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Blood Volume Measurements in Patients with Heart Failure and a Preserved Ejection Fraction (HFPEF): Implications for Diagnosing Anemia

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Abstract

Racial differences in the prevalence of anemia in patients with heart failure have been noted. The diagnosis of anemia in heart failure patients can be confounded by many factors. Plasma volume expansion is one of the most prominent confounders. We will study the difference of anemia prevalence using two different diagnostic techniques: peripheral hemoglobin recommended by the World Health Organization (WHO criteria) and blood volume (BV) analysis. We will also compare racial disparities in the prevalence of anemia using both measures. 60 patients with heart failure and preserved ejection fraction (HFPEF) underwent measurement of BV by a radio-labeled albumin technique. Anemia was defined by both WHO criteria and by measured RBCV>10% below ideal. Anemia was found in 67% of patients by the peripheral hemoglobin technique with no racial disparity. Only 35% of the patients had anemia by the BV analysis with a two fold higher prevalence among Hispanics compared to Whites and Blacks. In patients with HFPEF, the diagnosis of anemia based on hemoglobin is confounded by plasma volume derangements resulting in significant over-diagnosis in this cohort. Racial differences in the rate of anemia were found. Such data could have important implications for the diagnosis and management of anemia in ethnic minorities with HFPEF.

Keywords

Heart Failure; anemia; ejection fraction; blood volume; overdiagnosis

Introduction

Anemia is common in subjects with heart failure, associated with increased morbidity including hospitalizations, mortality, and a reduced quality of life ¹. These associations are present in heart failure, regardless of LVEF ^{2–3}. These data have led to several randomized clinical trials using erythropoietin stimulating agents^{4–5}, iron⁶ and their combination^{7–8}, predominately in subjects with systolic heart failure, to determine safety and efficacy. These trials have demonstrated reduction in hospitalizations, improvement in functional capacity and ventricular function but are limited by their small sample size and short duration ⁹.

The diagnosis of anemia is usually made by measurement of hemoglobin values from standard peripheral blood. However, in patients with volume overload states such as systolic heart failure, hemodilution has been shown to be a common cause for low hemoglobin¹⁰ and has been suggested to be the most potent factor for the low hemoglobin observed in subjects

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with heart failure and a reduced ejection fraction¹¹. Such data raise concerns that for many patients with systolic heart failure, treating anemia with agents to stimulate red cell production may not be justified. Additionally, alterations in plasma volume (PV) occur as a compensation for the contracted red blood cell volume (RBCV) in order to maintain the

overall blood volume (BV) at a constant level ¹², and could also confound the diagnosis of anemia. Finally, the chronic use of medications such as diuretics that act by contracting plasma volume could result in an under-diagnosis of anemia based on standard hemoglobin measures.

In the general population ^{13–14} and among patients with heart failure either in the setting of a reduced or normal/preserved ejection fraction², the prevalence of anemia is higher among Blacks than Whites. Despite the fact that Hispanics are the largest and fastest-growing ethnic minority in the United States¹⁵, data on the prevalence of anemia in this cohort in comparison to other racial groups in subjects with heart failure is lacking. We hypothesized that analysis of blood volume in subjects with HFPEF could provide insights into racial differences among subjects affected by this heterogeneous clinical syndrome.

Methods

Study Subjects

Subjects were outpatients referred for evaluation and treatment to the Columbia University Medical Center Heart Failure Center. Subjects aged >21 years diagnosed with HF with a preserved ejection fraction (e.g. 45%) were studied. The diagnosis of heart failure was based on the National Health and Nutrition Examination Survey congestive heart failure criteria with a score >=3.¹⁶ Subjects with acute decompensated HF, severe renal dysfunction (serum creatinine >3.0 mg/dl or history of nephrotic syndrome), and severe hepatic dysfunction (serum liver enzymes >3 times the upper limits of normal or history of cirrhosis) were excluded. Cardiac medications included diuretics, digoxin, renin-angiotensin system inhibitors, and/or beta-adrenergic receptor antagonists that were stable before the measurement of blood volume. Sixty ambulatory patients with HFPEF were studied: 33% white, 40% Latino, 27% Black. The Institutional Review Board at Columbia University Medical Center approved the protocol. All subjects gave written informed consent before participation.

Hemoglobin measures

Hemoglobin was measured as part of a routine complete blood count from the hospital core laboratory (Sysmex XE 2100; Sysmex Corporation, Kobe, Japan). Anemia was defined according to WHO criteria as a hemoglobin <12 gm/dl in women and <13 gm/dl in men¹⁷.

Blood Volume Analysis

Plasma volume was determined after the intravenous administration of iodine-131-labeled albumin, as has been described previously^{18–19}. Blood volume and red blood cell volume were calculated from the plasma volume measurement, the measured hematocrit corrected for trapped plasma, and mean body hematocrit. Blood volume components (plasma, red cell and total volume) were determined and compared to normal values adjusted for age, gender and weight on the basis of the ideal weight system to yield % deviations from normal. Thus, in addition to reporting absolute values, we report percentage deviation from expected values on the basis of the ideal weight system. Anemia, based on blood volume analysis, was defined by RBCV<10% below ideal. To determine if PV compensation in patients with RBCV deficits was appropriate, the absolute PV compensation in response to RBCV deficit was calculated as deviation from ideal PV – RBCV deficit, and the % compensation was calculated as (deviation from ideal PV - RBCV deficit)/ideal PV.

Statistical Analysis

Data are expressed as mean \pm SD, unless otherwise noted. Dichotomous variables were compared using chi-square analysis with Fisher's exact test when appropriate, and continuous variables were compared using ANOVA with a Bonferroni's post hoc test to control for multiple comparisons for those variables that were normally distributed. For the blood volume data, a non-parametric test (Kruskal Wallis) was employed given the non-normality of the data. A *p*value 0.05 was considered significant. SAS version 9.1 (SAS Institute Inc., Cary, North Carolina) was used for all analyses.

Results

The patients studied were older adults, predominately women with an average NYHA Class of 2.5 ± 0.6 and a normal ejection fraction (54±6%). They had underlying chronic renal insufficiency similar to other large series of hospitalized HFPEF patients $^{20-21}$ with average hemoglobin of 11.6±2.1 gm/dl. Racial subgroups differed with regards to gender, body weight and size and the use of beta blocker and aldosterone antagonists but not with regard to blood pressure, echocardiographic or laboratory assessments (Table 1).

Anemia, as defined by WHO criteria, was present in 40 (67%) subjects, similar to other series²² and did not differ significantly by gender or race. However, by RBCV deficit, anemia was present in only 21 of those subjects (35% overall) (Figure 1). In all groups studied - White, Black and Latino - there was a significant difference in the rate of anemia diagnosed by WHO criteria as compared to measured RBCV deficit. None of the subjects first classified as non-anemic by WHO criteria were then re-classified as anemic by blood volume analysis. Overall in 45% of Whites, 25% of Hispanics and 25% of Blacks the diagnosis of anemia was reclassified when using RBCV deficit compared to hemoglobin.

Blood volume indices overall and by racial group are shown in Table 2. On average, those subjects with HFPEF demonstrated an expanded blood volume, which was primarily attributable to an increase in plasma volume. While overall blood volumes, red cell volumes and plasma volumes differed by race, these differences were no longer significant when controlling for differences in gender and body size between cohorts (e.g. % deviation did not differ). The difference in the prevalence of anemia as determined by hemoglobin and RBCV was in part attributable to greater absolute PV compensation in response to RBCV deficit. Specifically, among subjects who were correctly classified by WHO criteria, plasma volume compensation for the red cell deficit was an appropriate physiologic response, while for subjects who were misclassified by WHO criteria in comparison to red cell volume measures, the plasma volume compensation was excessive (See Figure 1, Panel B). The plasma volume overcompensation was higher in misclassified subjects compared to correctly classified subjects in all racial subgroups, but this was particularly true for Blacks and Latinos compared to Whites.

Discussion

The principal findings in this study are that among subjects with HFPEF the prevalence of anemia differs between WHO criteria that employ hemoglobin measurement as compared to blood volume analysis, with an overestimate of the prevalence of anemia by the WHO criteria in part due to an excess expansion of plasma volume in response to the RBC deficit. This error was present in all racial subgroups. Finally, the rate of a true anemia (e.g. RBC deficit) was highest among Hispanics as compared to Whites and Blacks.

Anemia in Multi-ethnic groups

Although the American Hispanic population is fastest growing ethnic minority in the United States, there is a paucity of data on the prevalence of anemia in this group compared to other ethnicities and no data that we are aware of regarding ethnic differences specifically in the population with HFPEF. Available data does suggest important racial/ethnic differences in the prevalence of anemia in the general population and the underlying cause. In the Third National Health and Nutrition Examination Survey (NHANES III), the prevalence of anemia in persons older than 65 years varied significantly by race with an overall prevalence of 10.6%, that was lowest for Non-Hispanic whites (9.0%), slightly higher in Mexican Americans (10.4%) but substantially higher in non-Hispanic blacks $(27.8\%)^{13}$. Similarly, a study of the prevalence and incidence of anemia in patients with diabetes mellitus showed a higher prevalence of anemia among Blacks and mixed ethnicities than Latinos, Whites or Asians ²³. In that study, a multivariate model of prevalent anemia that adjusted for gender, age, clinic site, utilization, proximate estimated GFR, albuminuria, diabetes treatment and EPO use, found that the odds of anemia were higher among Blacks [odds ratio (OR) 2.26, 95% confidence interval (CI) 2.12–2.41], those of mixed ethnicity (OR 1.37, 95% CI 1.31– 1.44) or Latino (OR 1.15, 95% CI 1.07-1.24), compared with whites. Finally, in a population based sample that evaluated anemia prevalence and iron status among older Hispanics of Caribbean origin compared to a cohort of Whites, the prevalence of anemia was higher in older Hispanics than in Non-Hispanic older Whites, after controlling for relevant confounders. This was in part attributable to significant ethnic differences in nutrient intake and intake of specific food items that resulted in different iron stores ²⁴. We found a higher percentage of Hispanics with HFPEF were anemic by both hemoglobin and by blood volume analysis than Whites or Blacks.

Plasma volume in anemia and heart failure

Anemia in HF patients may be a result of iron deficiency, hemodilution¹⁹, anemia of chronic disease ²⁵, malnutrition ²⁶, renal insufficiency ²⁶, or medication induced anemia ²⁵. Among the population with advanced systolic heart failure hemodilution is common ²⁷. Similarly, our data suggest that a significant percentage of subjects with HFPEF have expansions of plasma volume that are beyond physiologic compensation for the red blood cell deficit and are in part hemodilutional in nature. Indeed, chronic anemia in the absence of heart failure is associated with plasma volume expansion and edema because of decreased blood viscosity and the resulting effects of nitric oxide-mediated vasodilatation²⁵. As a result, there is a decline in systemic vascular resistance and because of arterial under-filling an activation of the renin-angiotensin system and concomitant PV expansion ²⁵. Accordingly, the plasma volume expansion in anemic patients replaces decreased RBC mass ¹² in order to maintain a normal blood volume. In heart failure patients, alterations in plasma volume that occur in response to the underlying cardiac and or renal dysfunction and subsequent neurohormonal activation further add to the physiologically expanded plasma volume in anemic subjects -and confound the diagnosis as shown by these data. Additionally, HFPEF subjects often have concomitant co-morbid conditions including obesity and renal insufficiency, which affect plasma volume regulation and could be an explanation for the observed findings.

Clinical implications

Appropriate treatment of anemia associated with heart failure may improve the outcomes of patients by enhancing physical function and quality of life ²⁶, but treatment requires an accurate diagnosis and identification of the underlying etiology in order to select effective interventions. Commonly used treatments for non-dilutional anemia when used in cases of hemodilutional anemia can put the patient under unnecessary risk secondary to adverse effects of medication and may contribute to adverse outcomes^{28–29}. The current data raise the possibility that further definition of the low hemoglobin phenotype in subjects with heart

failure using blood volume analysis could provide important physiologic insights regarding therapeutic interventions. Of course, such recommendations must await the formal performance of clinical trials that are testing such hypotheses ³⁰, and the recognition that plasma volume is dynamically regulated and fluctuates widely in response to multiple physiologic signals.

Limitations

The study is limited by the small sample size with limited power to detect differences between groups and the potential for a type II (beta) error. The use of self reports to classify race/ethnicity, which is in part a clustering of common genetic characteristics, but they are also influenced by social, environmental, and lifestyle factors that may have a huge effect on cardiovascular health. Given the cross sectional nature of our study we cannot exclude the effect of residual unmeasured confounders. However, factors known to affect blood volume including body size and gender were accounted for in the current analyses and the use of medications that could alter blood volume did not differ between the groups studied.

Conclusions

In patients with HFPEF, the diagnosis of anemia by hemoglobin is confounded by alterations in blood volume components. These differences are present in all racial subgroups. Such data could have important implications for the diagnosis and management of anemia in ethnic populations with HFPEF.

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Figure 1.

Panel A: The prevalence of anemia in the overall cohort and in each racial subgroup by WHO criteria (blue bars) and by red blood cell deficit (red bars). Panel B. Differences in plasma volume compensation (by volume and percentage) between patients diagnosed correctly by WHO and RBCV criteria (real anemia) and those misclassified as anemic by WHO criteria stratified by race.

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Table 1

Demographic and Clinical Characteristics

	Overall (n=60)	Whites (n=20)	Blacks (n=16)	Hispanics (n=24)	P value
Demographics					
Age (years)	70 ± 12.9	75 ± 13	68 ± 15	67 ± 11	NS
Gender (% female)	63	40	81	71	0.02
Body Size					
Weight (kg)	80 ± 14	87 ± 18	81 ± 15	74 ± 7 *	0.01
BMI	30 ± 4.3	31 ± 4	31 ± 5.8	29 ± 3	NS
BSA (m2)	1.89 ± 0.2	1.99 ± 0.3	1.9 ± 0.2	$1.8{\pm}~0.1{}^{*}$	0.01
Anemic (%)	67	65	50	79	SN
Medications (% taking)					
Loop diuretics	68	65	69	70	SN
Thiazide Diuretics	21	20	19	25	SN
ACE inhibitors	99	65	81	58	SN
Beta Blockers	99	45	56	92	<0.001
Aldosterone Antagonist	20	40	19	4	<0.01
Calcium channel blockers	43	25	50	54	SN
Blood Pressure (mm Hg)					
Systolic blood pressure	142 ± 16.5	137 ± 18	145 ± 16	143 ± 15	NS
Diastolic blood pressure	73 ± 11.4	73 ± 14	75 ± 11	73 ± 10	NS
Three dimensional Echocard	iography				
EDVI (ml/m2)	58 ± 12	60 ± 10	62 ± 16	55 ± 8	NS
SVI (ml/m2)	32 ± 6	32 ± 6	34 ± 8	30 ± 5	SN
EF (%)	54 ± 6	54 ± 5	54 ± 6	55 ± 6	SN
LV mass (grams/m2)	78 ± 22	78 ± 16	85 ± 22	73 ± 26	SN
EDV/Mass ratio	0.80 ± 0.24	0.80 ± 0.2	0.77 ± 0.24	0.83 ± 0.26	SN
Laboratory Results					
Hemoglobin (gm/dl)	11.6 ± 2.1	12 ± 2.2	12 ± 2	11 ± 2	NS
Hematocrit (%)	36 ± 4.9	36 ± 5.5	37 ± 5	36 ± 4	NS
BUN (mg/dl)	32 ± 17	35 ± 16	31 ± 22	30 ± 15	NS

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Table 2

Blood Volume in HFPEF stratified by Racial Groups

	Overall (n=60)	Whites (n=20)	Blacks (n=16)	Hispanics (n=24)	P value *
Blood Volume (ml)	$4696 \pm 1137 \ (3328 \text{ to } 6946)$	$5301 \pm 1282 \ (3492 \text{ to } 7808)$	$4214 \pm 783 \ (3355 \ to \ 6620)$	$4514 \pm 1021 \ (3402 \text{ to } 6751)$	0.0126
Excess/Deficit Blood volume (ml)	$423 \pm 603 (-525 \text{ to } 1527)$	$594 \pm 682 \ (-5 \text{ to } 2099)$	373 ± 621 (-813 to 1459)	$313 \pm 508 (-443 \text{ to } 1332)$	NS
BV Deviation (%)	9 ± 13 (-11 to 29)	$12 \pm 14 \ (0 \ to \ 45)$	8 ± 13 (-17 to 28)	$7 \pm 11 \ (-9 \text{ to } 25)$	NS
Red Cell Volume (ml)	$1474 \pm 479 \ (948 \text{ to } 2428)$	$1754 \pm 612 \ (967 \text{ to } 3042)$	$1298 \pm 218 \ (1068 \ to \ 1797)$	$1357 \pm 380 \ (876 \text{ to } 2048)$	0.0174
Excess/Deficit Red Cell volume (ml)	$65 \pm 465 \ (-631 \ \text{to} \ 708)$	$178 \pm 424 \ (-387 \ \text{to} \ 1044)$	198 ± 558 (-762 to 1469)	$-117 \pm 383 (-576 \text{ to } 413)$	NS
RBC Deviation (%)	$4 \pm 27 \; (-36 \text{ to } 39)$	$9 \pm 27 \; (-25 \text{ to } 63)$	$11 \pm 30 \ (-39 \text{ to } 70)$	$-6 \pm 23 \ (-34 \ \text{to} \ 27)$	NS
Plasma Volume (ml)	3223 ± 755 (2274 to 4825)	3547 ± 761 (2495 to 5094)	$2915 \pm 686 \ (2126 \ to \ 4983)$	3157 ± 718 (2269 to 4623)	0.0145
Excess/Deficit Plasma volume (ml)	$504 \pm 456 \ (-10 \ to \ 1582)$	$622 \pm 489 \ (84 \text{ to } 1670)$	$370 \pm 474 \ (-118 \text{ to } 1914)$	$495 \pm 406 \ (44 \text{ to } 1311)$	NS
Plasma Deviation (%)	$17 \pm 15 \ (0 \ to \ 47)$	$21 \pm 15 (3 \text{ to } 49)$	$13 \pm 16 \; (-4 \text{ to } 62)$	$17 \pm 13 \ (2 \text{ to } 40)$	NS
Mean ± SD (95% CI)					
*					

* by Kruskal-Wallis Test