

Structures of RNA polymerase II complexes with Bye1, a chromatin-binding PHF3/DIDO homologue

Kerstin Kinkelin^a, Glenn G. Wozniak^b, Scott B. Rothbart^{c,d}, Michael Lidschreiber^a, Brian D. Strahl^{b,c,d}, and Patrick Cramer^{a,1}

^aGene Center Munich and Department of Biochemistry, Center for Integrated Protein Science Munich (CIPSM), Ludwig-Maximilians-Universität München, 81377 Munich, Germany; ^bCurriculum in Genetics and Molecular Biology, ^cDepartment of Biochemistry and Biophysics, and ^dLineberger Comprehensive Cancer Center, University of North Carolina School of Medicine, University of North Carolina at Chapel Hill, Chapel Hill, NC 27599

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Bypass of Ess1 (Bye1) is a nuclear protein with a domain resembling the central domain in the transcription elongation factor TFIIS. Here we show that Bye1 binds with its TFIIS-like domain (TLD) to RNA polymerase (Pol) II, and report crystal structures of the Bye1 TLD bound to Pol II and three different Pol II–nucleic acid complexes. Like TFIIS, Bye1 binds with its TLD to the Pol II jaw and funnel. In contrast to TFIIS, however, it neither alters the conformation nor the in vitro functions of Pol II. In vivo, Bye1 is recruited to chromatin via its TLD and occupies the 5'-region of active genes. A plant homeo domain (PHD) in Bye1 binds histone H3 tails with trimethylated lysine 4, and this interaction is enhanced by the presence of neighboring posttranslational modifications (PTMs) that mark active transcription and conversely is impaired by repressive PTMs. We identify putative human homologs of Bye1, the proteins PHD finger protein 3 and death-inducer obliterator, which are both implicated in cancer. These results establish Bye1 as the founding member of a unique family of chromatin transcription factors that link histones with active PTMs to transcribing Pol II.

gene transcription | chromatin modification

For transcription of eukaryotic protein-coding genes, RNA polymerase (Pol) II associates transiently with dozens of transcription factors. Different Pol II–associated factors are required for transcription initiation, RNA chain elongation through chromatin, pre-mRNA 5'-capping, splicing, 3'-RNA processing of the nascent transcript, and transcription termination (1–3). To understand how these factors cooperate with Pol II and achieve their functions, structural information on Pol II in complex with transcription factors is required. Thus far, X-ray crystallographic structural information on such complexes is limited to two transcription factors: the initiation factor TFIIB (4–7), and the elongation factor TFIIS (8–11). TFIIS contains three domains, a mobile N-terminal domain, a central domain that binds directly to the Pol II jaw and funnel domains, and a C-terminal zinc ribbon domain that inserts into the polymerase pore (also called the secondary channel) and reaches the Pol II active site (9), to stimulate cleavage of backtracked RNA during transcriptional proofreading and escape from arrest (12).

In the yeast *Saccharomyces cerevisiae*, there is only a single protein that contains a domain that is distantly homologous to the central, Pol II–associated domain of TFIIS. This protein, bypass of Ess1 (Bye1), has been identified as a multicopy suppressor of Ess1 (13), a peptidyl-prolyl *cis-trans* isomerase involved in proline isomerization of the C-terminal domain (CTD) of Pol II (14, 15). In Bye1, the central TFIIS-like domain (TLD, residues 232–365) is flanked by an N-terminal plant homeo domain (PHD) (residues 74–134) and a C-terminal Spen paralogue and orthologue C-terminal (SPOC) domain (residues 447–547; Fig. 1A). PHD domains are mostly found in proteins involved in chromatin-mediated gene regulation (16). Consistent with this, the Bye1 PHD domain binds to a histone H3 tail peptide containing trimethylated

lysine 4 (H3K4me3) (17). The function of SPOC domains in yeast is unclear, but in higher eukaryotes, SPOC domains are implicated in developmental signaling (18). Bye1 localizes to the nucleus (19), consistent with harboring putative nuclear localization signals in the N-terminal protein region. Based on yeast genetics, it was suggested that Bye1 plays an inhibitory role during transcription elongation (20). It is unknown whether Bye1 binds to Pol II directly, and what the consequences of such binding are for polymerase structure and function.

Here we show that Bye1 binds directly to the core of Pol II and report four crystal structures of different Pol II functional complexes bound by Bye1. The structures reveal similarities and differences to the Pol II–TFIIS complex. Together with functional data, our results indicate that Bye1 binds to early Pol II elongation complexes at the beginning of transcribed regions of active genes without changing polymerase structure or function. The polymerase interaction recruits Bye1 to chromatin, where it directly contacts histone H3 tails marked with posttranslational modifications (PTMs) of active transcription.

Results

Bye1 Interacts with Pol II. To test whether Pol II binds directly to Bye1 in vitro, we incubated pure yeast Pol II with recombinant Bye1 and subjected the sample to size-exclusion chromatography (*Materials and Methods*). A stable and apparently stoichiometric Pol II–Bye1 complex was obtained (Fig. 1B). To characterize the Pol II–Bye1 interaction, we used surface plasmon resonance. We immobilized Pol II on a Biacore sensor chip and determined Bye1 association and dissociation rates. The ratio of these rates provided a dissociation constant of $K_D = 3.8 \pm 2.2 \mu\text{M}$ (Fig. S1).

Significance

Transcription of protein-coding genes requires transient binding of many different factors to RNA polymerase II. Thus far, crystal structures of only two such factors in complex with RNA polymerase II are known. Here we report crystal structures of a third polymerase-binding protein, bypass of Ess1 (Bye1), in complex with RNA polymerase II in different functional states. We also show that Bye1 binds histone tails with posttranslational modifications that mark active chromatin and discuss models for Bye1 function in a chromatin context.

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Data deposition: The atomic coordinates and structure factors have been deposited in the Protein Data bank, www.pdb.org (PDB ID codes 4bxz, 4by7, 4by1, and 4bxx).

¹To whom correspondence should be addressed. E-mail: cramer@lmb.uni-muenchen.de.

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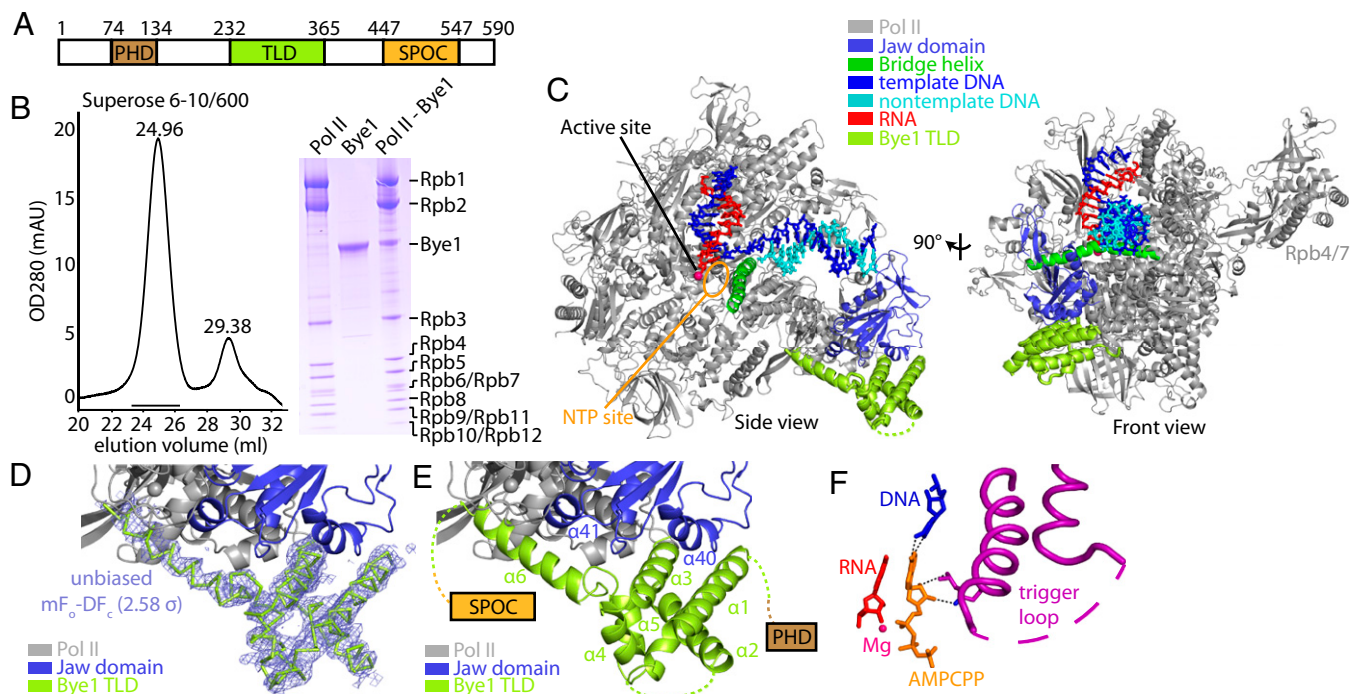


Fig. 1. Structure of the Pol II-Bye1 elongation complex. (A) Bye1 domain organization. Bordering residue numbers are indicated. (B) Pol II and Bye1 form a stable complex. Pol II was incubated with an excess of Bye1 and subjected to size-exclusion chromatography. The elution profile (Left) reveals a stable Pol II-Bye1 complex (elution volume, 24.96 mL) and excess Bye1 (29.38 mL). SDS/PAGE analysis of fractions indicated with a black horizontal line confirmed the presence of a Pol II-Bye1 complex (right lane of the Coomassie-stained SDS/PAGE gel shown on the Right). For comparison, pure Pol II and recombinant Bye1 have been included in SDS/PAGE analysis. (C) Ribbon model of the Pol II-Bye1 elongation complex crystal structure. The views correspond to the side and front views of Pol II (46) and are related by a 90° rotation around a vertical axis. (D) Sigma-A weighted difference electron density (blue mesh, contoured at 2.58 σ) for the Bye1 TLD (green). The Fourier coefficients used for map calculation were $mF_o - DF_c$ (F_o = observed structure factor amplitude, F_c = structure factor amplitude calculated from the Pol II structure alone, m = figure of merit, D = Sigma-A weighting factor). (E) Close-up view of the Pol II-Bye1 interaction. Mobile loops are indicated by dashed lines. (F) Contacts of AMPCPP with the closed trigger loop in the AMPCPP-containing Pol II-Bye1 elongation complex structure. Residues involved in hydrogen bond formation are shown as sticks; hydrogen bonds are indicated by dashed lines. A few residues of the trigger loop that face away from the AMPCPP are mobile (dashed line).

Structure of Bye1-Bound Pol II Elongation Complex. Cocrystallization of Pol II with full-length Bye1 yielded crystals diffracting to 4.8-Å resolution (Table S1). Structure solution by molecular replacement with free Pol II (21) revealed positive difference density for the Bye1 TLD on the Rpb1 surface, but no density for the two other Bye1 domains. To obtain better diffraction, the Bye1 TLD was expressed in isolation and soaked into preformed Pol II elongation complex crystals containing a DNA-RNA scaffold. Diffraction data to 3.15-Å resolution were obtained (Table S1). Phasing with the Pol II structure (21) revealed positive difference density at the same location observed with full-length Bye1 (Fig. 1 C and D). The Bye1 TLD structure was built with the aid of sequence markers obtained with selenomethionine-labeled protein, and the complex structure was refined to a free R-factor of 21.19% (Table S1).

Bye1 Binds the Polymerase Jaw. The Bye1 TFIIIS-like domain (TLD) fold comprises an N-terminal three-helix bundle (helices $\alpha 1$ - $\alpha 3$) followed by two short helices ($\alpha 4$ and $\alpha 5$) that link to an extended C-terminal helix $\alpha 6$ (Fig. 1E). This fold resembles that of TFIIIS domain II (helices $\alpha 1$ - $\alpha 5$) (9), and helix $\alpha 6$ corresponds to the linker between TFIIIS domains II and III (Fig. 2A). The Bye1 TLD binds the Rpb1 jaw domain at the location where TFIIIS domain II binds the polymerase (Fig. S24). Despite this overall similarity, the Pol II contacts by the Bye1 TLD and TFIIIS differ. The Bye1 helix $\alpha 3$ binds loop $\beta 30$ - $\beta 31$ and helix $\alpha 40$ of the Rpb1 jaw domain and induces ordering of loop $\alpha 40$ - $\beta 29$. Helix $\alpha 6$ extends from the jaw into the Pol II funnel, contacting the Rpb1 loops $\alpha 20$ - $\alpha 21$ and

$\beta 29$ - $\alpha 41$, and strand $\beta 32$ of the Rpb1 funnel domain. The Bye1 loop $\alpha 2$ - $\alpha 3$ contacts the N terminus of Rpb5 (Fig. 2 B-D).

Bye1 Does Not Change Pol II Conformation. TFIIIS binding to Pol II induces three major conformational changes in the polymerase elongation complex. It repositions the jaw-lobe module, traps the trigger loop in a locked conformation (9), and realigns the RNA in the active site (8). Although Bye1 resembles part of TFIIIS and binds to a similar position on Pol II, it does not induce conformational changes (Fig. 2E). This lack of conformational changes was observed in structures of Pol II complexes with the Bye1 TLD, but also with full-length Bye1. These observations predicted that Bye1 does not impair nucleoside triphosphate (NTP) binding to Pol II, which requires closure of the trigger loop. Indeed, we were able to show closure of the trigger loop and binding of an NTP substrate analog in the presence of Bye1 by crystallizing an additional complex of Bye1 TLD bound to the Pol II elongation complex with α, β -Methyleneadenosine 5'-triphosphate (AMPCPP) (Fig. 1F; Fig. S2B; Table S1). Furthermore, Bye1 TLD binding also did not prevent backtracking of RNA into the Pol II pore, as seen in another structure of Bye1 bound to arrested Pol II with backtracked RNA (Fig. S2C; Table S1).

Bye1 Does Not Influence Basic Pol II Functions. These observations suggested that Bye1 had no functional influence on basal transcription. Indeed nuclear extracts prepared from yeast cells lacking the gene encoding Bye1 were active in promoter-dependent *in vitro* transcription assays, and addition of purified Bye1 to WT nuclear extracts did not alter their activity (Fig. S3). In contrast

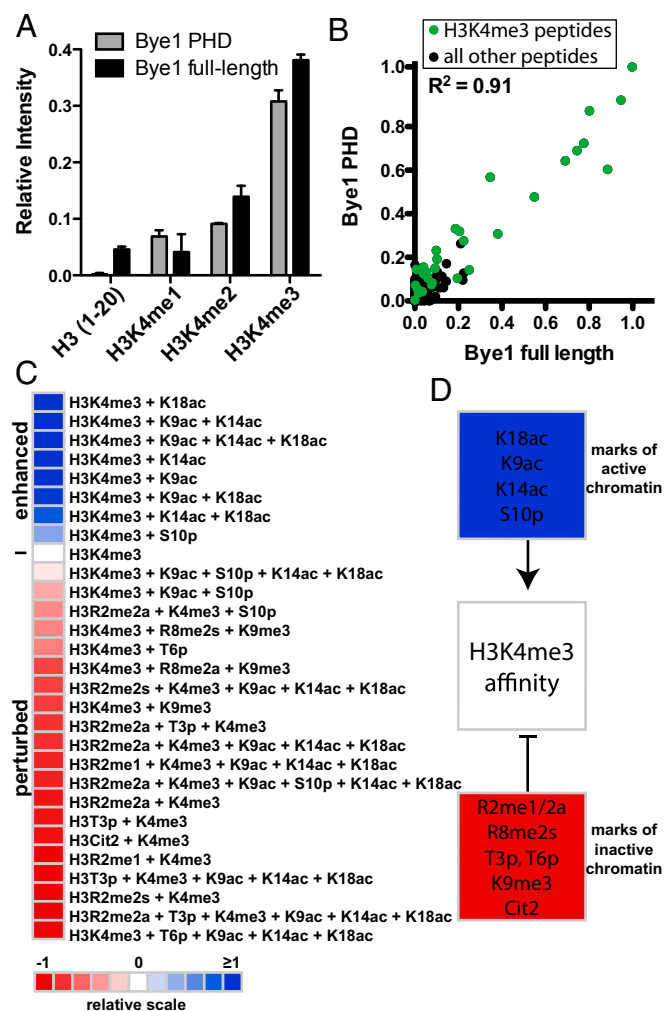


Fig. 4. Bye1 preferentially binds histone peptides carrying PTMs of active transcription. (A) Peptide array binding analysis reveals that Bye1 preferentially associates with H3K4me3 peptides, and its PHD domain is sufficient for this interaction. Results of two independent arrays consisting of 24 individual spots for each peptide (Table S2) are presented as relative mean intensity measurements on a scale from 0 to 1, with 1 being the most significant peptide interaction. (B) Scatter plot correlating relative mean intensity measurements of all peptide interactions (Table S2) from arrays probed with full-length Bye1 and the Bye1 PHD domain. H3K4me3-containing peptides are shown as green dots. All other peptides on the array are shown as black dots. The correlation coefficient was calculated by linear regression analysis using GraphPad Prims v5. (C) Heat map depicting the effects of combinatorial PTMs on the binding of Bye1 to H3K4me3-containing peptides. Average binding intensities are represented relative to the H3K4me3 peptide (0, white). Enhanced (>0, blue) and perturbed (<0, red) interactions are depicted. (D) Summary of modifications enhancing (blue) and perturbing (red) the Bye1 interaction with H3K4me3 peptides.

genomic occupancy profiling with the use of ChIP (*SI Materials and Methods*). Metagenome analysis by averaging occupancy profiles of genes of similar length revealed a Bye1 occupancy peak 110 nucleotides downstream of the transcription start site (TSS) (Fig. 5A). No significant signals were observed in promoter regions and at the polyadenylation (pA) site. Bye1 was found on all active genes, and its occupancy level correlated with those for bona fide Pol II elongation factors such as Spt5 (Fig. S5A). Published ChIP data for H3K4me3 shows a peak at a similar location downstream of the TSS, although the peak is broader (Fig. S5B) (30). These results indicated that Bye1 is recruited to the 5'-region of active genes in vivo, and suggest that H3K4me3 contributes to Bye1 recruitment.

To interpret the ChIP data, we generated a 3D topological model of the Bye1-bound Pol II elongation complex approaching the +2 nucleosome of an active yeast gene (Fig. 5C). For the modeling we assumed that Bye1 cross-links to DNA via Pol II in

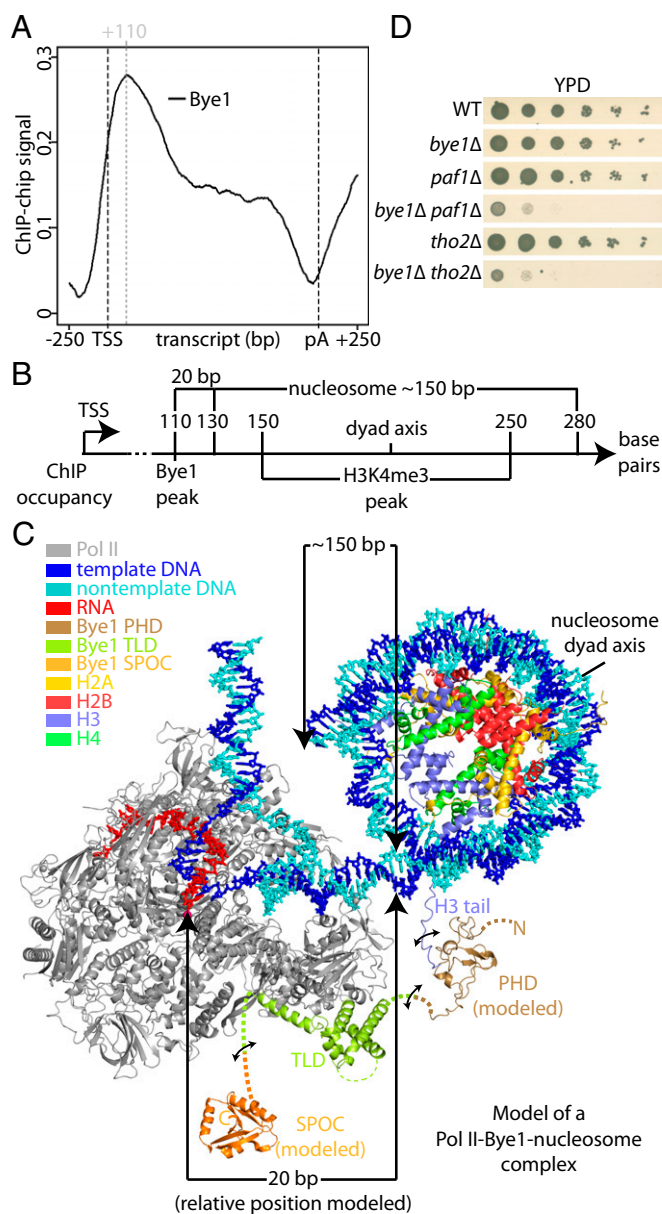


Fig. 5. Bye1 associates with active genes in front of the +2 nucleosome. (A) Gene-averaged Bye1 ChIP occupancy profile for the median gene length class ($1,238 \pm 300$ nt, 339 genes). (B) Scheme showing occupancies of Bye1 and H3K4me3 (30) derived from ChIP data and nucleosome position derived from microarray and high-throughput DNA sequencing data (31). (C) Model of a Pol II-nucleosome-Bye1 complex based on crystal structures and ChIP occupancy peak positions. Distances in base pairs (bp) are indicated between the Pol II active center and the nucleosome, as well as for the nucleosomal DNA. The model is based on the structure of the nucleosome core particle (1aoi) (47). Modeling was performed with Coot (48). Bye1 PHD and SPOC domains were modeled using Modeler (49). The PHD domain model is based on structures 3kqi, 1wem, 1wew, 2lv9, and 1wep, which were identified by HHpred (50) to be most similar to Bye1 PHD. Binding of the PHD domain to H3K4me3 was modeled based on structure 2jnj. The SPOC domain model is based on structure 1ow1. (D) Bye1 genetically interacts with Paf1 and Tho2. Serial dilutions of strains *bye1Δ*, *paf1Δ*, *bye1Δ paf1Δ*, *tho2Δ*, *bye1Δ tho2Δ*, and an isogenic WT control strain were placed on yeast extract peptone dextrose (YPD) plates and incubated at 30 °C for 3 d.

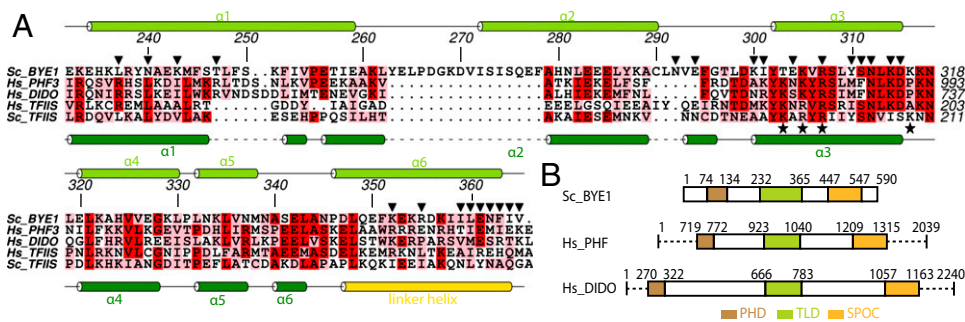


Fig. 6. Conservation of Pol II-binding residues in Bye1 human homologs. (A) Amino acid sequence alignment of *S. cerevisiae* Bye1, *H. sapiens* PHF3, *H. sapiens* DIDO, *H. sapiens* TFIIS, and *S. cerevisiae* TFIIS. Secondary structure elements are indicated as arrows (β -strands) or rods (α -helices). Loops are indicated with solid lines. Residues that are part of the Pol II-Bye1 interface are marked with black triangles. Residues essential for the Pol II-TFIIS interaction (51) are marked with black asterisks. (B) Domain organization of *S. cerevisiae* Bye1, *H. sapiens* PHF3, and *H. sapiens* DIDO. Numbers for bordering residues are indicated.

ChIP experiments and set the Pol II active center to nucleotide position +110 downstream of the TSS. We positioned the +2 nucleosome based on its experimentally defined average position (31) (Fig. 5B). We also included models of the flexible Bye1 SPOC and PHD domains, with the latter positioned on the H3 tail emerging from the core nucleosome particle (Fig. 5C). Although the trajectory of the H3 tail is unclear and although the linkers between the Bye1 domains are flexible, the resulting model explained the position of the ChIP peak with high H3K4me3 occupancy. The model also suggests that it is structurally possible for Bye1 to interact simultaneously with the Pol II core and the trimethylated H3 tail in the 5'-region of active genes.

Bye1 Genetically Interacts with Paf1 and Tho2. To further elucidate Bye1 function, we aimed at identifying genes that interact functionally with the gene encoding Bye1. The yeast *bye1* Δ strain does not show any obvious growth phenotype (20), suggesting a nonessential function. However, it has been shown for the transcription factor TFIIS that mutation of its functional residues, but not its deletion, confers lethality (32). This finding illustrates that nonessential genes can have very important functions. Screening a yeast deletion strain collection (33, 34) for synthetic growth defects with *bye1* Δ revealed two candidate genes: *paf1* (RNA polymerase II associated protein 1) and *tho2* (THO complex subunit 2). Generating *bye1* Δ *paf1* Δ and *bye1* Δ *tho2* Δ double mutants in a different genetic background confirmed the synthetic interaction between these genes (Fig. 5D). The genes *paf1* and *tho2* encode for subunits of two bona fide elongation factor complexes. Paf1 belongs to the five-subunit Paf complex that recruits the histone methyltransferase Set1 to transcribed genes (35). Set1 in turn is responsible for H3K4 trimethylation during transcription (36). The interaction of Bye1 PHD with H3K4me3 is therefore a plausible link between Paf1 and Bye1. Tho2 resides in the four-subunit THO complex that is required for efficient transcription elongation (37). These results strongly support an involvement of Bye1 in transcription elongation through chromatin.

PHF3 and DIDO Are Human Homologs of Bye1. No homologs in higher eukaryotes have been reported for Bye1. We performed a bioinformatics search based on the Pfam database (38) to identify potential homologs with the same domain organization. We found two human proteins, PHD finger protein 3 (PHF3) and death-inducer obliterator (DIDO), which show the same domain organization as Bye1 (Fig. 6B). Both proteins contain an N-terminal PHD domain, a central TLD domain, and a C-terminal SPOC domain, with linkers of varying lengths in between these domains. Homology for both proteins could not be inferred based on sequence homology [*E*-value: $2e-04$ (PHF3)/ $5e-04$ (DIDO)]. PHF3 has been associated with glioma development because its expression is significantly reduced or lost in glioblastomas (39). DIDO

is a potential tumor suppressor showing abnormal expression patterns in patients with myelodysplastic and myeloproliferative diseases (40). Specific binding of the DIDO PHD domain to H3K4me3 has been reported recently (41).

To corroborate the homology of PHF3 and DIDO with Bye1, we analyzed the conservation of the Pol II-TLD interface. Both yeast Pol II and Bye1 TLD surfaces forming the interface are well conserved in human Pol II and PHF3/DIDO, respectively (Fig. 6A; Fig. S6). In particular, a salt bridge between yeast Bye1 residue K314 and E1168 in the largest Pol II subunit Rpb1 is conserved in the predicted human PHF3/DIDO-Pol II complexes. Similarly, many hydrogen bonds observed between the Bye1 TLD and Rpb1 (Bye1 residues N292, S311, D315, R355, N362, and F363) are predicted to be conserved in the homologous human complexes. These results indicate that PHF3 and DIDO contain Pol II-binding TLD domains and are human homologs of Bye1, and our structural, biochemical, and genetic results provide a starting point for elucidating the function of these proteins.

Discussion

Here we show that the nuclear protein Bye1 binds to Pol II and report crystal structures of the central TLD domain of Bye1 bound to free Pol II, a Pol II elongation complex with DNA template and RNA transcript, an elongation complex with an NTP analog, and an arrested elongation complex with backtracked RNA. These studies represent only the third high-resolution structural analysis of a transcription factor complex with the polymerase core. Whereas the previously studied factors TFIIB (4–7, 42) and TFIIS (8–12) alter Pol II function by directly affecting catalytic events, Bye1 does not alter basic Pol II functions in vitro. Consistent with this, Bye1 binding to Pol II does not alter Pol II conformation in the structures. Additional functional data in vitro and in vivo indicate that Bye1 occupies active genes in their 5'-region and can bind to histone H3 tails with PTMs of active transcription using its PHD domain. A chromatin-related function of Bye1 may explain published genetic evidence for a negative role of Bye1 in transcription elongation (20).

What could be the function of Bye1 in chromatin transcription? Because the TLD of Bye1 is required for chromatin association of Bye1, it is unlikely that Bye1 first recognizes active chromatin marks and then recruits Pol II to active chromatin regions. We speculate that instead Bye1 binds directly to Pol II during early transcription elongation and tethers surrounding histones containing active PTMs, perhaps to prevent loss of histones during polymerase passage through chromatin.

Materials and Methods

Proteins were prepared either from the natural source (Pol II) or expressed recombinantly in *Escherichia coli* (Bye1). For surface plasmon resonance

analysis, Pol II was immobilized on a biosensor chip (Biacore), and time-resolved affinity measurements of Bye1 dilution series were carried out. Pol II–Bye1 complexes were formed with a 10× molar excess of Bye1 and cocrySTALLIZED. For Pol II–Bye1 TLD complexes containing nucleic acids, Pol II and nucleic acids were cocrySTALLIZED and Bye1 TLD was soaked into preformed crystals. Diffraction data were collected at the Swiss Light Source, and structures were solved by molecular replacement. For chromatin fractionation, plasmids containing HA-tagged full-length Bye1, Bye1 Δ PHD (Δ 1–177), and Bye1 Δ TLD (Δ 177–354) [obtained from S. D. Hanes, Division of Infectious Disease, Wadsworth Center, New York State Department of Health, Albany, New York (20)] were transformed into WT yeast. Chromatin fractionation was performed using a combination of previously described methods (43, 44). Peptide synthesis and validation, microarray fabrication, effector protein hybridization and detection, and data analysis of histone peptide microarrays were performed essentially as described previously (22). Synthetic genetic array analysis was performed as described previously (33, 34). For details, see *SI Material and Methods*.

Note Added in Proof. After our paper was submitted for publication, it was reported that the human DNA helicase RECQL5 uses a TLD domain that is

homologous to the Bye1 TLD and binds the same Pol II region (45). This finding is consistent with our proposal that human proteins PHF3 and DIDO are homologs of Bye1. Bye1 may thus be the founding member of a new family of transcription factors that link early transcribing Pol II to histones in yeast and human cells.

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