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## Redox Imaging of Human Breast Cancer Core Biopsies:

### A Preliminary Investigation

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### Abstract

**Rationale and Objectives**—The clinical gold standard for breast cancer diagnosis relies on invasive biopsies followed by tissue fixation for subsequent histopathological examination. This process renders the specimens to be much less suitable for biochemical or metabolic analysis. Our previous metabolic imaging data in tumor xenograft models showed that the mitochondrial redox state is a sensitive indicator that can distinguish between normal and tumor tissue. In this study, we investigated whether the same redox imaging technique can be applied to core biopsy samples of human breast cancer and whether the mitochondrial redox state may serve as a novel metabolic biomarker that may be used to distinguish between normal and malignant breast tissue in the clinic. Our long-term objective was to identify novel metabolic imaging biomarkers for breast cancer diagnosis.

**Materials and Methods**—Both normal and cancerous tissue specimens were collected from the cancer-bearing breasts of three patients shortly after surgical resection. Core biopsies and tissue blocks were obtained from tumor and normal adjacent breast tissue, respectively. All specimens were snap-frozen with liquid nitrogen, embedded in chilled mounting medium with flavin adenine dinucleotide and reduced nicotinamide adenine dinucleotide reference standards adjacently placed, and scanned using the Chance redox scanner (ie, cryogenic nicotinamide adenine dinucleotide/oxidized flavoprotein fluorescence imager).

**Results**—Our preliminary data showed cancerous tissues had up to 10-fold higher oxidized flavoprotein signals and had elevated oxidized redox state compared to the normal tissues from the same patient. A high degree of tumor tissue heterogeneity in the redox indices was observed.

**Conclusions**—Our finding suggests that the identified redox imaging indices could differentiate between cancer and noncancer breast tissues without subjecting tissues to fixatives. We propose that this novel redox scanning procedure may assist in tissue diagnosis in freshly procured biopsy samples before tissue fixation.

### Keywords

Mitochondria; oxidized flavoprotein (Fp); NADH; redox state; metabolic biomarker; the Chance redox scanner

Biochemical analysis of cancer core biopsies has little diagnostic value in the clinic so far. In this study, we demonstrated a novel approach using the intrinsic biochemical properties of breast tissues as measured by the Chance redox scanner (named after Dr. Britton Chance) (1–3) to distinguish between normal and cancerous breast tissues using clinical biopsy samples. The Chance redox scanner is a low-temperature *ex vivo* fluorescence imaging system that uses a raster-scanning fiberoptic probe coupled with a pair of rotating filter wheels to select proper excitation and emission light wavelengths to measure the endogenous fluorescence signals of reduced nicotinamide adenine dinucleotide (NADH) and oxidized flavoprotein (Fp) including flavin adenine dinucleotide (FAD) in tissue. With a tissue sample mounted in a liquid nitrogen-filled chamber and a miller to remove top tissues at different depth (*z* axis), the scanner can image the three-dimensional distribution of NADH and Fp with a high spatial resolution down to  $50 \times 50 \times 20 \mu\text{m}^3$ . It requires only a small tissue sample (1 mm in two dimensions) for measurement of tissue mitochondrial redox states.

Redox scanning can become a diagnostic tool. NADH and reduced FAD are reducing equivalents used for the electron transport chain to generate ATP in mitochondria. It has been shown that the redox ratio [Fp/NADH or the normalized form,  $\text{Fp}/(\text{Fp} + \text{NADH})$ ] was a good representation of the tissue redox state and a sensitive indicator of mitochondrial metabolic state (1,4). It was discovered previously that the Fp redox ratio [ie,  $\text{Fp}/(\text{Fp} + \text{NADH})$ ] correlated with the degree of tumor invasiveness in mouse xenografts of human melanoma and breast cancer cell lines (3,5–7). In another study, the premalignant pancreatic tissue in the *PTEN*-null transgenic mouse model was shown to be more oxidized and heterogeneous in the mitochondrial redox state than the normal one (8,9). Redox scanning was also used to image the redox ratio variations in human dysplastic cervical tissues compared to normal controls (10).

Although the redox state of tissues may serve as a promising cancer biomarker, redox scanning has not been fully evaluated as a diagnostic tool for breast cancer. The current gold standard to establish malignant versus benign breast tissue diagnosis requires an invasive biopsy followed by tissue fixation for subsequent histological examination. The tissue fixation renders the tissue less suitable for additional biochemical and metabolic analyses such as redox scanning. Redox scanning requires viable tissue with a preserved metabolic state, whereas histological evaluation often requires tissue fixation resulting in nonviable tissues. These two seemingly incompatible requirements are now met by our redox imaging protocol using snap-frozen biopsy samples, which may be further processed for histological evaluation.

We report the preliminary results on imaging the redox state of the breast biopsies from patients to discriminate between cancer and noncancer tissues. A portion of the data were previously reported in a conference proceeding (11). Our results indicate that this novel redox scanning procedure on clinical specimens may assist in cancer diagnosis in *ex vivo* tissues.

## METHODS

Tissue collection from patients was performed according to a protocol approved by the internal review board of the University of Pennsylvania. Patient 1 was a 40-year-old woman with a 2.3-cm poorly differentiated, grade 3, and stage II invasive ductal carcinoma (IDC), with triple negative (TRN) status for estrogen/progesterone receptors (ER/PR) and human epidermal growth factor receptor 2 (HER2), negative nodes. Patient 2 was a 41-year-old woman with a poorly differentiated, grade 3, and stage II 3.2-cm IDC with *BRCA1* mutation, TRN, and negative nodes. Patient 3 was a 83-year-old woman with a 5.5-cm

invasive lobular carcinoma (ILC) with ER greater than 90%, PR 30%, HER2-negative, and positive nodes (2/12). This patient had locally advanced stage III breast cancer and had been receiving anastrozole (arimidex) for about 8 months.

Both normal and cancerous tissues were collected from the affected breasts. Shortly after completion of surgical resection to remove the breast cancer, two to four biopsy specimens were collected using a 20-gauge core biopsy needle ( $\sim 1 \times 1 \times 5 \text{ mm}^3$ ) from the central portion of the palpable tumor within the surgical specimen. A thin tissue block from normal adjacent breast tissue at the periphery of the surgical specimen was also obtained right after the core biopsy samples were taken. All tissue specimens were then immediately stored in liquid nitrogen until redox scanning was performed.

Breast tissues collected from patient 1 were placed on saline-moistened paper at room temperature and then wrapped with aluminum foil and dipped into liquid nitrogen. The estimated time interval between tumor resection from the body and the specimen snap-freezing was 5 to 10 minutes. The core biopsy specimens from various locations in the surgically removed tumor from patient 1 were dipped into liquid nitrogen temporally in the following order: a, b, d with a time interval of about 30 to 60 seconds between consecutive specimens. The breast tissues from patient 2 were placed on saline-moistened paper surrounded by ice for 5 minutes after the biopsy. The estimated time interval between tumor resection from the body and the specimen snap-freezing was 10 to 15 minutes. Both cancerous and normal tissues were given as a thin tissue block of about  $0.3 \times 2 \times 2 \text{ cm}^3$  for samples from patient 3. The estimated time interval between tumor removal from the patient and snap-freezing was about 5 minutes.

Small portions of the frozen core biopsies were directly embedded in a glycerol/ethanol/water mounting buffer according to the procedure described previously (7,8,12,13). The tissue pieces sliced off from the snap-frozen tissue blocks were embedded in the mounting buffer in such a way that the starting layer for redox scanning was the surface exposed to the air before snap-freeze. NADH and FAD reference standards (NADH  $863 \mu\text{M}$  and FAD  $500 \mu\text{M}$  in Tris pH~7 buffer for all samples except for the control sample of patient 2: NADH  $1150 \mu\text{M}$  and FAD  $750 \mu\text{M}$ ) were placed adjacently to the tissue specimen. Cold mounting buffer slush was added on top of the tissues to secure them.

The embedded samples were first carefully milled by the miller equipped with the redox scanner under liquid nitrogen to expose the tissue surface. The exposed surface was then raster-scanned two-dimensionally with a fiberoptic probe coupled to a pair of time-sharing four-channel filter wheels that include an Fp channel (excitation  $430 \pm 25 \text{ nm}$ , emission  $525 \pm 32 \text{ nm}$ ) and an NADH channel (excitation  $360 \pm 13 \text{ nm}$ , emission  $430 \pm 25 \text{ nm}$ ) (7,12). The typical scanning time for an image matrix of  $64 \times 64$  and step size of  $100 \mu\text{m}$  is about 30 minutes. Two to four sections spacing between 40 and  $120 \mu\text{m}$  (depth range 0 to  $240 \mu\text{m}$ ) were scanned for each core biopsy sample. Two sections with spacing of  $200 \mu\text{m}$  were scanned for each tissue block sample. The Fp and NADH fluorescence signals were collected with a photomultiplier tube, and the data acquisition was controlled with a computer.

A customized MATLAB program was used to construct all images of NADH and Fp and the redox ratios. A region of interest (ROI) was carefully drawn along the tissue boundary to mask out the background, and a signal-to-noise-ratio threshold of 3 was used to remove low-signal pixels within the ROI. Both the NADH and Fp images are displayed as concentrations in the unit of  $\mu\text{mol/L}$  that is calibrated to the fluorescence from the corresponding standards. The Fp redox ratio images are displayed as the concentration-based ratio  $\text{Fp}/(\text{Fp} + \text{NADH})$  in the range of 0 to 1.

Values of the redox indices (Fp, NADH, and the Fp redox ratio) were first averaged for the ROI in each individual section, then averaged across sections of a specimen, and then averaged across tissue specimens from the same patient. The reported group means were then obtained by averaging those means across patients. The reported standard deviations are the variations in the final distribution of means across patients. The statistical significance between the cancerous and normal tissues was first tested by the paired Student *t* test comparing the mean values of the redox indices of the cancerous tissue to those of the normal tissue for each patient and then tested by the univariate analysis of general linear method (IBM SPSS statistics version 20; <http://www-01.ibm.com/software/analytics/spss/>) with tissue depth as a covariate. The univariate analysis results were further confirmed by the multivariate analysis of the general linear method using the same software on the three redox indices together. For multiple tissue samples from patient 1, single-factor analysis of variance was performed to compare the differences among the mean values of three core biopsies from different locations. For each patient, we also calculated the ratio of Fp<sub>tumor</sub> to Fp<sub>normal</sub> (ie, the mean Fp value of the cancerous tissue divided by that of the normal tissue).

## RESULTS AND DISCUSSION

The typical redox images of breast tumor tissue and normal breast tissue are displayed in Figure 1. The top two rows show the redox images of the tumor tissue from patient 1 at different locations. The bottom row contains the redox images of the normal tissue from the same patient. Tissue heterogeneity can be clearly seen in all of the images. We quantified the redox indices using the global averaging method and tabulated the results in Table 1. The first and most notable finding is the high values of oxidized Fps, suggesting that the electron transfer chain to oxygen was operating efficiently before snap-freezing or cells were undergoing starvation or apoptosis. Fp nominal concentration in cancerous tissue ( $652 \pm 93 \mu\text{mol/L}$ ) is significantly higher than that in normal tissue ( $118 \pm 89 \mu\text{mol/L}$ ) with  $P = .027$ . There is no significant difference in NADH between cancerous and normal tissues.

The next noticeable difference is the Fp redox ratio between tumor and normal control tissue bearing a marginal significance. Because the global averaging method largely ignores tissue heterogeneity along tissue depth, we further analyzed the data using the univariate model of the general linear method with tissue depth as a covariate to test the statistical significance. The result showed that the Fp redox ratio of tumor tissue becomes significantly different from the normal control ( $P < .001$ ) (Table 2). The statistical significance of the result was further confirmed by the multivariate analysis. Higher Fp redox ratio indicated that tumor tissue was more oxidized than normal tissue, which is consistent with our previous studies on mouse xenografts (5–7).

The ratios of the mean Fp values between tumor and normal tissues (Fp<sub>tumor</sub>/Fp<sub>normal</sub>) for the three patients are 9.2, 11.1, and 2.7 for patient 1, 2, and 3, respectively. The average of Fp<sub>tumor</sub>/Fp<sub>normal</sub> from the three patients is  $7.7 \pm 4.4$ , varying largely among patients, likely due to the differences in clinical characteristics and treatment history of each patient. Patients 1 and 2 both were diagnosed with IDC that did not express ER, PR, or HER2-neu receptors (ie, TRN) and did not receive neoadjuvant therapy. Both tumors had much higher ratios of Fp<sub>tumor</sub>/Fp<sub>normal</sub> (almost 10-fold) than that of patient 3, who was diagnosed with a locally advanced ILC that was ER positive and had been treated with an aromatase inhibitor, anastrozole, for 8 months before her surgery. Whether the ratio Fp<sub>tumor</sub>/Fp<sub>normal</sub> may correlate with clinical characteristics of breast cancer such as breast cancer subtype or other prognostic factors warrants further investigation using a larger sample size.

Despite our limited sample size and heterogeneous tumor characteristics, we observed that the Fp level was strikingly higher in cancerous tissues (up to 10-fold higher) and cannot be

fully accounted for by the slightly higher oxygen diffusion coefficient in tumor tissue than that in normal tissue (14–18). The tissue-penetration distance of oxygen via diffusion ranges from 800 to 1100  $\mu\text{m}$  for a time window of 5 to 10 minutes assuming a diffusion constant  $D$  of  $10^{-5} \text{ cm}^2/\text{s}$  and the formula of distance  $= \sqrt{2Dt}$  for 1D diffusion along the  $z$  axis, where  $t$  is the diffusion time. The reported redox scanning data were from the top layers of both the tissue blocks within about 800  $\mu\text{m}$  and the core biopsy samples within about 500  $\mu\text{m}$ . Thus, it is reasonable to assume that the reoxygenation due to oxygen diffusion should be similar for both normal and cancerous tissue samples.

Furthermore, for each patient the normal tissue was harvested immediately after the procurement of cancer specimens. It is reasonable to assume that both the cancerous and normal tissues from the same patient underwent a similar temporal process before snap-freezing. The ratio  $F_{\text{ptumor}}/F_{\text{pnormal}}$  from the same patient's breast thus may cancel out or largely reduce the influence of the differences in the sample's lag time before snap-freezing among the three patients. Therefore, the ratio  $F_{\text{ptumor}}/F_{\text{pnormal}}$  presumably reflects some intrinsic biological characteristics of each individual breast tumor.

It is well known that cancer tissue is often heterogeneous in cellular composition, function, and metabolism. Apart from the intrasample heterogeneity as shown by the redox images in Figure 1, we also identified intersample heterogeneity among several samples obtained from patient 1. Table 3 summarizes the redox indices of tumor core biopsies obtained by core needle at different locations from patient 1. The highly significant difference in the redox indices is readily recognized. The increase of all the redox indices (NADH and Fp concentrations and the Fp redox ratio) corresponds with the temporal order of the sample snap-freezing a b d. But this cannot be explained completely by the increased reoxygenation due to delayed snap-freeze and longer air exposure, which should result in increasing Fp and decreasing NADH. Therefore, the redox signal heterogeneity observed may partially reflect the intrinsic tumor heterogeneity in metabolism.

Further investigation is warranted to evaluate the contribution of the intrinsic biological difference among tissues, the air-exposure difference or other factors in sample handling procedures in affecting the marked differences in the redox indices between the normal and cancerous tissues among the three patients and within the same patient (as noted in patient 1). We will standardize the sample collection procedure to minimize its possible contribution to the redox indices in the future and perform redox scanning on more samples to confirm the results presented here.

## CONCLUSIONS

The mitochondrial redox states of human breast cancer tissue samples measured fluorometrically with the Chance redox scanner showed marked differences between the cancerous and normal tissues. The breast cancer tissue exhibited significantly higher signals of oxidized flavoproteins and more oxidized redox state than the normal tissues. The redox scanning results clearly indicated that the redox state in tumor tissues is heterogeneous. We propose that this novel redox scanning procedure may be used as a metabolic and complementary cancer diagnosis tool in freshly procured tissues such as those obtained at the time of percutaneous core needle biopsy for diagnostic purposes in the clinical setting. Redox imaging of breast biopsies may open up new avenues to explore the diagnostic and prognostic value of the redox state of cancer tissues.

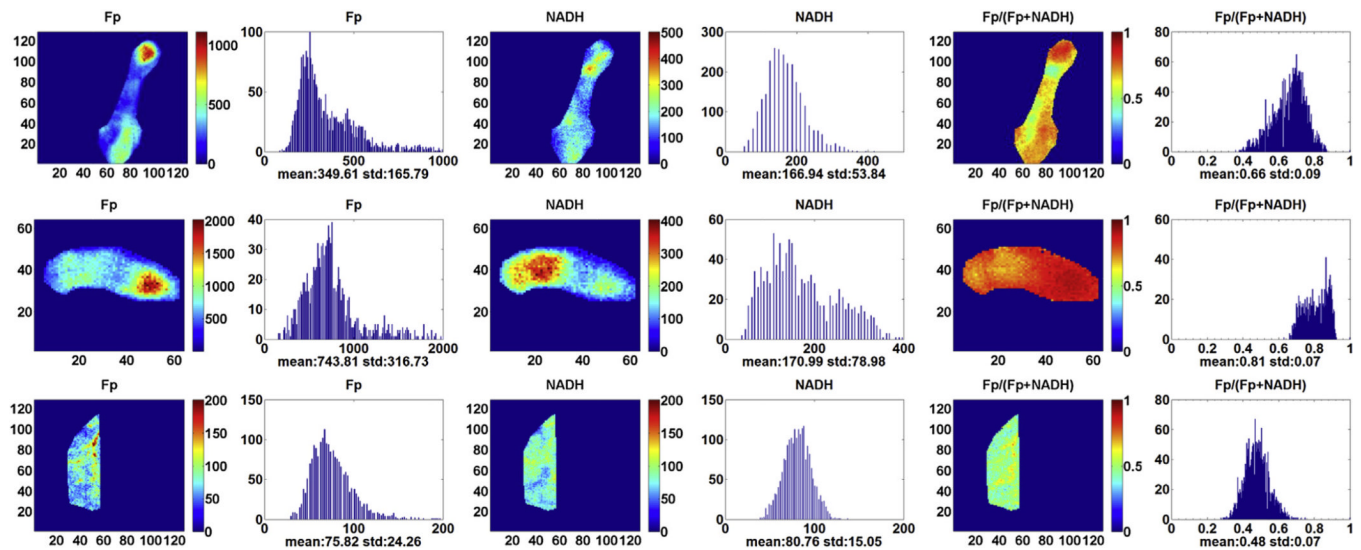
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**Figure 1.**

Typical redox images of breast tumor tissue at different locations of patient 1 (top two rows, image matrix  $128 \times 128$  and  $64 \times 64$ , resolution  $40 \mu\text{m}$ ) and normal breast tissue of the same patient (bottom row, image matrix  $128 \times 128$ , resolution  $100 \mu\text{m}$ ). The redox ratio ranges between 0 and 1; the flavoproteins (Fp) or reduced nicotinamide adenine dinucleotide (NADH) images are in the unit of  $\mu\text{mol/L}$  in reference to the corresponding standards. The  $x$  axes of the corresponding histograms represent the redox ratio or concentration. The  $y$  axes represent the number of pixels in the tissue region of interest having a specific value of redox ratio or concentration.



**TABLE 1**

Values of the Redox Indices of the Tumor and Normal (Control) Breast Tissues from Three Patients Analyzed by the Global Averaging Method

Type	Fp, μmol/L	NADH, μmol/L	Fp Redox Ratio
Control ( <i>n</i> = 3)	118 ± 89	138 ± 45	0.40 ± 0.09
Tumor ( <i>n</i> = 3)	652 ± 93	382 ± 283	0.61 ± 0.10
<i>P</i> (paired <i>t</i> test)	.027	.25	.071

Fp, flavoproteins; NADH, reduced nicotinamide adenine dinucleotide.

**TABLE 2**

Values of the Redox Indices of the Tumor and Normal (Control) Breast Tissues from Three Patients Analyzed by the General Linear Method Univariate Analysis

Type	Fp, $\mu\text{mol/L}$	NADH, $\mu\text{mol/L}$	Fp Redox Ratio
Control (6 sections)	118 $\pm$ 85	138 $\pm$ 42	0.40 $\pm$ 0.08
Tumor (14 sections)	620 $\pm$ 428	251 $\pm$ 210	0.67 $\pm$ 0.12
<i>P</i>	.015	.20	1.72E-04

Fp, flavoproteins; NADH, reduced nicotinamide adenine dinucleotide.

**TABLE 3**

The Redox Indices of the Cancerous Core Biopsies Taken from Different Locations of Patient 1

Tissue Location	Fp Redox Ratio	NADH, $\mu\text{mol/L}$	Fp, $\mu\text{mol/L}$
a (2 sections)	$0.54 \pm 0.01$	$45 \pm 13$	$57 \pm 20$
b (4 sections)	$0.69 \pm 0.03$	$139 \pm 28$	$346 \pm 38$
d (5 sections)	$0.82 \pm 0.03$	$221 \pm 38$	$1115 \pm 344$
P*	.00001	.0004	.0017

Fp, flavoproteins; NADH, reduced nicotinamide adenine dinucleotide.

\* Single-factor analysis of variance was used to show the statistical difference between locations.