

# Mechanical testing of lid speculae and relationship to postoperative ptosis

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## Abstract

**Aims** Postoperative lid malpositions are known complications of routine intraocular surgery and were previously attributed to the use of a bridle suture or the myotoxic effect of retrobulbar or peribulbar anaesthetics. However, lid malpositions are still seen under topical anaesthesia. Recent studies have implicated the lid speculum as a factor. Patients with narrower vertical palpebral apertures have been shown to develop postoperative ptosis more frequently, but the reason is unknown. This is the first study to determine the forces exerted by lid speculae over a range of palpebral apertures.

**Methods** Mechanical testing was undertaken using a Bose 3200 materials testing machine. Tests were undertaken on four disposable and four reusable speculae. The force used to compress each speculum was compared over a range of displacements. A two-sample *t*-test was used to compare the stiffness of the two types of speculum.

**Results** The stiffness of the reusable speculum was significantly greater than the disposable speculum ( $P = 0.002$ ). The stiffness of each speculum was greatest at the range of displacement corresponding to the narrower palpebral apertures.

**Conclusions** Different speculae exert significantly different forces on patients' eyelids during surgery. The patients who experience the greatest compression from the speculae are those with the smallest palpebral apertures. This may explain why these patients are more likely to develop postoperative lid malpositions.

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## Introduction

Postoperative ptosis, ectropion, and epiphora are frequently overlooked complications of routine intraocular surgery. Estimates of the incidence of postoperative ptosis vary from 7.3% to 21% after cataract surgery,<sup>1–3</sup> 10% after radial keratotomy,<sup>4</sup> and 10.7% after trabeculectomy.<sup>5</sup> The incidence of entropion or ectropion after intraocular surgery is not known, although Hosal *et al*<sup>1</sup> demonstrated an incidence of punctal ectropion of 4% after cataract surgery.

Various factors have been suggested as a cause of postoperative lid malpositions, including lid oedema, use of a bridle suture, the myotoxicity of peribulbar or retrobulbar anaesthetics, and the lid speculum. Recent studies have shown the lid speculum to be a significant factor in postoperative ptosis.<sup>2,4,6</sup>

Patients with postoperative ptosis have been found to have disinsertion of the levator palpebrae superioris (LPS) aponeurosis.<sup>7–9</sup> It has been postulated that contraction of the orbicularis oculi against the speculum may cause dehiscence of the LPS aponeurosis<sup>4</sup> or that the speculum may compress the lid against the orbital rim causing inflammation and oedema, which may result in weakening of the aponeurosis.<sup>2,7</sup> By a similar mechanism, postoperative entropion may be caused by dehiscence of the capsulopalpebral fascia.<sup>10</sup>

From our own observations and on the basis of previous studies of postoperative lid malpositions, we developed the following hypothesis: that stiffer speculae are more likely to cause dehiscence of the LPS aponeurosis and lid malpositions, and that patients with smaller palpebral apertures and tight lids would be more vulnerable to speculum-induced damage. As the first step to test this theory, we measured the stiffness of different speculae over a range of palpebral apertures. We tested different

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speculae to determine whether there was a difference in the stiffness of different types.

### Materials and methods

Mechanical testing was undertaken using a Bose 3200 materials testing machine (Bose Corporation, ElectroForce Systems Group, Eden Prairie, MN, USA). Tests were undertaken on four disposable Barraquer stainless steel speculae (Malosa Medical, Elland, UK) and four reusable Kratz Barraquer titanium speculae (Altomed, Boldon, UK). Each speculum was clamped to the base of the testing machine. A spherical indenter was attached to the actuator of the testing machine and this was used to compress the speculum at a rate of 0.1 mm/s (Figure 1). Force and displacement were recorded through the testing. Graphs of force against displacement were plotted and a line in the form  $F = kx$  was fitted to each set of data, where  $F$  is the force,  $x$  is the displacement, and  $k$  is the gradient of the line, which is the stiffness of the speculum. All statistical analysis was performed using either Excel 2003 (Microsoft, Reading, UK) or Minitab 15 (Minitab Inc., State College, PA, USA).

### Results

Figure 2 shows a plot of force against displacement for a disposable and a reusable speculum. It can be seen that the gradient of the line (stiffness) is greater for the reusable speculum compared with the disposable speculum. The stiffness values for the speculae are shown in Table 1. The stiffness values of the reusable speculae were in the range 0.1325–0.1375 N/mm, with a mean and SD of 0.1348 and 0.0026 N/mm, respectively. For the disposable speculae the mean stiffness was 0.0966 N/mm with an SD of 0.0070 N/mm. The range of values was 0.0871–0.1037 N/mm. A two-sample  $t$ -test

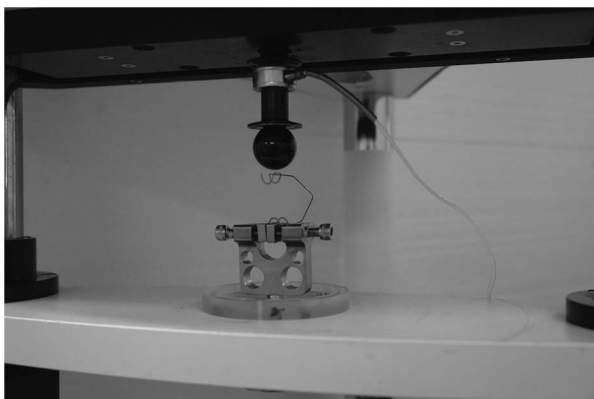


Figure 1 Speculum testing.

showed that the stiffness of the reusable speculum was significantly greater than the disposable speculum ( $P = 0.002$ ).

### Conclusion

Ptosis, entropion, ectropion, and epiphora are known complications of routine intraocular surgery, although patients are rarely warned that they may occur. Ptosis has been reported in as many as 44.4% of patients undergoing routine cataract surgery at 6 weeks,<sup>6</sup> although for the majority of patients this resolves without treatment.<sup>11</sup> Causes of temporary ptosis are thought to include eyelid oedema, indirect infiltration of the LPS by retrobulbar or peribulbar anaesthesia, and ocular surface disturbance.<sup>11</sup>

Permanent postoperative ptosis is widely thought to be due to dehiscence of the LPS aponeurosis: the clinical features of the ptosis include good levator function and a

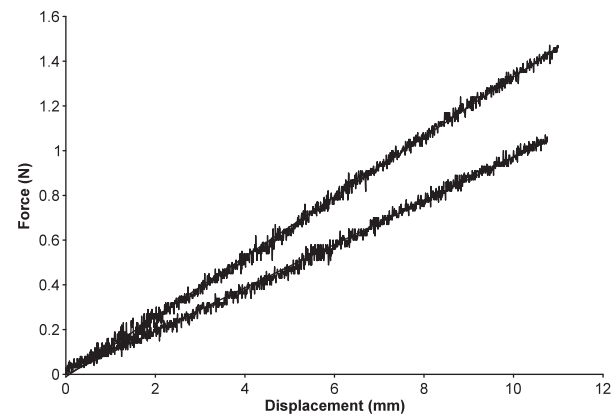


Figure 2 Force against displacement for a disposable speculum (sample 3) and a reusable speculum (sample 3). The upper curve is for the reusable speculum with a curve fit of  $F = 0.1325x$ . The lower curve is for the disposable speculum with  $F = 0.0968x$ .

Table 1 Values of the constant A for the line  $F = Ax$

Speculum	Stiffness (N/mm)	R <sup>2</sup>
Disposable 1	0.0871	0.992
Disposable 2	0.1037	0.992
Disposable 3	0.0968	0.996
Disposable 4	0.0988	0.996
Reusable 1	0.1375	0.987
Reusable 2	0.1327	0.997
Reusable 3	0.1325	0.997
Reusable 4	0.1364	0.997

R<sup>2</sup> is a squared correlation coefficient and shows how well the line fits the data points.

high lid crease. Furthermore, Paris and Quickert<sup>7</sup> observed disinsertion of the LPS aponeurosis from the epitarsus during post-cataract ptosis repair. Others have since repeated this observation.<sup>8,9</sup> Deady *et al*<sup>12</sup> observed that patients who developed a post-cataract ptosis were more likely to have a pre-existing ptosis on the contralateral (unoperated) side. They postulated that these patients had weakened LPS aponeuroses, which made them vulnerable to postoperative ptosis.

Various factors have been investigated as a cause of the LPS aponeurosis dehiscence including lid oedema, the use of a bridle suture, the speculum, or a combination of these factors. Paris and Quickert<sup>7</sup> speculated that prolonged eyelid oedema caused dehiscence of the LPS aponeurosis. However, subsequent studies have found no association between the amount of lid oedema and subsequent postoperative ptosis.<sup>3,6</sup> Singh *et al*<sup>6</sup> found the rate of postoperative ptosis to be almost doubled when a speculum and a superior rectus bridle suture were used together, compared with a bridle suture alone. They concluded that the speculum pulls the LPS aponeurosis superiorly whereas the bridle suture pulls the superior rectus downward. As the superior rectus and LPS are strongly attached, this was thought to result in dehiscence of the relatively weak LPS aponeurosis. However, Patel *et al*<sup>2</sup> found the rate of postoperative ptosis to be the same whether a bridle suture was used or not. They concluded that other factors, including the speculum, were responsible.

Linberg *et al*<sup>4</sup> reported ptosis after radial keratotomy and concluded that the speculum was responsible. They used a rigid speculum opened widely, under topical anaesthesia with no bridle sutures or lid block. They speculated that trauma to the lid and thus to the LPS aponeurosis results from contraction of the orbicularis oculi against the rigid speculum.

Lower lid malpositions after intraocular surgery have been less widely studied, and the incidence of entropion or ectropion after intraocular surgery is not known. Hosal *et al*<sup>1</sup> studied 124 patients undergoing extracapsular cataract extraction with retrobulbar anaesthesia and a Van Lint facial nerve block, a flexible wire speculum, and a superior rectus bridle suture. In all, 4% of these patients developed punctal ectropion. It has been postulated that postoperative entropion or ectropion may be caused by dehiscence of the capsulopalpebral fascia in a similar manner to that of the LPS aponeurosis in postoperative ptosis.<sup>10</sup> The cause of this putative dehiscence is also not known.

Until now, no one has measured the stiffness of lid speculae. Our results show a significant difference in the stiffness between two types of lid speculae widely used in practice, with the reusable speculae having a greater stiffness. This means that when the reusable speculum is

used it will exert a greater force on the lid, for the same given displacement. We hypothesize that the use of stiffer speculae are associated with an increased incidence of postoperative lid malpositions.

Furthermore, we would anticipate that patients with smaller palpebral apertures and tight eyelids are more vulnerable to these complications with the stiffer speculae, as a result of the greater force exerted.

This hypothesis is supported by previous studies. Linberg *et al*<sup>4</sup> thought that a rigid speculum was the cause of postoperative ptosis in their patients. Hosal *et al*<sup>1</sup> found that patients with smaller palpebral apertures were more likely to develop ptosis. Lower incidences of postoperative ptosis have been reported after general anaesthesia, compared with local anaesthesia.<sup>12,13</sup> One reason may be that under general anaesthesia muscle relaxants prevent patients from squeezing against a rigid speculum, whereas they are not prevented from doing this under local anaesthesia.

We suggest that the more rigid speculae are avoided in patients with small palpebral apertures and vulnerable LPS aponeuroses. We are now planning a clinical study to look into the *in vivo* variation in forces exerted on eyelids to determine whether this correlates with subsequent lid malpositions.

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## Summary

### What was known before

- Postoperative lid malpositions are known complications of routine intraocular surgery, even under topical anaesthesia. Recent studies have implicated the lid speculum as a factor. Patients with narrower vertical palpebral apertures have been shown to develop postoperative ptosis more frequently, but the reason is unknown.

### What this study adds

- Different speculae exert significantly different forces on patients' eyelids during surgery. This study shows that the patients who will experience the greatest compression from the speculae are those with the smallest palpebral apertures. This may explain why these patients are more likely to develop postoperative lid malpositions.
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## Conflict of interest

The authors declare no conflict of interest.

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