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## A recent perspective on alcohol, immunity and host defense

**Gyongyi Szabo and Pranoti Mandrekar**

University of Massachusetts Medical School, Worcester, MA

### Overview

Multiple line of clinical and experimental evidence demonstrates that both acute, moderate and chronic, excessive alcohol use result in various abnormalities in the functions of the immune system. Altered inflammatory cell and adaptive immune responses in turn result in increased incidence and poor outcome of infections and other organ effects after alcohol use. This review article summarizes recent findings relevant to immunomodulation by alcohol and its consequences on host defense against microbial pathogens and tissue injury.

### Keywords

innate and adaptive immunity; Inflammation; Toll-like receptors; Macrophages; T cells

### Introduction

Alcohol is historically the most commonly abused substance that maintained popularity from ancient Mesopotamian times to the 21<sup>st</sup> century. An association between heavy alcohol use and poor infection outcomes was noted as early as the 19<sup>th</sup> century by Robert Koch, who observed significant mortality of alcoholics during the cholera epidemics of 1884 (Howard-Jones, 1984). In the early 1900s, Sir William Osler published in his “Principles of Practice of Medicine that “the most potent predisposing factor is perhaps the lowered resistance in alcoholics”- in pneumonia (Osler, 1902). Indeed, contemporary medical literature widely documented that excessive alcohol use is associated with reduced host defense including antimicrobial defense, antiviral immunity and altered host repair (Capps and Coleman, 1923; reviewed in Cook 1998; Pavia et al., 2004; Szabo 1999).

The immune system serves to protect the host from invading pathogens by mounting a pathogen-specific immune response aimed at elimination of the infectious agent (Medzhitov and Janeway, 1997; Medzhitov and Janeway, 2000). In this complex process pathogens are recognized through pattern recognition receptors expressed on the different cells of the innate immune system including leukocytes, monocytes/macrophages, natural killer cells (Takeuchi and Akira, 2007a; Szabo et al, 2006b). These cell types serve as the first line of host defense and are uniquely equipped to survey their environment and rapidly respond to pathogen-derived danger signals by redistribution in the body to the site of infection, phagocytosis of the pathogen, production of cytokines, chemokines and reactive oxygen radicals. Innate immune cells with antigen presentation capacity (monocytes and dendritic cells) also play a key role in activation of T lymphocytes in the initiation of adaptive immune responses (Medzhitov and Janeway, 2000). Adaptive immune responses involve antigen-specific T-cell proliferation, immunological memory, B cell activation and production of immune antibodies that are all components of an efficient anti-microbial host

defense for pathogen elimination and/or protection of the host from future infections. However, this complex process of host immunity can be severely disturbed by the modulating effects of alcohol on the different cellular components of the innate and/or adaptive immune system (Figure 1) (Brown et al, 2006; Cook 1998; Szabo 1999a). The modulating effects of alcohol on immunity occurs not only in adult individuals or in animal models, but there is also evidence for modulation of both innate and adaptive immune cell functions by alcohol use even in the fetal alcohol setting (Chiapelli et al, 1997; Jerrells and Weinberg, 1998).

## Experimental models of alcohol administration and their clinical relevance

While human alcohol consumption is typically categorized to acute, moderate drinking that is limited to occasional consumption of 1-2 drinks, binge drinking is characterized by consumption of larger amounts of alcohol on consecutive days followed by several sober days (USDA Dietary Guidelines, 2005 6<sup>th</sup> edition). According to the USDA 2005 dietary guidelines, moderate drinking is considered to be no more than one drink per day for women and no more than two drinks per day for men (USDA Dietary Guidelines, 2005 6<sup>th</sup> edition). A drink is defined as 12 ounces of regular beer, 5 ounces of wine, and 1.5 ounces of 80-proof distilled spirits that contain about 15 g of alcohol. Chronic alcohol consumption in humans usually represents daily use of > 3-5 drink equivalents for men and > 2 for women for a prolonged period of time. Studies on the biological effects of alcohol attempt to mimic these basic alcohol use patterns both in vitro and in vivo studies. The different alcohol administration experimental approaches used in in vitro and in vivo studies have been recently reviewed (Nagy 2008). In in vitro studies, acute alcohol administration is considered for up to about 24 hours (Szabo and Mandrekar, 2008) while the definition of chronic alcohol exposure in cell culture systems is less well defined. For mouse macrophages in cell cultures, 48 hours or longer alcohol treatment has been used as a model of chronic alcohol exposure and resulted in functional changes reminiscent of tissue macrophages exposed to in vivo chronic alcohol in mice (Kishore et al, 2004). In human monocytes/macrophages, in vitro alcohol treatment for 5-7 days results in functional changes consistent with chronic in vivo alcohol use (Szabo and Mandrekar, 2008). However, there are no set guidelines or published consensus regarding the relevance of various in vitro and in vivo alcohol administration models to human alcohol use.

In vivo studies mostly concentrated on alcohol administration in mice and rats. Acute alcohol administration through gastric gavage or intraperitoneal injection have been used and resulted in alcohol-related alterations in immune functions (Plackett and Kovacs, 2008). Binge drinking models were achieved by repeated alcohol administration for 3-4 consecutive days using gastric gavage leading to altered innate immune responses (Pruett et al, 2004). Chronic alcohol administration models have been developed by several groups of investigators all resulting in abnormalities in immune functions. For example, the Lieber-DeCarli diet has been widely used in the past both in mice and rats in studies on both liver disease and immune system. The Lieber-deCarli diet is based on a high-fat liquid diet and uses a pair-fed control diet by substituting alcohol-derived calories with maltose-dextrin (DeCarli and Lieber, 1967). This model results in both liver pathology (steatosis and some inflammation) as well as immunologic changes that are reminiscent of human chronic alcohol consumption. In the intragastric continuous alcohol feeding model developed by Tsukamoto-French, alcohol administration to rats or mice leads to most features of human alcoholic liver disease including steatohepatitis and necroinflammation, although the extent of liver fibrosis is minimal with any of these models in rodents (Tsukamoto et al, 2008). Another method used in mice is administration of alcohol in the drinking water with regular chow diet (Coleman et al, 2008). This model has recently been proposed as mimicking human chronic alcohol use without liver disease (Cook et al, 2007). Consistent with this,

there is lack of features of alcoholic liver disease but there are manifestations of immune alterations including innate and adaptive immune cell defects developing after various length of time of alcohol treatment (Cook et al, 2007; Edsen-Moore et al, 2008).

An additional consideration of the *in vivo* effects of alcohol is induction of stress responses that may indirectly affect immune functions. Based on animal studies, a role for the stress response has been proposed in cell loss and changes in immune cell functions (Jerrells et al, 1990; Padgett et al, 2000). In human alcohol abusers without significant alcoholic liver disease, an association between the immunosuppressive cytokine, IL-10, and cortisol was found after surgical trauma (Sander et al). In contrast, the animal model of chronic alcohol administration without alcoholic liver disease found no involvement of the stress response in the immune effects caused by very long-term alcohol administration (Cook et al, 2007).

## Increased susceptibility to infections

### Alcohol use and lung infections

Chronic alcohol consumption is associated with increased incidence of bacterial pneumonias caused by *Streptococcus pneumoniae*, *Klebsiella pneumoniae*, *Haemophilus influenzae*, *Legionella pneumoniae* and other Gram-negative organisms in humans. Alcohol-induced immunosuppression in the lung has been the topic of a recent review (Happel and Nelson, 2005). One of the earliest observations of alcohol and infections was made by Benjamin Rush in 1785 who noted an increased susceptibility to tuberculosis and pneumonias in alcoholics (Rush, 1943). Subsequent epidemiologic studies confirmed that acute and chronic alcohol use predisposes individuals to *M. tuberculosis*-mediated disease as well as other bacterial pulmonary infections most likely through alterations in specific and nonspecific innate immune responses (Jacobson, 1992; MacGregor, 1986; Moran et al., 2007; Saitz et al., 1997; Schmidt and De Lint, 1972).

In an experimental animal study with Lieber-deCarli diet, Mason et al. showed that alcohol exacerbates pulmonary tuberculosis resulting in higher lung organisms and blunted CD4<sup>+</sup> and CD8<sup>+</sup> T lymphocyte responses. Lymphocyte proliferation and IFN  $\gamma$  levels were decreased in CD4<sup>+</sup> T cells in alcohol-consuming mice (Mason et al., 2004).

The clinical observation of higher prevalence and poorer outcome of lung infections in alcohol-exposed individuals is not unexpected because both innate and adaptive immune responses are affected by alcohol in the lung. Chronic alcohol use impairs phagocytosis and superoxide production in response to a bacterial challenge in alveolar macrophages (Brown et al., 2004; Greenberg et al., 1999a Greenberg et al. 1999b;). TNF  $\alpha$ , a product of alveolar macrophages, is a key cytokine in pulmonary host defense against bacterial pathogens. In animal models acute alcohol intoxication resulted in impaired pulmonary TNF  $\alpha$  production in response to LPS challenge but no inhibition of TNF  $\alpha$  gene expression (Nelson et al., 1989). Considering that both TNF  $\alpha$  and optimal PMNL functions are necessary to control bacterial infections in the lung, by dampening these functions, alcohol results in more pathogenic effects. These observations lead to the discovery that acute alcohol prevented cleavage of TNF  $\alpha$  from the precursor by the TNF  $\alpha$  converting enzyme, TACE (Zhao et al., 2003). Acute alcohol also suppressed the lung's expression of the chemokines MIP-2 (KC?) and CINC, a rodent equivalent of human IL-8 and Gro- $\alpha$  that attract neutrophils to tissue sites. Additional neutrophil defects were identified as decreased expression of adhesion molecules CD11b/c and CD18 in response to LPS in alcohol-exposed mice (Zhang et al., 1998). It is conceivable that neutrophil defects in chronic alcohol user individuals would also increase susceptibility to secondary bacterial lung infection after viral pneumonia (Grabowska et al., 2006; Navarini et al, 2006). It has been shown that both influenza-induced neutrophil dysfunction and neutrophil-independent mechanisms contribute to

increased susceptibility to a secondary *Streptococcus pneumoniae* infection. (McNamee and Harmsen, 2006).

Chronic alcohol use results in hypo-responsiveness of neutrophils to chemotactic signals and neutrophils are less able to kill bacteria because of impaired phagocytosis and superoxide generation in humans and in animal models (MacGregor et al., 1978; Sachs et al., 1990; Tamura et al., 1998; Zhang et al., 1999). A recent study also identified defects after acute alcohol intoxication in lung expression of CXC chemokines that are involved in T cell recruitment, (Happel et al., 2007). Alcohol abusing patients are frequently neutropenic and leukopenic that is likely mediated by alcohol-induced suppression of the bone marrow. Indeed, acute alcohol inhibits the expression of granulocyte colony-stimulating factor (G-CSF) and animal studies showed that exogenous administration of this recombinant cytokine can partially restore neutrophil recruitment to the lung in response to a bacterial stimulus (Bagby et al., 1998; Nelson et al., 1991). Chronic alcohol feeding in mice resulted in impaired alveolar macrophage phagocytosis of inactivated *Staphylococcus aureus*. These macrophages of chronic alcohol-fed mice showed decreased cellular glutathione, impaired lipid peroxidation and increased apoptosis (Brown et al., 2007). Feeding with N-acetylcysteine, a precursor of glutathione, with the alcohol diet reversed the paralysis of macrophage phagocytosis and the loss of viability offering a potential mechanism for amelioration of alcohol-induced macrophage defects (Velasquez et al., 2002). More recently, IL-23, a cytokine produced by activated antigen presenting cells and involved in activation of memory T cell proliferation and T cell-derived IL-17 production, was studied in pneumonia. Acute alcohol intoxication suppressed lung as well as alveolar macrophage IL-23 response to a bacterial challenge with *Klebsiella* (Happel et al., 2006).

Alcohol exposure of the host can also predispose to pneumonia caused by viral infections. In a mouse model of respiratory syncytial virus (RSV) infection, alcohol provided in the drinking water for 13-16 weeks resulted in increased levels of TNF and MCP-1 and increased the numbers of neutrophils in the bronchoalveolar fluid after RSV infection compared to the non-alcohol group (Jerrells et al., 2007b). Notably, alcohol-fed mice also showed a failure to clear the RSV infection despite increased IFN and IFN $\beta$  induction. Using the same alcohol-in-the water-feeding model, a recent report demonstrated increased susceptibility to influenza infection in mice (Meyerholz et al., 2008). There was increased lethality, increased injury and neutrophil leukocyte inflammation in the lung associated with a progressive loss of CD8<sup>+</sup> T cell function in mice with prolonged alcohol administration. These studies demonstrate that experimental alcohol exposure results in impaired immune cell activation in the lung at multiple levels.

### Alcohol use and systemic bacterial infections

The increased susceptibility to infections after alcohol consumption is not limited to lung infections. Antimicrobial defense is attenuated to several other pathogens including *Salmonella* (Sibley et al., 2001). *Listeria monocytogenes* infection that can be lethal is more severe in human alcoholics resulting in higher mortality from Listeric meningitis or sepsis (Iwarson and Larson, 1979). Animal models showed that alcohol-feeding not only increases the susceptibility to *Listeria monocytogenes* infection but also attenuates immune mechanisms of the host in elimination of the infection (Saad et al., 1993; Salerno et al., 2001). In experimental models of *L. monocytogenes* infection model, chronic alcohol feeding with the Lieber-DeCarli diet resulted in increased liver damage and increased bacterial colony counts both in the liver and spleen in alcohol-fed mice (Saad et al., 1993). The investigators found that increased activation of CD8<sup>+</sup> T cells contribute to liver damage in alcoholic and other types of hepatitis (reviewed in Jerrells, 2002a,b).

### Alcohol and retroviral infection

Studies demonstrated an association between alcohol consumption and the risk of HIV infection and it was noted that the incidence of alcohol abuse among HIV-infected individuals is greater than in the general population (Lefevre et al., 1995; Stinson and DeBaakey, 1993). While alcohol abuse has been shown to impair immune defenses, the adverse consequences of heavy alcohol use on HIV-infected patients are poorly understood. One study described a rapid progression of HIV to AIDS in a period of 3 months in a patient with heavy alcohol abuse (Fong et al, 1994). In another study, alcohol withdrawal in HIV infected patients was associated with an increase in blood CD4+ T cell counts (Pol et al., 1996). A recent large observational study also found decreased survival in HIV infected alcohol abusing patients. Non-hazardous drinkers (consume < 5 drinks on drinking days) survived by less than 1 year if the frequency of alcohol use was once per week or greater compared to non-alcohol consuming HIV infected individuals while hazardous drinkers (consume > 5 standard drinks per day) had a 3.3 year reduction in survival (Braithwaite et al., 2007). Alcohol use not only alters adherence to antiretroviral therapy, but studies demonstrated that it also diminishes the benefits of the highly active retroviral therapy (HAART). Heavy alcohol use was associated with a two-fold likelihood of decreased CD4+ T cell count and HAART-treated heavy alcohol users were four times less likely to achieve virological response (Miguez et al., 2003). These observations suggest that alcohol abuse impacts both immunological and virological responses to HAART treatment in HIV infected patients.

Experimental data from Simian Immunodeficiency Virus (SIV) infection demonstrated that alcohol feeding resulted in increased plasma SIV RNA levels in the early phase but not at later timepoints during the SIV infection (Bagby et al., 2006). However, a more rapid progression to end-stage disease was found in alcohol-fed animals compared to the controls. Interestingly, the SIV-related decrease in CD4 T cell counts was similar in both groups (Bagby et al., 2006). In a related study, alcohol-fed SIV-infected animals had significantly higher muscle TNF mRNA expression compared to the control SIV-infected animals and this correlated with muscle wasting, a major characteristics of advanced HIV disease (Molina et al., 2006).

### Alcohol and viral hepatitis

It is generally accepted that alcohol use worsens the clinical outcome of any types of viral hepatitis, particularly chronic infections with hepatitis B (HBV) or hepatitis C virus (HCV) in humans (McCullough et al, 1998; Szabo and Marshal, 2008). Chronic hepatitis C virus (HCV) infection and alcohol use are the two most common causes of chronic liver diseases in the United States and both are recognized as major causes of liver disease worldwide. The prevalence of HCV infection in patients with a history of alcohol abuse is significantly higher than that seen in the general population. Heavy alcohol use accelerates fibrosis progression, increases the risk of cirrhosis, predicts increased mortality, and increases the risk of hepatocellular carcinoma in HCV infection (Szabo et al., 2008). Several studies in humans (Dolganiuc et al., 2003a; Plumlee et al., 2005; Szabo, 2003; Szabo et al., 2006a;) or mice (Aloman et al., 2007;) have evaluated the interaction of HCV and alcohol on host cellular immunity. These studies found that alcohol influences host cellular signal transduction pathways possibly acting with HCV to induce alterations in responses to HCV. Specifically, host dendritic cells are independently modulated by both HCV (Bain et al., 2001) infection and alcohol in humans (Dolganiuc et al., 2003b; Mandrekar et al., 2004). However, experimental data suggests that alcohol and HCV infection can be additive in inhibition of the T cell activating capacity of human myeloid dendritic cells (Dolganiuc et al., 2003a). The reduced T cell activating capacity of dendritic cells of patients with chronic HCV infection was further diminished by exposure of these cells to a physiologically

relevant dose (25 mM) of alcohol in vitro (Dolganiuc et al., 2003a). The presence of HCV proteins (core and NS3) reduced dendritic cell differentiation in vitro while alcohol further reduced dendritic cell function by decreasing T cell stimulation and interferon production both in humans and in a mouse model (Aloman et al., 2007; Dolganiuc et al., 2003a). Animal models of long-term alcohol consumption have also demonstrated an impaired cellular immune response to HCV infection attributable to altered dendritic cell function (Aloman et al., 2007). However, in another study, alcohol consumption did not influence T cell immune responses (interferon gamma and IL-10 production) in HCV or HCV/HIV coinfecting patients (Graham et al., 2007). Further studies are needed to elucidate the interactions of HCV and alcohol use on immune responses.

In animal models of experimental hepatitis, cytomegalovirus, an otherwise self-limiting hepatitis in immunocompetent mice, resulted in severe hepatitis in ethanol-fed mice (Sibley and Jerrells, 2000; Jerrells, et al. 2002a,b). The CMV-induced hepatitis was more prolonged and it was associated with greater mononuclear cell inflammatory response in alcohol-fed mice. Early induction of IFN  $\gamma$  and IL-12 were reduced in alcohol-fed mice after CMV infection compared to control diet-fed mice (Jerrells, et al. 2002a,b). These data suggest that both innate immunity and antiviral responses are affected in the liver by alcohol administration.

### Alcohol-related modulation of trauma and burn injury

Alcohol abuse is a well-established risk factor for traumatic injuries of all types. Not only is the risk of sustaining an injury increased by alcohol use but the severity of trauma-related immune compromise and recovery from trauma-related hospitalization are all negatively affected by alcohol intoxication (Greiffenstein and Molina, 2008; Reviewed in Messingham et al., 2002) The alcohol-induced alterations in host defense after traumatic injury were summarized in a recent review (Greiffenstein and Molina, 2008). Host response to injury involves initial activation of the inflammatory cascade and a subsequent induction of anti-inflammatory mechanisms to allow recovery. Chronic alcohol use was found as an independent risk factor for development of sepsis, septic shock and sepsis-related mortality in critically ill patients (O'Brien et al., 2007). In experimental models binge drinking before trauma/haemorrhage resulted in attenuated levels of TNF  $\alpha$ , IL-1 and IL-6 and no change in IL-10 in response to an LPS challenge (Greiffenstein et al., 2007). Acute alcohol intoxication before excisional injury in mice resulted in no change in the numbers of infiltrating neutrophil leukocytes but resulted in decreased myeloperoxidase activity, impaired production of MIP-2, KC, and IL-1 $\beta$  in mice (Fitzgerald et al., 2007). In humans, monocyte production of TNF  $\alpha$ , a major mediator of sepsis and inflammation, was attenuated in alcohol intoxicated trauma victims in the early post-trauma period, however, a delayed increase in monocyte TNF  $\alpha$  production was found late during the period of post-trauma immunosuppression (Szabo et al., 1994).

Immune responses following burn injury in rats were also altered by alcohol intoxication. On day one after injury, lung tissue IL-18, neutrophil chemokines (CINC-1/CINC-3), adhesion molecules (ICAM-1), neutrophil infiltration, MPO activity and lung edema were significantly increased compared to the alcohol or burn alone groups (Li et al., 2007). Administration of anti-IL-18 neutralizing antibody or an inhibitor of caspase-1, an enzyme involved in cleavage of the bioactive IL-18 from its precursor, prevented the increase in lung IL-18, CINC-2, CINC-3, ICAM-1 and MPO following alcohol plus burn injury. Furthermore, administration of anti-neutrophil serum also attenuated the lung injury in this alcohol plus burn injury model suggesting that IL-18 production and subsequent neutrophil infiltration in the lung play a critical role in increased lung edema following a combined insult of alcohol and burn injury (Li et al., 2007). Increased susceptibility to pulmonary infection after alcohol use and surgery was also found in a different model. Here, mice that

received alcohol for 8 days followed by the trauma of laparotomy were challenged with *K. pneumoniae* infection. Alcohol-treated mice demonstrated worse clinical outcome, deteriorated pulmonary structure, and increased levels of IL-6 and IL-10 compared to the non-alcohol treated group (Spies et al., 2008). In human alcoholics, the immunosuppressive cytokine, IL-10 was also more significantly increased after major surgical intervention compared to non-alcoholic individuals (Sander et al., 2005). Another component of the dysfunctional immune regulation in the setting of alcohol and tissue injury is the reduced T cell proliferation capacity. Alcohol intake both acutely and chronically inhibits antigen presenting cell functions (Heinz and Waltenbaugh, 2007; Mandrekar et al., 2004), and trauma injury alone is associated with poor APC capacity and T cell activation (Bandyopadhyay, 2007; Szabo et al., 1994; Szabo et al., 1995). In mice, acute alcohol intoxication followed by thermal injury resulted in a significant decrease in ConA-induced splenic T cell proliferation and IL-2 production capacity compared to either alcohol or thermal injury alone (Choudhry et al., 2000). These clinical and experimental observations demonstrate that alcohol use (either acute or chronic) negatively affects immune responses in post-trauma recovery.

### Immune mechanisms in alcoholic liver disease

Alcoholic liver disease (ALD) is a major health consequence of chronic alcohol use and approximately 44% of the 26,000 deaths from cirrhosis are due to alcoholic liver disease in the United States (McCullough et al., 1998). Activation of the inflammatory cascade is a key element in alcoholic liver disease (Adachi et al., 1994; Nagy, 2003; Nanji et al., 2002; Thurman 1998). Alcoholic liver disease, particularly, alcoholic hepatitis is associated with massive inflammatory cell (neutrophil leukocytes, monocytes, macrophages) recruitment in the liver. It has been proposed that alcohol-related increased gut permeability results in elevated LPS in portal circulation leading to Kupffer cell activation and initiation of a cycle of inflammatory cytokine and chemokine production, and activation of innate immune cells in the liver. Endotoxin levels are increased in the portal blood of patients with alcoholic liver disease (Bode and Bode, 2005). Consistent with this, chronic alcohol intake in humans results in increased serum levels of pro-inflammatory cytokines TNF $\alpha$ , IL-6, and IL-8 that mediate liver injury in alcoholic liver disease (McClain et al., 1999).

An important role for LPS, a TLR4 ligand, has been established in alcoholic hepatitis by studies of Thurman's group where both mice deficient in the LPS co-receptor, CD14, and mice with mutation in TLR4 were protected from alcohol-induced early liver inflammation and damage (Uesugi et al., 2001). Activation of Kupffer cells by LPS via TLR4 is a central component in the pro-inflammatory cytokine cascade activation in alcoholic hepatitis and the ongoing hepatic inflammation result in high levels of circulating TNF $\alpha$ , IL-1, IL-6 and IL-8 in these patients (Adachi et al., 1994; Hill et al., 1993; McClain et al., 1999). Sterilization of the gut with antibiotics could prevent alcohol-induced liver inflammation in animal models (Adachi et al., 1995). LPS recognition by TLR4 expressed on sinusoidal endothelial cells and stellate cells may also contribute to progression of alcoholic liver disease (Szabo et al., 2006b). Liver injury and TNF $\alpha$  mRNA induction are also amplified in alcohol-induced fatty liver after stimulation with TLR2/1, TLR2/6, TLR4, TLR7 and TLR9 ligands in mice (Gustot et al., 2006). It has been proposed that suppression of the antifibrotic effects of NK cells and NK-derived IFN $\gamma$  production may amplify the profibrotic effects of Kupffer cells (Reviewed in Jeong et al., 2008). Alcohol metabolism induces malondialdehyde-acetaldehyde adducts that in turn activate liver sinusoidal endothelial cells and Kupffer cells in the presence of LPS to induce cytokines and chemokines (Duryee et al., 2004; Tuma, 2002). These mechanisms suggest a pivotal role for activation of innate immune cells and proinflammatory pathways in alcoholic liver disease.

In addition to innate and inflammatory responses, adaptive immune responses appear to be affected in alcoholic liver disease. In an experimental model, hepatic inflammation and fat deposition with a specific recall immune response was found in mice immune to *Listeria monocytogenes* and fed with an alcohol containing Lieber-DeCarli diet (Slukvin et al, 2001).

### **Alcohol and pancreatitis**

The pathobiology of alcoholic pancreatitis appears to be complex (reviewed in: Pandol and Raraty, 2007). While acinar cell necrosis and induction of sterile inflammation are key components, there is evidence for ethanol consumption potentiating viral pancreatitis. Experimental models with coxsackievirus, which is also a human pathogen, demonstrated that short-term (5-14 days) and subchronic (> 28 days) alcohol administration increased the severity of Coxackie B3 virus-induced pancreatic damage (Clemens and Jerrells, 2004; Jerrells et al 2007a). Studies on the effects of alcohol on tissue necrosis-related activation of the innate immune system and inflammation are yet to be performed.

### **Moderate alcohol use on cardiovascular disease: a potential link to inflammation**

The potential benefit of moderate alcohol use on coronary heart disease and overall mortality has been suggested by several studies while challenged by others (Rimm and Moats, 2007; Mukamal 2007; Klatsky and Udaltsova 2007; Gronbeck 2007). Moderate alcohol use (up to 2 drinks/day in men and one drink/day in women) is associated with health benefits including decreased risk of cardiovascular disease and reduced overall mortality compared to people who do not consume alcohol (Mukamal et al., 2001; Poikolainen, 1995). In contrast, excessive alcohol use (>5 drinks/day) increases mortality and cardiovascular death. The molecular mechanisms underlying this phenomenon are yet to be understood. One of the differences between acute and chronic alcohol use that may contribute to their different biological effects is their opposite effects on inflammatory cell activation. Inflammatory cytokine overproduction and monocyte/macrophage activation (NF- $\kappa$ B and TNF production) are associated with coronary heart disease where moderate alcohol use has protective effects (Libby, 2002; Ross, 1999). Recent studies found an association between Toll-like receptor (TLR) polymorphism and atherosclerosis and suggested a central role for TLR signaling in coronary heart disease (Bjorbacka. 2006; Vainas et al., 2006). We and others have reported that acute alcohol in vitro as well as in vivo in humans and in experimental animals inhibits induction of pro-inflammatory mediators such as tumor necrosis factor  $\alpha$ , interleukin-1 (IL-1), IL-6 and the chemokines, IL-8 and MCP-1 (Verma et al., 1993; Szabo et al., 1996; Szabo et al., 1999b). It has also been shown that the molecular pathways in inhibition of pro-inflammatory cytokines by acute moderate alcohol intake involve inhibition of the nuclear regulatory factor  $\kappa$ B, a common element in the promoter region of inflammatory cytokine genes (Mandrekar et al., 1999; Mandrekar et al., 2007). Thus, it is tempting to speculate that such attenuation of inflammatory pathway activation by acute alcohol may have a beneficial role in atherosclerosis where inflammatory pathway activation has been shown to accelerate disease progression and results in destabilization of plaques leading to acute coronary syndrome. Further studies are needed to evaluate the relevance of the finding from the one-occasion acute alcohol administration models to the human consumption of moderate alcohol amounts on a more regular basis.

### **Innate immune response alterations caused by alcohol intake**

Considering that most of the detrimental effects of alcohol on immunity are related to infections, recent studies turned attention to the role of pattern recognition receptors that play a key role in recognition of invading pathogens (Takeuchi and Akira, 2007a,b) and are expressed on innate immune cells as well as on some parenchymal cells. The family of Toll-like receptors (TLRs) that includes 11 mammalian receptors has been partially studied in



relation to alcohol. Most studies investigated the LPS-induced inflammatory responses in monocytes, macrophages or dendritic cells LPS, a component of Gram-negative bacteria, is recognized by TLR4 with participation of the co-receptors, CD14 and MD2 (Takeuchi and Akira 2007a,b).

Another pivotal component of the innate immune response is the initiation of adaptive immune responses through antigen presenting cell functions (Kaisho and Akira 2003). Dendritic cells are substantially more potent in antigen presentation and antigen-specific T cell activation than monocytes or macrophages (Kabelitz et al., 2006). Impaired delayed-type hypersensitivity response has been shown in alcohol consuming individuals (Tennesen et al, 1992) and in a mouse model of chronic alcohol administration (Jayasinghe et al, 1992). More recently, decreased monocyte antigen presenting cell function was reported in humans after one occasion of alcohol intake (Mandrekar et al., 2004). T cell proliferation induced by mitogen stimulation or superantigens was decreased after alcohol consumption and these effects were mediated by alcohol exposure of the antigen presenting cells, monocytes (Szabo et al., 1995). Classical antigen presentation by monocytes was also impaired after acute alcohol intake or in vitro alcohol treatment in humans (Szabo et al., 2004). Recent studies with different dendritic cell types also found an inhibitory effect of alcohol on DC functions. Human studies showed that acute alcohol intake or prolonged in vitro alcohol treatment both inhibited monocyte-derived myeloid dendritic cell capacity to induce T cell activation (Mandrekar et al., 2004; Szabo et al., 2004). This was associated with increased IL-10 and decreased IL-12 production by alcohol-exposed DCs (Mandrekar et al., 2004). Furthermore, initial exposure of T cells to alcohol-treated dendritic cells induced T cell anergy that resulted in impaired T cell proliferation even to subsequent stimulation with normal DCs; this T cell anergy could be ameliorated by addition of exogenous IL-12 (Mandrekar et al., 2004). Chronic alcohol consumption affected DC functions in the skin in mice. There were decreased numbers and migration of Langerhans cells (skin DCs) and dermal DCs in mice after 35 weeks of alcohol feeding (Ness et al., 2008). Alcohol feeding in mice also resulted in decreased bone marrow-derived DC generation, decreased expression of the co-stimulatory molecules CD80, CD86 and DCs and impaired induction of T cell proliferation and IL-12 production (Lau et al., 2006). These were associated with increased DC production of IL-10, a cytokine with inhibitory actions on DC maturation, antigen presentation and T cell proliferation. Another study found that chronic alcohol consumption altered CD11c+, CD8+ DC function and antigen presentation that was associated with decreased IL-6, IL-12 and increased IL-13 cytokine production (Heinz and Waltenbaugh, 2007). These results suggest that whether acute or chronic, alcohol consumption appears to inhibit differentiation and functions of various types of dendritic cells.

While alcohol use in general results in impaired immune responses, it is important to distinguish between the effects of acute and chronic alcohol use on inflammatory cell responses. Innate immune cells including monocytes and macrophages are a major source of pro-inflammatory cytokine production in response to various pathogen-derived signals (Medzhitov and Janeway, 2000; Takeuchi and Akira, 2007a). While inhibition of phagocytic functions was reported by both acute and chronic alcohol exposure, the effects of alcohol are opposite on inflammatory cytokine production in monocytes and macrophages. A single dose of alcohol or binge drinking results in attenuation of pro-inflammatory pathway activation after a pathogen-derived challenge that leads to the production of TNF, IL-1 or IL-6 (Pruett et al., 2004a). Chemokine production (MCP-1, MIP-1) in response to an LPS challenge was also inhibited by acute alcohol intoxication in human monocytes (Szabo et al., 1999b). Different types of alcoholic beverages all seem to have the same inhibitory potential on inflammatory response after acute intake as both studies with vodka or beer ingestion had similar findings with respect to inhibition of inflammatory cell activation by acute alcohol

intake (Mandrekar et al. 2006; Romeo et al., 2007a, Romeo et al., 2007b; Winkler et al., 2006).

While studies have general consensus on the inhibitory effects of acute alcohol on LPS/TLR4-mediated inflammatory cascade activation, the effects of acute alcohol on other TLR-induced monocyte/macrophage responses are less clear. In human monocytes TLR2 ligand-induced TNF production and NF- $\kappa$ B activation was not inhibited by acute alcohol (Oak et al., 2006). In contrast, murine macrophages had attenuated responses to TLR2 or TLR9 ligand stimulation (Goral et al., 2004). In murine macrophages acute alcohol inhibited LPS-induced IL-6 production and this was associated with transient down-regulation of the extracellular regulated kinases 1 and 2 (ERK1/2) and p38 MAPK (Goral et al., 2004). Acute ethanol treatment in RAW 264.7 murine macrophages increased IL-10 production but inhibited IL-6 and IL-12 levels (Pruett et al., 2005). Besides TLR4, acute alcohol altered TLR3-induced gene expression to affect the interferon-related amplification loop which could be responsible for suppression of several effector molecules of inflammation (Pruett et al., 2004b; Pruet et al, 2004c). It has been shown that alcohol inhibits the recruitment of the LPS receptors, TLR4 and CD14 into the lipid rafts on the cell surface (Dai et al., 2006; Dolganiuc et al., 2006; Fernandez-Lizarbe et al., 2008). Interestingly, TLR2 recruitment to the lipid rafts was not affected by acute alcohol; this correlates with the lack of inhibition of TLR2-induced TNF production (Dolganiuc et al., 2006; Oak et al., 2006)

In contrast to the inhibitory effects of acute alcohol, prolonged alcohol treatment results in an augmentation of macrophage TNF production and inflammatory cascade activation. In rat and mouse liver macrophages, Kupffer cells, chronic alcohol exposure in vivo resulted in an increased production of TNF after ex vivo LPS stimulation (Kishore et al., 2004). This was associated with increased Erk and Egr1 activation and Egr1 mice were protected from alcohol-induced liver disease (Prichard and Nagy 2005). Consistent with this, peripheral blood monocytes from patients with alcoholic liver disease show increased production of TNF (McClain et al., 1999). Chronic ethanol consumption increased expression of co-stimulatory molecules CD80 and CD86 on TLR9 activated CD11b+ splenocytes contributing to systemic immunodysregulation including T cell activation (Cook et al., 2004; Zhu et al., 2004). As discussed above, lung alveolar macrophages are not only suppressed after acute alcohol exposure but even after chronic alcohol exposure, the functions of alveolar macrophages remained impaired (Guidot et al.,2000).

### **Abnormalities in adaptive immune responses after alcohol use**

Adaptive immunity includes T cell and B cell activation, and production of immunoglobulins. There is manifestation of autoimmune processes in chronic alcoholic individuals including the presence of circulating autoantibodies to lymphocytes, brain, DNA, serum lipoproteins and various liver proteins (Vidali et al., 2008). Polyclonal hyperglobulinemia is frequent in alcoholics and deposition of immunoglobulin-A are found in skin, liver and kidney of many patients with alcoholic liver disease (Laskin et al., 1990). Increased immunoglobulins are found in alcoholics with below-normal B cell counts suggesting that the increased immunoglobulin production is not the result of an increase in B cell populations (Cook et al., 1996). It remains to be evaluated in humans whether without alcohol-mediated stress response, B cell functions would be altered. In a mouse model of alcohol-in-the-water-feeding, B cell functions are relatively unaffected by alcohol without a stress response (Cook et al., 2007).

Previous reports indicate that alcoholics without liver disease have an increased percentage of the activated CD8+ T cells measured and expression of HLA-DR (Cook et al., 1996). These patients also have a shift from “naïve” (CD45RA+) to the “memory” phenotype of T cells (CD45RO+) (Cook et al., 1996). This change was present in CD8+ and CD4+ T cells

and it was associated with a significant reduction in L-selectin and an increase in CD11b expression on CD8+ T cells.

Studies in mice with chronic alcohol consumption found activated splenic T cells (Song et al., 2002). Administration of ethanol to mice in the drinking water for 3-13 weeks resulted in decreased expression of CD62L and increased percentage of CD44hi T cells. In the CD44hi T cell population the study found more IFN  $\gamma$ , IL-4 but not IL-2 secretion in alcohol-fed compared to control mice (Song et al., 2002). Overall these studies support the contention that in humans and mice, chronic alcohol exposure results in splenic T cell activation or sensitization in vivo and results in an increased percentage of effector/memory cell subset.

In a recent study, chronic alcohol administration in the drinking water evaluated T and B cell functions in various strains of mice. Alcohol feeding from 8-32 weeks resulted in no changes in serum immunoglobulin levels, pre-B cells or in the percentage of double positive thymocytes (Cook et al, 2007). Exposure of mice to similarly low levels of alcohol for 4-8 weeks (5% alcohol in the drinking water) resulted in significant changes in the splenic, thymic and bone marrow T cell subpopulations and increased T cell expression of STAT5 while it decreased STAT5 activation in NK cells (Guo et al., 2002). Chronic alcohol consumption led to increased NK T cell numbers and activity in the liver. These cells express both T-cell and natural killer (NK) cell receptors; they are abundant in the liver and mediate various regulatory and effector functions. NKT cells alone or in conjunction with NK cells modulate responses of the adaptive immune system by secreting cytokines and by mediating cytotoxic effector functions by perforin, tumor necrosis factor (TNF)- and Fas (Minagawa et al., 2004). In an experimental mouse model chronic alcohol feeding lead to an increase of NKT cells in the liver, concomitant with an induction of liver injury (Jaruga et al., 2004). Activation of NKT cells prompted a dramatic increase in liver injury, causing death in most animals. The cells inducing this effect are NKT cells that mediate injury by a Fas and TNF receptor- 1 (TNFR1)-dependent mechanism. Reports on the effects of alcohol on NK cell functions are controversial. Many investigators found that alcohol inhibits NK cell cytolytic function (Ristow et al., 1082; Zhou and Meadows, 2003) but in vitro treatment of human NK cells for 1-7 days resulted in an increased cytolytic activity (Li et al., 1997). A recent study showed that chronic alcohol consumption inhibits hepatic NK cell function in CMV-induced hepatitis (Pan et al., 2006). Further evaluation of the effects of alcohol on NK and NKT cell functions needs investigation in humans and in animal models.

## Summary

Experimental and clinical data support the conclusion that alcohol is a potent immunomodulatory agent. Even a single occasion of moderate-to-heavy drinking results in alteration of innate immune response such as attenuation of inflammatory cell function to pathogen-derived signals (Figure 2). Acute and chronic alcohol consumption, however, have opposite effects on inflammatory cell activation wherein acute alcohol is inhibitory whereas chronic alcohol leads to an increase in inflammatory cell responses, particularly to lipopolysaccharide, a TLR4 ligand. The antigen presenting function of innate immune cells including monocytes and macrophages is inhibited by alcohol exposure and such impaired antigen presentation capacity contributes to decreased antigen-specific T cell proliferation. In addition to dendritic cell-mediated induction of anergy, alcohol may directly affect functions of T cells to change the distribution and functions of memory T cells. Natural killer cell functions are also altered by alcohol use further contributing to the alcohol-induced immune defects. While B cell functions appear to be relatively unaffected by alcohol, secondary modification through stress response may still occur. Together, the alcohol-induced specific changes in immune cell functions result in a impaired host defense and inappropriate immune response to invading pathogens leading to higher incidence of

pneumonias and other infection as well as prolonged recovery from infections, burn or trauma injury. Further investigation of the specific effects of alcohol on immune functions should help to define potential therapeutic options for amelioration of alcohol-induced immune defects.

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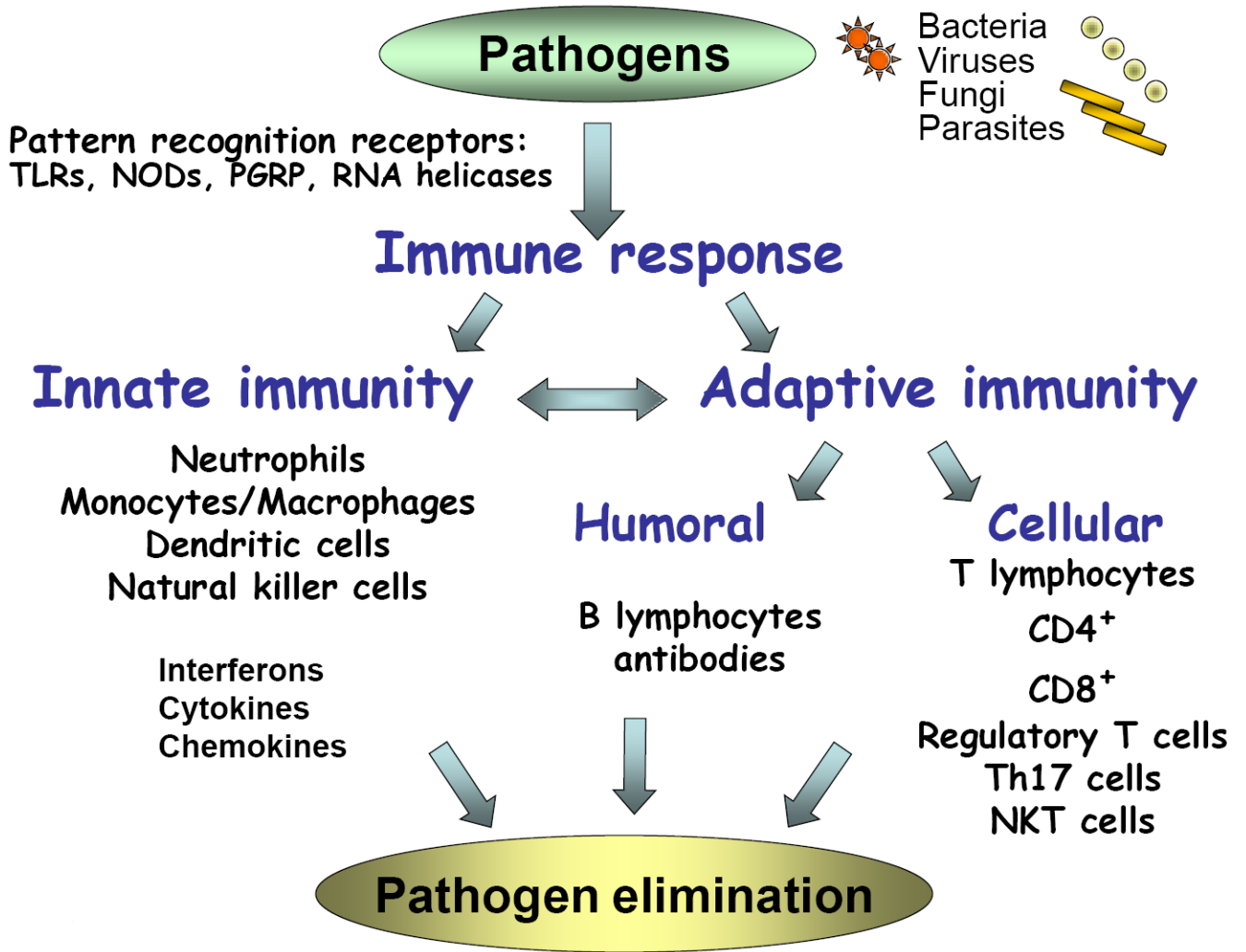
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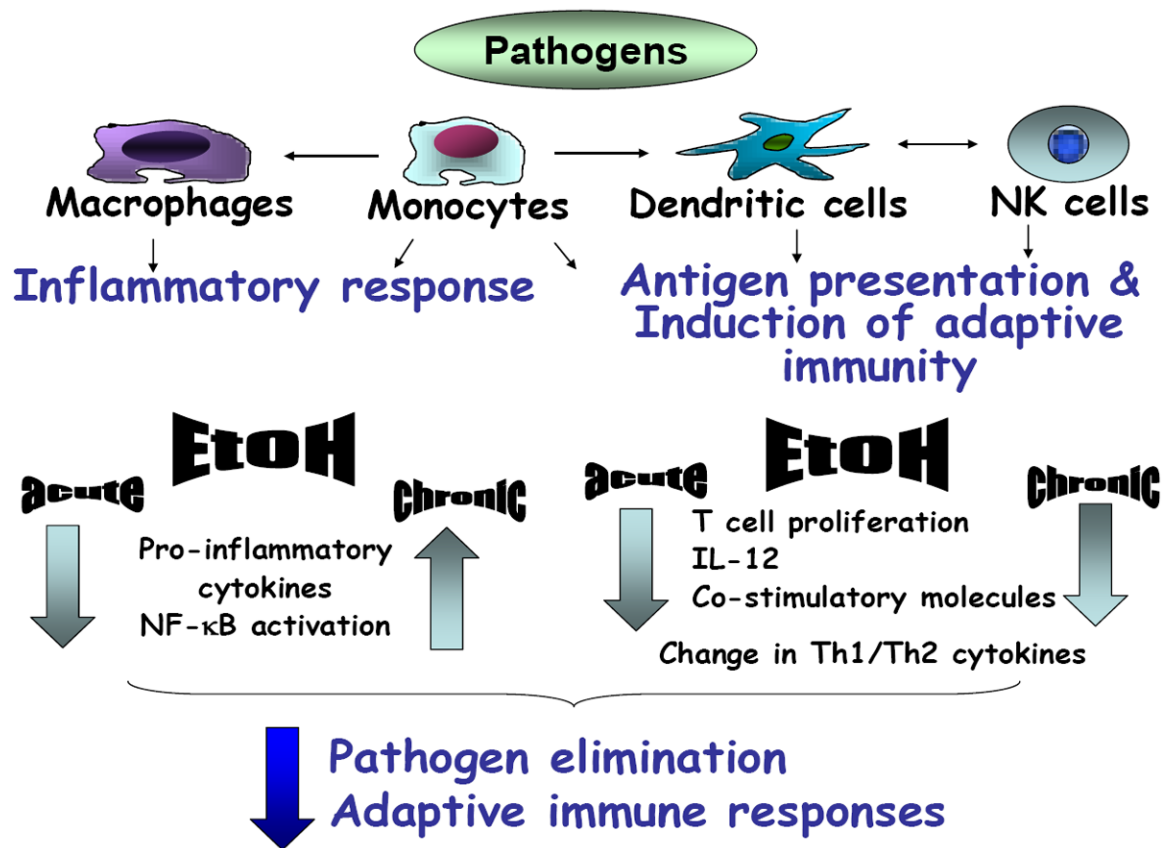
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**Figure 1. Induction of immune responses by pathogens**

Various pathogens, such as bacteria, viruses, fungi and parasites, trigger host defense through activation of an immune response. Pathogens are recognized by pattern recognition receptors including the family of Toll-like receptors (TLRs) (10 different TLRs in humans and 13 in rodents), NOD-LRR proteins, peptidoglycan recognition receptors (PGRP), and RNA helicases expressed on host cells. PRRs trigger various signaling pathways to initiate activation of innate and/or adaptive immune responses. Innate immunity involves recruitment and activation of neutrophil leukocytes, macrophages, monocytes, dendritic cells and natural killer cells to initiate the immune response. These cells also produce immune mediators aimed at inhibition of the pathogen (interferons), recruitment (chemokines) and modulation (cytokines) of other immune cells. The innate immunity is also pivotal in initiation of adaptive immune responses via the antigen presenting function of dendritic cells and other antigen presenting cell types. Pathogen/antigen-specific T cell activation extends to both the CD4<sup>+</sup> and CD8<sup>+</sup> T lymphocyte populations and the extent of T cell activation and proliferation can be regulated by regulatory T cell subsets, Th17<sup>+</sup> T cells and NKT cells. Adaptive immunity also involves activation of B lymphocytes and induction of immunoglobulin switching leading to production of pathogen-specific antibodies. All of these processes of the innate and adaptive immunity work in concert to ultimately achieve pathogen elimination.



**Figure 2. Alcohol impairs innate and adaptive immunity**

Acute and chronic alcohol has broad immunoregulatory effects. Cells of the innate immune system (macrophages, monocytes, dendritic cells, NK cells) are modulated by alcohol in their capacity to respond to pathogens. Inflammatory cell responses including production of pro-inflammatory cytokines (IL-1, TNF $\alpha$ ) and NF- $\kappa$ B activation are inhibited by acute alcohol exposure while chronic alcohol augments these pro-inflammatory responses. The antigen presenting function of both monocytes and dendritic cells is impaired by both acute and chronic alcohol and this contributes to impaired induction of adaptive immune responses. Both acute and chronic alcohol inhibits T cell functions and IL-12 production and results in alterations in Th1 (IFN $\gamma$ ) and Th2 (IL-10) cytokine production. These alcohol-induced abnormalities then collectively contribute to impaired pathogen elimination and reduced adaptive immune responses in the alcohol-exposed host.