings provide preliminary evidence that physical and psychological symptoms profiles,

and not the individual symptom measures themselves, provide independent and additive information about event-risk than commonly used prognostication methods.

# **Clinical Implications and Directions for Future Research**

In understanding the interdependence of physical and psychological symptoms, clinicians may both anticipate and allocate additional resources, such as social work or palliative care services, more effectively. The most clinically relevant application of our findings comes from imagining three hypothetical patients with identical Seattle scores, meaning that they are assessed as having the same prognostication based on common demographic and clinical HF metrics and treatment. The first patient has mild physical and mild psychological symptoms that would likely fall under our threshold for much clinical concern. The second patient has moderate physical and psychological symptoms and is 80% more likely than the first to have a clinical event requiring hospitalization, advanced therapies or worse in the following year. It is likely that case that the validated measures used in this study are not necessary to detect such symptomatology. Instead, this profile is clinically intuitive and may be detected during routine assessment and physical examination. The third patient has severe physical and psychological symptoms and is twice as likely as the first to have a significant clinical event in the coming year. This profile likely reflects the archetypal HF patient that raises our clinical suspicion without the objective data to validate our concern and otherwise indicate a patient at high risk for clinical events.

Given the complexity of HF and the treatment thereof, symptom profiling may facilitate a reasonable balance between individualized and standardized care to improve survival. That is, fitting the severe symptom profile would likely trigger more intensive medical titration or earlier initiation of advanced therapies, prompt a tailored assessment of barriers to effective self-care, and result in greater resource allocation to reduce symptom burden and prevent unnecessary hospitalization. In contrast, fitting the mild symptom profile would likely delay consideration of advanced therapies and treatment would be more standardized and tailored to optimize self-care.

Future research is needed to: a) validate profiling of physical and psychological symptoms, b) quantify relationships between symptom profiles and additional outcomes such as selfcare behaviors, c) identify determinants of symptom profile membership, and d) determine the stability of symptoms profiles over time. Moser and colleagues<sup>63</sup> recently argued that symptom variability predicts event-free survival in HF and not symptom severity. Thus, identifying trajectories of change in physical and psychological stymptoms over time seems like the best next step in evaluating the utility of HF symptom profiling. Additional research is also needed to test interventions that tailor disease management strategies according to physical and psychological symptom profiling.

# Strengths and Limitations

The approach chosen for this study has several strengths. First, there are no prior studies that use multiple and domain-specific measures of both physical and psychological symptoms as the basis of symptom profiling in HF. Second, latent class mixture modeling was used to identify common and distinct profiles to effectively handle continuous and categorical

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measures and quantify uncertainty in profile membership. In our case, there was extremely limited uncertainty in symptom profile membership. Third, it is rare to study a group of HF patients that is representative of the even gender distribution of the HF population; 50% of our sample was female by design, Finally, our estimates of the relationship between symptom profiles and event-free survival were adjusted to reflect the influence of other demographic, clinical, and treatment factors known to contribute the risk of clinical events.

Beyond limitations that are common among cross-sectional studies, several potential limitations to this study must also be acknowledged. First, the temporal relationship between physical and psychological symptoms cannot be quantified using this analytic approach. Future longitudinal studies may refute or confirm the nature of physical and psychological symptoms in HF over time. Second, we did not seek to identify determinants of membership in a particular symptom profile over the others; this will be the focus of our future work and the work of others. Finally, this research was designed to study adults with symptomatic HF. The relatively young age, low comorbid burden, and moderate to advanced functional class of this sample may make these findings difficult to compare with results of other HF studies.

# Conclusion

Physical and psychological symptoms occur concomitantly among adults with moderate to advanced HF. Three physical and psychological symptom profiles captured a gradient of symptom severity and 1-year event-free survival. Physical and psychological symptom profiles may be useful in identifying adults with HF who are at the greatest risk of poor clinical outcomes, should be the focus of additional clinical research, and may serve as the target of future tailored interventions.

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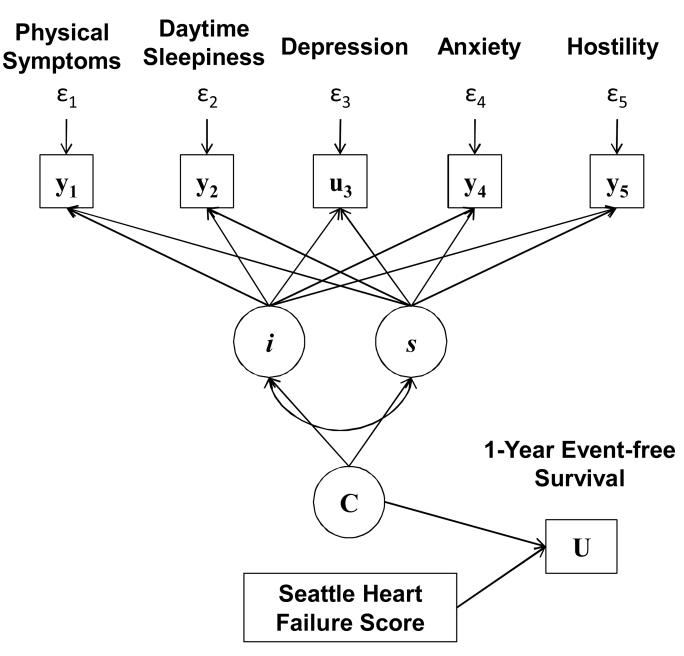
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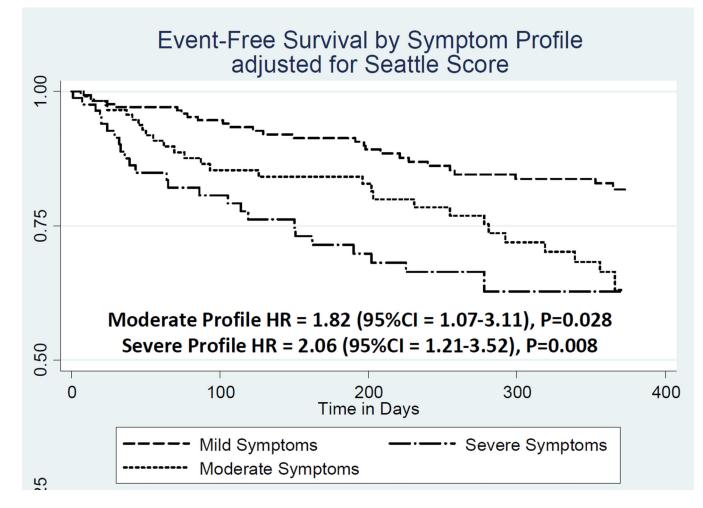
# What is New?

- Physical and psychological symptoms occur concomitantly in heart failure and should not be considered independently.
- Profiles of physical and psychological symptoms in heart failure capture a gradient of symptom burden and 1-year event-free survival controlling for the Seattle Heart Failure Score.
- Symptom profiling may be useful in identifying adults with HF who are at the greatest risk of poor patient-oriented and clinical outcomes.



## Figure 1. Model of Physical and Psychological Symptoms in Heart Failure

*Note:* Latent class mixture model identifying latent symptom profiles (C) based on the intercepts (i) and slope (s) estimates of continuous (y) and categorical (u) physical and psychological symptom measures, and predicting 1-year clinical event-free survival (U) controlling for the influence of Seattle Heart Failure Score.



# Figure 2. Heart Failure Symptom Profiles and 1-Year Event-Free Survival

**Note**: Composite risk of first event (all-cause mortality, hospitalization, emergency room admission, ventricular assist device implantation, or heart transplantation), compared with the mild symptom profile.

Abbreviations: CI = confidence interval; HR = adjusted hazards ratio; Seattle Score = Seattle Heart Failure Score.

#### Characteristics of the Sample (N=202)

Patient Characteristics:	Mean±SD or n (%)
Age (years)	56.9±13.3
Female	101 (50.0)
Caucasian	173 (85.6)
Body Mass Index (kg/m <sup>2</sup> )	30.7±7.4
Retired or on Disability due to Heart Failure	92 (45.5)
Charlson Comorbidity Category:	
Score of 1 or 2 (low)	124 (61.4)
Score of 3 or 4 (medium)	66 (32.7)
Score of 5 or more (high)	12 (5.9)
Heart Failure Characteristics:	
Left Ventricular Ejection Fraction (%)	28.6±12.4
NYHA Functional Class:	
Class II	81 (40.1)
Class III	113 (55.9)
Class IV	8 (4.0)
Serum sodium (mEq/L)	137.5±3.2
Serum hematocrit (%)	38.3±5.9
Serum BUN-to-creatinine ratio (mg/dL:1)	20.3±10.3
Prescribed a $\beta$ -blocker (%)	183 (90.6)
Prescribed an ACE or ARB (%)	162 (80.2)
Prescribed an aldosterone antagonist (%)	86 (42.6)
Last Known Cardiac Index (L/min/m <sup>2</sup> )	2.1±0.5
Last Known PCWP (mm/Hg)	18.9±8.8
Symptoms:	
HFSPS Score	24.3±16.0
ESS Score	8.2±4.9
Significant Daytime Sleepiness	69 (34.2)
PHQ9 Score	6.9±5.8
Moderate or Greater Depression	53 (26.2)
BSI Anxiety Score	0.52±0.59
BSI Hostility Score	0.41±0.53
Event Risk Prediction:	
Seattle Heart Failure Score	$1.8 \pm 0.74$

*Abbreviations:* ACE = angiotensin converting enzyme; ARB = angiotensin receptor blocker, BSI = Brief Symptom Inventory; BUN = blood urea nitrogen; ESS = Epworth Sleepiness Scale; HFSPS = Heart Failure Somatic Perception Scale; NYHA = New York Heart Association; PCWP = pulmonary capillary wedge pressure; PHQ9 = Patient Health Questionnaire 9 Items, SD = standard deviation.

Linear Associations among Symptom Indices (n=202)

	HFSPS	ESS	PHQ9	BSI Anxiety
ESS	$0.300^{\dagger}$	-	-	-
PHQ9	$0.531^{\dagger}$	$0.489^{\dagger}$	-	-
BSI Anxiety	$0.513^{\dagger}$	$0.271^{\dagger}$	$0.656^{\dagger}$	-
BSI Hostility	$0.387^{\dagger}$	$0.278^{\dagger}$	$0.662^{\dagger}$	$0.650^{\dagger}$

 $\dot{f}$  p < 0.001 with Bonferroni correction for multiple comparisons

Abbreviations: BSI = Brief Symptom Inventory; ESS = Epworth Sleepiness Scale; HFSPS = Heart Failure Somatic Perception Scale; PHQ9 = Patient Health Questionnaire 9 Items.

Physical and Psychological Symptoms by Profile (N=202)

	Mild (41.7%)	Moderate (30.2%)	Severe (28.1%)	F or $\chi^2$
HFSPS	9.5±4.7	24.6±5.0	45.3±10.1	456.0 <sup>†</sup>
ESS	6.2±4.3	9.5±5.0	9.7±4.9	$12.0^{\dagger}$
Excessive Sleepiness	18.8%	43.1%	42.6%	12.3 <sup>†</sup>
PHQ9	3.1±3.1	8.6±5.2	10.5±6.4	42.7 <sup>†</sup>
Mild Depression	5.0%	34.5%	48.2%	34.3 <sup>†</sup>
BSI Anxiety	0.23±0.34	$0.58\pm0.50$	$0.85 \pm 0.66$	$26.9^{\dagger}$
BSI Hostility	0.17±0.24	0.54±0.60	0.61±0.59	$62.1^{\dagger}$

Note: results are presented in means  $\pm$  standard deviations

 $^{\dagger}p\!<\!0.001$  for all comparisons by analysis of variance or  $\chi^2$ 

*Abbreviations*: BSI = Brief Symptom Inventory; ESS = Epworth Sleepiness Scale; HFSPS = Heart Failure Somatic Perception Scale; PHQ9 = Patient Health Questionnaire 9 Items

Symptom Measures and 1-Year Event-Free Survival (N=202)

Hazard Ratio (95%CI), p-value
1.01 (0.99–1.03); p=0.092
0.99 (0.93-1.04); p=0.650
1.02 (0.96–1.09); p=0.576
0.90 (0.49-1.64); p=0.721
1.05 (0.54–2.03); p=0.889
6.08, p=0.299
0.590

Abbreviations: BSI = Brief Symptom Inventory; CI = Confidence Interval; ESS = Epworth Sleepiness Scale; HFSPS = Heart Failure Somatic Perception Scale; PHQ9 = Patient Health Questionnaire 9 Items.

# Symptom Profiles and 1-Year Event-Free Survival (N=202)

	Symptom Profiles	Seattle Heart Failure Score	Symptom Profiles + Seattle Heart Failure Score	
	HR (95% CI), p-value	HR (95% CI), p-value	HR (95% CI), p-value	
Moderate Symptom Profile <sup>†</sup>	1.86 (1.09–3.18); p=0.023	-	1.82 (1.07–3.11); p=0.028	
Severe Symptom Profile $^{\dagger}$	2.18 (1.28-3.70); p=0.004	-	2.06 (1.21-3.52); p=0.001	
Seattle Heart Failure Score	-	1.65 (1.24–2.19); p=0.001	1.62 (1.21–2.18); p=0.001	
Model χ <sup>2</sup>	9.66, p=0.008	12.29, p<0.001	20.25, p<0.001	
Harrell's C	0.590	0.617	0.654	

 $^{\dot{7}}\ensuremath{\text{the mild symptoms profile is the referent group.}}$ 

Abbreviations: CI = confidence interval; HR = hazard ratio.