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## **FoxM1 in Tumorigenicity of the Neuroblastoma Cells and Renewal of the Neural Progenitors**

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### **Abstract**

Malignant neuroblastomas contain stem-like cells. These tumors also overexpress the Forkhead box transcription factor FoxM1. In this study, we investigated the roles of FoxM1 in the tumorigenicity of neuroblastoma. We showed that depletion of FoxM1 inhibits anchorage-independent growth and tumorigenicity in mouse xenografts. Moreover, knockdown of FoxM1 induces differentiation in neuroblastoma cells, suggesting that FoxM1 plays a role in the maintenance of the undifferentiated progenitor population. We showed that inhibition of FoxM1 in malignant neuroblastoma cells leads to the downregulation of the pluripotency genes sex determining region Y box 2 (*Sox2*) and *Bmi1*. We provided evidence that FoxM1 directly activates expression of *Sox2* in neuroblastoma cells. By using a conditional deletion system and neurosphere cultures, we showed that FoxM1 is important for expression of *Sox2* and *Bmi1* in the mouse neural stem/progenitor cells and is critical for its self-renewal. Together, our observations suggested that FoxM1 plays an important role in the tumorigenicity of the aggressive neuroblastoma cells through maintenance of the undifferentiated state.

### **Introduction**

Neuroblastoma, a malignancy derived from neural crest of the sympathetic nervous systems, is the second most common solid tumor in childhood and the most common tumor of infancy with an incidence of 10.2 cases per million children under age of 15 (1, 2). The origin of neuroblastoma is thought to be incompletely committed precursor cells derived from neural crest tissues (2). Neuroblastoma is unique in terms of its clinical bipolarity. Although tumors found in patients younger than 1 year are highly curable and undergo regression with minimal treatments, tumors diagnosed in older patients often grow relentlessly despite intensive and multimodal treatments with only 30% to 40% long-term survival rate (1-3). The unfavorable prognosis has been associated with several factors including *MYCN* and *TrkB* gene amplification, chromosome 1p losses, and so on (4-6). However, the molecular pathways mediating tumorigenicity of aggressive neuroblastoma remain largely unclear.

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Consistent with its clinical bipolarity, neuroblastomas are heterogeneous in terms of pathologic features, ranging from tumors containing predominantly undifferentiated neuroblast cells to those that are mainly well-differentiated neurons surrounded by Schwann stroma cells (1, 7). This heterogeneous feature is manifested in the cell lines established *in vitro*. The less malignant S-type cells (substrate adherent and non-neuronal) are usually flattened and attach strongly to the substrate. The N-type cells (neuroblastic), which grow as poorly attached aggregates of small and rounded cells, are tumorigenic and rapidly proliferating. The I-type cells (intermediate), which are less differentiated than the N type, represent malignant, multipotent neural crest stem cells (8-10). The I-type neuroblastoma cells possess self-renewal ability and have significantly higher tumor-forming capacity, as determined by soft agar colony formation and tumor growth in immunodeficient mice (7, 9, 10).

Studies from both patient samples and *in vitro* cell culture system suggested that neuroblastoma contains pluripotent tumor initiating cells (TIC; refs. 11-14). The existence of TICs may account for both the heterogeneity nature of neuroblastoma as well as the tumor relapse (11, 13, 14). It is also consistent with the observation that the I-type neuroblastoma cells, the most aggressive type of neuroblastoma cells, are malignant neural crest stem cells that possess the ability to self-renewal (10). High frequency of the I-type cells in tumor is associated with increased recurrence (9). A better understanding of the tumorigenicity mechanism of the neuroblastoma possessing stem cell properties will be critical to improve therapeutic outcomes.

*Sox2* (sex determining region Y box 2) is a transcription factor that is essential for the maintenance of self-renewal and growth of both embryonic and adult stem cells (15). Recent evidences imply that *Sox2* is involved in promoting tumorigenicity in malignant tissues. *Sox2* functions as a lineage survival oncogene in lung and esophageal squamous cell carcinoma, where it promotes oncogenic function of tumor cells (16). Consistently, *Sox2* silencing in glioma leads to inhibition of proliferation and loss of tumorigenicity (17). Its expression is also detectable in several other types of malignant tumors including neuroblastoma (18-22).

*FoxM1*, also known as HFH-11B, Trident, WIN, or MPP2, belongs to the Forkhead box (Fox) transcriptional factor family, which consists of more than 50 members sharing homology in the winged-helix DNA binding domains (23, 24). *FoxM1* is a proliferation-specific transcriptional factor, given the fact that its expression is strongly correlated with the proliferation capacity of the cells. It is expressed ubiquitously in all proliferating cells, including many tumor-derived cell lines. In normal tissues, FoxM1 is detectable in progenitors with extensive proliferating capacity, whereas its expression is depleted in differentiated or resting cells (24, 25). Numerous transgenic studies in mouse systems showed that FoxM1 is crucial for the development and progression of tumors of different origins, including liver, prostate, colon, breast, lung, brain, and so on (26-30). However, the involvement of FoxM1 in neuroblastoma has not been characterized.

Here, we showed that depletion of FoxM1 inhibits tumorigenicity of neuroblastoma, which is associated with the induction of differentiation. Furthermore, we found FoxM1 is able to directly activate the expression of pluripotency gene *Sox2* in neuroblastoma. Also, we showed that deletion of FoxM1 impairs the self-renewal of mouse neural stem/progenitor cells.

## Materials and Methods

### Cell culture

SK-N-BE(2) cells and BE(2)-C cells were obtained from the American Type Culture Collection. Both SK-N-BE(2) cells and BE(2)-C cells were cultured in MEM/F12 medium supplemented with 15% FBS and penicillin–streptomycin.

### Plasmids and siRNA

The pCMV-FoxM1b vector was constructed as previously described (31). The Sox2 expression construct was made by amplifying the Sox2 cDNA fragment sequence from pMSCV-Flag-hSox2 (Addgene; ref. 32) and ligating it into the pcDNA3 construct (Invitrogen). The siRNA oligonucleotide sequence specific for human *FoxM1* was 5' GGACCACUUUCCCUACUUUUU-3' (33), and for human *Sox2* was 5' GGAAUGGACCUUGUAUAGAAU-3' (34). Oligonucleotides were synthesized by Dharmacon Research. The plasmids and siRNA duplexes were transfected into cells by using Lipofectamine 2000 reagent (Invitrogen) in serum-free tissue culture medium following the manufacturer's protocol.

### Neural stem/progenitor cell isolation, culture, and neurosphere frequency assay

Neural stem/progenitor cells were generated from 14.5-day-old embryo cerebral cortical tissue and cultured in serum-free DMEM/F12 medium supplemented with N2 supplement (Invitrogen), 20 ng/mL epidermal growth factor and fibroblast growth factor (Peprotech), 2 mmol/L glutamine (Invitrogen), 6 mg/mL glucose, 14 mmol/L NaHCO<sub>3</sub>, and 5 mmol/L HEPES (Invitrogen). Neurospheres were dissociated by using chemical dissociation kit following the manufacturer's protocol (Stemcell Technologies). Dissociated cells were seeded in culture dish with grid (Nunc) at a clonal density. After 6 to 8 days, the newly generated neurospheres were counted under microscope.

### Antibodies and immunoblots

Rabbit polyclonal antibody against FoxM1 was described (31). The following antibodies were also used: Sox2 (Abcam ab15830 and Cell Signaling #3579), Bmi1 (Cell Signaling #2830 and Millipore clone F6 05–637), cleaved caspase-3 (Cell Signaling #9661), -catenin (BD 610153), neurofilament medium (NF-M; Zymed 13–0900), tubulin- III (Millipore Mab1637), and Nestin (BD Pharmingen 560393). Horseradish peroxidase-conjugated secondary antibodies were used to amplify the signal from primary antibody (Bio-rad). Protein lysates were prepared in NP-40 lysis buffer consisted of 1% NP-40, 5% glycerol, 20 nmol/L -glycerophosphate, 2 mmol/L NaF, 5 mmol/L EDTA, 5 mmol/L EGTA, and freshly added protease inhibitor cocktail (Roche).

### Cell viability assay

Cells were trypsinized after siRNA transfection, counted, and seeded at a density of  $2 \times 10^3$  cells per well in triplicate in 48-well plate (Corning). The growth of the cell was monitored by measuring the luminescent signal by using the CellTiter-Glo Kit (Promega) every other day following manufacture's protocol.

### Soft agar and *in vivo* tumorigenicity assay

For soft agar assay, cells were counted and plated in 6-well plates in 0.35% agarose on a 0.7% agarose bed in triplicate. Colonies were stained with crystal violet and counted after 3 weeks. Pictures were taken under dissecting microscope. For *in vivo* tumorigenicity assay, cells were treated with control or FoxM1 siRNA for 24 hours. A total of  $1 \times 10^6$  cells were

injected subcutaneously into nude mice (Nu/Nu strain; Charles River). Picture of the mice were taken 4 weeks after injection.

### Chromatin immunoprecipitation

Chromatin immunoprecipitation (ChIP) assays were conducted as previously described (33). FoxM1 antibody was used for immunoprecipitation. The following primers were used: *Sox2*—15k,a (–14,964 to –14,802) 5 -ACTACTGGTTCCTGATTCCCTCATC-3 and 5 -GCAAGTCCGCAAAGTTGTCTC-3 ; *Sox2*—15k,b (–15,149 to –15,046) 5 -TTCCCAACCCCGTGAGAAAG-3 and 5 -GCAGAACTGAGGTGACTGACCAG-3 ; and *Sox2*—2.5k (–2,668 to –2,517) 5 -CCACCCTTATCCACACCAATTCC-3 and 5 -TGATTGTCCAGACGCCACAAAG-3 .

### Promoter reporters and dual luciferase assay

BE(2)-C cells were plated at  $8 \times 10^4$  cells per well in a 24-well plate and transfected via Lipofectamine 2000 with different combinations of 100 ng of either CMV-FoxM1B expression construct or empty vector and 0.5  $\mu$ g of either wild type or mutant –15,178/–14,836 Sox2-firefly luciferase reporter as indicated. In all treatments, 3 ng of CMV-*Renilla* luciferase was cotransfected as an internal control. Cells were harvested 24 hours after transfection, and protein extracts were subjected to dual luciferase assays (Promega) with firefly luciferase activity normalized to *Renilla* luciferase activity. Promoter activity was expressed as fold induction of transcription by the FoxM1b expression vector, where the promoter activity resulting from transfection with empty vector was set at 1.

### Real-time—PCR

Quantitative (q) real-time (RT)–PCR was carried out as described previously (33). The following primers were used: human *FoxM1* 5 -GGAGGAAATGCCACACTTAGCG-3 and 5 -TAGGACTTCTTGGGTCTTGGGGTG-3 ; human *Sox25* -TGAATGCCTTCATGGTGTGGTC-3 and 5 -CCGTCTCCGACAAAAGTTTCC-3 ; human *Bmi1* 5 -TGATGTGTGTGCTTTGTGGAGG-3 and 5 -GTGGTCTGGTCTTGTGAACCTTGG-3 ; human cyclophilin 5 -GCAGACAAGGTCCCAAAGACAG-3 and 5 -CACCTGACACATAAACCTGG-3 ; mouse *Foxm1*, 5 AGCGTAAAGCAGGAAGTGA-3 and 5 -GGAAGTGGTCCCAATCCAA-3 ; mouse *Sox25* -AACGGCTCGCCACCTACAGC-3 and 5 -CAGGGGCAGTGTGCCGTATTTGG-3 ; mouse *Bmi1* 5 -AGAGGGATGGACTACGAATGC-3 and 5 -AACAGGAAGAGGTGGAGGGAAC-3 ; and mouse cyclophilin 5 -GGCAAATGC-TGGACCAAACAC-3 and 5 -TTCTGGACCCAAAACGCTC-3 . For semiquantitative RT-PCR experiments, the linear ranges for amplicon of each PCR primers were determined to allow semiquantitative comparisons. The primers used in semiquantitative RT-PCR were human *FoxM1* 5 -GGAGGAAATGCCACACTTAGCG-3 and 5 -TAGGACTTCTTGGGTCTTGGGGTG-3 ; human *Sox25* -TGAATGCCTTCATGGTGTGGTC-3 and 5 -CCGTCTCCGACAAAAGTTTCC-3 ; human *Oct45* -GGGGTT-CTATTTGGGAAGGTATTC-3 and 5 -GGTTCGCTTTCTCT-TTCGGG-3 ; human *Nanog* 5 -CCAG-TCCCAAAGGCAAACAAC-3 and 5 -TGGAGGCTGAGGTATT-TCTGTCTC-3 ; human *Bmi1* 5 -TGATGTGTGTGCTTTGTGGAGG-3 and 5 -GTGGTCTGGTCTTGTGAACCTTGG-3 ; human *Ezh2* 5 AGTTGGTGAATGCCCTTGGTC-3 and 5 -TGCTGTGCCCTTAT-CTGGAAAC-3 ; human *Suz125* -GCCAACCTGGATTT-GCTTTTAGTC and TCTTTGCTGT-TCTACTTCCCCATC-3 ; and human cyclophilin 5 -GCAGACAAGGTCCCAAAGACAG-3 and 5 -CACCTGACACATAAACCTGG-3 .

## Statistical analysis

Statistical significance was calculated by the Student's *t* test (2 tailed). Statistically significant changes were indicated with asterisks (\*,  $P < 0.05$ ; \*\*,  $P < 0.001$ ).

## Results

### ***FoxM1* is critical for the tumorigenicity of neuroblastoma cells**

Expression studies with patient samples by several groups had revealed that *FoxM1* mRNA is significantly upregulated in neuroblastoma tissue samples compared with noncancerous ganglioneuroma or less aggressive ganglioneuroblastoma (35-37). A box plot representing 2 different data sets from publicly available database is shown (Fig. 1A; refs .35, 36). However, the biological function of *FoxM1* in neuroblastoma had not been elucidated. To evaluate the role of *FoxM1* in neuroblastoma, we first investigated the effects of *FoxM1* on anchorage-independent growth, which is a hallmark of tumorigenicity. We reduced *FoxM1* expression by siRNA in 2 different types of aggressive neuroblastoma cell lines: SK-N-BE(2) and BE(2)-C. SK-N-BE(2) belongs to N-type neuroblastoma cells, whereas BE(2)-C belongs to the most malignant I-type neuroblastoma cells (8-10). After 72 hours siRNA transfection, the protein level of *FoxM1* was reduced significantly in these 2 cell lines, as evidenced by the Western blot (Fig. 1B). The anchorage-independent growth capacity of neuroblastoma cells was checked by carrying out soft agar colony formation assay. Ablation of *FoxM1* by siRNA led to a profound decrease in the number of colonies formed in both cell lines (Fig. 1C). The SKN-BE(2) cells formed about 80% less colonies in *FoxM1* siRNA treated cells compared with control siRNA-treated cells. For the BE(2)-C line, the reduction was even more severe. *FoxM1* knockdown led to about 90% reduction in the number of colonies by quantification (Fig. 1C).

Similar effect was observed *in vivo* when BE(2)-C cells ( $1 \times 10^6$  cells) were injected subcutaneously in nude mice. The control group, expressing control siRNA, formed tumors within 2 weeks of injection, whereas the *FoxM1* siRNA expressing cells failed to form tumors in 4 weeks (Fig. 1D). The strong inhibition of tumor growth showed that *FoxM1* is critical for the tumorigenicity of the neuroblastoma cells. The loss of tumorigenicity could not be explained sufficiently by the inhibition of cell growth. A growth curve analysis following depletion of *FoxM1* indicated only a partial retardation of growth at the initial time points (Supplementary Fig. S1A). At later time points, cell counts on *FoxM1* silencing increased, most likely because of reexpression of *FoxM1*. Moreover, we did not see any significant increase in apoptosis based on changes in the sub-G<sub>1</sub> population and caspase-3 activation following depletion of *FoxM1* in the BE(2)-C cells (Supplementary Fig. S1B and C). The differential effect of transient *FoxM1* knockdown on growth curve versus anchorage-independent growth or growth in xenografts suggests that a continuous presence of *FoxM1* is critical for the tumorigenicity of the neuroblastoma cells.

### **Transient loss of *FoxM1* leads to spontaneous differentiation of the neuroblastoma cells**

Several recent studies, in other tumor models, indicated a link between the state of differentiation of the tumor cells and their tumorigenicity. For example, in human liver cancer, it was shown that the tumorigenicity correlated with the presence of stem cell-like cancer cells (38). Moreover, there is a strong association between poor differentiation and aggressiveness of breast cancers (39). Because the neuroblastoma cells used in our tumorigenicity studies contain stem-like progenitor cells, we considered the possibility that the depletion of *FoxM1* inhibits tumorigenicity by inducing differentiation. We investigated the effects of *FoxM1* depletion on differentiation of the BE(2)-C cells, which belong to I-type neuroblastoma cells representing the neural crest stem cell. Retinoic acid is able to induce differentiation of these cells toward neuronal lineage (10). We observed that the

following 5 days after retinoic acid treatment, BE(2)-C cells started to exhibit morphology of differentiated neurons with neurite extension (Fig. 2A). Interestingly, the level of FoxM1 was significantly decreased in the differentiated cells (Fig. 2B). Moreover, we observed that *FoxM1* knockdown alone was able to induce a significant increase of the neuronal differentiation phenotype in BE(2)-C cells (Fig. 2A). Furthermore, depletion of FoxM1 alone resulted in a significant increase in the levels of neuronal differentiation markers, NF-M and  $\alpha$ -tubulin III (Fig. 2B). Interestingly, the pluripotency gene *Sox2* was downregulated by both retinoic acid and *FoxM1* siRNA (Fig. 2B). Together these results clearly indicate that FoxM1 is important for maintaining the undifferentiated state of the I-type neuroblastoma cells.

### ***FoxM1* directly activates expression of the pluripotency gene *Sox2* that is required also for the tumorigenicity of neuroblastoma cells**

The pluripotency gene *Sox2* had been implicated in the maintenance of neural stem cell pool (15) and it had been found to be involved in mediating tumorigenicity of several types of human malignancies by impacting the anchorage-independent growth (16, 17). Therefore, we tested the hypothesis that FoxM1 is critical for the expression of *Sox2* in the neuroblastoma cells. One study reported that overexpression of FoxM1 in P19 teratocarcinoma cells increases expression of Sox2 (40). However, it is unclear whether the regulation is direct. To elucidate the connection between FoxM1 and Sox2 in neuroblastoma, we checked the expression level of *Sox2* after *FoxM1* silencing. The mRNA level of Sox2 was remarkably reduced in both SKN-BE(2) and BE(2)-C cells following *FoxM1*-silencing (Fig. 3A). Furthermore, the protein level of Sox2 was decreased in *FoxM1* siRNA treated samples compared with control siRNA treated or nontreated samples, evidenced by immunoblot (Fig. 3B). These results indicated that FoxM1 might regulate Sox2 at the transcriptional level. We assayed for the expression of polycomb family member *Bmi1*, which has been implicated in promoting tumorigenicity of neuroblastoma cells (41, 42). Interestingly, it was observed that, similar to Sox2, Bmi1 expression level also tightly correlated with FoxM1 in neuroblastoma, which indicated that it might also be involved in FoxM1-mediated tumorigenicity of neuroblastoma cells (Fig. 3A and B).

Bmi-1 had been previously reported to function downstream of FoxM1 through activation of c-Myc (43). Therefore, we focused on revealing the relationship between FoxM1 and Sox2. To test whether FoxM1 is able to stimulate *Sox2* expression in neuroblastoma cells, we transiently transfected *FoxM1* expression plasmid into BE(2)-C cells. Expression of FoxM1 led to an increase in the Sox2 mRNA level (Fig. 3C). In addition, by immunoblot, we observed that the Sox2 protein also responded to FoxM1 upregulation compared with the control transfection (Fig. 3D). Similar result was observed by stably overexpressing FoxM1 in S-type neuroblastoma SK-NAS cells (Supplementary Fig. S2) and the ectopic expression of FoxM1 led to increased colony formation on plate and anchorage-independent growth in soft agar (Supplementary Fig. S2A and B).

Next, we tested the possibility that FoxM1 directly stimulates *Sox2* expression by binding to its promoter region. Analysis of human *Sox2* upstream promoter region by using MacVector software revealed 1 putative FoxM1 binding motif 15 kb upstream of the transcriptional start site (-15,023 to -14,991; Fig. 4A). To determine whether FoxM1 binds to this site, we utilized quantitative ChIP. We first tested whether FoxM1 binds to *Sox2* promoter region at endogenous level. BE(2)-C cells were cross-linked and sonicated. The chromatin was immunoprecipitated with either FoxM1 specific antibody (Ab) or rabbit serum (control). The amount of endogenous Sox2 DNA bound by FoxM1 was determined by PCR by using 2 different sets of primers flanking the DNA sequences near the potential binding sites (-14,963 to -14,801 and -15,148 to -15,040). Compared with serum control, FoxM1 antibody was able to enrich the DNA fragments upstream 15 kb region of the *Sox2* gene

with both sets of primers and there was no enrichment by using primers flanking nonspecific region around  $-2.5$  kb upstream (Fig. 4A). To confirm the specificity of the endogenous binding of FoxM1 to the Sox2 promoter, we investigated whether knockdown of FoxM1 would disrupt the interaction. Chromatin samples were collected from both *FoxM1* siRNA treated BE(2)-C cells and control siRNA treated BE(2)-C cells. The amount of *Sox2* promoter DNA enriched by FoxM1 antibody in both samples was quantified by RT-PCR with 2 different sets of primers specific to upstream 15 kb region of the *Sox2* gene. *FoxM1* knockdown led to a half-fold reduction in the immunoprecipitation of the  $-15$  kb region amplicons (Fig. 4B) which indicated the binding is FoxM1 specific. These results showed that, in the BE(2)-C cells, endogenous FoxM1 binds to the Sox2 upstream region (Fig. 4A) and activates expression of Sox2 (Fig. 3A).

To test the transcriptional activity of FoxM1 on human *Sox2* promoter, we cloned the human *Sox2* promoter sequence ( $-15,178$  to  $-14,836$ ) encompassing the predicted binding motif into pGl3 construct (wt-pGl3-Sox2) as well as the mutated human *Sox2* promoter sequence where 4 canonical FoxM1 binding motif GTTTs were mutated into CTTTs (mut-pGl3-Sox2; Fig. 4C). We carried out dual luciferase assay by cotransfecting BE(2)-C cells with FoxM1b expression construct and either wild-type *Sox2* reporter plasmid or mutated reporter plasmid. Overexpression of FoxM1b resulted in a 3-fold increase in wild-type *Sox2* promoter activation relative to empty vector transfection, whereas it failed to stimulate the mutated *Sox2* promoter luciferase (Fig. 4C). Similar results were obtained in U2OS cells (data not shown).

In addition, we found that expression of *Sox2* in BE(2)-C cells treated with *FoxM1*-siRNA caused a partial, but significant, reversal of the anchorage-independent growth (Fig. 4D). An incomplete reversal is consistent with the possibility that FoxM1 activates expression of other genes required for the anchorage-independent growth. It is likely that *Bmi1*, the expression of which decreased following *FoxM1* silencing, is involved (Fig. 3A and B). Also, we assayed for other pluripotency genes in BE(2)-C cells for their dependence on FoxM1. Depletion of FoxM1 resulted in a significant loss of Oct4, Ezh2, and Suz12 expression (Supplementary Fig S3A). To further investigate the role of Sox2 in the anchorage-independent growth of the BE(2)-C cells, we employed *Sox2* siRNA, which caused a significant loss of the anchorage-independent growth in soft agar colony formation assay compared with control siRNA treatment, indicating a critical role of *Sox2* in neuroblastoma cells (Supplementary Fig. S4A–C). More interestingly, silencing of *Sox2* largely compromised the increased number of soft agar colonies caused by overexpression of FoxM1 in the BE(2)-C cells (Supplementary Fig. S4C and D), suggesting that Sox2 is one of the key downstream mediators of FoxM1 in inducing anchorage-independent growth of the neuroblastoma cells.

### ***FoxM1* deletion results in impaired self-renewal of the E14.5 neural stem/progenitor cells**

Both Sox2 and *Bmi1* are critical for self-renewal of the neural cortical stem/progenitor cells (15, 44). If FoxM1 is important for expression of these genes in those cell type, we hypothesized that deletion of FoxM1 will inhibit self-renewal of the neural cortical stem/progenitor cells. To test this possibility, we took advantage of the well-established protocol to culture neural cortical stem/progenitor cell *in vitro* (45; also see schematic in Fig. 5A). The embryonic cortical tissue from day 14.5 ERT2-Cre FoxM1fl/fl embryo was dissected and digested to generate neurospheres in serum-free medium. The ERT2-Cre FoxM1fl/fl strain was generated by crossing ERT2-Cre strain with FoxM1 fl/fl strain. ERT2-Cre allele allows the activation of Cre-recombinase upon tamoxifen administration, which in turn excises the *FoxM1* alleles from the genome. To check whether FoxM1 regulates Sox2 and *Bmi1* in neural stem/progenitor cells, same number of dissociated neural stem/progenitor cells was kept in serum-free neural stem cell medium containing either 4-OH tamoxifen or

ethanol as vehicle control. After 4 days, *FoxM1* mRNA was remarkably decreased along with decreases in *Sox2* and *Bmi1* expression, as evidenced by RT-PCR and immunoblot (Fig. 5B and C). The level of Nestin, another neural stem cell marker, also went down (Fig. 5C). To exclude the possible side effect from tamoxifen, in parallel, wild-type neurospheres were generated and cultured in the same setting. Tamoxifen treatment did not lead to any significant reduction of *Sox2* and *Bmi1*, which indicated the effect of *Sox2* and *Bmi1* reduction is because of *FoxM1* ablation (Fig. 5B).

In addition, we carried out the neurosphere assay, which measures the capacity of a primary neurosphere to form new multipotent neurosphere after dissociation, as an indicator of self-renewal capacity (see schematic in Fig. 6A). The ERT2-Cre *FoxM1*<sup>fl/fl</sup> neurospheres were dissociated into single cells. Same number of cells was kept in serum-free neural stem cell medium containing either 4-OH tamoxifen or ethanol as vehicle control. After 6 days, number of neurosphere formed was counted. A representative picture of the neurospheres counted was shown (Fig. 6B). We found that *FoxM1* deletion by tamoxifen treatment led to a significant decrease in the frequency of newly-formed neurosphere compared with vehicle control (Fig. 6C), indicating that loss of *FoxM1* impairs the self-renewal of previous neural stem/progenitor cell population. The same procedure was repeated with the newly formed primary neurospheres to obtain secondary neurospheres in either 4-OHT tamoxifen-treated or control-treated samples (Fig. 6A). After 6 days, we found the difference in neurosphere frequency was even more dramatic (Fig. 6D). We observed no obvious difference in primary neurosphere frequency in the wild-type neurospheres. Similar effects on primary neurosphere frequency were observed when the ERT2Cre *FoxM1*<sup>fl/fl</sup> neurospheres were treated with adenovirus expressing *lacZ* gene or Cre recombinase. The primary frequency of neurosphere was decreased by adeno-Cre treatment (Fig. 6C). Together, these data suggested that *FoxM1* is necessary to maintain the self-renewal of cortical neural stem/progenitor cells.

## Discussion

Studies described here are significant as they provide new molecular insights into the aggressive nature of neuroblastoma. Although *FoxM1* is overexpressed in the aggressive forms of neuroblastoma, its involvement in neuroblastoma has not been investigated. We showed a dual role of *FoxM1* in positively regulating tumorigenicity and in maintenance of the progenitor population in neuroblastoma. First, we showed that *FoxM1* serves as a critical activator of tumorigenic properties of the aggressive forms of the neuroblastoma cells. In addition, we discovered a direct connection between *FoxM1* and pluripotency-associated gene *Sox2* in mediating the anchorage-independent growth of the neuroblastoma cells. Finally, we observed that neuroblastoma cells with reduced *FoxM1* expression undergo spontaneous differentiation with diminished levels of *Sox2*. Furthermore, in mouse cortical neural stem/progenitor cells, loss of *FoxM1* largely impaired the self-renewal ability.

The aggressive forms of neuroblastoma still remain a challenge in the clinic, largely because of limited knowledge of biological and prognostic characteristic of this childhood disease. Our results revealed that *FoxM1* is crucial for the tumorigenicity of aggressive neuroblastoma cells, which is often related to the metastatic potential of the tumors. These observations make *FoxM1* an attractive therapeutic target for treating neuroblastoma patients. That is particularly significant because several groups are actively involved in characterizing inhibitors of *FoxM1* that also inhibits tumor progression (46, 47).

Mounting evidences suggested that the existence of TIC within neuroblastoma might be responsible for its clinical relapse (11, 13, 14). The striking clinical bipolarity of its pathologic feature also indicated that neuroblastoma may be a disease of stem cells (2,3).



Expression of the pluripotency genes, which are critical for normal stem cell maintenance, have been detected in neuroblastoma cells (11, 12, 14, 22). However, very little is known about the molecular basis of how the pluripotency genes get activated. Here, we discovered that one of the core pluripotent genes *Sox2* is directly activated by FoxM1. In that regard, it is noteworthy that several pluripotency genes have been shown to be activated by FoxM1 (40, 43). Our observation suggests that the pluripotency gene *Sox2* is critical for the tumorigenic activity of FoxM1. Thus, FoxM1 might be involved in altering the cellular characteristic favoring oncogenic growth of tumor cells by potentially upregulating pluripotency-associated genes.

*Sox2* has been found to be involved in tumorigenicity of several types of tumors and, it has been regarded as a cancer stem cell marker. For example, loss of *Sox2* was reported to impair the anchorage-independent growth of glioma, Ewing's sarcoma, and lung tumors (16-18, 20). In this study, we discovered similar phenotype in *Sox2* silenced neuroblastoma cells. In addition, we found *Sox2* functions downstream of *FoxM1* because silencing of *Sox2* abolished the induction of soft agar colony formation observed with neuroblastoma cells overexpressing *FoxM1*. Although the downstream effectors in the pathways that impact anchorage-independent growth or tumorigenicity are unclear, we think that they are related to the state of differentiation as well.

Two gene expression studies in tumors showed a link between expression of embryonic stem (ES) cell pluripotency signature genes and aggressiveness of the tumors, including increased tumorigenicity (39, 48). The study with breast cancer gene expression identified 9 transcription factor genes (Core 9 genes) among the ES signature transcriptional factors that were mostly overexpressed in high-grade breast cancers (39). The study then ranked all putative transcription factor genes whose expression correlated with the Core 9 genes. Interestingly, FoxM1 ranked number 2 in that list (39). Also, we compiled a list of 538 genes that were shown to be activated by FoxM1 from the literature and compared them with the 338 genes identified by Wong and colleagues as core embryonic stem cell-like module expressed in epithelial cancer stem cells (48). In that comparison, we observed about 10% of the embryonic stem cell-like module (33 genes) overlapped with the *FoxM1* target genes (Supplementary Fig. S3B;  $P = 9.5 \times 10^{-5}$ ). Also, there was a significant overlap between the *FoxM1* and *Sox2* target genes (49; Supplementary Fig. S3B;  $P = 2.0 \times 10^{-15}$ ). These observations further support the notion that *FoxM1* plays a role in the expression of the pluripotency genes in cancer stem cells.

The I-type neuroblastoma retains the ability to differentiate *in vitro* upon addition of different stimuli (10, 42). FoxM1 loss alone is sufficient to induce spontaneous differentiation of BE (2)-C cells, indicating that the expression of FoxM1 is important for maintaining the cells in a relatively undifferentiated state. We also observed that upon retinoic acid-induced differentiation, the expression of FoxM1 is gradually diminished (data not shown; Fig. 2) which further supports our hypothesis. This is consistent with our finding that FoxM1 is necessary for the expression of pluripotency genes, such as *Sox2* and *Bmi1*, which are important for maintenance of an undifferentiated state of the I-type neuroblastoma cells. These observations were also validated by using neural cortical precursors. The possibility that the neural cortical progenitor cells depend upon FoxM1 is supported by our observation on the neurosphere frequency assay. We observed that the ability of the progenitor cells to undergo self-renewal was compromised significantly in cells depleted of FoxM1, which also correlated with loss of *Sox2* and *Bmi1* expression. The studies in Figures 5 and 6, on neural stem/progenitor cell pool, are incomplete, but they support the studies with the neuroblastoma cells. The observations suggest that FoxM1 might be involved in expression of the *Sox2* and *Bmi1* genes in multiple progenitor types and

potentially plays a role in the aggressiveness of multiple tumor types in which FoxM1 is overexpressed.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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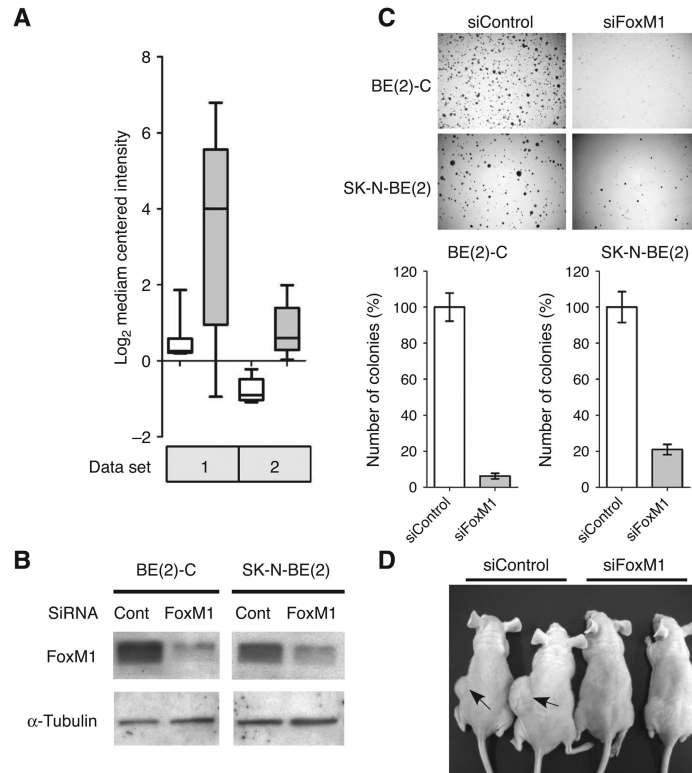
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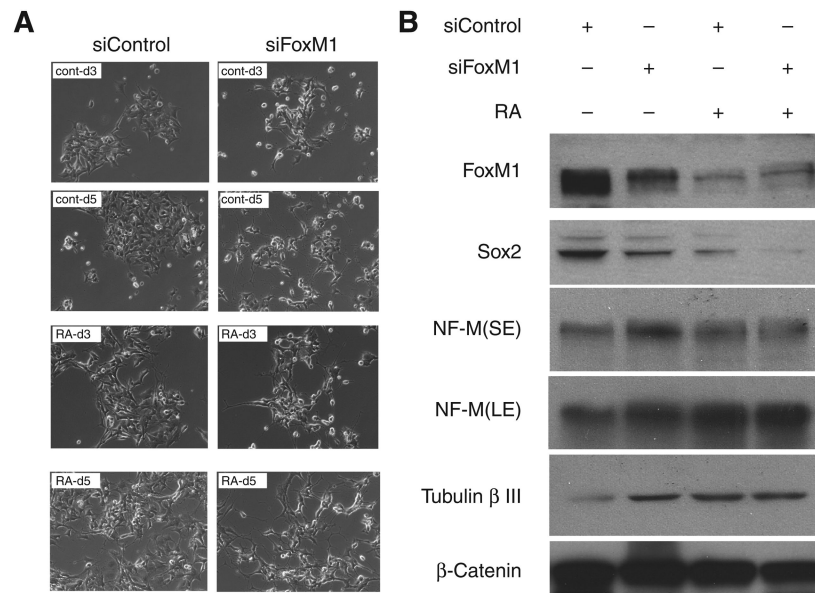
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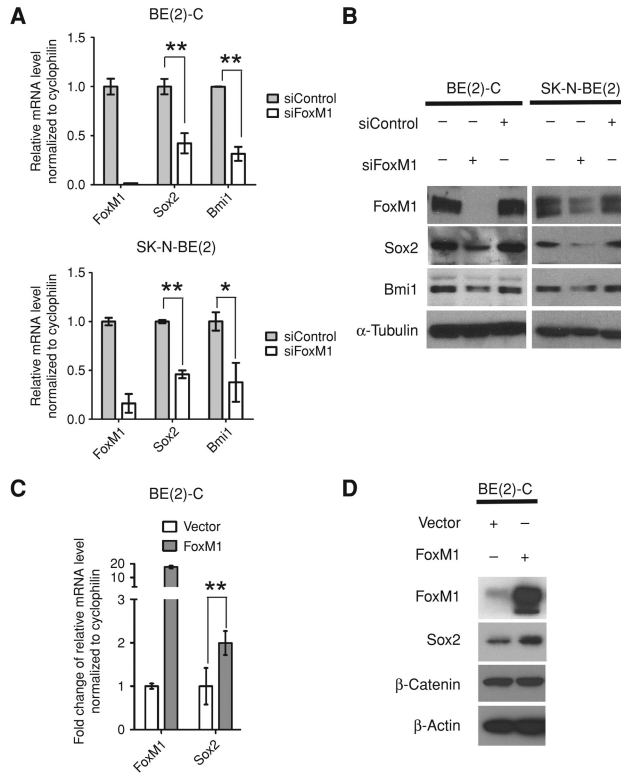


**Figure 1.**

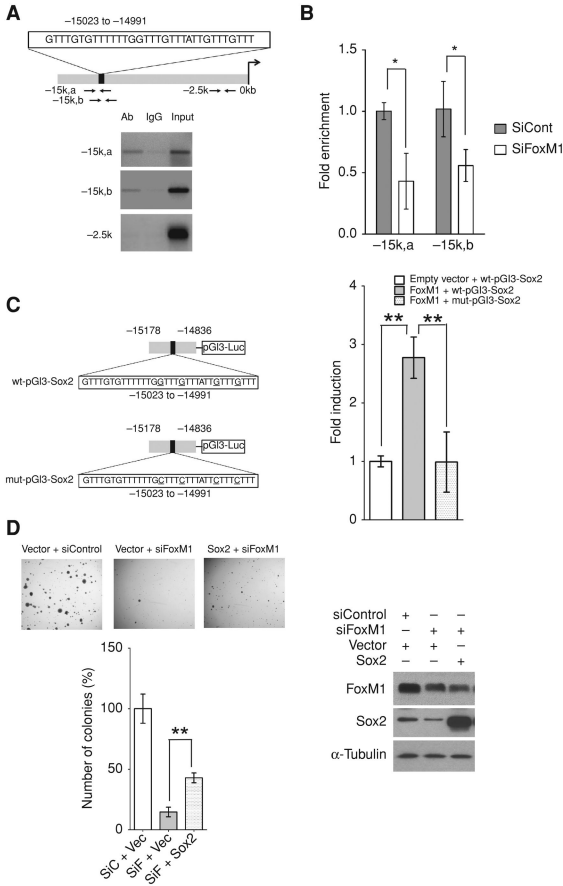
FoxM1 is critical for the tumorigenicity of neuroblastoma. A, normalized *FoxM1* mRNA level in 2 publicly available mRNA expression profile data sets. White box, benign ganglioneuroma or ganglioneuroblastoma. Grey box, neuroblastoma. *P* values for the 2 data sets are 2.06E-10 and 3.82E-6. B, immunoblot showing depletion of *FoxM1* by siRNA in BE(2)-C and SK-N-BE(2)-C cells. Cell lysates were collected at 72 hours after transfection. C, representative pictures and quantification of anchorage-independent growth on soft agar plates. Twenty-four hours after *FoxM1* or control siRNA silencing, cells were plated at a density of  $8 \times 10^3$  cells per well in a 6-well plate. Colonies were stained and counted after 3 weeks. D, picture of nude mice after 4 weeks subcutaneous injection of BE(2)-C cells treated with control or *FoxM1* siRNA.



**Figure 2.** BE(2)-C cells with reduced FoxM1 undergo differentiation. A, BE(2)-C cells were transfected with control siRNA or *FoxM1* siRNA. Retinoic acid (RA) was added to induce differentiation 72 hours after transfection. Representative pictures of these cells were taken 3 days (d3) and 5 days (d5) after retinoic acid treatment under microscope. Cells without retinoic acid treatment were also pictured at the same time point (CONT). B, immunoblot of cell lysates collected 5 days after retinoic acid treatment. FoxM1, Sox2, NF-M, and tubulin  $\beta$ -III were detected by Western blot.  $\beta$ -catenin was used as loading control. SE, short exposure; LE, long exposure.

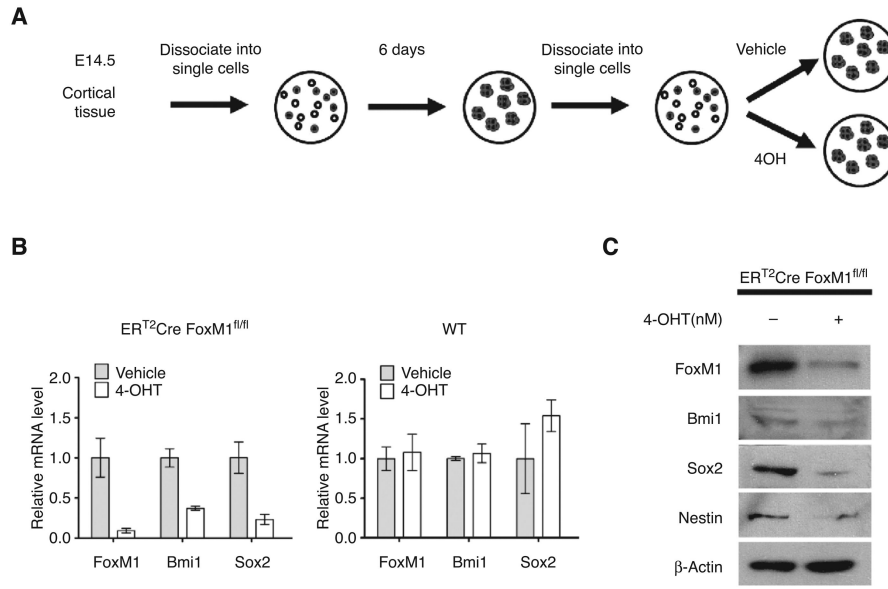


**Figure 3.** Sox2 expression correlates with FoxM1 in neuroblastoma cells. A, mRNA levels of FoxM1, Sox2, and Bmi1 detected by qRT-PCR. BE(2)-C and SK-N-BE(2)-C cells were transfected with control siRNA or *FoxM1* siRNA for 72 hours. The mRNA levels were normalized to human cyclophilin mRNA, and the control groups were set to 1. B, immunoblot showing the protein level of FoxM1, Sox2, and Bmi1 from the same samples described in A.  $\alpha$ -Tubulin was used as loading control. C, mRNA levels of FoxM1 and Sox2 detected by qRT-PCR 24 hours after transfecting BE(2)-C cells with empty vector or pCMV-FoxM1 plasmid. The mRNA levels were normalized to human cyclophilin mRNA, and the control groups were set to 1-fold. D, immunoblot showing the expression of FoxM1 and Sox2 from the samples described in C.  $\beta$ -Catenin and  $\beta$ -actin were used as loading controls.

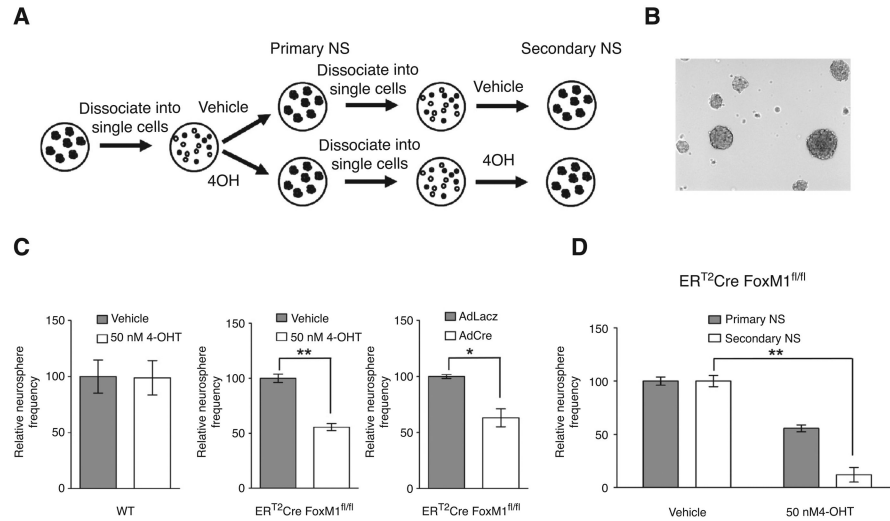


**Figure 4.** FoxM1 activates Sox2 by binding to its upstream promoter region. A, schematic diagram of human Sox2 upstream promoter region. Predicted FoxM1 binding region was shown in the box(-15,023 to -14,991). Arrows indicated positions for designed ChIP primers -15k,a (-14,963 to -14,801), -15k,b (-15,148 to -15,040), and -2.5k (-2,668 to -2,517). Endogenous binding of FoxM1 to Sox2 upstream region as determined by ChIP assay. Crosslinked and sonicated chromatin fragments from BE(2)-C cells were precipitated with either FoxM1 antibody or rabbit IgG. Semiquantitative PCR was carried out to determine the amount of DNA that was precipitated by FoxM1 antibody or IgG control by using indicated primers targeting predicted binding region (-15k,a and -15k,b) or nonbinding region (-2.5k). B, BE(2)-C cells were transfected with control or *FoxM1* siRNA for 72 hours. Chromatins were crosslinked, sonicated, and precipitated with FoxM1 antibody. The amount of *Sox2* upstream region precipitated by FoxM1 antibody was determined by qRT-PCR by using primers targeting predicted binding region (-15k,a and -15k,b). C, left, schematic diagram of wild type and mutated human *Sox2* promoter luciferase constructs. Four canonical FoxM1 binding motif GTTTs were mutated into CTTTs. Right, fold induction of human *Sox2* promoter luciferase activity by overexpression of FoxM1 is shown. The amount of wide-type *Sox2* promoter luciferase activity by empty vector stimulation was set at 1-fold. D, representative pictures and quantification of anchorage-independent growth on soft-agar plates. BE(2)-C cells were cotransfected with different combination of expression vector and siRNA as indicated. Twenty-four hours after transfection, cells were plated at a density of  $2 \times 10^3$  cells per well in a 6-well plate. Colonies were stained and counted after 3 weeks.





**Figure 5.** Decreased Sox2 expression in neural stem/progenitor cells following FoxM1 depletion. A, schematic diagram describing the experimental procedure. B, ERT2-Cre FoxM1<sup>fl/fl</sup> neural stem/progenitor cells were treated with vehicle or 50 nmol/L 4OH-tamoxifen treatment for 4 days after being dissociated into single cells. mRNA levels of FoxM1, Sox2, and Bmi1 were detected by qRT-PCR. Wild-type neural stem/progenitor cells with same treatment were used as control. The mRNA levels were normalized to mouse cyclophilin mRNA, and the vehicle control groups were set to 1-fold. C, immunoblot showing the expression of FoxM1, Bmi1, Sox2, and Nestin of ERT2-Cre FoxM1<sup>fl/fl</sup> neural stem/progenitor cells treated with vehicle or 50 nmol/L 4OH-tamoxifen. -actin was used as loading control.



**Figure 6.**

Loss of FoxM1 impairs the self-renewal of neural stem/progenitor cells. A, schematic diagram describing the experimental procedure of neurosphere assay. B, representative picture of neurospheres. C, quantification of primary neurosphere frequency. Neural stem/progenitor cells were dissociated into single cells and same amount of cells was plated in triplicate for each treatment. Wild-type neural stem/progenitor cells were treated with vehicle or 50 nmol/L 4OH-tamoxifen as controls. ERT2-Cre FoxM1<sup>fl/fl</sup> neural stem/progenitor cells were treated with vehicle or 50 nmol/L 4OH-tamoxifen in 1 set and AdlacZ or AdCre virus in another set. Six days later, the number of neurospheres was counted under microscope. The frequency of neurosphere was calculated by dividing the number of neurospheres formed by the number of initial single neural stem/progenitor cells plated. The neurosphere frequency was normalized to the vehicle control which was set to 100%. D, ERT2-Cre FoxM1<sup>fl/fl</sup> neurosphere formed from the set treated with vehicle or 50 nmol/L 4OH-tamoxifen described in C were dissociated again and the same amount of cells was plated and treated with another round of vehicle or 50 nmol/L 4OH-tamoxifen. Six days later, the secondary neurosphere frequency was obtained in the same way.