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Ventral Striatum Binding of a Dopamine D_{2/3} Receptor Agonist But Not Antagonist Predicts Normal Body Mass Index

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Abstract

Background—Positron emission tomography research has shown that dopamine $D_{2/3}$ receptor $(D_{2/3}R)$ availability is negatively correlated with body mass index (BMI) in obese but not in healthy subjects. However, previous positron emission tomography studies have not looked specifically at the ventral striatum (VS), which plays an important role in motivation and feeding. Furthermore, these studies have only used antagonist radiotracers. Normal-weight rats given free access to high-fat diets demonstrate behavioral sensitization to $D_{2/3}R$ agonists but not to antagonists. Sensitization is associated with increased $D_{2/3}R$ affinity, which affects binding of agonists but not antagonists.

Methods—We examined the association between BMI within the nonobese range (18.6–27.8) and $D_{2/3}R$ availability in the VS with the use of the agonist radiotracer [¹¹C]-(+)-PHNO (n = 26) and the antagonist [¹¹C]-raclopride (n = 35) in healthy humans.

Results—In the VS, we found a positive correlation between BMI and $[^{11}C]$ -(+)-PHNO binding but no relationship with $[^{11}C]$ -raclopride binding. Secondary analyses revealed no relationship between BMI and binding in the dorsal striatum with either radiotracer.

Conclusions—We propose that in nonobese individuals, higher BMI may be associated with increased D_2R affinity in the VS. This increased affinity may potentiate the incentive salience of food cues and counteract the effects of satiety cues, thereby increasing feeding.

Keywords

Body mass index; dopamine D2 receptor; food addiction; obesity; PET; ventral striatum

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Obesity is one of the leading causes of preventable death, reaching pandemic levels in the United States and affecting 35.7% of adults and 17% of youth (1). A growing perspective conceptualizes overeating as a food addiction. Evidence suggests that striatal dopamine, involved in reward, motivation, and food consumption, is altered in obesity (2). Addiction-like dopaminergic dysfunction, specifically reduced striatal dopamine $D_{2/3}$ receptor ($D_{2/3}R$) availability, has been observed in rat models of obesity (3,4) and in obese humans in vivo (5–8).

A positron emission tomography (PET) study with the use of the antagonist radiotracer [¹¹C]-raclopride found that lower striatal $D_{2/3}R$ availability predicted higher body mass index (BMI) in severely obese individuals but not in nonobese subjects (5). This is contrary to findings in nonobese rats given free access to regular chow, in which lower [¹¹C]-raclopride binding in the ventral striatum (VS) predicted both greater body weight and preference for cocaine (9).

The VS, including the nucleus accumbens, plays an integral role in processing reward cues and motivating behavior to seek rewards such as palatable foods (2). Thus, changes in $D_{2/3}R$ availability in the VS may alter the rewarding properties and consumption of food, affecting body weight. Left VS activation in response to food cues predicts weight gain in healthy females (10) and correlates with dopamine release in response to reward cues (11). These studies suggest that VS activation and $D_{2/3}R$ availability may show changes related to normal BMI.

Previous PET studies of BMI have not specifically examined $D_{2/3}R$ availability in the VS; instead, region of interest (ROI) analyses of the whole striatum (5), the caudate and putamen (6,7), or a voxel-based approach (7) were used. Furthermore, previous PET studies have only used the $D_{2/3}R$ antagonist radio-tracer [¹¹C]-raclopride. Normal-weight rats given free access to high-fat diets demonstrate behavioral sensitization to direct and indirect $D_{2/3}R$ agonists but not antagonists (12). This sensitization is also observed in rodent models of drug addiction (13) and is associated with increased D_2R affinity (14–16).

This suggests that, like cocaine and amphetamine, exposure to high-fat foods may increase the affinity for dopamine at D_2Rs . It has been observed in vitro that agonist radiotracers are more sensitive to changes in D_2R affinity than are antagonist radio-tracers. Increased D_2R affinity, indexed by increased agonist radiotracer binding, has been found to co-occur with no change and even decreases in total D_2R binding sites given amphet-amine sensitization (14). Consequently, differences in BMI within the normal range may be related to differences in VS binding of dopamine agonists but not antagonists.

This study investigated the relationship between healthy BMI and $D_{2/3}R$ availability in the VS in humans, with the use of both the agonist radiotracer [¹¹C]-(+)-PHNO and the antagonist [¹¹C]-raclopride. Understanding the dopaminergic correlates of normal BMI will help elucidate the deficits seen in obesity and may inform current models of food addiction as well as the development of novel prevention and treatment strategies.

Methods and Materials

Subjects

All participants were right-handed and free of any major medical or psychiatric disorder as determined by clinical interview, the Mini-International Neuropsychiatric Interview, basic laboratory tests, and electrocardiography. Although obesity was not an exclusion criteria, given our exclusion of major medical conditions (such as diabetes or heart disease), we sampled only persons within a normal BMI range (<30). Participants were required to have a negative urine screen for drugs of abuse and/ or pregnancy at inclusion and before each PET scan. Participants were also asked to abstain from alcohol or caffeine 3 days before the PET scans. Only data collected from nonsmoking participants was analyzed for this study. The sample analyzed for the current study was collected by our laboratory from various PET studies that were approved by the Research Ethics Board of the Centre for Addictions and Mental Health, Toronto. All participants provided written informed consent.

PET Imaging

The radiosynthesis of $[^{11}C]$ -(+)-PHNO and $[^{11}C]$ -raclopride and the acquisition of PET images have been described in detail elsewhere (17-19). Briefly, images were acquired with the use of a high-resolution, head-dedicated PET camera system (CPS-HRRT; Siemens Molecular Imaging, Munich, Germany), which measures radioactivity in 207 brain slices with a thickness of 1.2 mm each. The in-plane resolution was ~2.8 mm full-width at halfmaximum. Transmission scans were acquired with the use of a 137 Cs (T_{1/2} = 30.2 years, energy = 662 KeV) single-photon point source to provide attenuation correction, and the emission data were acquired in list mode. The raw data were reconstructed by filtered backprojection. The mean radioactivity dose of $[^{11}C]$ -(+)-PHNO (n = 26) was 8.96 (±1.68) mCi, with a specific activity of 1009.44 (±289.35) mCi/µmoL. The mean radioactivity dose of $[^{11}C]$ -raclopride (n = 35) was 9.22 (±2.49) mCi, with a specific activity of 1133.39 (±433) mCi/µmoL. [¹¹C]-(+)-PHNO scanning data were acquired for 90 min after injection. Once scanning was complete, the data were re-defined into 30 frames (1-15 of 1-min duration and 16-30 of 5-min duration). [¹¹C]-raclopride data were acquired for 60 min and redefined into 28 frames (1-5 of 1-min duration, 6-25 of 2-min duration, and 26-28 of 5-min duration).

Image Analysis

The ROI-based analysis for $[^{11}C]$ -(+)-PHNO and $[^{11}C]$ -raclopride has been described in detail elsewhere (20). Briefly, time-activity curves (TACs) from ROIs were obtained from the dynamic PET images in native space with reference to each subject's coregistered magnetic resonance image (MRI). The coregistration of each subject's MRI to PET space was performed with the use of the normalized mutual information algorithm (21) as implemented in SPM2 (SPM2, The Wellcome Department of Cognitive Neurology, London; http://www.fil.ion.ucl.ac.uk/spm). The TACs were analyzed by means of the Simplified Reference Tissue Method (SRTM) (22), with the cerebellum used as the reference region, to derive a quantitative estimate of binding: binding potential nondisplaceable (BP_{ND}). The basis function implementation of the SRTM (23) was applied to the dynamic PET images to generate parametric voxelwise BP_{ND} maps by means of PMOD (v2.7; PMOD Technologies,

Zurich, Switzerland). These images were spatially normalized into Montreal Neurological Institute (MNI) brain space by nearest neighbour interpolation with a voxel size fixed in $2 \times$ $2 \times 2 \text{ mm}^3$ by means of SPM2. Regional BP_{ND} estimates were then derived from ROIs defined in MNI space. The ventral striatum and dorsal striatum (dorsal caudate, hereafter caudate; dorsal putamen, hereafter putamen) were defined according with Mawlawi et al. (24). The definition was made over the participant's MRI slices oriented in the coronal plane. The VS (inferiorly), caudate, and putamen (superiorly) were defined by a line joining the intersection between the outer edge of the putamen with a vertical line going through the most superior and lateral point of the internal capsule and the center of the portion of the anterior commissure (AC). This line was extended to the internal edge of the caudate. The other boundaries of the VS were visually determined by its dense gray signal and were easily distinguishable from the adjacent structures. The VS was sampled from the anterior boundary of the striatum to the level of the AC coronal plane. The caudate also was sampled from its anterior boundary to the AC coronal plane. Thus, for the VS, the sampled region included the ventral and rostral part of the striatum, with reference to AC having the brain horizontal to the AC-PC line. For the caudate, the sampled region included the dorsal part of the head of the caudate and the anterior third of the body of the caudate. The putamen was sampled from its anterior to posterior boundaries in slices posterior to the AC plane. For [¹¹C]-raclopride scans, BP_{ND} in the substantia nigra ROI was not obtainable because binding in this region falls within noise levels (20).

Statistical Analysis

Statistical analyses were conducted with the use of SPSS (v.12.0; SPSS, Chicago, Illinois) and GraphPad (v.5.0; GraphPad Software, La Jolla California). Pearson product-moment correlation coefficients were calculated to examine the relationship between BMI and BP_{ND} in the ROIs. Normality of variables was determined by means of the D'Agostino-Pearson test. Student *t* test and Fisher's exact test were used where appropriate. The significance level for all testes was set at p < .05 (two-tailed).

Results

Data from 46 healthy volunteers were analyzed, some of which have been reported previously (20,25,26). Twenty-six subjects were scanned with [¹¹C]-(+)-PHNO and 35 subjects were scanned with [¹¹C]-raclopride. A subgroup of these subjects (n = 15) were scanned with both radiotracers in a counterbalanced order, at least 3 hours apart. BMI was calculated as kg/m² (Table 1). There was no difference in the time of day at which the [¹¹C]-(+)-PHNO and [¹¹C]-raclopride scans were acquired, neither for the full samples ($t_{59} = .16$, p = .87) nor for the subsample scanned with both tracers ($t_{28} = .97$, p = .34). Within the full sample of persons scanned with [¹¹C]-(+)-PHNO, BMI was not related to age (r = .27, p = . 18) nor differed by sex ($t_{24} = .42$, p = .66). Within the full sample of persons scanned with [¹¹C]-raclopride, BMI was not related to age (r = .21, p = .23) nor differed by sex ($t_{33} = .21$, p = .84).

The BP_{ND} of [¹¹C]-(+)-PHNO in the VS was significantly correlated with BMI (r = .51, p = .008) in the full sample (n = 26) (Figure 1). This corresponded to a large effect size (27),

with a shared variance of 26% ($r^2 = .26$). Neither age (r = .14, p = .50) nor sex (r = .02, p = .92) was related to BP_{ND} in the VS. Given potential hemisphere differences (10,11), we tested for a hemisphere effect. Whereas BMI was correlated with BP_{ND} in the left (r = 40, p = .04) and right (r = .58, p = .002) hemispheres, a dependent-correlations *t* test revealed that the correlation was stronger in the right hemisphere ($t_{23} = -2.01$, p < .05) (Figure 2). Secondary analyses revealed that BMI was not correlated with BP_{ND} in the caudate (r = .21, p = .31), putamen (r = .30, p = .14), globus pallidus (r = -.06, p = .79), or substantia nigra (r = .31, p = .13). Although the VS was our a priori ROI, it is noteworthy that the relationship between BMI and BP_{ND} in the VS survived correction for multiple comparisons. There are five ROIs in total: the ventral striatum, caudate, putamen, globus pallidus, and substantia nigra. Thus, the Bonferroni-corrected significance threshold for the [11 C]-(+)-PHNO–BMI correlations would be p = .01 (.05/5 = .01). Controlling for age or sex did not significantly change our results with [11 C]-(+)-PHNO (data not shown).

With [¹¹C]-(+)-PHNO, side effects such as nausea have been observed with an injected mass $>3 \mu g$ (28). Although all our subjects were scanned with an injected mass $<3 \mu g$ (2.26 ± .36), we wanted to rule out the possibility that our findings were caused by tracer dose. There was no relationship between the injected mass (μg) and BP_{ND} in the VS (r= .14, p= .51; right hemisphere: r= .12, p= .58; left hemisphere: r= .15, p= .48) or with BMI (r= .01, p= .96). Neither the specific activity (mCi/µmol) nor amount injected (mCi) of [¹¹C]-(+)-PHNO was related to BP_{ND} in the VS (r= -.11, p= .58 and r= -.14, p= .50, respectively) or BMI (r= -.06, p= .77 and r= -.13, p= .53, respectively). Thus, the observed association between [¹¹C]-(+)-PHNO BP_{ND} and BMI is not caused by a confounding effect of tracer dose or mass.

The BP_{ND} of [¹¹C]-raclopride in the VS was not correlated with BMI (r = -.09, p = .61) in the full sample (n = 35) (Figure 3). There was no correlation in either hemisphere (left: r = -.22, p = .28; right: r = .28, p = .87). Neither age (r = -.23, p = .19) nor sex (r = -.14, p = .44) was related to BP_{ND} in the VS. Secondary analyses revealed no correlation with BMI in the caudate (r = -.04, p = .82), putamen (p = -.06, p = .75), or globus pallidus (r = -.06, p = .75). Controlling for age or sex did not significantly change our results with [¹¹C]-raclopride (data not shown).

Given the divergent relationship between BMI and BP_{ND} in the VS with the two radiotracers, we analyzed a subsample of participants (n = 15) who were scanned with both. This was done to explicitly control for individual differences that may exist between the full samples. Again, we observed a positive correlation between BMI and BP_{ND} in the VS with $[^{11}C]$ -(+)-PHNO (r = .55, p = .03) but no correlation with $[^{11}C]$ -raclopride (r = -.16, p = .56). A dependent-correlations t test revealed that the correlation between BMI and $[^{11}C]$ -(+)-PHNO BP_{ND} was significantly stronger than the correlation between BMI and $[^{11}C]$ raclopride BP_{ND} ($t_{12} = 2.95$, p < .05). This supported our results with the full samples (Figure 4).

Discussion

In the present PET study, we investigated how variation in normal BMI relates to $D_{2/3}R$ availability in the VS in humans, with the use of both an agonist and antagonist radiotracer, $[^{11}C]$ -(+)-PHNO and $[^{11}C]$ -raclopride, respectively. Supporting previous findings (5,6), BMI within the normal range was not correlated with $[^{11}C]$ -raclopride binding in the VS. However, normal BMI was positively correlated with $[^{11}C]$ -(+)-PHNO binding in the VS. These differential results were confirmed in a subsample of subjects scanned with both radiotracers, ruling out the influence of participant differences.

Differences in radioligand binding in vivo are usually explained by changes in at least one of three parameters: number of available receptors (Bmax), endogenous dopamine levels (binding competition), or receptor affinity for the ligand (Kd). With the use of the D₃R antagonist GSK598809, it has been estimated that \sim 74% of the [¹¹C]-(+)-PHNO signal in the human VS is attributed to binding at D_2R , whereas ~26% is attributed to D_3R (29). Similarly, it has been estimated in nonhuman primates that $\sim 19\%$ of the [¹¹C]-raclopride signal in the VS can be occupied by the D₃R-preferential antagonist BP897 (30). If our results were caused by changes in D_2R expression, it would be unlikely that $[^{11}C]$ -(+)-PHNO would detect the change but $[^{11}C]$ -raclopride would not, especially because $[^{11}C]$ raclopride labels a greater number of D₂Rs in vitro (31). It is also unlikely that our results with [¹¹C]-(+)-PHNO represent altered expression of D₃Rs because the contribution of D₃Rs to the VS signal for both radiotracers is small, although this possibility cannot be ruled out entirely. Furthermore, we observed no relationship between BMI and BP_{ND} in those ROIs in which the majority of the $[^{11}C]$ -(+)-PHNO signal is attributable to D₃R binding: the sub-stantia nigra (100%) and globus pallidus (65%) (29). Although D₃R function has been suggested to affect susceptibility for obesity in rodents (30), evidence has been mixed (32). In accordance with our findings, recent evidence in overweight and obese individuals suggests that D₃Rs do not mediate brain responses to food cues (33).

Another possibility is that our findings with $[^{11}C]$ -(+)-PHNO could be explained by decreases in endogenous dopamine levels with higher BMI. Both [¹¹C]-(+)-PHNO and ^{[11}C]-raclopride are sensitive to changes in endogenous dopamine levels (34,35). With the use of an amphetamine challenge in healthy subjects, it has been estimated that $[^{11}C]$ -(+)-PHNO is 1.65 times more sensitive to changes in endogenous dopamine in the VS compared with [¹¹C]-raclopride (36). Taking into account this difference in sensitivity, if our findings with [11C]-(+)-PHNO were driven solely by decreases in endogenous dopamine, we would expect the correlation coefficient between BMI and [¹¹C]-raclopride BP_{ND} in the VS to be . 30. The observed correlation coefficient was -.089. Furthermore, the percent increase in the average [¹¹C]-(+)-PHNO BP_{ND} from the lightest to heaviest persons in our sample (those in the first and fourth quartiles, respectively) was 17.87%. If this change was solely due to endogenous dopamine, we could have expected a 10.83% increase in [¹¹C]-raclopride BP_{ND} from the first to the fourth quartile. Instead, we observed a percent change of -9.38%. Thus, we propose that if the relationship between BMI and [¹¹C]-(+)-PHNO BP_{ND} was being driven solely by changes in endogenous dopamine, there would have been at least a trend for a positive correlation with $[^{11}C]$ -raclopride. Given that D₃Rs have a >20-fold higher affinity for dopamine than D_2Rs in vitro (15,16), any reductions in endogenous dopamine levels

would affect $[^{11}C]$ -(+)-PHNO BP_{ND} at D₂Rs before D₃Rs (36). Therefore, it is unlikely that the effect observed with $[^{11}C]$ -(+)-PHNO is caused by a difference in its ability to detect changes in endogenous dopamine at D₃Rs versus D₂Rs compared with $[^{11}C]$ -raclopride.

We posit that our findings are probably explained by changes in D_2R affinity for [¹¹C]-(+)-PHNO in the VS. It has been demonstrated in vitro that agonist and antagonist radioligands label different populations of D_2Rs . Specifically, D_2R agonists but not antagonists are sensitive to changes in the number of active or "high-affinity states" of the receptor (i.e., those coupled to intracellular G-proteins) (14). Although this phenomenon remains to be tested in vivo, the positive association between [¹¹C]-(+)-PHNO binding and BMI within the nonobese range may be due to increased affinity for dopamine at D_2Rs in the VS with greater BMI. This increased D_2R affinity may be related to increased motivation to consume palatable foods (37,38). This is supported by a recent study in rodents that found that the amount of sucrose intake during the dark phase is positively correlated with D_2R sensitivity in the nucleus accumbens, such that the D_2R of rodents that consume more sucrose have greater sensitivity to and activation by dopamine (39).

Within the normal range, higher BMI may be driven by an increase in the motivational properties of food. Food cues release dopamine in the VS of rodents (40) and can elicit feeding in satiated rats (41) and humans (42). Furthermore, VS activation in response to food cues is predictive of weight gain in healthy females (10) and is positively correlated with dopamine release during anticipation of rewards (11). Increased D_2R affinity in the VS may potentiate the motivating effects of food cues, thereby increasing the number of meals. Conversely, leptin and insulin, hormones that signal energy abundance, reduce dopamine signaling in the nucleus accumbens and suppress feeding (43). Thus, increased D_2R affinity may counter-act satiety signaled by reduced dopamine levels, thereby potentiating "not knowing when to stop" eating.

Our findings in conjunction with previous research suggest a dissociable relationship between D_2R function and BMI in obesity versus health. Higher weight within the normal range may be driven by increases in D_2R affinity (incentive sensitization), whereas higher weight in obesity may be driven by a reduction in D_2R expression (reward deficiency). Obesity is related to a reduction in total D_2R expression (3,5), mirroring the reduced D_2R expression seen in drug addiction (44). This suggests that whereas feeding behavior may exist on a continuum, the state of obesity, much like drug addiction, may be categorically distinct. This is supported by the fact that less [¹¹C]-raclopride binding in the striatum is correlated with greater BMI in obese individuals but not in healthy control subjects (5). Consistently, obese individuals are more likely to carry the *Taq1* A1 allele of the D_2R gene (45), which is associated with reduced D_2R expression and [¹¹C]-raclopride binding (46). This further supports that reduced [¹¹C]-raclopride binding in obesity reflects reduced D_2R expression, leading to a "reward deficiency syndrome," whereby obese individuals overeat to compensate for hypoactivation of reward circuits (5). Future research is needed to examine the role of D_2R affinity in obesity.

Because this was a retrospective study, we did not have a direct measure of reward sensitivity in our subjects. However, our interpretation is consistent with recent findings of a

nonlinear relationship between sensitivity to reward (SR) and BMI (31), which has been replicated in children (33). These studies demonstrate that within the nonobese BMI range, there is a positive association between self-reported SR and BMI, such that higher BMI is associated with increased SR. Thus, within the normal range, higher BMI may be associated with increased appetitive drive for rewards such as food. We propose that increased D_2R affinity may be a contributing neurobiological mechanism. These studies also observe that within the obese range there is a negative relationship between BMI and SR, such that higher BMI was associated with reduced SR. This is consistent with obesity being associated with reward deficiency leading to compensatory overeating, with reduced D_2R expression being a contributing neurobiological factor.

Our group, along with others, did not find normal weight to be related to D_2R function in the dorsal striatum. Abnormal functioning of the dorsal striatum may relate specifically to obesity and/or food addiction. Reduced D_2R expression is seen in the dorsal striatum of obese humans (6) and in animal models of obesity (3). Youth at risk for obesity show greater activation in the right caudate on receipt of palatable food and monetary reward (47). Similarly, obese individuals show increased glucose metabolism and activation in response to food cues in the right caudate during euglycemic hyperinsulinemia (induced satiety) (48). Interestingly, we found that the relationship between normal BMI and [¹¹C]-(+)-PHNO binding was strongest in the right VS. Future research should clarify the role of the dorsal and ventral striatum and each hemisphere in BMI.

There are a number of limitations to the current study. First, this study was retrospective. Second, we did not directly measure eating behaviors or adiposity in participants. Third, although the majority of the $[^{11}C]$ -(+)-PHNO signal in the VS is caused by D₂R binding, we could not parse out the contribution of D₃Rs; thus, changes in D₃R expression cannot be fully ruled out. Finally, we did not examine endogenous dopamine levels; thus, its contribution cannot be fully ruled out. This study sets the groundwork for exploring the role of D₂R agonist binding sites in the etiology, treatment, and prevention of obesity.

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Figure 1.

Correlation between body mass index (BMI) and $[^{11}C]$ -(+)-PHNO binding potential nondisplaceable (BP_{ND}) in the ventral striatum in the full sample of subjects (*n* = 26).



Figure 2.

The average $[^{11}C]$ -(+)-PHNO binding potential nondisplaceable (BP_{ND}) brain maps for persons within the first quartile of body mass index (BMI) (n = 7) and those within the fourth quartile of BMI (n = 7). The range of BMI for the quartiles is as follows: first = 18.6–21.5, fourth = 26.6–28. Persons within the fourth quartile of BMI had significantly greater $[^{11}C]$ -(+)-PHNO BP_{ND} in the ventral striatum compared with persons within the first quartile ($t_{12} = 2.213$, p = .047).

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Figure 4.

Correlation between body mass index (BMI) and binding potential nondisplaceable (BP_{ND}) in the ventral striatum in the subgroup of subjects (n = 15) scanned with both (**A**) [¹¹C]-(+)-PHNO and (**B**) [¹¹C]-raclopride.

Participant Demographics

Table 1

[¹¹C]-(+)-PHNO (*n* = 26) [¹¹C]-Raclopride (*n* = 35) [¹¹C]-(+)-PHNO and [¹¹C]-Raclopride (*n* = 15) Age Mean (SD) 29.88 (7.43) 29.67 (6.81) 31.31^a (9.02) Range 20-45 20-47 20-45 Sex M (F) 19 (7) 11 (4) 21^b (14) Body Mass Index Mean (SD) 23.66 (2.99) 23.24^c (2.71) 23.32 (3.13) Range 18.61-27.77 18.61-27.83 18.61-27.77

Excluding the subjects scanned with both tracers (n = 15), the subjects scanned with [¹¹C]-raclopride only (n = 20) did not differ from those scanned with [¹¹C]-(+)-PHNO only (n = 11) in ^aage (p = .28), ^bsex (p = .46), or ^cbody mass index (p = .89).

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