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When host defense goes awry: Modeling sepsis-induced immunosuppression

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Abstract

Sepsis is associated with an initial hyperinflammatory state; however, therapeutic trials targeting the inflammatory response have yielded disappointing results. It is now appreciated that septic patients often undergo a period of relative immunosuppression, rendering them susceptible to secondary infections. Interest in this phenomenon has led to the development of animal models to study the immune dysfunction of sepsis. In this review, we analyze the available models of sepsis-induced immunosuppression.

Introduction

Sepsis affects approximately 700,000 people annually, and was the 11th overall cause of death in 2009 [1]. Sepsis was initially regarded as an overly vigorous immune response to infection. Despite extensive research, few therapies have improved outcomes. Although activated protein C and the use of early goal-directed therapy can improve survival in selected patients [2, 3], immunosuppressive approaches targeting the inflammatory response have failed in clinical trials.

Subsequent studies have revealed that many patients with sepsis develop a state of immunologic quiescence, referred to as compensatory anti-inflammatory response syndrome (CARS). While this reflects an attempt to limit ongoing injury [4], patients display an attenuated immune response to infectious stimuli, thereby enhancing susceptibility to secondary infections. Many patients survive the initial bout of sepsis only to succumb to secondary infections. This may explain why trials of anti-inflammatory therapies such as high-dose corticosteroids and anti-cytokine agents failed to show benefit [5-10].

Models of Sepsis-induced immunosuppression

In vitro Models

The mammalian immune system recognizes pathogens through families of receptors known as pattern-recognition receptors. The Toll-like receptor (TLR) family recognizes molecular structures that are unique to pathogens and not present in mammalian cells, such as

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lipopolysaccharide (LPS), which is present on the outer membranes of gram-negative bacteria. LPS, also known as “endotoxin,” is recognized by Toll-like receptor 4, resulting in the production of proinflammatory cytokines. *In vitro* exposure of monocytes and macrophages to LPS results in the release of inflammatory cytokines, such as TNF- α , IL-6, and IL-8. [11, 12]. LPS was originally regarded as a major cause of the sepsis syndrome, given its proinflammatory effects and resultant shock when administered to animals experimentally.

However, in cells, repetitive exposure to LPS leads to decreased production of proinflammatory cytokines, particularly TNF- α [12]. This state of hyporesponsiveness following repeat challenge is termed endotoxin tolerance, and is reminiscent of the hyporesponsiveness observed in cells isolated from septic patients and experimental animals. Indeed, monocytes from patients with sepsis appear to display similar phenotypes as endotoxin-tolerant cells [13, 14]. Through *in vitro* studies, mechanisms that have been identified include downregulated expression of surface TLR4, alterations in the NF-kappa;B transcription factor complex for inflammatory gene expression, and upregulation of inhibitors of TLRs [15-17]. This phenomenon has also been observed with repeated stimulation by other TLR ligands, such as peptidoglycan (TLR2 agonist) [18]. Hence, the *in vitro* system of endotoxin tolerance is useful for elucidating specific molecular or cell-specific pathways that might also mediate sepsis-induced immunosuppression.

In vivo Models

Several *in vivo* models have been created to replicate sepsis in experimental animals, including mice, rats, dogs, guinea pigs, and rabbits. Most of the studies are performed in mice, given the availability of reagents to examine the immune response and the ease of generating genetically modified animals. Limitations with these models include the fact that they do not entirely reflect the clinical manifestations and temporal features of sepsis in human patients. Nonetheless, these models have been invaluable to unraveling the complexities of the immune response during sepsis. We will examine the most commonly used models, which include administration of bacterial components – particularly, endotoxin or LPS; systemic administration of live bacteria; and two similar models of septic peritonitis, the cecal ligation and puncture (CLP) model and the colon ascendens stent peritonitis (CASP) model.

Endotoxin models—The earliest and simplest models of sepsis entail the systemic (intravenous or intraperitoneal) administration of bacterial products, particularly endotoxin or LPS, into animals [19]. Endotoxemia models employ either a single, large bolus injection of endotoxin, or a continuous infusion [20]. While high doses of LPS may cause the hemodynamic collapse and mortality seen in sepsis, the quality and kinetics of the immune response are markedly different from human sepsis. For example, intraperitoneal LPS (250 mcg) resulted in >85 % mortality, with peak levels of pro-inflammatory cytokines (e.g., TNF, IL-1, IL-6) occurring early (i.e., between 1.5-4 hours) after the insult, and decreasing levels starting at 8 hours [21]. Although this robust and transient burst of cytokine production is similar to what is observed in human subjects following experimental administration of intravenous endotoxin [22], patients with sepsis usually have a more gradual and prolonged increase in cytokine levels, limiting the utility of the endotoxemia model for understanding the pathogenesis of sepsis.

Nonetheless, the endotoxin model has been used to examine endotoxin-induced alterations in immune cell function. Intraperitoneal injections of 50 μ g of LPS for two days followed by injection of 300 μ g on day 4 resulted in an endotoxin tolerant state, which the authors found were characterized by decreased IFN- γ levels and decreased responsiveness to the

inflammatory cytokine, IL-12 [23]. Loss of antigen-presenting cells such as dendritic cells (DCs) is believed to be a mechanism of sepsis-induced immunosuppression. Intravenous LPS in a murine model resulted in loss of splenic DCs and decreased ability of DCs to sensitize T lymphocytes at 48 hours [24]. Finally, in rat models of systemic LPS administration, alveolar macrophage function was found to be impaired, including phagocytosis of bacteria and production of reactive oxygen species [25, 26]. Hence, it appears that exposure to endotoxin in vivo can result in some features of sepsis-induced immunosuppression, despite not being completely representative of the immune response of clinical sepsis.

Cecal Ligation and Puncture—The cecal ligation and puncture (CLP) model is generally regarded as the “gold standard” for animal models of sepsis. In particular, it simulates the development of an abdominal abscess, resulting in the development of polymicrobial sepsis. In addition, this model has been demonstrated to be useful in examining the immunosuppression following sepsis by challenging the animal with a pathogen at various time points after CLP.

The procedure was originally developed by Wichterman and Chaudry [27] and was recently described in detail by Rittirsch et al [28]. A vertical incision is made along the midline of the murine abdominal wall, and the peritoneum entered. The cecum is then located and exteriorized, followed by ligation at the blind end, taking care to avoid bowel obstruction. The feces are pushed distally to the end of the cecum, followed by a single through-and-through puncture with a sterile needle. The cecum is then replaced into the abdominal cavity and the abdominal wall is closed. The severity of sepsis can be manipulated by varying the distance from the ileocecal junction that the cecum is ligated, increasing the size of the puncture, or increasing the number of punctures made. We and others have found in the murine model of CLP that a single puncture by a 26-gauge needle results in 100% survival [29]. Control animals undergo “sham” operation, which is performed by making an abdominal incision, exposing the cecum, and replacing it back into the abdominal cavity without ligation or puncture.

The immunosuppression following sepsis is examined in the CLP model by challenging septic animals with a secondary infection, most commonly with a respiratory pathogen such as *Pseudomonas aeruginosa*. CLP followed by intratracheal bacteria is considered a “two hit” model that aims to recapitulate the clinical scenario where the patient survives the initial insult of sepsis only to succumb to a secondary infection by a pathogen that normally would not cause disease in a healthy host. For example, *Pseudomonas aeruginosa* is a common cause of nosocomial pneumonia that almost never causes pneumonia in immunocompetent hosts. In our hands, animals rendered septic by CLP have 80% mortality when given intratracheal *P. aeruginosa* at a dose of 1×10^5 CFU, whereas animals undergoing either sham surgery or CLP alone, or sham-operated animals given the same dose of *P. aeruginosa* had 100% survival [29]. Even if the challenge dose of *P. aeruginosa* was decreased 10-fold (i.e., 1×10^4 CFU), septic animals still had significantly increased mortality compared to non-septic hosts, demonstrating that the CLP model indeed leads to marked impairment of pulmonary host defense. Other pathogens used in combination with CLP have included *Candida albicans* and *Aspergillus fumigatus* [30, 31], which are increasingly being appreciated as an important cause of secondary infections in patients with prolonged critical illness and reflective of an immunosuppressed state.

The CLP model has been useful in elucidating a myriad of mechanisms mediating the immunosuppressive effects of sepsis, including impairment of both innate and adaptive immunity. CLP-induced sepsis is evidenced by a relative shift from proinflammatory to anti-inflammatory cytokines in response to secondary bacterial challenge in the lung. For

example, TNF- α and IL-6 levels were decreased while the anti-inflammatory cytokine IL-10 was elevated in the lungs of CLP mice that had undergone secondary infection with *P. aeruginosa* [32-34]. Type 2 cytokines (IL-4, IL-13, TGF- β , and CCL2) in the lung following CLP were noted to be elevated suggesting that immunosuppression occurs in the lung after CLP [30]. In addition, lung macrophages from septic mice had decreased inflammatory cytokine responses when stimulated ex vivo with LPS, compared to macrophages from non-septic animals [29, 32]. Similarly, dendritic cells isolated from the lungs following CLP produced increased levels of IL-10 and lower levels of IL-12 and TNF- α [30].

The immunosuppression of sepsis involves antigen-presenting cells such as dendritic cells (DC). Following CLP, mice succumb to intratracheal injection of *Aspergillus fumigatus*. This is partially due to DC dysfunction as intratracheal injection of bone-marrow derived DCs restores immune function to challenge with *Aspergillus fumigatus* [30]. Transfer of bone marrow-derived DCs from post-CLP operated mice led to higher bacterial load in the lung of mice infected with intranasal *P. aeruginosa*. This was associated with a decrease in IL-12 and IFN- γ , consistent with a transition from the inflammatory state of sepsis to the immunosuppressive state [35]. Hence, the CLP model has been useful for examining DC function during sepsis.

Apoptosis of leukocyte populations, including DCs, CD4 and CD8 T cells, occurs during sepsis and is a major contributor to the immunosuppression of sepsis [36]. DCs provide the costimulatory signal needed for activation of T lymphocytes. Following CLP, DC populations in the spleen of the mice showed increased caspase-3 activity and resultant apoptosis [37, 38]. By causing apoptosis of follicular DCs, the immunosuppression of sepsis may prevent the maturation of B cells and prevents class switching and proliferation. In addition, CLP induces upregulation of pro-apoptotic factors that reflects observations made in patients with sepsis. For example, programmed death-1 (PD-1) is an inhibitory molecule that is upregulated on CD4 and CD8 T cells, B cells, and monocytes in septic patients and has been linked to increased lymphocyte apoptosis and poor clinical outcomes.[39, 40] Similarly, the CLP model also leads to upregulation of PD1 and its ligand, PD-L1. Interaction between PD-1 and PD-L1 leads to increased apoptosis. Blockade of PD-L1 decreases lymphocyte apoptosis through caspase-mediated mechanisms in the spleen and thymus of septic animals following CLP, resulting in increased lymphocyte number and improved survival, thereby reversing the immunosuppression of sepsis. [41].

In a two hit model of sepsis using CLP followed by intravenous injection of *Candida albicans*, mechanisms of T cell suppression mice had decreased mortality after neutralization of CTLA-4, which has an inhibitory role on T cell function. Treatment with anti-CTLA-4 was able to decrease sepsis-induced apoptosis of lymphocytes [31]. T regulatory cells (Tregs) are regarded as a “suppressive” T cell population whose role in sepsis-induced immunosuppression has been investigated in the CLP model. Following CLP, Treg numbers increase in frequency, which is associated with decreased proliferation of CD4 T cells. Anti-GITR (glucocorticoid-induced tumor necrosis factor receptor family-related gene) prevents Tregs from functioning. A model using CLP followed by intranasal *Legionella pneumophila* showed that the immunosuppression of sepsis could be reversed with treatment with anti-GITR, suggesting that Tregs may be responsible for some of the immunosuppression of sepsis [42].

Inhibition of Toll-like receptors prevents early recognition of pathogens resulting in impaired clearance of secondary infection in sepsis. Short form MyD88, A20, IL-1 receptor-associated kinase (IRAK)-M [29, 43] and suppression of tumorigenicity 2 (ST2) are thought to be among the mechanisms of this inhibition. ST2L, a transmembrane product of the *st2* gene, is a negative inhibitor of TLR signaling. IL-33 is the ligand for ST2. ST2 knockout

mice reversed the decrease in production of TNF-alpha and IFN-gamma by CD4 + and CD8+ T cells, indicating its importance in the immunosuppression of secondary infection following sepsis [33].

The duration of immunosuppression has also been examined in the CLP model. Four days after CLP, splenocytes taken from the mice show a reduced ability to produce IFN- γ , but had renewed ability to produce IFN- γ by day 7. This ability to produce IFN- γ correlated with the period of increased susceptibility to secondary infection with intranasal *Pseudomonas aeruginosa*. Mice given a secondary infection of *P. aeruginosa* had increased mortality at 4 days while those receiving a secondary infection at 7 days, had similar mortality to either CLP or pneumonia alone [44]. This time frame also correlated with a change in cytokine profile from an inflammatory state to an immunosuppressive state with higher levels of IL-10 and lower levels of IL-1 β , IL-6, IFN- γ and G-CSF [44]. Other models of secondary infection (e.g., intranasal *Legionella pneumophila*) following CLP have demonstrated increased susceptibility lasting out to at least 30 days [42].

In summary, the CLP model has been invaluable for investigating the immune mechanisms and molecular pathways underlying sepsis-induced immunosuppression.

Colon Ascendens Stent Peritonitis—Another model of sepsis is the colon ascendens stent peritonitis (CASP) model where stents of varying size are placed in the cecum [45]. This allows a continuous stream of fecal material and bacteria to leak into the peritoneal space. In addition, adjusting the size of the stent (ranging from 14-22 gauge in diameter) can lead to a low versus a high mortality model [46]. Unlike the CLP model, the CASP model does not result in an abdominal abscess, rather it leads to formation of diffuse peritonitis [47]. Less is known about whether the CASP model accurately replicates the concept of immunosuppression following sepsis. However, both proinflammatory and anti-inflammatory cytokines rise concurrently rather than sequentially, and generally are higher than what is observed with CLP [47].

In contrast to the CLP model, proinflammatory cytokines (TNF-alpha and IL-1 β) continue to rise in the CASP model [47], likely reflecting ongoing active inflammation as fecal matter and bacteria continue to leak from the stent. Interferon-gamma receptor deficient mice quickly die after the CASP surgery, indicating an ongoing uncontrolled proinflammatory state [46]. Consistent with CASP reflecting an ongoing state of inflammation that may lead to death is evidence that anti-inflammatory treatments improve survival. Treatment with the anti-inflammatory tumor necrosis factor-related apoptosis-inducing ligand (TRAIL) decreases apoptosis in the thymus and decreases mortality in mice undergoing CASP [48]. Likewise, CCR4 $^{-/-}$ mice undergoing CASP had improved survival, likely reflecting decreased inflammation with decreased levels of IL-6 and CCL2 [49]. Therefore, while CLP simulates a model of inflammation followed by immunosuppression, CASP simulates a model of ongoing severe inflammation. CASP is also more technically difficult to do than the CLP, in that care must be taken during placement to ensure stent patency.

Like sepsis in humans, use of activated protein C has been shown to improve survival in mice undergoing the CASP procedure [19]. In addition, the CASP model has similarities to sepsis in humans in that acute inflammatory lung injury can develop after development of sepsis [50].

Gram Positive Sepsis Models—Other less used models include peptidoglycan intraperitoneal injection [51] models of sepsis which mirror the intraperitoneal injection of LPS in gram negative sepsis animal models. This serves as a model for gram positive sepsis.

In other more elaborate models, a fibrin clot full of *Staphylococcus aureus* is implanted in the peritoneum of a mouse [52].

In silico Models

More recently, there have been developments of mathematical models to reflect the acute inflammatory state [53]. While still in the early stages of development, a multidisciplinary group of researchers was able to come up with a model to closely mimic experimental data by using ordinary differential equations. In particular, the model was able to predict the inflammatory response in surgery/hemorrhage followed by LPS. With time, these models may be able to streamline animal studies and reduce animal use.

Model Comparison and Translation to Humans

While the *in vitro* models are useful for elucidating specific molecular pathways, they do not simulate the complexities of sepsis, which is a systemic illness. With LPS challenge and rechallenge, the *in vitro* model can be used to study how different cell types respond and contribute to the immunosuppression of sepsis. Monocytes in critically ill septic patients have lower HLA-DR and CD86 expression [54], making them less able to provide the costimulatory signal needed to activate T cells. T cells also had less CD28 expression in critically ill septic patients [54], again decreasing the costimulatory signal needed for activation. IRAK-M is a negative regulator of the TLR-4 pathway, partially responsible for the immunosuppression of sepsis, and IRAK-M mRNA levels are also increased in human monocytes after LPS injection [55] and during sepsis [56], similar to *in vitro* models.

The *in silico* models suffer from a different limitation in that they require knowledge of the underlying immune pathways and build upon that. They are in the early stages of development and, as of now, cannot predict new immunological pathways. What they are most useful for appears to be in streamlining animal studies.

The most representative models of human sepsis appear to be the two primary animal models of CLP and CASP. While the CLP model can be used to demonstrate the same immunosuppression of sepsis that is seen in human patients, it is not clear that the CASP model is able to recapitulate the immunosuppression of sepsis at the moment. Both models are able to simulate the initial hyperinflammatory state of sepsis. While the CLP model has an endpoint where an abdominal abscess forms, allowing for the immunosuppression of sepsis to emerge, the CASP model continues to allow bacteria and fecal content to leak into the peritoneal space causing an ongoing state of infection and inflammation. Closing the stent in the CASP model would be an easy adaptation to allow for the emergence of the immunosuppression of sepsis. This would allow for control of the size of the stent to simulate different degrees of sepsis and of the time the animal is exposed to the hyperinflammatory state of initial sepsis. Because the stent sizes are fixed, this would allow a more standardized approach to the initial state of sepsis whereas the CLP model has more variability as the degree of sepsis depends on the location, size and number of punctures of the cecum.

Conclusion

While treatments focused on the inflammatory phase of sepsis have largely been unsuccessful, treatments focused on reversing the immunosuppressive phase of sepsis may be beneficial. The CASP model simulates sepsis with ongoing inflammation, though it does not simulate sepsis-induced immunosuppression. The CLP model currently best represents an episode of sepsis followed by the immunosuppression of sepsis. During this ensuing phase, another infection can be introduced to test sepsis-induced immunoparalysis and the

animal's response to this infection. It is hoped that treatments directed toward this second phase of sepsis can translate into human trials with improved outcomes in septic patients.

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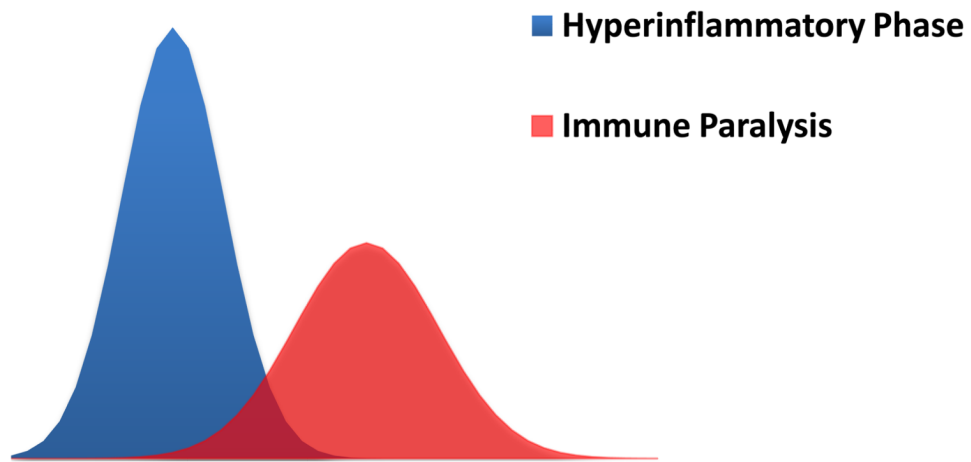


Figure 1. Evolution of sepsis. A state of relative immune paralysis often develops concurrently or subsequent to the initial inflammatory state of sepsis, resulting in increased susceptibility to secondary infections. Although most patients survive the initial insult of sepsis, they can succumb to a secondary infection if the balance between pro- and anti-inflammatory immune responses is dysregulated.

Table
Comparison Summary Table

Comparison Summary Table of Models Used to Study Sepsis-Induced Immunosuppression

	<i>In vivo</i> model 1: Cecal Ligation and Puncture	<i>In vivo</i> model 2: Colon Ascendens Stent Peritonitis	<i>In vitro</i> model	<i>In silico</i> model
Pros	Mimics abdominal polymicrobial sepsis Reproduces the inflammatory phase of sepsis and the resultant sepsis-induced immunosuppression	Mimics ongoing sepsis from polymicrobial diffuse peritonitis.	Allows study at cell-specific and molecular level	No laboratory equipment, animals or biological samples needed
Cons	Not able to replicate other causes of sepsis	May not simulate the immunosuppression of sepsis, given the ongoing inflammatory stimulus	Unable to integrate the immune system's response as a whole	Depends on previously discovered pathways and responses
Best use of model	Study of pathogenetic mechanisms and preclinical treatment trials	Study of the inflammatory phase of sepsis and possible treatment trials	Study of molecular and cell-specific pathways	Helps to streamline animal studies
References	[27]	[42]	[11]	[50]