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## Autophagy proteins regulate cell engulfment mechanisms that participate in cancer

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### Abstract

Recent evidence has uncovered cross-regulation of mechanisms of cell engulfment by proteins of the autophagy pathway, in what is called LC3-Associated Phagocytosis, or LAP. By LAP, lysosome fusion to phagosomes and the degradation of engulfed extracellular cargo are facilitated by autophagy proteins that lipidate LC3 onto phagosome membranes. Here we discuss the contexts where LAP is known to occur by focusing on potential roles in tumorigenesis, including predicted consequences of LAP inhibition.

### Keywords

autophagy; entosis; phagocytosis; cell death; macrophage; tumor; immune

### Introduction

To maintain cellular homeostasis, the continual turnover of macromolecules that are aged, damaged, or no longer needed, must be balanced by new macromolecular synthesis. Eukaryotic cells have adapted a variety of strategies to maintain homeostasis, including protein- and vesicle-based degradation and quality control systems [1]. One important homeostatic pathway is ‘macroautophagy’ (or commonly ‘autophagy’), that targets aged or damaged organelles, protein aggregates, or long-lived proteins for degradation and recycling [2, 3]. Through autophagy, intracellular substrates are sequestered into ‘autophagosome’ vesicles that fuse with lysosomes, which harbor digestive enzymes that degrade internalized cargo. By this mechanism, the autophagy pathway allows eukaryotic cells to harness the degradative power of lysosomes to turnover bulk and long-lived intracellular substrates and recycle their building blocks for use in macromolecular synthesis. For cells experiencing nutrient starvation, autophagy also represents an important mechanism of nutrient recovery that can support cell survival by allowing for self-digestion [3].

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Like intracellular substrates, extracellular substrates are also constantly turned over by eukaryotic cells through endocytic mechanisms that maintain cell signaling and metabolism, and regulate cell adhesion and plasma membrane homeostasis [4]. Bulk extracellular substrates, like dying cells and pathogenic organisms, must also be cleared and degraded in order to support metazoan development, tissue homeostasis, and immunity [5]. The turnover of these various extracellular substrates, like intracellular substrates targeted by autophagy, is controlled by lysosomes that fuse with endocytic vesicles or vacuoles to degrade and recycle internalized cargo [6, 7].

While endocytosis and autophagy were once considered largely separate pathways, recent evidence has shown extensive collaboration between them in mammalian cells, including the identification of an endocytic origin for vesicles utilized for autophagosome biogenesis [8], fusion between autophagosomes and endosomes [9], and co-regulation of endocytic trafficking and autophagy by Beclin1-Vps34 protein complexes [10, 11]. It was also recently discovered that autophagy proteins control the degradation of engulfed dying cells or pathogenic organisms, in an autophagosome-independent manner, by facilitating lysosome fusion to phagosomes, in what is called LC3-Associated Phagocytosis, or LAP [12]. As links between autophagy gene dysfunction and a variety of human diseases are emerging, (for example Crohn's disease is linked to mutations in Atg16L [13], neurodegeneration is associated with dysfunctional autophagy [14], and various cancers are associated with loss of function of autophagy genes [15]), it is important to consider that loss of the non-autophagy functions of autophagy proteins, including endocytic functions such as LAP, may also contribute to disease onset or progression. Here we consider several potential roles of autophagy proteins in cancer that are based on the recent discovery of the mechanism of LAP and the contexts where this process has been identified (see Figure).

### LC3-Associated Phagocytosis (LAP)

LAP was first identified by the recruitment of the autophagy protein microtubule-associated protein 1 light chain 3 (LC3) to phagosomes harboring engulfed microorganisms in macrophages [12]. LC3 and its homologs are ubiquitin-like molecules that are lipidated to phosphatidylethanolamine (PE) at sites of autophagosome formation, where they control elongation of autophagosome precursor membranes, or phagophores, as well as autophagosome closure, potentially by mediating vesicle-vesicle fusion events [16, 17]. LC3 proteins are conjugated to PE following a series of reactions mimicking a ubiquitination cascade, where Atg7 and Atg3 act as E1 and E2 enzymes, respectively, and the E3-like enzyme, Atg5-12:16L, is a multimeric complex involving a second ubiquitin-like molecule, Atg12. Atg12 is conjugated to Atg5 by the E1 and E2-like activities of Atg7 and Atg3, respectively, and the Atg5-12 conjugate forms a complex with Atg16L that functions as the E3 for the LC3-PE conjugation [18]. Acting upstream of these in the canonical autophagy pathway are several additional protein complexes, including two kinase complexes, one involving Beclin1 and the lipid kinase Vps34, that produces phosphatidylinositol-3-phosphate (PI(3)P) at sites of autophagosome formation, and another complex involving the Ulk1/2 kinase that is required for most forms of autophagy, potentially by facilitating the recruitment of Vps34 [18, 19].

As autophagosomes form by elongation of phagophore membrane vesicles, upon closure they have a characteristic double-membrane structure that is identifiable by transmission electron microscopy [18]. While some internalized pathogenic organisms have been found enwrapped inside of double-membrane autophagosomes [20], the engulfment by macrophages of yeast, beads coated with lipopolysaccharide (LPS) or toll-like receptor (TLR) ligands, or *Escherichia coli*, was associated with the acquisition of lipidated LC3 at phagosomes in a manner independent of the appearance of double-membranes structures,

suggesting that LC3 was lipidated directly onto phagosome membranes [12]. The autophagy proteins Atg5 and Atg7 were required for LC3 lipidation onto phagosomes, phagosome acidification, and killing of live engulfed yeast, demonstrating a role for autophagy proteins in phagosome maturation and lysosome fusion that is distinct from the formation of double-membrane autophagosomes that mediate autophagy [12]. This autophagy-independent function of autophagy proteins was termed LC3-Associated Phagocytosis, or LAP [21].

Since the initial discovery of LAP occurring with agonists of TLR signaling [12], this non-canonical function of autophagy proteins has been shown to occur in a variety of contexts including the phagocytosis of apoptotic and necrotic cells [22, 23], Fc R-mediated engulfment of IgG-opsonized substrates [24], macropinocytic uptake of fluid-filled vacuoles [23], and the ingestion and killing of live epithelial cells by the engulfment program 'entosis' [23]. The variety of vacuole types that are targeted by LAP-like activity suggests that this non-canonical function of autophagy lipidation machinery may be a more general mechanism to facilitate lysosome fusion in cells than originally thought [25]. Consistent with this idea, even the fusion of lysosomes to specialized plasma membrane domains in osteoclasts that are actively engaging in resorbing bone, called ruffled borders, involves LAP-like activity of autophagy proteins that appears to facilitate secretory lysosome fusion [26]. Lipidated LC3 at these membranes may promote lysosome fusion by facilitating membrane-membrane fusion directly or by recruiting other interacting proteins, such as Rab GAPs (GTPase-Activating Proteins), to the membrane [16, 25, 27-29]. One common feature that links these LAP-associated cell systems is their occurrence in cells cultured under nutrient-replete conditions, where signaling from the mTORC1 kinase, that represses canonical autophagy, is active. Accordingly, the autophagy preinitiation complex, composed of the Ulk1/2 kinase and its binding partners, Fip200, Atg13, and Atg101, should be inactive under conditions where LAP occurs. Indeed, LAP occurring during the phagocytosis of dead cells was shown to be independent of the Ulk1 kinase that is required for most forms of starvation-induced autophagy [22]. Similarly, LAP-like activity occurring during entosis was shown to be independent of Fip200 that, like Ulk1, is required for starvation-induced autophagy [23]. Thus, LAP is a non-canonical program involving select autophagy machinery that facilitates lysosome fusion to single-membrane vacuoles or compartments in a manner that is morphologically and genetically distinct from canonical autophagy [25].

While many studies have now implicated autophagy proteins in tumor-suppressing or tumor-promoting roles, it is important to consider how roles of LAP, which occurs in many cell types, including macrophages, dendritic cells, neutrophils, epithelial cells, and breast tumor cells, could affect tumor growth or therapy in addition to canonical autophagy. Although the abovementioned studies have not investigated the direct role of LAP in phagocytes in the context of the tumor microenvironment, it can be postulated that LAP could influence tumor growth or the response of tumors to therapy. Below we consider the types of engulfments associated with LAP and discuss potential roles for LAP in cancer.

## **LAP-associated engulfment mechanisms that participate in cancer development or therapy**

### **1. Phagocytosis of apoptotic tumor cells**

As tumors grow, high rates of tumor cell proliferation are accompanied by cell death that occurs continuously within a tumor cell population. High rates of cell death measured by apoptotic index in fact correlate with high mitotic index, as well as with aggressive tumor characteristics such as high tumor grade and aneuploidy, and overall unfavorable disease outcome [30, 31]. Tumor cell death is also induced by anti-cancer treatments, such as irradiation or the administration of pharmacological agents. The tumor environment

therefore contains, at all stages, a population of dying or dead cells that serve as ready substrates for professional phagocytes that are known to be recruited to tumors. Tumor-associated macrophages (TAMs) and other immune cells are an important fraction of the tumor microenvironment of solid tumors, with T cells representing the major immune type, and TAMs constituting approximately 20% of the total immune infiltrate in breast cancers [32]. The presence of TAMs, like apoptotic index, correlates with poor prognosis for a number of carcinomas such as breast, prostate, and other types [33, 34].

TAMs derive from circulating monocytes that recruit to the tumor microenvironment in response to a variety of chemoattractants released by tumor cells, such as macrophage colony stimulating factor (M-CSF or CSF-1), CCL2, CCL5, and others [35-37]. Once recruited, monocytes differentiate into TAMs that generally polarize toward an “alternatively activated”, or M2 state, as a result of signaling by a number of anti-inflammatory factors released from tumor cells or other immune cells in the tumor microenvironment, including IL-4, IL-10, IL-13, and TGF $\beta$ , that collaborate to polarize TAMs [35, 38, 39]. As M2-polarized cells, TAMs engage in functions normally associated with wound healing, including enhancing angiogenesis, promoting cell proliferation and invasion, remodeling matrix, and suppressing adaptive immunity, which, while beneficial for healing wounds, for cancers these functions promote disease progression [35, 39]. Interestingly, the continual rate of apoptosis that accompanies tumor progression may contribute to maintaining TAMs in an M2 state, as a direct result of anti-inflammatory molecules released from apoptotic cells [40-42], or as a consequence of phagocytic clearance, as macrophages ingesting apoptotic cells are known to secrete immunosuppressive cytokines that promote M2 polarization such as IL-10 and TGF $\beta$  [43]. Engulfment of apoptotic cells also suppresses the immunostimulatory capacity of dendritic cells by reducing their secretion of pro-inflammatory IL-12 [44]. Of note, some macrophage-polarizing cytokines have been shown to influence autophagy. For example, the M1-inducing cytokine IFN- $\gamma$ , as well as LPS, induces autophagy [45-48], whereas M2-polarizing cytokines, IL-4, IL-10, and IL-13 inhibit autophagy through PI3-kinase/mTORC1 signaling or through transcription [49, 50]. While the modulation of mTORC1 signaling is not predicted to influence LAP, whether cytokine signaling, or more generally macrophage polarization, affects the ability of cells to perform LAP has not been studied.

The suppression of immune responses toward tumors by apoptotic cells could potentially be exploited therapeutically. For example, injection of mice with apoptotic cells coated with annexin V, which blocks the ‘eat-me’ signal phosphatidylserine (PS), provided immunity toward subsequent challenge to tumor growth that correlated with increased pro-inflammatory cytokine, TNF- $\alpha$  and IL-1 $\beta$ , and decreased anti-inflammatory cytokine, TGF- $\beta$ , release by macrophages [51]. Similarly, the blockade of MFG-E8, a bridging molecule between PS of apoptotic cells and  $\alpha$ v $\beta$ 3 integrin on phagocytes, increased pro-inflammatory cytokine, IL-12, IL-23, and TNF- $\alpha$ , and reduced anti-inflammatory cytokine, IL-10, secretion by dendritic cells, contributing to an immunogenic tumor microenvironment and potentiating cytotoxic therapy-induced tumor regression in experimental models of colon carcinoma and melanoma [52]. These studies suggest potential therapeutic approaches that take advantage of the suppression of immune function by PS-dependent phagocytosis, by blocking PS-dependent signals in combination with apoptosis-inducing chemotherapeutic agents.

The engagement of apoptotic cell phagosomes by autophagy proteins during LAP facilitates the degradation of engulfed apoptotic corpses, potentially by enhancing lysosome fusion [22, 23, 25]. Like blocking PS-dependent phagocytosis, disrupting the degradation of engulfed cell components has also been shown to be pro-inflammatory; for example, macrophages in DNase-II knockout mice that ingest apoptotic cells are deficient for

degrading nuclear DNA and activate innate immunity by producing TNF- [53]. Also, loss of the lysosomal degradative enzyme lysosomal acid lipase [54], or the cholesterol trafficking protein Niemann Pick C1 [55], leads to secretion of pro-inflammatory cytokines, including IFN- , IL-6, and IL-8, suggesting that lysosomal buildup of undigested material may be pro-inflammatory in some contexts [53]. Consistent with this idea, disrupting the degradation of engulfed cells by autophagy protein deficiency that impairs LAP also leads to the secretion of pro-inflammatory cytokines by macrophages, including IL-6 and IL-1 , and reduced secretion of the anti-inflammatory cytokines TGF and IL-10 [22]. Importantly, loss of the autophagy preinitiation complex protein Ulk1 had no effect on cytokine profiles, consistent with a model that LAP, rather than canonical autophagy, plays a role in the anti-inflammatory response of macrophages to ingesting apoptotic cells [22]. Autophagy proteins participating in LAP within immune cells may therefore play a role in maintaining the anti-inflammatory nature of the tumor microenvironment. Conceivably, like blocking PS-dependent phagocytosis, treatment of cancers with therapies that inhibit LAP could contribute to shifting the tumor microenvironment toward pro-inflammatory, or tumoricidal, as a result of disrupting LAP and corpse degradation within phagocytes. This may be particularly beneficial with combination therapies that induce high levels of apoptosis. Loss of Beclin1, like Atg5 and Atg7, also impairs LAP, suggesting that strategies targeting Beclin1 or Vps34 activity may be effective at inhibiting LAP and blocking corpse degradation, and shifting the cytokine profile of the tumor microenvironment.

## 2. Fc $\gamma$ R-dependent phagocytosis

In recent years, monoclonal antibody-based cancer therapies have shown efficacy as targeted approaches that inhibit cell-surface oncoproteins or bind cancer-associated biomarkers. For example, treatment with the monoclonal antibody Herceptin, or Trastuzumab, that targets ErbB2 or Her2, increases overall patient survival and reduces recurrence rates when used in combination with conventional chemotherapy against Her2-overexpressing breast cancers [56]. Like Trastuzumab, a number of monoclonal antibodies are now used therapeutically including Cetuximab (Erbix) that inhibits EGFR, and at least 12 others that are FDA-approved for the treatment of cancer [57]. While many therapeutic antibodies inhibit cell-signaling events critical for tumor cell survival or proliferation by antigen-specific binding of the antibody Fab region, the Fc region of IgG antibodies also participates in efficacy by acting in an immunostimulatory manner. The Fc region can engage the complement cascade, leading to pore formation by the membrane attack complex and death of the targeted tumor cell. And the Fc region can also activate Fc receptors on various immune cells leading to either Antibody-Dependent Cell Cytotoxicity (ADCC), mediated by granzyme B and perforin, or Antibody-Dependent Cell-mediated Phagocytosis (ADCP), involving engulfment and killing of antibody-opsonized tumor cells by macrophages [58, 59]. Whereas M1 macrophages express high levels of Fc Rs and are competent for ADCP, some M2-differentiated macrophages have been shown to be deficient in mediating ADCP due to loss of expression of Fc Rs, particularly when differentiated in the presence of IL-4 [60]. But TAMs isolated from breast tumors, or monocytes differentiated into macrophages with tumor cell-conditioned medium or M-CSF, have been shown to maintain expression of Fc Rs while acquiring M2 characteristics such as the ability to facilitate of tumor cell invasion [60]. Such M2 macrophages retain competence for mediating ADCP in culture, and the tumor growth and metastasis of breast tumor cells *in vivo* can be blocked by administration of a tumor cell-binding IgG antibody in a manner consistent with tumoricidal function of macrophages [60]. These data suggest that macrophage-mediated ADCP can be a primary mechanism of tumoricidal activity mediated by TAMs after administration of therapeutic monoclonal antibodies. Interestingly, ADCP may also occur downstream of administration of antibodies designed to block the 'eat-me' signal PS, which might be predicted to inhibit apoptotic cell engulfment like Annexin V, but

instead can promote engulfment through the Fc region and enable the clearance of PS-exposed cells in a pro-rather than anti-inflammatory manner [61]. Treatment of tumor-bearing mice with an anti-PS antibody has been shown to inhibit tumor growth and to induce pro-inflammatory cytokine release [61].

The opsonization of latex beads with IgG has been shown to recruit LC3 to phagosomes in macrophages and neutrophils, suggesting that engagement of FcγRs during engulfment is sufficient to activate autophagy protein machinery for LAP [62]. Similarly, the engulfment of red blood cells opsonized with IgG, or DNA-IgG complexes, induces LAP in macrophages and dendritic cells in a manner dependent on FcγR expression, which is consistent with a critical role of FcγRs in LAP [24]. These studies suggest that LAP may generally occur when engulfment is driven by FcγR engagement. If so, autophagy proteins would be predicted to play a role in ADCP by facilitating the death and degradation of engulfed cells, potentially by facilitating lysosome fusion to phagosomes. The defects in lysosome fusion and phagosome acidification observed upon LAP inhibition are indeed associated with the rescue of live engulfed microorganisms that would otherwise be killed by lysosomal enzymes [12], suggesting that in some cases a failure to mature phagosome membranes in a manner that engages autophagy machinery could completely rescue engulfed cells. Therefore, it seems plausible that tumoricidal ADCP may be inhibited by therapeutic approaches that combine inhibitors of LAP with monoclonal antibody-based therapies.

### 3. Phagocytosis of live tumor cells induced by CD47 blockade

Beyond ADCP that potentially underlies one aspect of the tumoricidal properties of therapeutic IgG antibodies, the manipulation of ‘eat-me’ or ‘don’t-eat-me’ signals on live cells has emerged as a potential therapeutic strategy to more directly harness the potential for phagocytes to engulf and kill tumor cells [63]. It is becoming clear that the targeting of live cells by phagocytes occurs *in vivo* as part of normal physiology. For example, microglia, the resident macrophages of the brain and spinal cord, when activated, can phagocytose neurons that have increased PS exposure [64]. Moreover the clearance of aged erythrocytes by macrophages in normal individuals, discussed further below, involves phagocytosis-induced death, which is thought to occur at least in part due to downregulation of the ‘don’t-eat-me’ signal CD47 on erythrocytes. These modes of cell death where phagocytes ingest and kill live cells were recently proposed as a major form of physiological programmed cell death called “phagoptosis” [65]. The engulfment of live cells can also be induced experimentally *in vivo*, for example the introduction of an exogenous PS analog on the surface of red blood cells induced their clearance from the peripheral circulation of syngeneic mice [66]. And the induction of DNA damage was also shown to induce  $\alpha$ 3 integrin expression on the surface of tumor cells, which triggered tumor cell recognition and phagocytic uptake by dendritic cells [67]. These results demonstrate that even viable cells, if possessing the appropriate signals, can be engulfed by phagocytes, and this may represent a major mechanism of programmed cell death *in vivo*.

Although tumor cells in some contexts express ‘eat-me’ signals that can induce their phagocytic uptake [67], live tumor cells generally avoid being phagocytosed, and in fact many tumor cells have been found to upregulate cell surface proteins that actively block phagocytosis by acting as ‘don’t-eat-me’ signals. The best characterized of these is CD47, an immunoglobulin-like membrane protein, that can bind to SIRP $\alpha$  on phagocytes and signal to block phagocytosis [68]. CD47 binding to SIRP $\alpha$  leads to activation of tyrosine phosphatases that inhibit the accumulation of myosin at the site of the phagocytic synapse [69]. The CD47-SIRP $\alpha$  interaction inhibits phagocytosis and clearance of healthy erythrocytes and platelets by macrophages *in vivo*, as erythrocytes from CD47 $^{-/-}$  mice are rapidly cleared from circulation in a macrophage-dependent manner [70].

Recent studies have taken advantage of the CD47-SIRP ‘don’t-eat-me’ signaling axis to design potential therapeutic strategies to eliminate tumor cells by macrophage engulfment. Tumor cells of different types consistently express high levels of CD47 on their surface, and blocking CD47-SIRP signaling with monoclonal anti-CD47 antibodies, or anti-SIRP antibodies, has shown therapeutic efficacy for a variety of cancers such as acute myeloid leukemia, acute lymphoblastic leukemia, non-Hodgkin’s lymphoma, multiple myeloma, leiomyosarcoma, and also solid tumors [71-77]. In addition to inhibiting tumor growth, anti-CD47 antibody treatment can block metastasis in some studies [73, 75], suggesting that the targeting of live tumor cells for macrophage-dependent phagoptosis by blocking ‘don’t-eat-me’ signaling holds therapeutic promise. Blockade of CD47-SIRP signaling also enhances the anti-tumor activity of therapeutic monoclonal antibodies such as Trastuzumab, as blocking SIRP signaling can facilitate tumor cell killing in response to Fc $\gamma$ R engagement. In support of the potential clinical significance of this interaction, the pathological response to Trastuzumab in breast cancer patients has been shown to correlate inversely with CD47 expression level [78]. But while some studies have shown that the induction of live cell-directed phagocytosis is induced by treatment with antibodies that disrupt the CD47-SIRP interaction, and not by control anti-CD47 antibodies that are permissive for signaling or by isotype control or anti-CD45 control antibodies [72-75, 77], a recent study showed that anti-CD47 F(ab)<sub>2</sub> fragments, which do not engage Fc $\gamma$ Rs yet block CD47, had no tumoricidal effect independent of treatment with Trastuzumab, suggesting that at least part of the observed anti-tumor effects of CD47 blocking antibodies may also be due to Fc $\gamma$ R engagement that drives a tumoricidal immune response, in addition to blockade of CD47-SIRP signaling [78].

Whether autophagy proteins could play a role in tumor cell-directed phagoptosis is not known. As part of the observed tumoricidal effects of CD47 blockade may involve Fc $\gamma$ R engagement by intact anti-CD47 IgG antibodies, one could speculate that the phagocytic ingestion of live tumor cells induced by these treatments may engage autophagy proteins to participate in phagocytosis through LAP. If so, a potential delay in lysosome fusion or tumor cell killing activity by inhibition of autophagy proteins, in therapeutic settings where phagoptosis is argued to occur, may affect therapeutic efficacy. Whether LAP is involved in the ingestion of live tumor cells by these methods, and whether LAP inhibition could rescue engulfed cells from death, are important topics for future studies. Conceivably, even a delay in the degradation of live engulfed cells by LAP inhibition could alter the tumor microenvironment by changing the cytokine milieu, as occurs with a failure of LAP during the phagocytosis of apoptotic cells.

#### 4. Entosis and Homotypic Cell Cannibalism (HoCC)

While LAP mediated by immune cells in the tumor microenvironment may participate in tumor growth or therapeutic responses indirectly, the engulfment of tumor cells by their neighbors, commonly referred to as tumor cell cannibalism, has also been shown to engage autophagy machinery in a LAP-like activity that induces tumor cell death [23]. In this manner, autophagy proteins may participate in tumor growth directly through a LAP-like mechanism.

Tumor cell cannibalism has been reported for many years in breast, colon, lung, and liver carcinoma, melanoma, and other tumor types, but only recently have potential mechanisms underlying this activity been investigated [79]. One mechanism proposed to account for the appearance of cannibalistic, or “cell-in-cell” structures in human tumors is called entosis [80]. By entosis, tumor cells utilize the machinery of cell-cell adhesion to ingest and kill their neighbors [80]. Cells ingested by entosis are engulfed alive, and in fact they participate actively in their own engulfment [80]. But the majority of engulfed cells eventually undergo cell death, by a non-cell-autonomous mechanism, as they are killed by the neighboring cells

into which they internalize. Internalized cells are killed by lysosomal enzymes following a LAP-like mechanism that induces lysosome fusion to entotic vacuoles [23]. Like LAP described in macrophages, the lipidation of LC3 to entotic vacuoles is not associated with double-membrane structures and lipidation occurs in a manner dependent on Atg5 and Atg7, but independent of the autophagy preinitiation complex protein Fip200 [23].

Entosis may be a tumor-suppressive mechanism, like other forms of cell death, as high rates of entosis and entotic cell death are associated with the inhibition of transformed growth [23, 80]. In this context, autophagy proteins involved in LAP could suppress tumor growth. Conversely, entosis has also been shown to induce ploidy changes that are known to promote tumor progression, due to the disruption of cell division by live engulfed cells [81]. The cannibalistic cell structures resembling those formed by entosis occur most frequently in high-grade, aggressive breast tumors, suggesting that entosis may promote tumor progression in the long-term [81, 82]. How LAP-like activity in this context could relate to the potential of entosis to promote tumor progression is unclear, as it is the formation of cell-in-cell structures, rather than the induction of cell death, that promotes division failure. Conceivably, an inability to kill internalized cells could increase the persistence of individual cell-in-cell structures and increase the chance for division failure. In this manner, autophagy proteins involved in entotic cell death could act tumor-suppressively by inducing cell death and also by suppressing the ability of entosis to promote ploidy changes. The role of LAP-like activity in this context awaits further demonstration of the tumor-suppressive or -promoting roles of entosis.

Another mechanism recently proposed to underlie the formation of cell-in-cell structures is called homotypic cell cannibalism, or HoCC [83]. Cannibalistic cell structures resembling those formed by HoCC occur in human pancreatic tumors and their frequency correlates with increased metastasis-free survival, suggesting that HoCC could inhibit tumor progression [83]. Cell cannibalism by HoCC is proposed to occur by a phagocytosis-like mechanism induced by TGF $\beta$  signaling and involving a number of phagocytosis-related genes including Cdc42. The knockdown of the autophagy protein Atg5 was actually shown to increase the frequency of cannibalism by HoCC in cultured cells, but whether the loss of Atg5 affects the fate of engulfed cells, which appear to die by apoptosis in this system, was not examined [83]. Conceivably, an increased frequency of cannibalism upon Atg5 knockdown could reflect either an increased rate of engulfment, as proposed, or also longer persistence of individual cell-in-cell structures within a cell population. Nevertheless, whereas autophagy proteins have been shown to facilitate entotic cell death by acting in a LAP-like manner, no such role for autophagy machinery has yet been identified for HoCC.

## Further considerations and concluding remarks

In this review, we have discussed several potential implications of the recently identified LC3-Associated-Phagocytosis mechanism, or LAP, in cancer development and therapy. The various cellular contexts where autophagy machinery has been found to facilitate lysosome fusion to single-membrane vacuoles, in an apparently autophagosome-independent manner, allow for several predictions to be made regarding how this mechanism could influence cancer. Perhaps the most straightforward prediction concerns the homotypic cell cannibalism mechanism entosis, where, if entosis participates in cancers by inducing tumor cell death, then core autophagy proteins such as Atg5 and Atg7, Beclin1, and Vps34, are predicted to act as tumor suppressors through this non-canonical mechanism. It is far less straightforward to predict how the modification of phagosomes harboring dying or dead cells by autophagy proteins may influence tumor progression. While LAP of apoptotic cells may participate in anti-inflammatory cytokine secretion in the tumor microenvironment, the cytokines potentially affected by LAP act *in vivo* within highly complex and heterogeneous



tumor microenvironments, where multiple cell types function in a delicate balance, making the actual role of LAP, and the effects of LAP inhibition, difficult to predict. In addition to controlling the degradation of engulfed apoptotic cells, LAP was also recently implicated in facilitating antigen presentation from engulfed material onto MHC class II, in the context of TLR signaling [84, 85], which may also have implications for immunogenic forms of cell death that are associated with the release or exposure of danger-associated molecular patterns (DAMPs) that activate TLRs [86, 87]. Cytokine secretion may also be affected by LAP in this context, where the LAP-dependent fusion of lysosomes to phagosomes harboring DAMPs could engage TLR signaling pathways that control pro-inflammatory cytokine production, as shown recently during antibody-DNA complex-dependent stimulation of TLR9 [24]. Autophagy proteins likely also affect engulfment mechanisms by a variety of LAP-independent mechanisms; for example, the canonical autophagy pathway was previously implicated in exposure of the 'eat-me' signal PS by acting within apoptotic cells to generate ATP [88], and Beclin1 and Atg7 were recently reported to regulate apoptotic corpse engulfment by supporting the activity of Rac1 that is required for phagocytosis [89, 90]. These functions of autophagy proteins are also predicted to contribute to cell engulfment mechanisms that participate in cancer development or therapeutic response.

While some autophagy genes act as tumor suppressors to inhibit tumor formation, there is accumulating evidence that autophagy is also required for tumor progression or therapy resistance after lesions have initiated [91, 92]. The inhibition of autophagy has therefore emerged as a potential therapeutic strategy that may inhibit tumor progression or metastasis, or increase cell death in combination therapies [93, 94]. It is reasonable to assume that most autophagy-inhibiting therapies, at least in the near future, will block canonical autophagy and LAP simultaneously, and inhibition of the canonical functions of autophagy proteins will have profound influence over the effects on tumor growth that are observed upon administration of autophagy pathway inhibitors. The only currently available autophagy pathway inhibitor approved for clinical use is hydroxychloroquine, which does not block autophagy induction but rather inhibits lysosome function. While a block in LAP might be predicted to be pro-inflammatory, chloroquine is a known anti-inflammatory compound, potentially due to stabilization of the glucocorticoid receptor that is normally degraded by lysosomes [95]. Potential therapies aimed at targeting the lipid kinase Vps34 or its binding partner Beclin1 will also inhibit canonical autophagy and LAP, and will likely have other pleiotropic effects including multiple downstream consequences on phagosome maturation and endocytic trafficking [96]. Therefore, while the hypothetical scenarios considered here suggest that LAP may influence tumor progression, and that LAP inhibition may affect therapeutic responses, such effects will occur in concert with many other cellular changes resulting from disruption of the autophagic or endocytic pathways. Another kinase that is critical to the initiation of most, but not all [97], forms of autophagy is Ulk1/2. Potential inhibitors of this kinase would not be predicted to inhibit LAP, which may allow for more direct assessment of the effects of autophagy inhibition on tumor progression or therapeutic intervention. Moreover, Ulk1/2 inhibition may block canonical autophagy while leaving several potentially tumoricidal LAP-related processes, including entosis and perhaps ADCP, intact.

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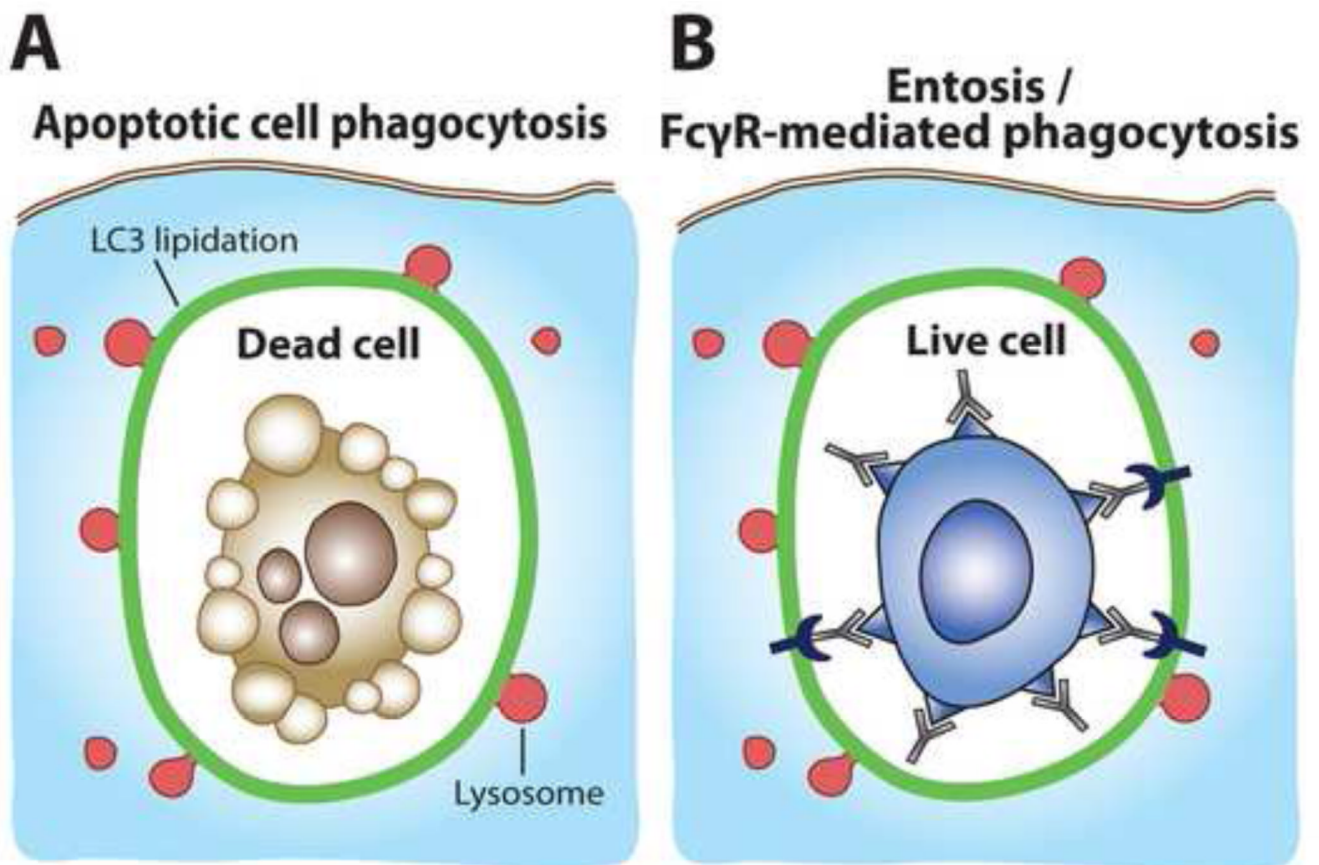
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### **Role of LC3 lipidation to cell-containing vacuoles:**

- Corpse degradation
- Anti-inflammatory response
- Antigen presentation?
- Tumor cell death and degradation
- Cytokine secretion?
- Antigen presentation?

**Figure. Predicted consequences of LC3 lipidation to different cell-containing vacuoles**  
 (A) The lipidation of LC3 (green) to phagosomes (referred to as 'LAP') facilitates lysosome fusion (red). For phagosomes harboring dead or dying cells, LC3 lipidation allows for efficient corpses degradation, and is required for an anti-inflammatory response mediated by secreted cytokines. For immunogenic forms of cell death, LC3 lipidation may also facilitate antigen presentation. (B) Vacuoles harboring viable cells engulfed by the cell cannibalism mechanism entosis also exhibit LC3 lipidation that facilitates lysosome fusion and the death of engulfed cells. Fc R-mediated phagocytosis of live tumor cells could also induce LC3 lipidation, which could facilitate tumor cell killing, modulate cytokine secretion or influence antigen presentation. A viable engulfed tumor cell with antibodies bound to its surface is depicted.