

Long-lasting transition toward sustainable elimination of desert malaria under irrigation development

Andres Baeza^a, Menno J. Bouma^b, Ramesh C. Dhiman^c, Edward B. Baskerville^a, Pietro Ceccato^d, Rajpal Singh Yadav^{c,e}, and Mercedes Pascual^{a,f,g,1}

^aDepartment of Ecology and Evolutionary Biology, ^bCenter for Computational Medicine and Bioinformatics, and ^fHoward Hughes Medical Institute, University of Michigan, Ann Arbor, MI 48109; ^cLondon School of Tropical Medicine and Hygiene, London WC1E 7HT, United Kingdom; ^dNational Institute of Malaria Research (ICMR), New Delhi 110077, India; ^eInternational Research Institute for Climate and Society, The Earth Institute, Columbia University, New York, NY 10964; and ^gDepartment of Control of Neglected Tropical Diseases, World Health Organization, 1211 Geneva 27, Switzerland

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In arid areas, people living in the proximity of irrigation infrastructure are potentially exposed to a higher risk of malaria due to changes in ecohydrological conditions that lead to increased vector abundance. However, irrigation provides a pathway to economic prosperity that over longer time scales is expected to counteract these negative effects. A better understanding of this transition between increased malaria risk and regional elimination, in particular whether it is slow or abrupt, is relevant to sustainable development and disease management. By relying on space as a surrogate for stages of time, we investigate this transition in a semidesert region of India where a megairrigation project is underway and expected to cover more than 1,900 million hectares and benefit around 1 million farmers. Based on spatio-temporal epidemiological cases of *Plasmodium vivax* malaria and land-use irrigation from remote sensing sources, we show that this transition is characterized by an enhanced risk in areas adjacent to the trunk of the irrigation network, despite a forceful and costly insecticide-based control. Moreover, this transition between climate-driven epidemics and sustained low risk has already lasted a decade. Given the magnitude of these projects, these results suggest that increased health costs have to be planned for over a long time horizon. They further highlight the need to integrate assessments of both health and environmental impacts to guide adaptive mitigation strategies. Our results should help to define and track these transitions in other arid parts of the world subjected to similar tradeoffs.

vector-borne diseases | agricultural development | epidemic malaria | irrigation gradient | environmental health

In agricultural economies, food insecurity imposes a strong pressure to extend agriculture to marginal areas. In low-rainfall regions, irrigation offers considerable rewards, creating water resources for irrigation and other uses. On either side of the border of India and Pakistan, an extensive arid region is intersected by large rivers carrying water from the Himalayan glaciers and rainwater from a short rainy season. Hundreds of millions of people depend on the southwestern monsoon for their survival. Over the centuries, its periodic failure and severe ensuing drought and famine conditions have provided a strong incentive to develop and extend irrigation. The continuing expansion of the Indian population in the 21st century adds further pressure to optimize the country's water resources for agriculture, fisheries, and industrial and general use.

The development of water resources, in the context of malaria and dengue, exemplifies a central challenge in sustainability science: How can we achieve socioeconomic development based on land-use transformations, with concomitant increases in human well-being, when the transformations can compromise ecosystem services and human health for present and future generations?

For arid regions, concerns have been raised about the consequences for malaria epidemiology resulting from ecological changes subsequent to the arrival of irrigation, with several studies reporting local increases in prevalence and parasitemia

(1–4). By increasing surface water levels, irrigation modifies ecohydrological conditions of the landscape, creating more standing bodies of water for longer periods of time (5), thereby increasing the abundance of mosquito breeding sites and adult vector populations (6–13). In addition, agricultural development can increase the frequency of human–vector contact, when human labor and mosquito breeding seasons are synchronized (14), and promotes migration to newly irrigated areas (15), thus changing the spatial scale of malaria transmission. The global population at risk for contracting malaria due to proximity to irrigation infrastructure has been estimated at around 800 million, which represents ~12% of the global malaria burden (16).

In these dry, fragile ecosystems, where increase in water availability from rainfall is the limiting factor for malaria transmission, irrigation infrastructure can drastically alter mosquito population abundance to levels above the threshold needed to maintain malaria transmission. In northwestern India, an increase in domestic and paredomestic water storage support *Anopheles stephensi*, India's urban malaria vector. In the desert areas of Rajasthan, a rise in malaria associated with *Anopheles culicifacies* has been reported following large-scale irrigation development by the Indira Gandhi canal (17, 18). Currently, seasonal epidemics of mainly *Plasmodium vivax* occur in these semideserts, at the edge of the geographic distribution of the disease. At these fringes, *P. vivax*, with its relapses (19), has a competitive advantage over *Plasmodium falciparum*, the more malignant form of malaria that also occurs in India. *P. falciparum*, less able to persist in unfavorable transmission conditions, is

Significance

It is recognized that the risk of malaria in dry areas is increased in the proximity of irrigation infrastructure. Although historical evidence shows that eventually malaria risks subside on the road to greater prosperity and food security, how this comes about remains poorly understood. We studied changes in land use and malaria risk in a large irrigation project in a semidesert region in northwest India. The transition phase we describe, characterized by elevated malaria despite raised control efforts, has already lasted for over a decade. The protracted nature of this transition highlights the need for a long-term commitment by health authorities and international agencies supporting irrigation schemes, to monitor health impacts and sustain control measures.

Author contributions: A.B., M.J.B., and M.P. designed research; A.B. and M.P. performed research; R.C.D. contributed the data; R.C.D. and R.S.Y. contributed expertise on the study system; A.B., E.B.B., P.C., and M.P. analyzed data; and A.B., M.J.B., R.C.D., R.S.Y., and M.P. wrote the paper.

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¹To whom correspondence should be addressed. E-mail: pascual@umich.edu.

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less consistently present in this region and displays more interannual fluctuations. Because we are mostly concerned with the seminal changes of malaria resulting from irrigation in the area, we have in this study focused on changes of *P. vivax*.

Despite these environmental changes favoring transmission, historical studies in the semiarid regions of Pakistan and the former Punjab Province have also documented irrigation-based development having the opposite effect. With the arrival of irrigation in the latter half of the 19th century, large-scale migration and colonization followed, and the regional malaria burden initially rose dramatically (20, 21). However, the Eastern and Western Punjab of former British India, part of India and Pakistan, respectively, since 1947, are now rich agricultural regions with low malaria prevalence.

Apparently, ecological and socioeconomic factors alter the dynamics and distribution of the parasite, the vector, and human susceptibility, from the arrival of irrigation to its long-term stabilization. Although previous studies taken together suggest that different effects operate over different time horizons (22), observations typically correspond to different stages of the developmental process, local in time or space. No study to date has followed remotely sensed irrigation characteristics and malaria levels simultaneously over a period that encompasses these different stages or over large regions whose irrigation gradient provides a surrogate for these temporal stages.

The long-term malaria surveillance program in arid northwestern India provides a unique spatio-temporal dataset for considering just such a gradient in irrigation intensification over the last 15 y. By following the changes in malaria incidence, vegetation, and socioeconomic data at the level of subdistricts, we identify a transition phase toward sustainable low risk (elimination) lasting for more than a decade, and characterized by an enhanced environmental malaria risk despite intensive mosquito control efforts. This protracted phase highlights the need for considering health impacts in the long-term planning, assessment, and mitigation of projects related to water resources.

Results

Study Area. This research was conducted in a semiarid area of the northeast part of the state of Gujarat, in the districts of Kutch, Banas Kantha, and Patan (*SI Appendix, Fig. S1*). These districts are divided into 25 subdistricts, or *talukas*, for which the epidemiological surveillance data are aggregated (*Fig. 1A*). Due to the influence of the southwest monsoon, rainfall is extremely variable from year to year in the region. Annual rainfall ranges from 120 mm in the western part of Kutch to 600 mm in the eastern border of Banas Kantha and is concentrated between the months of June and September. This climatic pattern creates strong seasonal malaria and high variability between years (23, 24). The peak of the epidemics usually varies from August to November, depending on the parasite species and the timing and length of the rainfall season (25).

Spatio-Temporal Patterns of Malaria and Irrigation. Strong and long-lasting differences exist in malaria population dynamics between the *talukas* located in the eastern and the western parts of the study area. We identified these two main regions (depicted in red and green colors in *Fig. 1A* using a Bayesian statistical method that identifies groups of spatial locations (*talukas*) whose temporal disease dynamics are similar (26) (*Methods*). These differences, observed for *P. vivax* reflect distinct overall incidence levels, as illustrated by the time series of the monthly cases accumulated for each group (*Fig. 1B*). The identified grouping was robust to changes in modeling assumptions (*Methods*). A similar pattern was also observed for *P. falciparum*, the species with the lower and less consistent regional presence. Throughout the entire region a slow declining trend is also apparent, presumably as the result of the intense level of mosquito control intervention in the area (27). However, due to the dynamic interplay between rainfall and control intervention in the region, which can cause a tendency to cycle at decadal time scales (28), caution is needed in extrapolating this trend to the future.

The identified differences in malaria population dynamics are strongly coherent with long-term irrigation patterns. *Fig. 1C* shows a map of irrigated areas for the year 2009 obtained from remote sensing information and the spectral signature of important crops in the area (*Methods*). In *Fig. 1C*, two regions are also apparent and closely map onto the malaria clusters. Whereas the westernmost subdistricts in Banas Kantha and Patan are intensively irrigated (mainly from deep wells) and have been for over 30 years (*SI Appendix, Fig. S2*), the eastern ones, in Kutch and parts of Patan and Banas Kantha, have little irrigation. Thus, we refer to these two regions as “mature irrigated” and “low irrigated,” respectively. *Fig. 1D* shows the epidemic vulnerability of the low irrigated areas after above normal monsoon rains for the year 2003. The incidence of malaria recorded in the low-irrigated zone (red dots) was significantly higher than that observed in the mature-irrigated one (green dots). This particular large epidemic followed a very dry year (2002) with little malaria, and a reduced (reactive) insecticide coverage response in 2003 (see *Methods* for insecticide application policy). Thus, although this particular year exhibits an increase in incidence at every location, the ranking in epidemic size is consistent with the two spatial clusters defined over the whole period of study, despite differences in local climatic conditions or control intervention.

Are these differences in malaria risk between the mature-irrigated and low-irrigated areas associated with the overall level of development and wealth of these two main regions? *Table 1* summarizes the results from a statistical comparison between high and low malaria risk zones in terms of socioeconomic indicators for the year 2001 (*Methods*). In general, high-risk *talukas* had a lower proportion of literate people and more limited access to sources of improved drinking water. In addition, no significant differences were observed between the percentage of people with access to state-supplied public health and medical facilities and education. The differences between the proportion of people with access to credit from agricultural societies, however, are pronounced, with 80% of the farming communities living in the low-risk malaria area having access to credit for improving agricultural practices, compared with only 60% of those living in the high-risk area.

Change in Irrigation and Malaria Risk in Last Decade. Currently, a large irrigation project is under expansion at the edge of the mature-irrigated and low-irrigated subdistricts (*Fig. 2A*). Most of the low-irrigated territory is expected to receive the complete intended water supply for agriculture and human consumption by the year 2014. Because we do not have direct information on yearly irrigation, and therefore, on the annual change that has occurred during the same period for which the epidemiological and control data were obtained, we estimated these changes by relying on the yearly variation in vegetation coverage during the peak of the irrigation (*rabi*) season (January). During this period without rain, most of the satellite-observed vegetation in this arid environment should be the result of irrigated crops, an assumption that is supported by the observation of the seasonality of the Normalized Difference Vegetation Index (NDVI) in the area (*SI Appendix, Fig. S3*) and the spatial clustering of the vegetation outside the rainy season. Taking advantage of this seasonality and the map of true irrigation from the year 2009, we develop a classification based on a threshold value of NDVI to separate mature-irrigated and low-irrigated locations (pixels) (*Methods*). *Fig. 2A* shows the area classified as irrigated and nonirrigated for the year 2001, and *Fig. 2B* shows in red the area classified as irrigated in 2009 but not in 2001. This latter map highlights that most of the change in irrigation (outside the monsoon season) during the last decade took place in the fringe zone between the mature-irrigated and low-irrigated regions. These ecological changes occurring on the border match the path of the main irrigation canal of this megairrigation project taking place in the area of study (*Fig. 2A*). This increment in vegetation was especially pronounced in the southernmost *talukas* where the principal canal first arrived more than a decade ago (*Fig. 2B* and *SI Appendix, Fig. S4*), but the canal is expanding

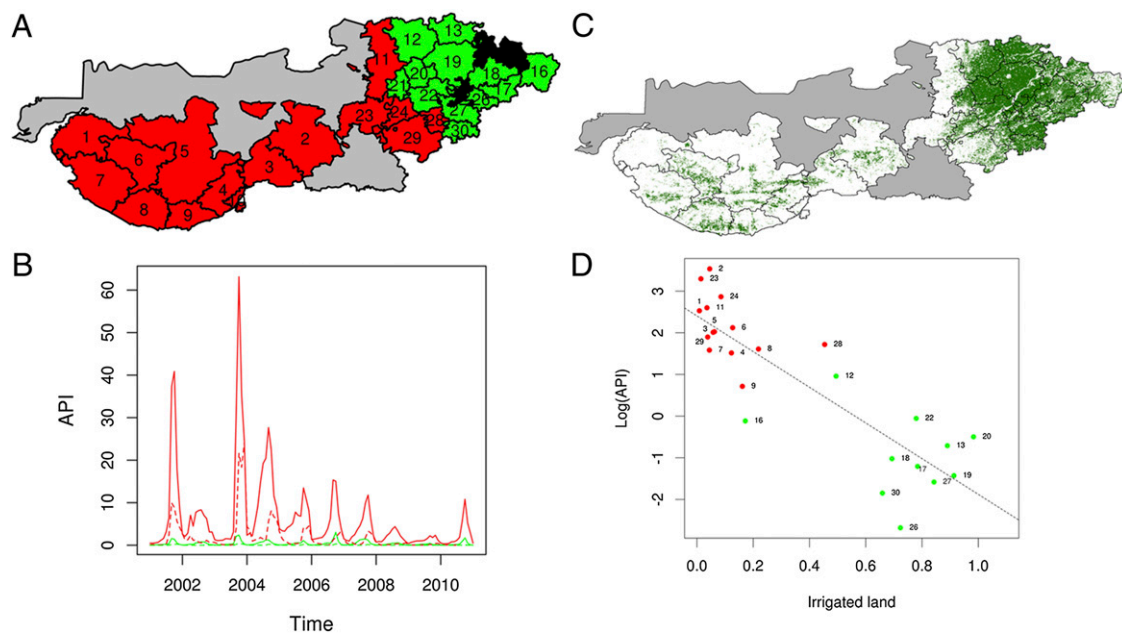


Fig. 1. Spatio-temporal pattern in malaria population dynamics and its relationship to irrigation development. (A) Two groups in the configuration of malaria risk obtained by the Bayesian grouping algorithm. Areas of high risk are colored in red and those of low risk areas, in green. (The overall results on groupings are robust to the choice of parasite species, as well as to the number of levels for the different quintile divisions of the epidemiological data.) (B) The time series of accumulated cases are shown for the two groups (red and green) and for the two malaria species (solid line, *P. vivax* and dashed line, *P. falciparum*). Most of the malaria burden in the region corresponds to *P. vivax*. For comparison with A, C shows the irrigation pattern for the year 2009, for which a detailed irrigation map was available. This comparison shows that the high and low malaria groups map respectively onto the non- (or low-) irrigated (in white) and irrigated areas (in green) respectively. Although the map is for 2009, a similar broad pattern of irrigation holds across years (*SI Appendix, Fig. S8*), and the eastern region has been irrigated for at least three decades (*SI Appendix, Fig. S2*). The detailed pattern of how irrigation has changed in the more recent decade is addressed in Fig. 2. (D) An example of the malaria-irrigation relationship for the large epidemic of the year 2003. In this plot, each point corresponds to Annual Parasite Incidence (API, cases per 1,000 people) in log scale in a particular *taluka*, during the epidemic season (September–December), as a function of the proportion of the land classified as irrigated, based on January’s vegetation from remote sensing (*Methods*). The numbers in A and D correspond to the name of a *taluka* as follows: 1, Lakhpat; 2, Rapar; 3, Bhachau; 4, Anjar and Gandiyan; 5, Bhuj; 6, Nakhtrana; 7, Abdasa; 8, Mandavi; 9, Mundra; 11, Cac; 12, Tharad; 13, Dhanera; 16, Danta; 17, Vadgam; 18, Palanpur; 19, Deesa; 20, Deodar; 21, Bhabhar; 22, Kankrej; 23, Santalpur; 24, Radhanpur; 26, Sidhpur; 27, Patan; 28, Hari; 29, Sami; and 30, Chanasma.

to the north, to the unirrigated districts of Rajasthan, with a side branch to the *talukas* in Kutch (Fig. 2A).

How do these changes in irrigation within the last decade correlate to the changes in malaria risk during the same period? The spatial distribution of malaria burden, especially within the most malarious and low-irrigated *talukas*, can be examined in more detail by dividing the time series into two periods (2000–2005 and 2006–2010), accumulating the incidence during the two periods and then normalizing them by the corresponding value for the subdistrict with the highest burden. In this way we can compare the spatial distribution of the cases independently from the yearly variation in malaria due to climate conditions or control application. These maps of spatial relative risk (Fig. 3A for 2006–2010 and *SI Appendix, Fig. S6* for 2000–2005) also highlight this same boundary region in the middle of the mature-irrigated and low-irrigated clusters. In this fringe zone, the incidence of *P. vivax* was higher than in the low-irrigated more western *talukas* of Kutch, particularly in the last part of the decade. *P. falciparum* does not show this clear distinction especially for the more recent years (*SI Appendix, Fig. S5*), given its low incidence.

Strikingly, this transition region with highest levels of malaria is also observed in the efforts to control the disease. Fig. 3B shows the percentage of population covered by mosquito indoor residual spray (IRS) application in each subdistrict between 2006 and 2010 (see *SI Appendix, Fig. S7* for 2000 and 2005). In the transition zone, up to 80% of the population qualifies for spraying; signifying the raised levels of public health efforts to address increased levels of malaria. This contrasts with the low-irrigated regions to the west, and particularly with the mature-irrigated areas that required the least intervention. This clearly

highlights that this zone is epidemiologically different from the other two regions previously described.

Based on the incidence and control of malaria and the ecological changes observed, three main ecoepidemiological zones can be recognized (Fig. 3C): (i) an area of low disease burden and low requirement of control coverage, corresponding to subdistricts that have been irrigated over a long period (several decades; *SI Appendix, Fig. S2*); (ii) a transition region with high incidence despite high control coverage (IRS coverage of 80–90% of the targeted population) in the subdistricts adjacent to the advancing irrigation project; and (iii) a low-irrigation area in Kutch with variable rainfall-dependent seasonal outbreaks and intermediate (variable) levels of required intervention.

The transition zone in malaria risk is characterized by an increased environmental impact that has now already lasted for at least a decade.

Discussion

We have shown that enhanced disease risk despite heightened intervention is concentrated in the subdistricts adjacent to the main canal that have experienced the most pronounced change in irrigation levels in the last decade. By contrast, a sustained low disease burden, not requiring high coverage with vector control, is found in neighboring subdistricts that have been irrigated for at least three decades. This long-lasting transition phase is consistent with the historical changes reported for the Punjab, once the center of some of the most devastating malaria epidemics on record (29, 30) and today one of the more prosperous food-producing parts of India, with low endemic levels of the disease. These historical changes took place in a period in excess of half a century and their dynamics remain only partially understood.

Table 1. Statistical analysis of differences between mature irrigated and nonirrigated areas

Variable	High risk	Low risk	t value	df	P value	W	W P value
Literates/illiterates	0.7577	0.8935	-0.8827	16.1796	0.3903	71	0.7675
Improved drinking water	0.9227	0.9903	-2.2142	13.9951	0.0439	36.5	0.0282
Agricultural credit societies	0.6106	0.8183	-2.7805	18.2579	0.0122	18	7.00E-04
Banks access	0.2565	0.2464	0.1966	19.1836	0.8462	83	0.7675
Education access	0.9946	0.9975	-1.0629	22.9806	0.2989	51	0.1492
Medical access	0.7705	0.6615	1.5383	22.9944	0.1376	102	0.1832
% irrigated land	0.1615	0.7172	-9.1045	21.25	<0.0001	2	<0.0001
IRS control	0.4558	0.069	4.6783	16.176	<0.0001	146	2.00E-04

Socioeconomic variables consist of the ratio between literate and illiterate populations, the proportion of the population in each *taluka* with access to potable water, agricultural credit societies, banks, and education, public health, and medical facilities. Education facilities encompass all primary and secondary schools. Medical access corresponds to the community health centers, primary health centers, subcenters, and hospitals available in each *taluka*. A two-sample location unpaired Welch's *t* test, with the level of malaria risk obtained from the cluster algorithm as a categorical variable, was used to test if the socioeconomic indicators from the *talukas* were sampled from two different normal distributions based on the level of the malaria risk. We also performed a nonparametric Wilcoxon test that does not assume normality. We applied these tests for indoor residual spray (IRS) control and for the percentage of the *talukas* under irrigation in 2001. *W*, the Wilcoxon statistic.

A better understanding of socioeconomic and ecological differences between recently irrigated and mature irrigation areas could provide the means to reduce the malaria burden and shorten the transition phase (31). On the environmental side, changes in vectors' ecology following increases in surface water levels and soil salinity have been proposed for the decrease in malaria risk in the Punjab (32, 33). Historically an enhanced malaria risk has also been related to construction activities, such as the local production of bricks and road works that create vectors' habitats by altering the landscape and fall under the "tropical aggregation of labor" (34). For the expanding population in the command area of the Sardar Sarovar Project in Gujarat, this has been recognized as a problem (4), along with the seepage of water from improperly constructed and maintained irrigation structures.

On the socioeconomic side, our observations based on the 2001 census data, show that in the cluster of subdistricts irrigated for at least three decades, farmers had easier access to agricultural credit, and populations benefited from higher literacy levels and better access to clean water. (Similar analyses for the 2011 census would be of interest when these data become available). By extending periods of water availability beyond the rainy season, irrigation creates the possibility of multicrop rotations and also facilitates the use of high yield varieties with superior economic return (35). Over the years, these changes should ensure food security and more stable income, leading to improved socioeconomic conditions and the ability of the population to seek health care and afford preventive measures, eventually spiraling out of the "malaria poverty trap" (36, 37).

Regardless of specific mechanisms, this long-lasting transition from high risk to low disease prevalence is usually accompanied

by a resource-intensive vector control operation mainly based on the use of insecticides. Given the timescale of this transition, efficient and long-term policies and sustainable intervention capability is required, especially in usually poor semiarid areas undergoing intensive and rapid ecological change, where resources to support long-term control interventions can be limited. The exacerbation of malaria risk combined with a deceleration of economic development or even the temporary relaxation of control (38) may lengthen the transition phase and allow for epidemic surprises in years of anomalous high rainfall (28), in a regression back to climate-driven dynamics. This is a concern especially in areas where groundwater extraction surpasses the current capacity of water sources (39).

Based on the high cost of interventions and the large areas involved, environmental impact assessments (EIAs) in irrigation developments should include health impact assessments (HIAs) at all different phases of the projects (40). Ongoing monitoring, surveillance, and adaptive mitigation of the negative consequences on water-borne and vector-borne diseases are needed as the projects evolve over time, as well as provision for the cost of these activities over a significant time horizon (41). During the construction phase of the Sardar Sarovar Project (1979–1985), malaria incidence increased significantly (4). Later, during the developmental activities in the command area, considerations regarding water- and vector-borne diseases were incorporated and mitigation measures implemented. Even though HIA is generally included in EIA for large-scale developmental projects, the sustained implementation of recommended measures to decrease these impacts is often incomplete (42, 43). The situation is generally more critical for small- to medium-scale developmental

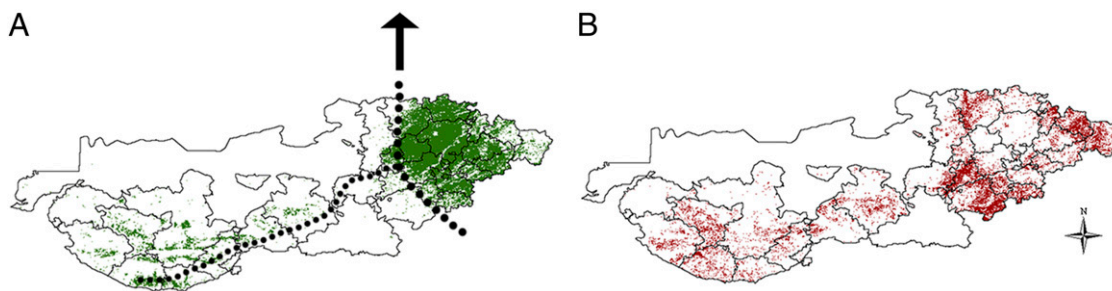


Fig. 2. Irrigation pattern and its change over time. (A) Area classified as irrigated agriculture (in green) for 2001, based on the NDVI classification of irrigated pixels outside the monsoon season (at a 250-m² resolution), as described in *Methods*. The black dotted lines in A represent the position of the trunk of the canal and its main branch to the west. (B) Areas that have experienced the most pronounced variation in irrigation levels between 2001 and 2009. Specifically, areas in red correspond to those classified as irrigated in 2009 but nonirrigated in 2001 (see also *SI Appendix*, Figs. S8 and S9).

a classification that differentiates irrigated and nonirrigated pixels based on a cutoff value in NDVI. Thus, by collecting images from the MODIS instrument, we generated a set of Boolean images between 2001 and 2013 (Fig. 2A for 2001 and *SI Appendix, Fig. S8* for other years, including 2009) that were then used to quantify the change in the proportion of irrigated land in each subdistrict (Fig. 2B for 2001–2009 and *SI Appendix, Fig. S9* for other years). The best cutoff value was obtained when the classification performance was the most accurate in predicting true occurrence of irrigated and nonirrigated locations simultaneously.

Specifically, let P_i and P_n be univariate density functions of NDVI-MODIS images for irrigated and nonirrigated classes, respectively, and F_i and F_n , their corresponding cumulative distribution functions at different cutoff values of NDVI $\in [0, 1]$. For our purposes, F_i is the empirical cumulative distribution function of the predicted probability for pixels of MODIS-NDVI images when irrigation actually occurred (BISAG map), and F_n is the empirical cumulative distribution function for areas where irrigation did not occur. We determined the value of NDVI that maximizes the Kolmogorov-Smirnov distance $D(\tau) = \max|F_i - F_n|$, where τ is the value of NDVI with the greatest distance D between the two curves. A threshold of NDVI = 0.34 (F statistic = 0.8) was found as the best value for this binary classification.

Group Inference via a Markov Transition Model. To identify groups of locations with similar dynamics, we used a nonparametric Markov transition model (48) in a Bayesian framework (26). Under this model, the data are discretized into

a set of finite levels by putting all zeros in the lowest level and then dividing the remaining data into observed quantiles of cases per capita. Transitions between levels over time are described by a Markov transition matrix. Locations are assigned to different groups, and within a group each location's time series is assumed to follow the same transition matrix. Good groupings thus have locations with similar dynamics assigned to the same groups, and the goal is to identify the best grouping, defined as the one with the highest marginal likelihood. Transition-matrix rows were assigned noninformative Jeffreys priors (49), and the marginal likelihood was evaluated analytically for all $2^{24} = 16,777,216$ different arrangements into one or two groups. We checked results for robustness to changes in transition-matrix priors, to the number of disease levels, and to changes in the number of groups. We also compared the results to an equivalent maximum-likelihood analysis, which yielded identical results.

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