# Remnant Uptake as a Postoperative Oncologic Quality Indicator

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*Background:* The purpose of this study was to examine the utility of remnant uptake on postoperative radioiodine scans as an oncologic indicator after thyroidectomy for differentiated thyroid cancer (DTC).

*Methods:* We conducted a retrospective review of patients undergoing total thyroidectomy for DTC and subsequent radioactive iodine (RAI) treatment. Of the eight surgeons included, three were considered high volume, performing at least 20 thyroidectomies per year. Patients with distant metastases at diagnosis or poorly differentiated variants were excluded. To control for the effect of varying RAI doses, the remnant uptake was analyzed as a ratio of the percentage uptake to the dose received (uptake to dose ratio [UDR]). Multivariate logistic regression was used to determine the influence of UDR on recurrence.

**Results:** Of the 223 patients who met inclusion criteria, 21 patients (9.42%) experienced a recurrence. Those with a recurrence had a 10-fold higher UDR compared with those who did not (0.030 vs. 0.003, p=0.001). Similarly, patients with increasing postoperative thyroglobulin measurements (0.339 vs. 0.003, p<0.001) also had significantly greater UDRs compared with those with stable thyroglobulin. The UDRs of high-volume surgeons were significantly smaller than low-volume surgeons (0.003 vs. 0.025, p=0.002). When combined with other known predictors for recurrence, UDR (OR 3.71 [95% CI 1.05–13.10], p=0.041) was significantly associated with recurrence. High-volume surgeons maintained a low level of permanent complications across all UDRs, whereas low-volume surgeons had greater permanent complications associated with higher uptake.

*Conclusions:* Remnant uptake is a useful postoperative oncologic quality indicator that can predict a patient's risk of disease recurrence and indicate the completeness of resection.

# Introduction

**T**HE OVERALL MORTALITY RATES for differentiated thyroid cancer (DTC) depend on age and sex. For most patients, survival is 97% or better (1). Since mortality from DTC is relatively low, disease recurrence becomes another relevant on-cologic outcome. Large series report recurrence rates between 2% and 14% (2–5). Both surgical and nonsurgical treatments influence both the recurrence and mortality in DTC (4,6–8).

Treatment guidelines for DTC recommend near-total or total thyroidectomy for patients with tumors greater than 1 cm in size or for patients with other high-risk features such as multifocal tumors, regional or distant metastases, a history of radiation exposure, or family history of thyroid cancer (9). These recommendations stem from improved survival and recurrence rates associated with total or near-total thyroidectomy when performed by surgeons with low complication rates (2,3,7,10,11). Although the appropriate extent of thyroidectomy is still debated for low-risk tumors, removal of the entire gland facilitates adjuvant therapy with radioactive iodine (RAI) ablation and the ability to detect recurrence with thyroglobulin levels (12–15). Lifelong complications after total thyroidectomy include recurrent laryngeal nerve injury and hypoparathyroidism, since both recurrent laryngeal nerves and all four parathyroids become at risk during removal of the entire gland. These risks diminish when a high-volume, or experienced, surgeon performs the thyroidectomy (16–21).

The importance of the completeness or extent of thyroidectomy is underscored by its inclusion in some prognostic scoring systems. In the MACIS prognostic scoring system, incomplete resection significantly contributed to thyroid cancer–specific mortality (7). Similarly, Bilimoria *et al.* (2) found that for tumors  $\geq 1$  cm, total thyroidectomy resulted in lower recurrence rates and improved survival. Extent of resection also affects recurrence. Hay *et al.* (8) found that patients treated with lobectomy experienced significantly higher recurrence rates (14%) compared with patients treated with total thyroidectomy (2%).

Studies analyzing the extent of thyroidectomy only compare lobectomy to total thyroidectomy. It is difficult to

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quantify the amount of tissue left behind, and the definitions of subtotal versus near-total versus total thyroidectomy are not exact (22,23). Often surgeons will leave some thyroid tissue near the upper parathyroid and the insertion of the recurrent laryngeal nerve to protect these structures, and this is termed "near-total thyroidectomy." In this scenario, the remnant is often ablated with RAI (24). Total thyroidectomy, on the other hand, eliminates the need to administer an ablative RAI dose to destroy the thyroid remnant, and any RAI that is given is more effective in destroying metastatic disease (22,25).

As most thyroid surgeons know, variation in the extent of thyroid tissue excised exists even for procedures labeled as "total thyroidectomy." Consensus guidelines recommend RAI for tumors greater than 4 cm in size, gross extrathyroidal extension, or when distant metastases are present (high-risk patients). RAI is not recommended for the lowest risk patients (well-differentiated tumors <1 cm, confined to the thyroid gland, and without lymph node or distant metastases). Selective use is recommended for patients who do not fall into either the high- or low-risk categories (9). A whole-body scan is obtained 3-7 days after administration of the radioisotope to evaluate the remnant uptake within the neck and distant metastases (26-28). The remnant uptake is the percentage of the total dose administered detected within the thyroid bed after adjusting for decay (29,30). This provides a quantitative assessment of how much thyroid tissue remains.

Erbil and colleagues (31) found that remnant thyroid volume assessed by ultrasound correlated with remnant uptake in benign diseases, but the evidence for remnant uptake as an oncologic indicator after thyroidectomy for DTC is lacking. This becomes relevant in evaluating new endoscopic and robotic techniques for performing thyroidectomy because a larger remnant of normal thyroid may be left behind. The purpose of this study was to examine the utility of remnant uptake as an oncologic indicator after thyroidectomy for DTC with a primary outcome of disease recurrence. Furthermore, we compared remnant uptake between high- and low-volume thyroid surgeons.

#### Methods

We conducted a retrospective review of our prospectively collected thyroid database. We selected patients with DTC undergoing total thyroidectomy followed by RAI therapy between 2004 and 2010. Excluded were patients with more aggressive or poorly differentiated variants (anaplastic cancers, insular, tall cell, columnar cell, sclerosing, and large cell variants). Also excluded were patients with distant metastases at the time of diagnosis or patients with gross disease left behind as indicated by the operative note.

Data on demographics, number of positive lymph nodes, lymph node yield, histologic tumor features, and recurrence were extracted from our database. The RAI dose and remnant uptake were also abstracted from patient charts. To control for the effect of varying RAI doses, the remnant uptake was analyzed as a ratio of the percentage uptake to the dose received (uptake to dose ratio [UDR]). Recurrence was defined as any pathologically or cytologically confirmed evidence of disease that was not present at the time of initial surgery. Postoperative thyroglobulin levels (unstimulated) were recorded for the entire follow-up period, and increasing thyroglobulin was defined as an increase greater than the laboratory error (1.3 ng/mL). Staged neck dissections were not considered recurrent disease.

Eight different surgeons operated on patients included in this cohort. Sosa *et al.* (21) found that surgeons who performed over 100 thyroidectomies over a 5-year period (100 divided over 5 years = 20 per year) had the lowest complication rates. Therefore, we designated surgeons as high volume that performed more than 20 thyroid operations per year, and the others were considered low volume. Complications (hypocalcemia and hoarseness) were classified as either temporary if they resolved within 6 months from surgery or permanent if they persisted beyond 6 months postoperatively.

Kaplan–Meier analysis was used to plot disease-free survival curves. Multivariate regression with the Cox proportional hazards model was used to determine the influence of UDR on disease recurrence while accounting for other known clinical and pathologic predictors of outcome. Binary comparisons were made using the Student's *t* test, Wilcoxon rank sum test, or chi-squared test where appropriate; *p*<0.05 was considered significant.

# Results

## Preoperative characteristics

There were 223 patients who met our inclusion criteria and presented for the initial surgical treatment of DTC followed by RAI at our institution between 2004 and 2011. The preoperative patient characteristics are shown in Table 1. The mean age of patients included in this cohort was  $46.5 \pm 4.0$  years and 66.8% were women. Fourteen (6.3%) had a history of head and neck radiation exposure and 16 (7.2%) had a family history of thyroid cancer. DTC was discovered in the setting of a goiter in 34.9% of patients, and the mean preoperative thyrotropin (TSH) was  $1.8 \pm 1.3 \,\mu$ IU/mL.

## Tumor characteristics

Greater than 90% of the patients in this series were treated for papillary thyroid cancer while 11 (4.9%) had follicular thyroid cancer and 8 (3.6%) had Hürthle cell carcinoma (Table 2). The mean tumor size was  $2.0 \pm 1.2$  cm and 53.6% of tumors were multifocal. Forty-one (18.5%) tumors exhibited extrathyroidal extension, and 19 (8.6%) had lymphovascular invasion on final pathology (Table 2). Sixty-seven patients (30.0%) were found to have central compartment lymph node metastases, while 13 patients (16.6%) had lateral compartment lymph node metastases (Table 2).

TABLE 1.	Preoperative	Patient	CHARACTERISTICS	(N=223)
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Variable	n (%) <sup>a</sup>
Age (years)	$46.5 \pm 4.0$
Female	149 (66.8)
Family history	16 (7.2)
Radiation exposure	14 (6.3)
Goiter	78 (34.9)
$\Gamma SH (\mu IU/mL)$	1.8±1.3

<sup>a</sup>The number of patients with each characteristic is given and the corresponding percentage is indicated in parentheses. Continuous variables are represented as the mean±standard error of the mean unless otherwise indicated.

TSH, thyrotropin.

## **POSTOPERATIVE REMNANT UPTAKE**

**TABLE 2. TUMOR CHARACTERISTICS** 

Variable	n (%) <sup>a</sup>
Histology	
PTC	204 (91.5)
FTC	11 (4.9)
HCC	8 (3.6)
Tumor size, cm	$2.0 \pm 1.2$
Multifocal	119 (53.6)
Extrathyroidal extension	41 (18.5)
Lymphovascular invasion	19 (8.6)
Central neck lymph node metastases	67 (30.0)
Lateral neck lymph node metastases	13 (16.6)

<sup>a</sup>The number of patients with each characteristic is given and the corresponding percentage is indicated in parentheses. Continuous variables are represented as the mean±standard error of the mean.

PTC, papillary thyroid carcinoma; FTC, follicular thyroid carcinoma; HCC, Hürthle cell carcinoma.

#### RAI treatment

At our institution, RAI is administered to patients with DTC  $\geq 1$  cm and/or when lymph node metastases, lymphovascular invasion, multifocality, or extrathyroidal extension are present. Details of the radioiodine doses received by patients in this cohort are shown in Table 3. Although the doses ranged from 17 to 177.5 mCi (Table 3), most of the doses clustered around 30, 50, and 100 mCi. To standardize the remnant uptake according to the dose received, a UDR was calculated for each patient. The median UDR was 0.006 (Table 3), and these data were normally distributed.

#### Uptake and oncologic outcomes

Since there were no known deaths in this series of patients with DTC, we evaluated the influence of UDR on disease recurrence. We defined recurrence as any cytologically or pathologically proven disease found after the initial treatment (surgery and RAI). In this cohort, 21 patients (9.42%) experienced a recurrence. The Kaplan–Meier estimates for disease-free survival are depicted in Figure 1. The median follow-up time was 25 months (interquartile range 17 months). Over 90% of patients had at least 2 years follow-up time. Six of the 21 patients (28.57%) who had a recurrence were found to have disease in a previously operated field. The locations and frequencies of recurrence were lateral neck (57.14%), central neck (28.57%), mediastinum (9.52%), and sacrum (4.76%).

Those who had a recurrence had a 10-fold higher UDR compared with those who did not (0.030 vs. 0.003, p=0.001, Fig. 2A). Only five patients (2.24%) had uptake outside of the

TABLE 3. RADIOACTIVE IODINE THERAPY

Variable	Median	Range	SEM
Dose, mCi	83	$\begin{array}{c} 17-177.5\\ 0.001-0.90\\ 6.7\times10^{-7}-0.053\end{array}$	6.90
Remnant uptake, %	0.03		0.59
Uptake to dose ratio <sup>a</sup>	0.006		0.19

<sup>a</sup>Uptake to dose ratio is the percentage uptake divided by the radioiodine dose administered.

SEM, standard error of the mean.



**FIG. 1.** Kaplan–Meier disease-free survival estimates. Recurrence was defined as any pathologically or cytologically proven disease found after the initial operation, and disease free survival estimates are plotted.

neck on their post ablation scan. When we examined the percentage of patients who had a recurrence over five successive divisions in the UDR, the proportion of patients demonstrated a stepwise increase with increasing UDR (Fig. 2B). Patients who required additional neck surgery for recurrent disease also had significantly higher UDRs compared with patients who did not require additional surgery (0.028 vs. 0.003, p < 0.001).

Since this definition of recurrence may not capture all patients who truly have evidence of recurrent disease, we also examined the UDRs in patients with increasing postoperative unstimulated thyroglobulin levels. Patients with increasing thyroglobulin had a mean UDR of 0.034 compared with 0.003 in patients with stable or decreasing thyroglobulins (p < 0.001; Fig. 2C).

## Multivariate analysis

To evaluate the relative importance of the remnant uptake in determining disease recurrence, we performed a multivariate analysis including other well-known disease and patient factors associated with recurrence in DTC. In this model, UDR was significantly associated with recurrence (OR 3.71 [95% CI 1.05–13.10], p=0.04; Table 4). Lymph node metastases (OR 10.45 [95% CI 2.88–37.97], p<0.001; Table 4) were also significantly associated with recurrence. Age, sex, tumor size, extrathyroidal extension, positive margins, lymphovascular invasion, and multifocality were included in the model, but these factors did not independently predict recurrence (Table 4).

# Uptake and surgeon volume

Surgeons who operated on patients in this study were classified as either high volume or low volume based on a threshold of 20 thyroid operations per year (21). In this study, three surgeons met the volume threshold to be considered high volume, and the remaining five were low volume. The UDRs of high-volume surgeons were significantly smaller than low-volume surgeons (0.003 vs. 0.025, p=0.002, Fig. 3).



**FIG. 2.** Remnant uptake and recurrence. Remnant uptake data are represented as the mean uptake to dose ratio (UDR), and error bars indicate the standard error of the means. UDRs are compared between patients who recurred and those who did not recur (**A**) as well as patients with increasing postoperative thyroglobulin to those with stable or decreasing thyroglobulin (**C**). The recurrence rates for five different divisions in UDR are also shown (**B**).

#### Uptake and complications

Thirty-three patients (14.80%) in this cohort experienced any type of complication. Of these, 24 (10.76%) were temporary complications and nine (4.04%) were permanent. Temporary hypocalcemia occurred in 16 patients (7.17%) and four patients (1.79%) experienced permanent hypocalcemia. Eight patients (3.59%) had temporary hoarseness and five patients (2.24%) suffered permanent hoarseness.

Figure 4 shows the distribution of complications by UDR. These complications exhibited a bimodal distribution with

Table 4.	MULTIVARIATE REGRESSIO	N
FOR	DISEASE RECURRENCE	

Variable <sup>a</sup>	HR	95% CI	р
Age	1.00	[0.20-1.65]	0.69
Female sex	0.58	[0.98–1.04]	0.31
Lymph node metastases	10.45	[2.88-37.97]	< 0.01
Tumor size	1.26	[0.93 - 1.70]	0.14
Extrathyroidal extension	0.60	[0.15-2.41]	0.47
% Uptake (UDR)	3.71	[1.05-13.10]	0.04
Positive margins	2.58	[0.56-11.95]	0.23
Lymphovascular invasion	1.36	[0.26–7.02]	0.71
Multifocality	3.12	[0.91–10.67]	0.07

<sup>a</sup>Statistically significant variables are in bold print. OR, odds ratio; CI, confidence interval.

peaks at the lowest and highest UDRs (Fig. 4A). However, there were more complications in the highest UDR category with a greater proportion of these complications from low-volume surgeons (Fig. 4A). There was a stepwise increase in permanent complications as UDR increased (Fig. 4B). While the number of complications from high-volume surgeons remained low across all UDRs, low-volume surgeons accounted for the incremental increase in permanent complications as UDRs increased (Fig. 4B).

## Discussion

In this study we demonstrate that the remnant uptake serves as a useful postoperative oncologic indicator because it correlates with a patient's chance of disease recurrence. Patients who recurred had a 10-fold higher UDR compared to those who did not recur (Fig. 2). When combined with other known clinical and pathologic determinants of DTC outcomes, the remnant uptake independently predicted disease recurrence (Table 4). This quality measure was also related to surgeon volume since high-volume surgeons had significantly lower remnant uptake with fewer permanent complications compared to low-volume surgeons (Fig. 3).

Unlike more aggressive cancers such as breast, melanoma, or colon cancer, relatively few quality indicators exist for the surgical treatment of thyroid cancer (32–34). Recently, several



**FIG. 3.** Remnant uptake by surgeon volume. Data are represented as the mean UDR, and error bars indicate the standard error of the means. UDRs are compared between high-volume and low-volume surgeons.



**FIG. 4.** Remnant uptake and complications. The number of complications across successive UDR categories is shown for both the total number of complications (**A**) and permanent complications alone (**B**). The proportion of complications from high-volume surgeons is black while the proportion from low-volume surgeons is gray.

studies have evaluated how the adequacy of lymph node dissection in DTC impacts both recurrence and diseasespecific mortality (35-38), but oncologic quality indicators in the performance of total thyroidectomy for malignancy are lacking. Typically cited performance measures for thyroidectomy include hypocalcemia, vocal cord paresis (hoarseness), and hematoma rates (39,40). Tracking accurate oncologic outcomes for DTC remains challenging since mortality is rare and recurrence can take years or even decades to manifest (28,41,42). Remnant uptake becomes a more immediate indicator, providing readily available data at 6-8 weeks postoperatively. Whereas disease recurrence, mortality, or the lymph node ratio reflects both the nature of disease and adequacy of surgical treatment, the remnant uptake is a more direct indication of the surgeon's ability to perform a complete resection. While this may not apply to cases of locally advanced disease, such patients were excluded from this study.

The volume-quality relationship has been well described for many surgical procedures, and many of these studies focus on oncologic outcomes (43–47). In thyroid surgery, several authors have found that surgeon volume correlates inversely with operative complications (16,21), but there are few data on the relationship between surgeon volume and DTC outcomes. Here, we demonstrate a relationship between volume and the remnant uptake, indicating that higher volume surgeons had smaller remnant uptake percentages, or more complete resections (Fig. 3). Thus, surgeon volume also influences a marker for oncologic outcome in DTC.

A more complete resection (lower uptake) did come with increased total complications, but an even greater number was seen in the highest UDR category in which low-volume surgeons accounted for a greater proportion of complications (Fig. 4). The data did not exhibit a stepwise decrease in total complications across the remaining UDR categories, making it difficult to recommend an optimal uptake or amount of thyroid tissue remnant that would minimize risk of recurrence and complications. Instead, thyroid surgeons can select certain patients for a subtotal or near-total thyroidectomy based on individualized recurrence risk, preoperative laryngeal function, and comorbidities. These factors may include the socioeconomic status of the patient because this may affect his or her ability to take calcium and/or vitamin D supplements multiple times per day in the event of permanent hypoparathyroidism. Importantly, high-volume surgeons maintained a constant number of permanent complications over the full range of UDRs, while low-volume surgeons had a much greater proportion of permanent complications at the highest uptake levels (Fig. 4). In terms of quality, this is the worst scenario: more permanent complications and a poor oncologic indicator. High-volume surgeons, on the other hand, maintained a low level of permanent complications with a more complete resection-a much better combination in terms of quality.

Aside from prognosticating cancer outcomes for the patient, the remnant uptake can also help practitioners who care for patients with DTC. For example, if a patient moves or travels to receive care at a different center from where he or she underwent surgery, the new caregivers may not be familiar with the surgeon who performed the thyroidectomy. Using the UDR, these new caregivers can readily assess the adequacy of resection, and thereby the risk of recurrence. Remnant uptake adds to the other known clinical and pathologic determinants of recurrence or thyroid cancer specific mortality such as tumor size, extrathyroidal extension, multifocality, lymph node metastases, and distant metastases. That is, remnant uptake is another piece of information the clinician can use to determine a patient's risk of recurrence and the appropriate surveillance schedule.

Remnant uptake is useful for the surgeon in assessing his or her own performance. The remnant uptake provides more objective feedback on the completeness of resection relatively soon (6–8 weeks) after the operation itself. This type of information can assist young surgeons or lower volume surgeons with self-improvement when they are on the steeper portion of the learning curve. Alternatively, it may prompt lower volume surgeons to refer thyroid cancer cases if their remnant uptakes are too high. A growing body of evidence suggests that providing this type of feedback, or audit, improves surgical quality measures for various types of cancer (48–50). Furthermore, feedback is recognized as an integral part of surgical education and training (51). Recently new techniques such as robotic transaxillary thyroidectomy, endoscopic transaxillary thyroidectomy, bilateral axillo-breast approach robotic thyroidectomy, and direct or cervical endoscopic thyroidectomies have emerged (52,53). As newer techniques become available for performing a thyroidectomy, there is a need to evaluate whether they maintain oncologic quality. As mentioned, outcomes for DTC require decades to assess, but the remnant uptake becomes a quality measure available within a few months of surgery.

This study is limited by its retrospective nature. Even though our median follow-up time was 25 months with a maximum of 80 months, this may underestimate the true recurrence rate in this cohort. The number of patients who suffered a recurrence is relatively small. Furthermore, some patients may have sought care elsewhere for their recurrence. Although this is a single institution study, there were a large number of providers included. In addition to the surgeons, there were many endocrinologists and nuclear medicine specialists who cared for this cohort of patients. We attempted to control for variation in treatment by limiting inclusion to fairly well differentiated tumors limited to the neck, and by normalizing the remnant uptake to the radioiodine dose administered (the UDR). Nevertheless, we cannot account for all the variation in surgical treatment or RAI therapy. At our institution, we do not routinely measure preablation thyroglobulin levels, so we cannot assess how remnant uptake compares to thyroglobulin levels as a quality indicator. The scan, however, provides anatomic information about the location of any remaining thyroid tissue, whereas serum thyroglobulin levels simply indicates if thyroid tissue is present anywhere within the body. Another limitation is that we only obtain pre-ablation scans for selected patients to help clarify the proper dose of RAI. It makes intuitive sense that a greater pre-ablation remnant would also correlate with recurrence based on the data presented here and other studies examining how extent of surgery impacts disease outcomes (2,11,22,54).

Despite these limitations, this study demonstrates how the remnant uptake serves as a readily available quality indicator for the treatment of DTC. This information can contribute to hospital and surgeon quality improvement efforts. Furthermore, it provides another piece of information to risk stratify DTC patients for their risk of recurrence.

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# **Disclosure Statement**

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