



ORIGINAL ARTICLE

# Serum Homocysteine and Folate Levels are Associated With Late-life Dementia in a Korean Population

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**KEY WORDS:**

dementia;  
folate;  
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vitamin B<sub>12</sub>

**Abstract Objectives**

We aimed to determine whether serum levels of homocysteine (Hcy) and its biological determinants, folate and vitamin B<sub>12</sub>, are related to cognitive decline in elderly people.

**Methods**

The concentrations of total Hcy, folate, and vitamin B<sub>12</sub> were measured in serum samples from 424 cognitively normal controls, 382 mild cognitive impairment patients, and 56 dementia patients from Ansan Geriatric cohort. The Consortium to Establish a Registry for Alzheimer's Disease (CERAD) neuropsychological battery was used to evaluate cognitive functions.

**Results**

The dementia patients had higher serum Hcy (dementia,  $17.6 \pm 6.9$   $\mu\text{mol/L}$ ; control,  $12.9 \pm 5.0$   $\mu\text{mol/L}$ ;  $p < 0.001$ ) and lower serum folate (dementia,  $7.9 \pm 4.8$   $\text{ng/mL}$ ; control,  $10.0 \pm 7.1$   $\text{ng/mL}$ ;  $p = 0.034$ ) levels compared with controls. There was an inverse relationship between Hcy levels and serum folate or vitamin B<sub>12</sub> concentrations. The cognitive status as measured by the (CERAD) score was inversely related to Hcy levels. The adjusted odds ratio of dementia was 5.18 (95% confidence interval: 1.91–14.10;  $p = 0.001$ ) for moderate ( $30 \geq \text{Hcy} > 15$ ) hyperhomocysteinemia compared with normal Hcy levels ( $\leq 15$   $\mu\text{mol/L}$ ). In addition, there was weak association between low serum folate ( $< 3.0$   $\text{ng/mL}$ ) and the risk for dementia (crude odds ratio = 3.68; 95% confidence interval: 1.07–12.69;  $p = 0.039$ ).

**Conclusion**

Elevated serum Hcy and decreased serum folate concentrations are associated with the risk of dementia in Korean elders.

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## 1. Introduction

Memory loss, dementia, and Alzheimer's disease (AD) are major public health concerns worldwide, especially with the rapid increase in life expectancy in recent years. Late-life dementia is characterized by a progressive loss of memory and intellectual ability, also known as cognitive function. With the goal of finding effective strategies for prevention and treatment of cognitive impairment with aging, many researchers are now focusing on finding risk factors and biochemical markers that are associated with late-life dementia.

Folate, vitamin B<sub>12</sub>, and homocysteine (Hcy) are involved in one-carbon transfer reactions essential for the maintenance of normal metabolic functions in the brain. Hcy is derived from the essential amino acid methionine through demethylation and can be remethylated to methionine. Its metabolism depends on the B vitamins cobalamin (vitamin B<sub>12</sub>), pyridoxine (vitamin B<sub>6</sub>), and folic acid (vitamin B<sub>9</sub>).<sup>1</sup> Low levels of these vitamins, mutations of the 5,10-methylenetetrahydrofolate reductase gene, and renal function deficiency are associated with increased homocysteinemia.<sup>2</sup> High Hcy suppresses cellular levels of S-adenosylmethionine and S-adenosylhomocysteine, interrupting the methylation of some functional proteins and genes. The mechanism of how hyperhomocysteinemia causes its neurotoxic effects is not fully understood.<sup>3</sup>

Hcy has been identified as a peripheral marker directly relevant to oxidative stress that reflects neuropathy and vascular dysfunction.<sup>4,5</sup> Epidemiology and clinical studies have demonstrated a positive correlation between elevated blood Hcy and the occurrences of dementia and AD; thus, hyperhomocysteinemia has been proposed to be a strong and independent risk factor for dementia.<sup>6–8</sup> Other studies, however, have found no association between plasma Hcy levels and AD.<sup>9</sup> Hyperhomocysteinemia has been identified in other neurological disorders, including Parkinson's disease<sup>10</sup> and brain atrophy.<sup>11</sup>

Folate is required in the synthesis of S-adenosylmethionine, a methyl donor for many important brain biomolecules such as phospholipids, guanidinoacetate, neurotransmitters, amino acids, and nucleic acids.<sup>1</sup> Among other B vitamins, folate has received much attention recently because its low serum level is found to be closely associated with structural and functional abnormalities in the brain. Low serum folate levels have been related to atrophy of the cerebral cortex,<sup>12</sup> dementia,<sup>13</sup> and cerebrovascular diseases.<sup>14</sup>

Hcy accumulates in folate- and vitamin B<sub>12</sub>-deficient patients. Reductions in Hcy levels can be achieved with high doses of folic acid, vitamin B<sub>12</sub>, and vitamin B<sub>6</sub> in the general population<sup>15</sup> and in individuals with AD.<sup>16</sup> Supplementation with folate has resulted in a positive effect on cognitive functions and memory deficits.<sup>17,18</sup>

Therefore, hyperhomocysteinemia and deficiencies in folate and vitamin B<sub>12</sub> may contribute to the pathogenesis of cognitive impairment. However, a recent clinical trial reported that the regimen of high-dose B vitamin supplements was effective in reducing Hcy but did not slow cognitive decline in individuals with mild to moderate AD.<sup>19</sup> Thus, it is important to reveal whether there are relationships between cognition function, levels of Hcy, folate, and vitamin B<sub>12</sub>, and dementia, because they could plausibly represent a disease-modifying intervention in dementia.

In the present study, we analysed serum samples of 862 participants from Ansan Geriatric cohort to determine whether serum levels of Hcy, folate, and vitamin B<sub>12</sub> are related to cognitive functioning and the risk for dementia.

## 2. Material and Methods

Participants aged 60 years or older were enrolled in the Ansan Geriatric cohort study at the Geriatric Health Clinic and Research Institute, Korea University Medical Center, Ansan City, South Korea. A total of 862 participants were recruited from April 2006 to January 2008 and were evaluated by clinical and neuropsychological examinations after full medical history and physical assessments.<sup>20</sup>

Written informed consent was obtained from all subjects after the nature of the study and its procedures had been explained. The research protocols were reviewed and approved by the institutional review boards of the Korea Centers for Disease Control and Prevention and the Medical College of Korea University.

Cognitive functioning and memory impairments of subjects were assessed using a Korean version of the Consortium to Establish a Registry for Alzheimer's Disease (CERAD) neuropsychological battery,<sup>21</sup> which included the following tests: Word Fluency Test for animal categories, the 15-item Korean version of the Boston Naming Test, the Korean version of the minimal state examination (MMSE), Word List Memory test, Construction Praxis test, Word Delayed Recall, Word Recognition, and Construction Recall. The total CERAD score was used as an index for the overall cognition level of the participants.<sup>22</sup> All participants were clinically evaluated according to published guidelines, and each dementia patient met the criteria for the Diagnostic and Statistical Manual of Mental Disorders, fourth edition.<sup>23</sup> Mild cognitive impairment (MCI) was diagnosed based on the Mayo Clinic criteria.<sup>24</sup>

Blood samples were collected in serum separator tube II and incubated for 30–45 minutes at room temperature to allow clotting. The serum was then separated by centrifugation, kept in a refrigerator (–4°C), and analysed within 1 day. Serum Hcy concentrations were measured

by a competitive immunoassay using a direct chemiluminescence method (ADVIA Centaur<sup>®</sup> immunoassay system, Bayer Healthcare, Tarrytown, NY, USA).<sup>25</sup> Serum folate and vitamin B<sub>12</sub> concentrations were determined by competitive binding assays using a Simul TRAC-SNB radioimmunoassay kit (ICN Biomedicals, Ausora, Ohio, USA).

Statistical analysis of the mean values of different variables from AD, MCI, and control groups was performed by analysis of covariance using a general linear model. A  $\chi^2$  test was used to compare the frequencies of ApoE4 carriers and male:female ratios among AD, MCI, and control groups. Associations between CERAD scores and Hcy, folate, and vitamin B<sub>12</sub> serum levels were evaluated using Pearson's correlation analysis. Because age, gender, and duration of education were significantly different in dementia and controls, these variables were adjusted. Multivariable-adjusted logistic regression analysis was conducted to examine the odds ratio (OR) for dementia across the range of Hcy and folate levels. Covariate variables were age, gender, and duration of education, which were potential confounding factors for cognitive function. Statistical significance was considered at  $p < 0.05$ . SAS software release 9.1 (SAS Institute Inc., Cary, NC, USA) was used for the statistical analysis.

### 3. Results

Demographic characteristics of the study subjects are shown in Table 1. Of the 862 elderly Koreans examined, 382 (44.3%) had MCI and 56 (6.5%) were dementia patients. The dementia patients were older and less educated than the controls. There was a significant difference in the male:female ratio among the three diagnostic groups. The average age of the total participants was  $71.1 \pm 5.2$  years, and 56.0% of them were female. Serum folate, vitamin B<sub>12</sub>, and Hcy levels were measured in all 862 subjects. Apolipoprotein E (ApoE)

genotype data were available for 807 (93.6%) participants, and the CERAD score was available for 805 (93.4%).

We found significantly increased Hcy ( $17.6 \pm 6.9$   $\mu\text{mol/L}$ ) serum concentrations in dementia patients compared with healthy controls ( $13.0 \pm 5.1$   $\mu\text{mol/L}$ ,  $p < 0.001$ ) or MCI patients ( $13.3 \pm 4.8$   $\mu\text{mol/L}$ ,  $p < 0.001$ ) and no significant difference between MCI and controls ( $p = 0.375$ ). The differences remained significant after adjusting for age, gender, and duration of education.

The serum folate and vitamin B<sub>12</sub> levels were significantly lower in dementia patients compared with controls with adjusted  $p$  values of 0.05 for folate and 0.01 for vitamin B<sub>12</sub>. The folate and vitamin B<sub>12</sub> levels of the MCI group were lower than those of the controls and were without statistical significance. However, vitamin B<sub>12</sub> levels of dementia patients were significantly lower than those of the MCI group ( $p < 0.001$ ).

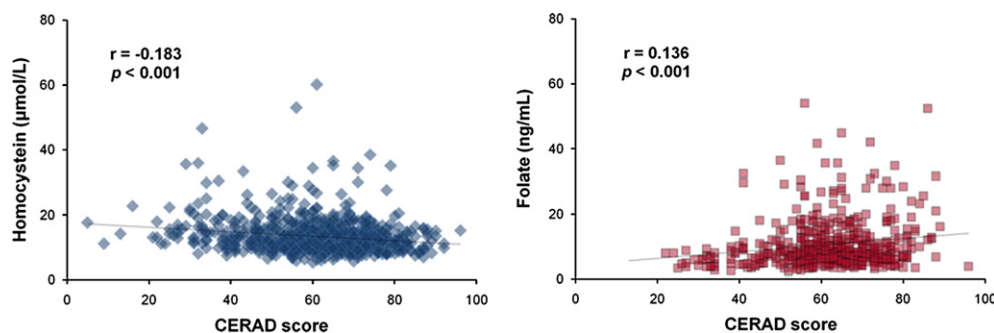
Mean MMSE and CERAD scores were highest in controls and lowest in dementia patients. Serum levels of Hcy were inversely correlated with the CERAD score ( $r = -0.183$ ;  $p < 0.001$ ), and folate levels were positively correlated with CERAD score ( $r = 0.136$ ;  $p < 0.001$ ) (Figure 1). Multiple regression analysis after adjustment for age and gender showed that serum levels of vitamin B<sub>12</sub> and folate are associated with CERAD as well as MMSE scores ( $p < 0.01$ ). There was an inverse correlation between serum Hcy and folate levels ( $r = -0.227$ ,  $p < 0.001$ , adjusted for age, gender, and education).

None of the subjects in this study had severe hyperhomocysteinemia (Hcy  $> 100$   $\mu\text{mol/L}$ ); therefore, the subjects were classified into three groups according to serum Hcy concentrations: intermediate ( $>30$   $\mu\text{mol/L}$ ), moderate ( $30 \geq \text{Hcy} > 15$ ), and normal ( $\leq 15$ ) hyperhomocysteinemias.<sup>26</sup> The folate levels were categorized as low ( $<3.0$  ng/mL), normal ( $3.0 \leq \text{folate} < 17.0$ ), and high ( $\geq 17.0$ ) groups<sup>27</sup> as shown in Table 2.

**Table 1** Characteristics of the study subjects

Characteristics	Control	MCI	Dementia	$p$ value
<i>N</i>	424	382	56	
Age (yr)	$69.4 \pm 4.5$	$72.6 \pm 5.1$	$74.6 \pm 5.6$	$<0.001$
Male (%)	53	37	18	$<0.001$
Education (yr)	$10.1 \pm 4.4$	$5.1 \pm 4.3$	$2.5 \pm 3.7$	$<0.001$
Homocysteine ( $\mu\text{mol/L}$ )	$13.0 \pm 5.1$	$13.3 \pm 4.8$	$17.6 \pm 6.9$	$<0.001$
Folate (ng/mL)	$10.0 \pm 7.2$	$8.9 \pm 6.1$	$7.9 \pm 4.8$	0.010
Vitamin B <sub>12</sub> (pg/mL)	$713.0 \pm 313.4$	$705.2 \pm 295.4$	$586.4 \pm 237.7$	0.012
ApoE4-allele positive (%)	16.5	17.3	20.4	0.765
MMSE score	$27.4 \pm 2.3$	$25.1 \pm 3.0$	$16.3 \pm 5.4$	$<0.001$
CERAD score	$69.8 \pm 8.6$	$55.4 \pm 10.1$	$33.2 \pm 10.7$	$<0.001$

Values are mean  $\pm$  SD. The  $p$  values were calculated using analysis of covariance or  $\chi^2$  test (male/female, ApoE4 allele). Bold values are  $p < 0.05$ . MCI = mild cognitive impairment; MMSE = mini-mental state examination; CERAD = Consortium to Establish a Registry for Alzheimer's Disease; SD = standard deviation.



**Figure 1** Correlation between CERAD score and Hcy or folate concentrations. CERAD = Consortium to Establish a Registry for Alzheimer's Disease; Hcy = homocysteine.

A total of 192 subjects (22.3%) had moderate and 17 (1.9%) had intermediate hyperhomocysteinemia. The group of subjects with hyperhomocysteinemia consisted of a higher percentage of males, were older, had lower levels of folate and vitamin B<sub>12</sub>, and had a higher prevalence of dementia ( $p < 0.001$ ) but not of MCI. Only about 3% (26 subjects) had low folate levels but had higher prevalence of dementia and MCI. Because depression is frequently accompanied by cognitive impairment in elders, we assessed the depressive symptoms of the study participants using a Korean version of the 30-item Geriatric Depression Scale. The presence of depressive symptoms did not affect serum Hcy, folate, or vitamin B<sub>12</sub> concentrations in our study subjects.

To assess the risk of dementia according to serum Hcy and folate concentrations, multivariable logistic regression analysis was performed. Because age, gender, duration of education, and levels of Hcy, folate, vitamin B<sub>12</sub> were significantly different in dementia and controls, these variables were included as covariates (Table 3). Moderate hyperhomocysteinemia ( $30 \mu\text{mol/L} \leq \text{Hcy} < 15 \mu\text{mol/L}$ ) showed an increased risk for

dementia with a crude OR of 4.56. After adjusting for covariates, the OR was increased to 5.18 ( $p = 0.001$ ). The intermediate hyperhomocysteinemia ( $>30 \mu\text{mol/L}$ ) diagnosed in 1.9% (17 subjects) of the participants had much higher risk for dementia (adjusted OR = 15.59,  $p = 0.007$ ). Furthermore, low levels of serum folate ( $<3.0 \text{ ng/mL}$ ) had an increased risk for dementia (crude OR = 3.68,  $p = 0.039$ ), but adjusted OR was not significant. High folate levels ( $\geq 17.0 \text{ ng/mL}$ ) did not show a protective effect. With respect to associations with MCI, the high folate levels showed protective effect against MCI (crude OR = 0.63,  $p = 0.045$ ), but the effect was not significant after adjusting for the confounding factors ( $p = 0.312$ ). The Hcy levels were not associated with the risk for MCI.

#### 4. Discussion

The main outcome of this cross-sectional study was the significant differences in serum Hcy, folate, and vitamin B<sub>12</sub> concentrations between dementia patients and healthy controls. In addition, elevated Hcy and decreased folate levels were correlated with a decrease

**Table 2** Characteristics of the study subjects according to serum homocysteine and folate concentrations

Variables	Homocysteine ( $\mu\text{mol/L}$ )				Folate ( $\text{ng/mL}$ )			
	Hcy $\leq 15$	$15 < \text{Hcy} \leq 30$	$30 < \text{Hcy}$	<i>p</i>	Fol $< 3.0$	$3.0 \leq \text{Fol} < 17.0$	$17.0 \leq \text{Fol}$	<i>p</i>
<i>N</i>	653	192	17		26	746	90	
Age	$70.6 \pm 4.9$	$72.8 \pm 5.6$	$73.8 \pm 5.2$	$<0.001$	$72.6 \pm 5.0$	$71.1 \pm 5.2$	$70.9 \pm 5.0$	0.314
Male/female	247/406	120/72	12/5	$<0.001$	20/6	323/423	36/54	0.002
Education	$7.4 \pm 5.1$	$7.5 \pm 5.1$	$8.1 \pm 5.5$	0.815	$7.6 \pm 4.6$	$7.2 \pm 5.1$	$8.7 \pm 5.4$	0.028
Folate	$10.3 \pm 6.9$	$6.6 \pm 4.2$	$4.5 \pm 3.9$	$<0.001$	$2.5 \pm 0.3$	$7.7 \pm 3.1$	$24.8 \pm 7.9$	$<0.001$
Vitamin B <sub>12</sub>	$738.0 \pm 299.8$	$599.2 \pm 283.1$	$446.4 \pm 226.7$	$<0.001$	$531.6 \pm 294.3$	$689.3 \pm 280.5$	$850.2 \pm 411.2$	$<0.001$
Hcy	$11.3 \pm 2.1$	$18.5 \pm 3.1$	$37.7 \pm 8.1$	$<0.001$	$23.7 \pm 9.8$	$13.4 \pm 4.7$	$11.0 \pm 3.6$	$<0.001$
MCI	297	79	6	0.973	14	336	32	0.040
Dementia	24	28	4	$<0.001$	4	49	3	0.020
CERAD score	$62.5 \pm 13.0$	$57.0 \pm 15.7$	$55.3 \pm 16.6$	$<0.001$	$53.8 \pm 14.8$	$60.9 \pm 14.0$	$64.6 \pm 11.9$	0.002

The *p* values were calculated using analysis of covariance or  $\chi^2$  test. Bold values are  $p < 0.05$ .

The concentration ranges for Hcy and folate were set according to Refs 26 and 27, respectively.

Hcy = homocysteine; Fol = folate; MCI = mild cognitive impairment; CERAD = Consortium to Establish a Registry for Alzheimer's Disease.

**Table 3** Odds ratios and 95% confidence interval for dementia and mild cognitive impairment according to serum homocysteine ( $\mu\text{mol/L}$ ) and folate ( $\text{ng/mL}$ ) concentrations

Models	$15 < \text{Hcy} \leq 30$	$30 < \text{Hcy}$	Folate $< 3.0$	$17.0 \leq \text{Folate}$
<b>Dementia</b>				
Unadjusted	4.56 (2.51–8.26)	7.91 (2.16–28.90)	3.68 (1.07–12.69)	0.40 (0.12–1.33)
Model 1	6.38 (2.44–16.72)	24.35 (3.70–160.20)	6.13 (1.02–36.64)	0.44 (0.10–1.94)
Model 2	5.18 (1.91–14.10)	15.59 (2.11–115.04)	2.34 (0.38–14.62)	0.77 (0.16–3.73)
<b>MCI</b>				
Unadjusted	1.04 (0.74–1.47)	0.96 (0.32–2.88)	1.88 (0.78–4.54)	0.63 (0.39–0.99)
Model 1	0.89 (0.57–1.38)	0.48 (0.12–1.88)	2.13 (0.74–6.12)	0.77 (0.44–1.35)
Model 3	0.82 (0.52–1.30)	0.43 (0.11–1.73)	2.47 (0.80–7.63)	0.74 (0.42–1.32)

Model 1: adjusted for age, gender, and years of education. Model 2: adjusted for age, gender, years of education, vitamin B<sub>12</sub>, and folate (or homocysteine).

The reference concentrations were  $\text{Hcy} \leq 15 \mu\text{mol/L}$  and  $3.0 \text{ ng/mL} \leq \text{Folate} < 17.0 \text{ ng/mL}$ . Bold values are  $p < 0.05$ .

MCI = mild cognitive impairment; Hcy = homocysteine.

in cognitive functioning as measured by CERAD and MMSE scores. Hcy concentrations higher than  $15 \mu\text{mol/L}$  increased the risk of dementia by 5.7 times the Hcy level of  $15 \mu\text{mol/L}$  or lower (adjusted OR = 5.69; 95% confidence interval: 2.13–15.22;  $p < 0.001$ ).

In accordance with previous studies, our results showed that serum Hcy levels increased with age and were higher in males than in females and that Hcy and folate levels are associated with cognitive status or risk for dementia.<sup>6,8</sup> A similar study was reported from a prospective Kwangju community survey, which showed that incident dementia is strongly associated with lower folate and vitamin B<sub>12</sub> concentrations and higher Hcy concentrations in Korean elderly people.<sup>28</sup> However, some studies observed no relationship between Hcy levels and cognitive impairment.<sup>9</sup> Among community-dwelling elderly subjects aged 55 years and older from the population-based Rotterdam study in the Netherlands, no relationship was found between Hcy levels and concurrent cognitive impairments, as assessed by MMSE, or subsequent cognitive decline over 2.7 years.<sup>29</sup>

The association between elevated Hcy levels and dementia may be explained by several mechanisms, including neurotoxic effect of Hcy. A number of findings demonstrate that the central nervous system is acutely sensitive to Hcy, probably because of its stimulating effects on calcium influx and promotion of glutamate excitotoxicity as well as its role in reactive oxygen species-mediated insults.<sup>2</sup> A recent animal study showed that hyperhomocysteinemia could increase beta amyloid production through the enhanced expression of  $\gamma$ -secretase complex and amyloid precursor protein phosphorylation, causing memory deficits that could be rescued by folate and vitamin B<sub>12</sub> treatment<sup>30</sup> suggesting a plausible link between Hcy and the pathogenesis of AD. Although the generation and toxicity of Hcy are not completely understood, it might be beneficial to use Hcy as a biomarker for cognitive decline.

High Hcy has been correlated with decreased brain volume. A recent clinical trial showed that the supplementation of high-dose B vitamins to MCI patients for 2 years reduced Hcy levels and had beneficial effects on brain shrinkage.<sup>31</sup> An interesting observation was that B vitamin treatment slowed the rate of brain atrophy and memory decline only in the group with initial Hcy level higher than  $13 \mu\text{mol/L}$  (top quartile) but not in the group with the initial Hcy level below  $9.5 \mu\text{mol/L}$  (lowest quartile). The results suggest that the treatment with Hcy-lowering B vitamins could be an effective way of delaying onset of dementia for those with moderate hyperhomocysteinemia.

Numerous studies have shown that the elevated blood Hcy is associated with impaired cognition and a higher risk of dementia.<sup>5–8</sup> In this study, we focused on comparing the serum Hcy, folate, and vitamin B<sub>12</sub> levels in association with the risk for MCI or dementia. One limitation of this study is that we did not include the analyses of other confounding factors that might influence the incidence of dementia, such as alcohol consumption, smoking, and exercise habits. Although we observed weak association between drinking, smoking, and exercise habits and prevalence of MCI or dementia, some of the data were not reliable in that they were obtained from self-reports of the patients and some of the data were not available. Another limitation of this study is that this is a cross-sectional study that could not address causal relation between Hcy level and cognitive impairment. Despite these limitations, the association between Hcy and cognitive decline is consistent with previously published data. Further follow-up studies are needed to examine whether serum Hcy level could be used as a peripheral biomarker for cognitive decline and onset of dementia.

In conclusion, the apparently strong association of high Hcy levels with the risk of dementia and cognitive impairment suggests that hyperhomocysteinemia and low folate levels may serve as independent risk factors



for dementia in Koreans. Further efforts are needed to identify at-risk elderly population and targets for early intervention to reduce the risk of late-life dementia.

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