



Published in final edited form as:

Stem Cells. 2009 December ; 27(12): 2992–3000. doi:10.1002/stem.240.

Generation of Human Induced Pluripotent Stem Cells in the Absence of Exogenous Sox2

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Abstract

Induced pluripotent stem cell technology has attracted enormous interests for potential application in regenerative medicine. Here, we reported that a specific glycogen synthase kinase 3 (GSK-3) inhibitor, CHIR99021, can induce the reprogramming of mouse embryonic fibroblasts (MEFs) transduced by only Oct4 and Klf4 two factors. When combined with Parnate (also named tranlycypromine), an inhibitor of lysine-specific demethylase 1, CHIR99021 can result in the reprogramming of human primary keratinocyte transduced with Oct4 and Klf4 two factors. To our knowledge, this is the first time to generate human iPS cells from somatic cells without exogenous Sox2 expression. Our studies suggest that the GSK-3 inhibitor might have a general application to replace transcription factors in both mouse and human reprogramming.

INTRODUCTION

Pioneering work showed that virus-mediated expression of four transcription factors, *Oct4*, *Klf4*, *Sox2* and *c-Myc*¹, reprograms mouse somatic cells into induced pluripotent stem (iPS) cells which closely resemble embryonic stem (ES) cells^{1, 2}. Reprogramming human somatic cells had also been achieved through similar strategy^{3, 4}. The iPS cell technology has attracted enormous interests with respect to its potential practical applications. By reprogramming and differentiation processes, patient-specific pluripotent stem cells could be created and further differentiated into functional autologous cells for cell-based therapy with alleviated immunocompatibility issues and ethical concerns. However, iPS cell applications are hindered by safety concerns and its complexity as the generation of iPS cells typically involves integration of exogenous DNA sequences. The key advances aimed at overcoming these safety concerns have been achieved by using non-integrating gene delivery approaches (such as adenovirus or episomal plasmid transfection)^{5–7}, or using cell membrane permeable proteins to trigger the reprogramming^{8, 9}. However, reprogramming is extremely slow and inefficient under such conditions, which presents significant hurdles and potential risks to generate human iPS cells. Identification of small molecules or novel conditions that

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Author contribution: SD and WL conceived the study. WL, SD and RA wrote the manuscript. WL, HZ, RA, SZ conducted the experiments. EH generated teratomas and AH supervised EH. JYJ generated chimera data and HRS supervised JYJ. SD supervised the study.

can enhance reprogramming or compensate the requirement of certain reprogramming factors will be highly desirable. We and others have shown it is possible to generate iPS cells with fewer factors by exploiting the endogenous gene expression¹⁰⁻¹². Neural progenitor cells (NPCs) with endogenous Sox2 expression¹³, could be reprogrammed into authentic iPS cells with only Oct4 and Klf4 transduction, however with a lower efficiency^{10, 11}. Using a chemical screen, a G9a histone methyltransferase inhibitor, BIX-01294¹⁴ was identified to enhance the reprogramming efficiency over 8 fold or replace the requirement of Oct4 transduction in NPC reprogramming¹¹. Importantly, BIX-01294 was also shown to enable the reprogramming of MEFs (which do not express Sox2) under Oct4 and Klf4 two factor conditions¹⁵. From a subsequent synergistic screen, other small molecules, e.g. DNA methyltransferase (DNMT) inhibitor RG108 and L-type calcium channel agonist BayK8644, were identified to enhance MEF reprogramming. Similarly, another DNMT inhibitor, 5-AZA, was shown to improve the reprogramming efficiency in MEF cells up to 4 folds by transiting partially reprogrammed cells to become fully pluripotent. In another study, histone deacetylase (HDAC) inhibitors such as valproic acid (VPA) were shown to be able to enhance the reprogramming efficiency¹⁶. In particular, VPA enabled reprogramming of human fibroblasts with only Oct4 and Sox2¹⁷.

Previous studies showed that Wnt3a conditioned media promotes reprogramming of MEF cells¹⁸. Wnt signaling entails inhibition of glycogen synthase kinase 3 (GSK-3) and stabilization of cytoplasmic β -catenin. Small molecule inhibitors of GSK-3 can mimic the activation of Wnt signaling, and maintain the pluripotent state of mES cells¹⁹⁻²¹. Lluís F. *et al.* reported that BIO, a GSK-3 inhibitor, could promote the reprogramming of somatic cells after fusion with mES cells²². Silva *et al.* reported inhibition of MEK and GSK-3 (using PD0325901 and CHIR99021, respectively) could transit “pre-iPS cells” into fully reprogrammed pluripotent cells²³. More recently, Lyssiotis *et al.* identified another GSK-3/CDK2 inhibitor, kenpaullone, which could substitute Klf4 in reprogramming of MEFs in the presence of Oct4, Sox2 and cMyc. However, as a more specific GSK-3 inhibitor, CHIR99021, failed in producing the same positive effects on inducing the reprogramming of MEF cells under the Oct/Sox2/c-Myc transduction, kenpaullone’s effect may not result from its GSK-3 inhibition and its precise mechanism remains elusive.

Here, we reported that a specific GSK-3 inhibitor, CHIR99021, could allow the reprogramming of both mouse and human somatic cells without *Sox2* transgene. Our studies suggest that the GSK-3 inhibitor might have a general application to replace transcription factors in both mouse and human somatic cell reprogramming.

Materials and Methods

Cell Culture and Viral Transduction

MEFs were derived from 129S2/SvPasCrlf and ROSA26^{+/-}/OG2^{+/-} mice according to the protocol reported on WiCell Research Institute website: “Introduction to human embryonic stem cell culture methods”. ROSA26^{+/-}/OG2^{+/-} heterozygous transgenic mice carry *GFP* reporter gene under the control of the *Oct4* promoter (*Oct4*-GFP) and the ubiquitously expressed *neo/lacZ* transgene²⁴. Animal experiments were performed according to the Animal Protection Guidelines of the Max Planck Institute for Biomolecular Research, Germany. MEFs were transduced by *Oct4*, *Klf4* and *Sox2* three factors, or two-factor combinations of the pMXs-based retroviruses encoding mouse *Oct4*, *Klf4* and *Sox2* (Addgene) as previously described¹. Twenty four hours later, transduced MEFs were seeded in 6-well plate and incubated with mESC growth medium: Knockout™ DMEM, 7 % ES Cell-Qualified fetal bovine serum, 10 % Knockout Serum Replacement, 1% Glutamax, 1% Non-essential amino acids, 1% penicillin/streptomycin, 0.1 mM β -mercaptoethanol and 10³ U/ml mLIF (Millipore). MEFs transduced with *Oct4/Klf4/Sox2* (1×10⁴ cells/well

together with 10^5 cells/well CF1 feeders in 6-well plates) were then treated with GSK-3 inhibitor CHIR99021 (Stemgent) for two weeks, and EGFP positive colonies were picked up at the third week after treatment. MEFs transduced with *Oct4/Klf4* (1×10^5 cells/well in 6-well plates) were treated with $10 \mu\text{M}$ CHIR99021 for four weeks, GFP positive colonies were picked up and expanded at the fourth to fifth week after treatment.

Neonatal Human Epidermal Keratinocytes (NHEKs, Lonza) were cultured and transduced with two-factor combinations of lentiviruses encoding human *Oct4*, *Sox2* (pSin-EF2-Puro-based) and mouse *Klf4* (pLOVE-based) as previously described^{4, 25}. Lentiviral vectors were obtained from Addgene. Twenty four hours later, 1×10^5 transduced NHEKs were seeded on the irradiated X-ray inactivated CF1 MEF feeder cells in a 100 mm dish by keratinocyte medium (Lonza). One week after, the media was changed to human ES cell medium: DMEM/F12, 20 % Knockout serum replacement, 1% Glutamax, 1% Non-essential amino acids, 1% penicillin/streptomycin, 0.1 mM β -mercaptoethanol and 100 ng/ml bFGF and treated with GSK-3 inhibitor CHIR99021 (Stemgent) ($10 \mu\text{M}$) alone or combined with valproic acid (0.5~2 mM), BIX-01294 (Stemgent) (1~2 μM), RG108 (Stemgent) (1~5 μM), Parnate (Sigma) (2~4 μM), PD0325901 (Stemgent) (0.5 μM) and SB431542 (Tocris) (2 μM). The media containing above small molecule combinations were changed every day. Two week after treatment, the cells were sub-cultured (1:1) on new feeder cells (PD0325901 and SB431542 were only used in the first two-week treatment). After another two weeks, the small molecules were removed and the cells were stained with Alexa Fluor 555-conjugated Mouse anti-Human TRA-1-81 antibody (BD Pharmingen). The positive colonies were marked and picked up for expansion on feeder cells in human ES cell medium about 7 weeks after transduction. The human iPSCs were sub-cultured regularly by Accutase (Chemicon). All cell culture products were from Invitrogen/Gibco BRL except where mentioned.

Cytochemistry and Immunofluorescence Assay

Alkaline Phosphatase staining was performed according to the manufacturer's protocol using the Alkaline Phosphatase Detection Kit (Millipore). For immunofluorescence assay, cells were fixed in 4% paraformaldehyde for 10 minutes and washed three times with PBS containing 0.1% Triton X-100 (Sigma-Aldrich). The fixed cells were then incubated in blocking buffer, 0.1% Triton X-100 and 10% normal donkey serum (Jackson ImmunoResearch Laboratories Inc) in PBS (Invitrogen/Gibco BRL), for 30 min at room temperature. The cells were then incubated with primary antibody overnight at 4°C in blocking buffer. The day after, cells were washed with PBS and incubated with secondary antibody in PBS containing 0.1% Triton X-100 for one hour at room temperature. Mouse anti-Oct4 antibody (1:250) (Santa Cruz Biotechnology), rabbit anti-Sox2 antibody (1:2000) (Chemicon), mouse anti-SSEA1 antibody (1:250) (Santa Cruz Biotechnology), rabbit anti-Nanog antibody (1:250) (Abcam), rat anti-SSEA3 antibody (1:1000) (Chemicon), mouse anti-SSEA4 antibody (1:1000) (Chemicon), mouse anti-TRA-1-81 antibody (1:1000) (Chemicon), goat anti-Sox17 (1:200) (R&D), mouse anti-III-Tubulin (Tuj1) antibody (1:1000) (Covance Research Products), rabbit anti-Brachyury antibody (1:200) (Santa Cruz) were used as primary antibodies. Secondary antibodies were Alexa Fluor 486/555 donkey anti-mouse, anti-rat, anti-goat or anti-rabbit IgG (1:500) (Invitrogen). Nuclei were visualized by DAPI staining (Sigma-Aldrich). Images were captured using a Nikon Eclipse TE2000-U microscope.

Differentiation of iPSCs *in Vitro*

The *in vitro* differentiation of miPSCs-OK and hiPSCs-OK was carried out by the standard embryoid body (EB) differentiation method. The iPSCs were dissociated by either 0.05 % Trypsin-EDTA (miPSCs-OK) or Accutase (hiPSCs-OK), and then cultured in ultra-low

attachment 100-mm dish in DMEM medium supplemented with 10% FBS to form EBs. The medium was changed every other day. One week later, the EBs were harvested and transferred into Matrigel-coated 6-well plate in DMEM medium with 10% FBS. Three to seven day later, the cells were fixed for immunocytochemistry analysis.

PCR analysis

To detect the expression of pluripotency genes by MEFs and NHEKs that were treated with small molecules, untransduced MEFs and NHEKs were treated for three days in mESC growth medium with 10 μ M CHIR99021 or in hES cell medium with either combination of 10 μ M CHIR99021 and 2 μ M Parnate or combination of 10 μ M CHIR99021, 2 μ M Parnate, 0.5 μ M PD0325901 and 2 μ M SB431542. For the semi-quantitative and quantitative RT-PCR analyses, RNA was extracted from miPSCs-OK, hiPSCs-OK, MEFs, treated MEFs and treated NHEKs using the RNeasy Plus Mini Kit in combination with QIAshredder (Qiagen). Reverse transcription was performed with 1 μ g RNA using iScriptTM cDNA Synthesis Kit (BioRad). The expression of pluripotent markers by miPSCs-OK was analyzed by RT-PCR using Platinum PCR SuperMix (Invitrogen). The primers for the endogenous Oct4, Sox2, Klf4 and Nanog were as reported¹. Amplification of viral transduced genes was done using the gene specific forward primers (Klf4: 5'-GCG AAC TCA CAC AGG CGA GAA ACC-3'; Sox2: 5'-GGT TAC CTC TTC CTC CCA CTC CAG-3' and Oct4: 5'-TTG GGC TAG AGA AGG ATG TGG TTC-3') and common reverse primer pMXs-L3205 (5'-CCC TTT TTC TGG AGA CTA AAT AAA-3')³. The RT-PCR was performed in 30 (amplification of pluripotent markers) or 35 (amplification of viral transduced genes) cycles (94°C for 30s, annealing temperature for 30s, and 72°C for 30s). Real-time PCR was carried out using iQ SYBR Green Supermix (BioRad). The primers for the human endogenous Oct4, total Oct4, endogenous Sox2, total Sox2, Nanog, Klf4, GDF-3 and Cripto were as reported^{4, 26, 27}. The primer for viral Klf4 was 5'-CAC CTT GCC TTA CAC ATG AAG AGG-3' and 5'-CGT AGA ATC GAG ACC GAG GAG A-3'. The primer for FGF-4 was 5'-GAC ACC CGC GAC AGC CT -3' and 5'-TCA CCA CGC CCC GCT-3'. The expression of genes of interest was normalized to that of GAPDH in all samples.

Genomic DNA was extracted from miPSCs-OK using DNeasy Blood & Tissue Kit (QIAGEN). In order to analyze the viral integration in miPSCs-OK, the genomic DNA of miPSCs-OK was subjected to PCR analysis using the same primers employed to amplify the viral transduced genes in the RT-PCR experiments. For the methylation analysis of Oct4 promoter by bisulfite-sequencing, DNA samples from hiPSC-OK were isolated using the Non Organic DNA Isolation Kit (Millipore) and were then treated with the EZ DNA Methylation-Gold Kit (Zymo Research Corp., Orange, CA). The treated DNA samples were then used as templates to amplify targets of interest. Primers used for the amplification of the Oct4 promoter fragment (406bp, from -2192~-1786) were 5'-GGA TGT TAT TAA GAT GAA GAT AGT TGG-3' and 5'-CCT AAA CTC CCC TTC AAA ATC TAT T-3'²⁸. The resulting fragments were cloned using the TOPO TA Cloning Kit for sequencing (Invitrogen) and sequenced.

Aggregation of iPSCs with zona-free embryos

miPSCs-OK were aggregated with denuded post-compacted eight-cell stage embryos to obtain aggregate chimeras. Eight-cell embryos (B6C3F1) were flushed from females at 2.5 dpc and cultured in microdrops of KSOM medium (10% FCS) under mineral oil. Clumps of iPSCs (10–20 cells) after short treatment of trypsin were chosen and transferred into microdrops containing zona-free eight-cell embryos. Eight-cell embryos aggregated with iPSCs were cultured overnight at 37 °C, 5% CO₂. Aggregated blastocysts that developed from eight-cell stage were transferred into one uterine horn of a 2.5 dpc pseudopregnant

recipient. The recipient mice were sacrificed at ED 13.5 day. The embryos were analyzed by x-gal staining to reveal the contribution of iPS cells.

Teratoma Formation

Three to five million hiPSC-OK (passage 8, clone 1) were injected under the kidney capsule of *SCID* mice (n=3). After 6–8 weeks, the neoplasm was removed and then histologically analyzed.

RESULTS

CHIR99021 can significantly promote the reprogramming of MEFs transduced by Oct4, Sox2 and Klf4

It had been shown that *Oct4/Sox2/Klf4*-infected MEFs could be reprogrammed into pluripotent state with higher efficiency when cultured under Wnt3a-conditioned medium¹⁸. However, small molecule activators of Wnt signaling pathway were not found to have similar effects. Combination of CHIR99021, a GSK-3 inhibitor which can activate Wnt signaling pathway, with PD0325901, a MEK inhibitor, was shown to promote partially reprogrammed iPSCs to full pluripotency²³. Concurrent with those studies, we found that CHIR99021 could significantly promote reprogramming of murine fibroblasts. Treating *Oct4/Sox2/Klf4* transduced MEFs with CHIR99021 for two weeks significantly increased the number of alkaline phosphatase (AP)-positive mESC-like colonies in a dose-dependent manner (AP staining was done at the third week after treatment) (Figure 1A). CHIR99021 treatment of *Oct4/Sox2/Klf4*-transduced MEFs (*ROSA26^{+/-}/OG2^{+/-}*), which express GFP under the control of Oct4 promoter and also ubiquitously LacZ, also increased the number of GFP-positive colonies, which could be observed as early as two weeks after treatment. CHIR99021 showed the greatest effects at about 10 μ M, which increase efficiency from 0.03–0.08 % to 0.2–0.4 % of transduced MEFs. (Figure 1B). Our results therefore suggest that CHIR99021 can significantly improve reprogramming efficiency of MEFs transduced with *Oct4*, *Sox2*, and *Klf4*. These mouse iPS cell colonies could be stably expanded under conventional mESC growth condition and express typical pluripotency markers, such as AP, Oct4, Sox2, Nanog, SSEA1 by cytochemistry and immunostaining.

CHIR99021 enabled the reprogramming of MEFs transduced by Oct4/Klf4

We had previously identified BIX01294, a small molecule inhibitor of a histone methyltransferase G9a, which enabled reprogramming of both mouse NPCs and MEFs infected by only *Oct4* and *Klf4*^{11, 15}. We then investigated whether iPS cells could be generated from MEFs with fewer reprogramming factors in the presence of CHIR99021. OG2 MEFs transduced with different two-factor combinations (*Oct4/Klf4*, *Oct4/Sox2* and *Sox2/Klf4*) were treated with 10 μ M CHIR99021. GFP positive iPS cell colonies were identified only when MEFs were transduced with the combination of *Oct4* and *Klf4*, but not with any other combination. On average, about six GFP positive colonies were identified out of 10⁵ OG2 MEFs 4–5 weeks after *Oct4/Klf4* transduction and CHIR99021 treatment. Stable iPS cell lines (miPSC-OK) were established by picking up the GFP positive colonies (Figure 2A, 2B). Immunocytochemistry revealed that miPSC-OK express typical pluripotency markers, such as Oct4, Sox2, Nanog and SSEA-1 (Figure 2C–F). MEFs do not express Sox2 endogenously, and real-time PCR analysis revealed that CHIR99021 treatment did not induce the expression of Sox2 and Oct4 in MEFs (Figure 2G). Therefore, the mechanisms by which CHIR99021 promotes the reprogramming of MEFs transduced by Oct4/Klf4 are independent of direct Sox2 induction.

RT-PCR analysis confirmed the reactivation and expression of the endogenous mouse *Oct4*, *Sox2*, *Nanog*, and *Klf4* (Figure 3A). By using the specific primers for transgenes, RT-PCR

analysis revealed that the viral genes were largely silenced (Figure 3A). PCR of genomic DNA of miPSC-OK confirmed the integration of retroviral *Oct4* and *Klf4*, but no other reprogramming genes (Figure 3B). To examine the developmental potential of miPSC-OK, *in vitro* differentiation assay was performed. Immunostaining showed miPSC-OK could differentiate into endoderm (*Sox17*), mesoderm (brachyury) and neuroectoderm (β -tubulin) derivatives under the standard embryoid body differentiation methods (Figure 3C–E). Most importantly, miPSC-OK (clone 1 and clone 2) could efficiently incorporate into the inner cell mass (ICM) of blastocysts after aggregation with 8-cell embryos, lead to mid-gestational chimerism (ED13.5) after the aggregated embryos were transplanted into mice, and contribute to germline cells *in vivo* (Figure 3F–H). However, no adult chimeric mice were found after twenty embryos aggregated with miPSC-OK (clone 1) were transplanted. These *in vitro* and *in vivo* characterizations confirm that the miPSC-OK are molecularly, morphologically, and functionally similar to the original four-factor iPS cells and the mouse ESCs.

CHIR99021 enabled the reprogramming of human neonatal keratinocytes transduced with Oct4/Klf4 when combined with Parnate

We next investigated whether human iPS cells could be generated with fewer transcription factors in the presence of CHIR99021 and/or direct epigenetic modifiers including inhibitors of DNA methyltransferase (RG108), histone methyltransferase (BIX01294), histone deacetylase (valproic acid/VPA) and lysine-specific demethylase 1 (Parnate). To this end, we selected primary human neonatal epidermal keratinocytes (HNEKs), concurrent with recent studies suggesting that keratinocytes transduced with four factors could be reprogrammed into iPS cells more efficiently and rapidly in comparison to other somatic cell types²⁶. Primary keratinocytes were transduced with different two-factor combinations (*Oct4/Klf4*, *Oct4/Sox2* and *Sox2/Klf4*), treated with CHIR99021 alone, or combined with epigenetic modifiers, and then stained with the human pluripotency cell-surface marker TRA-1-81 5 weeks post-infection. TRA-1-81 positive human ESC-like colonies could only be identified from culture infected by *Oct4* and *Klf4* in the presence of CHIR99021 and Parnate. On average, about two TRA-1-81 positive colonies could be identified out of 10⁵ transduced HNEKs, which was at least 100 times less efficient than 4-factor transduced keratinocytes. Stable human iPS cells could be established and long-term expanded by picking up these colonies (named hiPSC-OK). In addition, we have also found that combined treatment using inhibitors of MEK (PD0325901) and TGF β receptor (SB431542) could improve the reprogramming efficiency of human fibroblasts transduced by *Oct4/Sox2/Klf4/c-Myc* (unpublished data). By using CHIR99021 (10 μ M) and Parnate (2 μ M) as the basal condition, addition of PD0325901 (0.5 μ M) and SB431542 (2 μ M) could further increase the TRA-1-81 positive colonies from human keratinocytes transduced with *Oct4/Klf4* (about 5–10 TRA-1-81 positive colonies could be identified out of 10⁵ transduced HNEKs), but the detailed mechanisms underlying this observation still need to be revealed. Nine TRA-1-81 positive colonies were expanded, and three stable human iPS cells (hiPSC-OK), one from CHIR99021 and Parnate condition (hiPSC-OK 1) and another two from CHIR99021/Parnate plus PD0325901/SB431542 condition (hiPSC-OK 2, 3), were further studied and long-term cultured for over 20 passages. hiPSC-OK express typical pluripotency markers, such as AP, *Oct4*, *Sox2*, *Nanog*, TRA-1-81, SSEA3 and SSEA-4 (Figure 4A–D). Real-time PCR analysis confirmed expression of the endogenous human *Oct4*, *Sox2*, *Nanog*, *Cripto*, *GDF-3* and *FGF4* (Figure 4E). Although the viral *Oct4* and *Klf4* expression was not completely silenced, bisulfite sequencing analysis revealed that the *Oct4* promoter of hiPSC-OK is largely demethylated (Figure 4F). Similar to the CHIR99021 treatment of MEFs, real-time PCR analysis indicated neither CHIR99021/Parnate (2 inhibitors) nor CHIR99021/Parnate/PD0325901/SB431542 (4 inhibitors) treatment induced the expression of *Sox2* and *Oct4* in keratinocytes immediately (Figure 5). The terminal differentiation of keratinocytes

induced by the human ES cell culture media may result in the significant down-regulation of c-Myc expression after treatment (Figure 5).

To examine developmental potentials of hiPSC-OK, *in vitro* differentiation assays were performed. Immunostaining confirmed that hiPSC-OK could differentiate into endoderm (Sox17), mesoderm (brachyury) and neuroectoderm (III-tubulin) (Figure 6A–C) derivatives *in vitro*. Furthermore, after transplanted into the *SCID* mice, hiPSC-OK formed teratoma consisting of representative derivatives of all three germ layers including epithelial tube structure (endoderm) (Figure 6D), cartilage-like structure (mesoderm) (Figure 6E) and neuroepithelium-like structure (ectoderm) (Figure 6F). These *in vitro* and *in vivo* characterizations confirm that the human iPS cells generated by *Oct4* and *Klf4* viral transduction closely resemble human ES cells in terms of typical pluripotency marker expression and differentiation potential.

DISCUSSION

Reprogramming is a very slow and inefficient process. Such low efficiency and slow kinetics also present “hidden” risks in iPSCs, such as accumulated and selected subtle genetic and epigenetic abnormalities. Consequently, it is highly desirable to identify new conditions/small molecules that can promote reprogramming and/or replace certain factors.

In the present study we reported that the GSK-3 inhibitor CHIR99021 can significantly improve the reprogramming efficiency of MEFs transduced by Oct4/Sox2/Klf4, and also enable the reprogramming of MEFs transduced by only Oct4 and Klf4. When combined with Parnate, CHIR99021 can result in the reprogramming of human primary keratinocytes transduced with only Oct4 and Klf4 as well. Although previous studies showed that the activation of Wnt signaling promote somatic cell reprogramming, this study is the first report to show GSK-3 inhibitor could allow the reprogramming of both mouse and human somatic cell without *Sox2*. Recently it is reported that the target genes co-bound by Oct4, Sox2 and Klf4 in ES cells showed a lower histone H3 lysine 4 (H3K4) trimethylation enrichment in partially reprogrammed cells than in ES/iPS cells, and this low histone H3K4 trimethylation may result in the lack of binding of many important regulators of pluripotency by Oct4, Sox2 and Klf4²⁹. Parnate, a monoamine oxidase inhibitor used as an antidepressant drug, showed potent inhibitory effect on lysine-specific demethylase 1 and inhibiting the H3K4 demethylation, but does not influence the acetylation of H3K9/K14³⁰. Parnate may facilitate the full reprogramming of HNEKs transduced with only Oct4 and Klf4 by inhibiting H3K4 demethylation.

Particularly, this is also the first time to generate human iPS cells from somatic cells without exogenous Sox2 expression. Both Oct4 and Sox2 are critical regulators in human/mouse ES cell pluripotency and also the only common reprogramming factors used for generation of human iPS cells. Replacement of Sox2 in human cell reprogramming represents an important step toward identifying a chemically defined condition that could allow reprogramming human somatic cells by Oct4 only or without the forced expression of any exogenous factor. As HNEKs express *Klf4* endogenously, it would be conceivable that HNEKs could perhaps be fully reprogrammed with only Oct4 transduction, but so far it was not achieved for unknown reasons. When Oct4 transduced HNEKs were treated under the same chemical condition, some human ES cell-like colonies were observed. After picking up these colonies, stable lines were established which could be long-term cultured under conventional human ES cell media. However, these cells are negative to AP staining, and expression of other pluripotency markers, such as Nanog and Sox2 could not be detected by immunostaining (data not shown).

Our studies underscore the unique advantage of the chemical approach for improving reprogramming that may ultimately allow the generation of iPS cells or multipotent tissue-specific cells in completely chemically defined conditions without any permanent genetic modification. Ultimately, a completely chemically defined condition for efficient reprogramming of somatic cells would be highly favorable for various iPS cell applications.

Acknowledgments

This work was supported by funding from Fate Therapeutics and CIRM to SD, Larry L Hillblom Foundation to AH.

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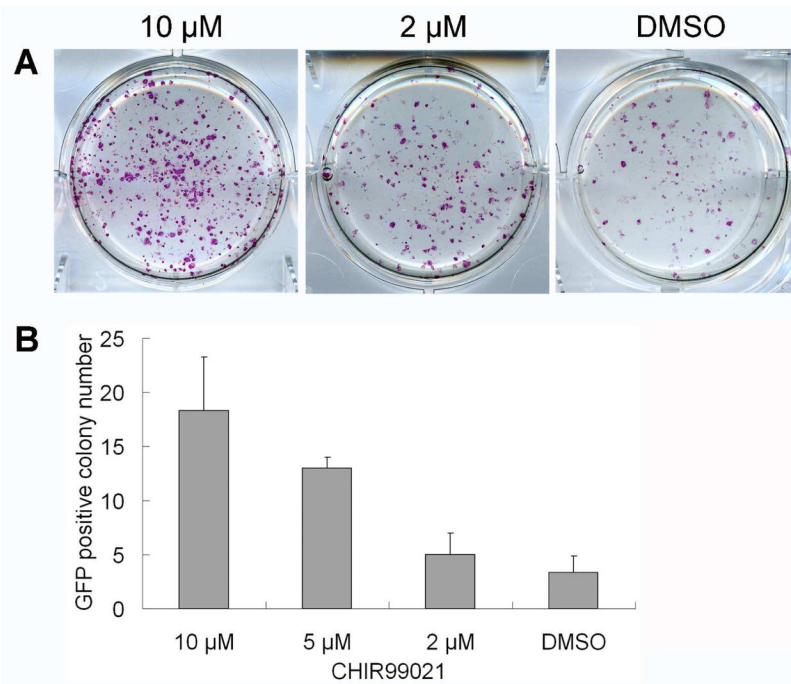


Figure 1. CHIR99021 promoted the reprogramming of MEFs transduced by *Oct4*, *Sox2* and *Klf4*. MEFs from 129 strain were transduced with *Oct4*, *Sox2* and *Klf4* by retroviruses, and treated the next day with increasing concentrations of CHIR99021 for two week. Three weeks later, AP was detected by staining cells in monolayer (A). ROSA26^{+/-}/OG2^{+/-} MEFs transduced with Oct4, Sox2 and Klf4 were seeded into 6-well plates at the density of 1×10^4 cells/well (together with 10^5 cells/well CF1 feeders) and treated with CHIR99021 for two weeks. After 3 weeks of treatment, the GFP positive colonies in each well were counted (B). Error bars represent standard deviation for N=3.

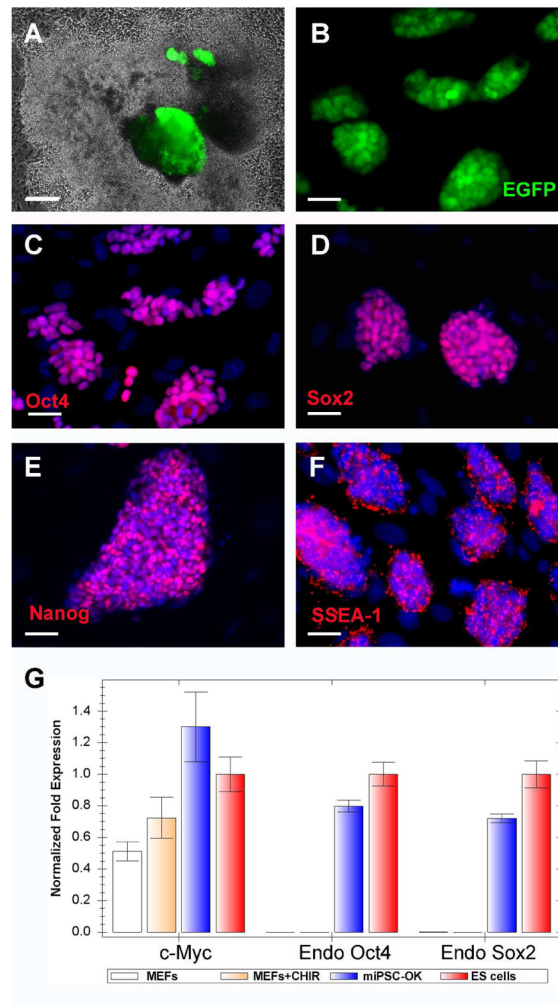


Figure 2. CHIR99021 enable the reprogramming of MEFs transduced with *Oct4* and *Klf4* only. ROSA26^{+/-}/OG2^{+/-} MEFs transduced with *Oct4* and *Klf4* were split into 6-well plates at the density of 10⁵ cells/well and treated with 10 μ M CHIR99021 for 4 weeks. Panel A shows GFP-positive colonies before picking. Total of four miPSCs-OK lines were established (B). The expression of Oct4 (C), Sox2 (D), Nanog (E) and SSEA-1(F) by miPSCs-OK was detected by immunocytochemistry. The expression of pluripotency genes by MEFs after CHIR99021 treatment was analyzed by real-time PCR (G). Scale bars, 20 μ m. Error bars represent standard deviation for N=3.

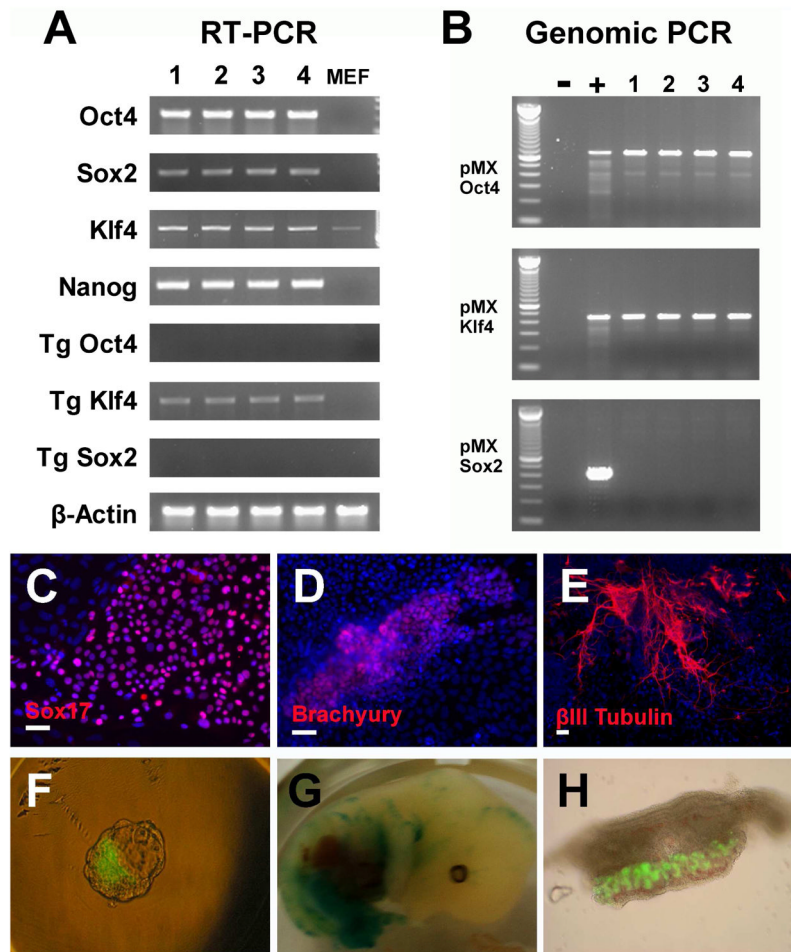


Figure 3. PCR analysis and *in vitro* differentiation of miPSCs-OK. The expression of typical endogenous pluripotency genes and transduced genes (Tg) were analyzed by RT-PCR (A). Genomic PCR revealed integration of Oct4 and Klf4 retroviruses (B). 1~4 referred to the four established miPSCs-OK lines. 100bp DNA ladder (invitrogen) was used as a marker. Rat iPSCs generated by Oct4/Klf4/Sox2 transductions were used as positive control (+) and MEFs were used as negative control (-). Under standard EB differentiation methods, the *in vitro* pluripotency of miPSCs-OK was analyzed by Immunostaining (C-E). miPSCs-OK efficiently incorporated into the ICM of a blastocyst after aggregation with an 8-cell embryo (F). Chimeric embryo (13.5 dpc) was obtained after the transfer of the aggregated embryos into a pseudo-pregnant mouse. LacZ staining showed the contribution of miPSCs-OK (G). miPSCs-OK contributed to the germline cells (GFP-positive) in male gonad tissue isolated from chimeric embryos (H). Scale bars, 20 μ m.

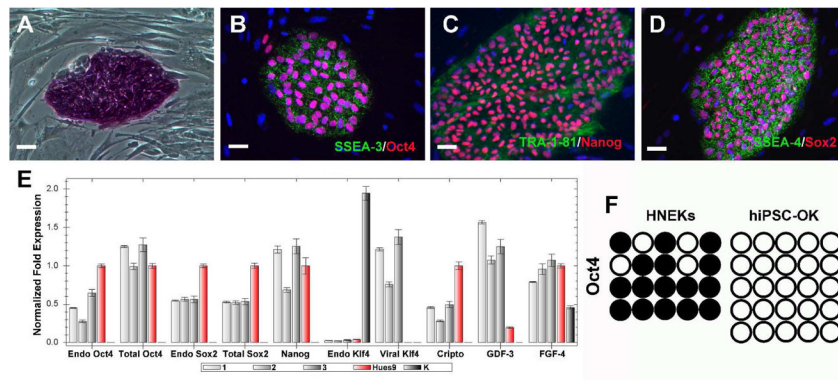


Figure 4.

hiPSCs-OK are generated from primary human keratinocytes transduced by *Oct4* and *Klf4*. Established hiPSC-OK clones express pluripotency markers AP (A), SSEA3 (green)/Oct4 (red; B), TRA-1-81 (green)/Nanog (red; C), and SSEA4(green)/Sox2 (red; D). Expression of endogenous (endo) markers and viral transgenes in hiPS-OK 1, 2 and 3 was determined by real-time PCR (E). Primary human keratinocytes (K) and Hues9 human ES cells were used as controls. Error bars represent standard deviation for N=3. The methylation status of the *Oct4* promoter in primary human keratinocytes and hiPSC-OK was analyzed using bisulfite sequencing. Open circles indicate unmethylated, and filled circles indicate methylated CpG dinucleotides (F).

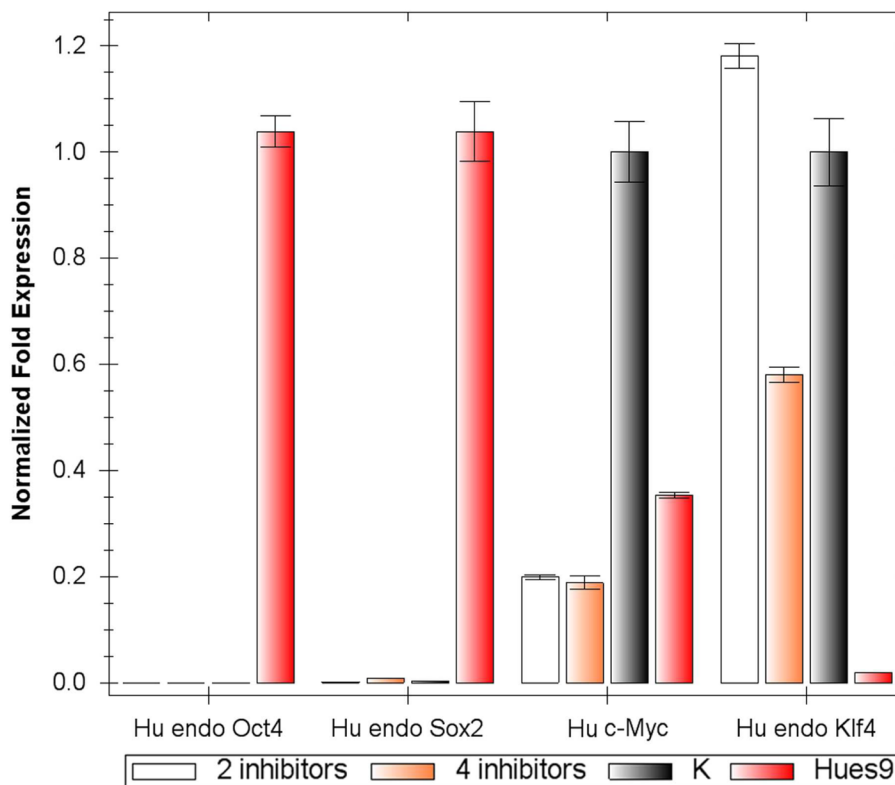


Figure 5.

Real-time PCR analysis of the expression of pluripotency genes by keratinocytes after small molecule treatment. Primary human keratinocytes (K), keratinocytes treated with either combination of 10 μ M CHIR99021 and 2 μ M Parnate (2 inhibitors), or combination of 10 μ M CHIR99021, 2 μ M Parnate, 0.5 μ M PD0325901, and 2 μ M SB431542 (4 inhibitors), and Hues9 human ES cells were analyzed. Error bars represent standard deviation for N=3.

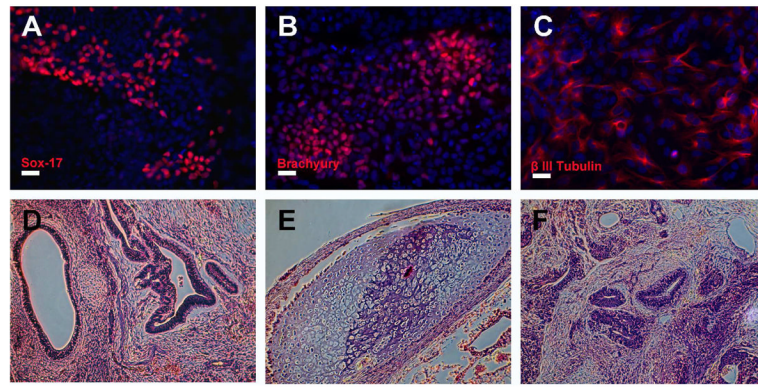


Figure 6. hiPSCs-OK showed pluripotent potential *in vitro* and *in vivo*. Using the standard EB differentiation method, the *in vitro* pluripotency of hiPSCs-OK was analyzed by immunostaining (A–C). hiPSCs-OK generated full teratoma in SCID mice. Hematoxylin and eosin staining of hiPSCs-OK teratoma sections showed epithelial tube structure (endoderm), cartilage-like structure (mesoderm) and neuroepithelium-like structure (ectoderm) appear in (D–F). Scale bars, 20 μ m.