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## Immunohistochemical Expression of Hormone Receptors in Melanoma of Pregnant Women, Non-pregnant Women and Men

Jane H. Zhou, MD<sup>1</sup>, Kevin B. Kim, MD<sup>2</sup>, Jeffrey N. Myers, MD, PhD<sup>3</sup>, Patricia S. Fox, MS<sup>4</sup>, Jing Ning, PhD<sup>4</sup>, Roland L. Bassett, MS<sup>4</sup>, Hassan Hasanein, MD, and Victor G. Prieto, MD, PhD<sup>1</sup>

<sup>1</sup>Department of Pathology, the University of Texas MD Anderson Cancer Center

<sup>2</sup>Department of Melanoma Medical Oncology, the University of Texas MD Anderson Cancer Center

<sup>3</sup>Department of Head and Neck Surgery, the University of Texas MD Anderson Cancer Center

<sup>4</sup>Department of Biostatistics, the University of Texas MD Anderson Cancer Center

### Abstract

The survival advantage of women over men with cutaneous melanoma and the reports of accelerated progression of melanoma during pregnancy have led to studies of the effect of hormones and hormone receptors on the development and progression of melanoma. However, the results are inconclusive. We therefore evaluated the expression of estrogen receptor  $\alpha$ , estrogen receptor  $\beta$ , and androgen receptor in melanomas of stage- and age-matched pregnant women, non-pregnant women, and men by immunohistochemical analysis of formalin-fixed, paraffin-embedded archival tissues. In addition, we also assessed the mitotic rate using the anti-phosphohistone H3 antibody by immunohistochemistry. Our data showed a trend of more frequent expression of estrogen receptor  $\beta$  in the melanomas of pregnant patients than in the melanomas of male patients, without a significant difference observed between pregnant and non-pregnant women. However, no association between the expression of estrogen receptor  $\beta$  and survival was observed. The small cohort may have limited the statistical power of the study, and larger scale studies are needed to elucidate the potential role of estrogen receptor  $\beta$  as a prognostic marker of melanoma.

### Keywords

estrogen receptor; androgen receptor; melanoma; pregnancy; pHH3

### Introduction

Cutaneous melanoma, one of the most frequently encountered malignancies in pregnant women, accounts for 25% of all cancers diagnosed during pregnancy (1) and is diagnosed up to 1 in 1000 gestations (2). The incidence of melanoma during pregnancy is expected to rise

**Correspondence:** Victor G. Prieto, MD, PhD Department of Pathology The University of Texas MD Anderson Cancer Center 1515 Holcombe Blvd., Houston, TX 77030 Telephone: 713-792-3187; Fax: 713-745-3740 vprieto@mdanderson.org.

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due to the increased incidence of melanoma in the general population and the relatively high incidence of melanoma in women of child-bearing age (Figure 1, Surveillance Epidemiology and End Results data). Although most agree that women have a significant survival advantage over men in cutaneous melanoma(3), the effects of pregnancy, sex hormones, and hormone receptors on the survival of melanoma patients are still controversial (4, 5). di Giorgi et al. showed that melanoma expressed detectable estrogen receptor- $\alpha$  (ER $\alpha$ ) and estrogen receptor- $\beta$  (ER $\beta$ ) mRNA by reverse transcriptase polymerase chain reaction (6). They also demonstrated that ER $\beta$  protein was expressed in melanomas using immunohistochemical analysis. However, no study has been performed to compare the immunohistochemical expressions of estrogen and androgen receptors in the melanomas of pregnant women, non-pregnant women, and men. Therefore, we conducted this retrospective study to assess the expression of hormone receptors in the melanomas of stage- and age-matched pregnant women, non-pregnant women, and men. We hypothesized that the melanomas of pregnant women have a higher prevalence of expression of hormone receptors than those of non-pregnant women and men, and we assessed the possible role of hormone receptor expression as a prognostic marker. In addition, we evaluated the tumor mitotic rate in stage III and IV melanomas by immunohistochemical analysis using anti-phospho-histone H3 (pHH3) antibody and assessed its association with prognosis.

## Materials and Methods

This study was approved with waived informed consent by the Institutional Review Board of The University of Texas MD Anderson Cancer Center. The electronic medical records of the patients included in the study were reviewed to record relevant data (age, race, pregnancy status, gestational age, tumor site, tumor type, Breslow thickness of tumor, ulceration, primary tumor vs. metastasis, disease stage, metastatic site, treatment received, date of initial diagnosis, date of disease progression, date of last follow-up, date of death).

### The Pregnant Women Study Patients

We identified 41 women who were diagnosed with melanoma during pregnancy or within 6 months after delivery from January 1996 to December 2011 via database searches at the Departments of Melanoma Medical Oncology and Pathology at MD Anderson Cancer Center (MDACC). Paraffin-embedded tissue-blocks were available for 20 patients. Two of the 20 patients were excluded because their primary melanoma was from a non-cutaneous site. The remaining 18 patients were included in the study group.

### The Non-pregnant Women and Men Control Patients

Using the search criteria “metastatic melanoma, age range 20–45 years, from January 1996 to December 2011,” in the database of the Department of Pathology at MDACC we identified 18 women who were not pregnant at the time of or within 1 year of diagnosis and 18 men as the control patients. These non-pregnant women and men were stage- and age-matched to the pregnant study patients. For the patients with stage III or IV disease, the first order of match was the clinical stage. The metastatic site in patients with stage IV disease was also matched. The second order of match was the Breslow thickness of the tumor by the pT stage of the 7<sup>th</sup> edition of the AJCC staging manual. Additional database searches were performed to identify cases that matched the patients who had stage I disease, in which the Breslow thickness of the tumor was matched as close to that of the study patients as possible.

### Immunohistochemistry

The appropriate formalin-fixed paraffin-embedded tissue blocks were obtained after reviewing the hematoxylin and eosin stained slides. The immunohistochemical reaction was

performed using a BOND MAX automated system (Leica Microsystems, Buffalo Grove, IL) according to the manufacturer's protocol. Briefly, 4- $\mu$ m tissue sections were dewaxed, washed, and incubated with antibodies against ER $\alpha$  (clone 6F11, Novocastra, Newcastle Upon Tyne/UK; dilution 1:35), ER $\beta$  (clone EMR02, Leica Microsystems, Buffalo Grove, IL; dilution 1:100), Androgen Receptor (clone AR441, Dako, Carpinteria, CA; dilution 1:30), and pHH3 (Upstate Millipore, Temecula, CA; dilution 1:400) at room temperature for 15 minutes. The slides then were treated with Epitope Retrieval (Leica Microsystems) in citrate buffer for 5 minutes after washing. Endogenous peroxidase activity was blocked by Peroxide Block (Leica Microsystems) for 5 minutes. After washing, the sections were incubated with Post Primary immunoglobulin G linker reagent (polymer enhancer; Leica Microsystems) for 8 minutes and then incubated with Poly-Horseradish Peroxidase immunoglobulin G polymer (Leica Microsystems) for 8 minutes after washing. The immunoreaction was visualized using 3, 3'-diaminobenzidine and hematoxylin counterstaining. Appropriate positive and negative controls for each antibody were performed simultaneously with the samples.

### Hormone Receptor Expression and Mitotic Rate

We defined the positive expression of hormone receptors as 10% or more of the tumor cells having positive nuclear staining (Fig. 2). The expression was recorded as both binary and continuous (percent positive) variables. The mitotic rate was defined as the number of pHH3-positive tumor cell nuclei per square millimeter (mm<sup>2</sup>), corresponding to 4.5 consecutive fields at 40 $\times$  magnification on an Olympus BX41 microscope. The consecutive fields were determined by scanning the slides to find the "hotspot" area with the highest numbers of pHH3-positive tumor cell nuclei as the first field to start the counting.

### Evaluation of the Immunohistochemical Expression

The immunohistochemically stained slides were examined by two pathologists (JHZ and VGP) using the definition above for the expression of hormone receptors. The pHH3 counts were performed together by the two pathologists with a double-headed microscope and the results were scored as a consensus between the two pathologists.

### Follow-Up and Survival

The follow-up time was calculated from the date each specimen was collected at MDACC until the patient's death or the last follow-up at MDACC through the end of July 2012. The survival time was calculated from the date each specimen was collected at MDACC until the patient's death or the last follow-up at MDACC through the end of July 2012 using the Kaplan-Meier method taking into account censoring.

### Statistical Methods

McNemar's exact test was used to assess the differences in hormone receptor expression between pregnant patients and non-pregnant control patients and male control patients. Fisher's exact and Wilcoxon rank-sum tests were used to assess the association of hormone receptor expression with Breslow thickness of tumor, primary tumor site, primary tumor or metastasis, and disease stage. Kaplan-Meier survival curves were used to estimate survival, and the log-rank test was used to assess the differences in survival between the pregnant group and the two control groups. Fixed effects Cox regression was performed to assess the expression of ER $\beta$  on overall survival taking into account matching. The Kruskal-Wallis test was used to evaluate the differences in follow-up and survival times among the three patient groups. Spearman's rank-order correlation was used to assess the association of pHH3 with Breslow thickness and ER $\beta$  expression. A *p* value <0.05 was considered statistically

significant. All statistical analyses were performed using SAS 9.2 for Windows (SAS Institute Inc., Cary, NC).

## Results

### Patient and Tumor Characteristics

Table 1 summarizes patient and tumor characteristics. The median and range of age for the pregnant patients, the non-pregnant women patients and the male patients were 30/21-44, 31/20-43 and 30/26-43 years old, respectively. There were 3 stage I, 3 stage III and 12 stage IV patients in each group.

### Hormone Receptor Expression

The results of the immunohistochemical analyses are summarized in Table 2. Only two cases expressed ER $\alpha$ . One was from a pregnant patient, and the other was from a male control patient. Both patients had acral lentiginous type melanoma of the toe. Of 22 cases that expressed ER $\beta$ , 10 (56%) were from pregnant patients, 7 (39%) were from non-pregnant female control patients, and 5 (29%) were from male control patients. The percentage of ER $\beta$ -positive cells ranged from 30% to more than 90%. A trend of more frequent ER $\beta$  expression was observed in pregnant patients than in male patients ( $p=0.07$ ). No significant difference of ER $\beta$  expression was observed between pregnant and non-pregnant female patients ( $p=0.54$ ). ER $\beta$  expression was not associated with Breslow thickness of tumor ( $p=0.51$ ), primary tumor site ( $p=0.94$ ), primary tumor or metastasis ( $p=0.40$ ), or disease stage at diagnosis ( $p=0.79$ ). ER $\beta$  expression did not correlate with the survival time from the dates the specimens were collected (hazard ratio, 1.215; 95% confidence interval for hazard ratio, 0.472-3.131;  $p=0.69$ ).

None of the cases expressed androgen receptor.

### Mitotic rate by pHH3

The mitotic rate by pHH3 labeling ranged from 1 to 42/mm<sup>2</sup> (median 9.5/mm<sup>2</sup>) for the pregnant patients, 0 to 18/mm<sup>2</sup> (median 11/mm<sup>2</sup>) for the non-pregnant female control patients, and 1 to 42/mm<sup>2</sup> (median 10/mm<sup>2</sup>) for the male control patients. The pHH3 count was significantly higher in stage IV tumors than in stage I or III tumors ( $p=0.0001$ ) and was significantly higher in metastatic tumors than in primary tumors ( $p=0.0003$ ). However, the pHH3 count was not associated with the survival time ( $p=0.09$ ). PHH3 count was not significantly associated with Breslow thickness of tumor ( $p=0.09$ ) or primary tumor site ( $p=0.34$ ). No association between pHH3 count and ER $\beta$  expression was observed ( $p=0.53$ ).

### Follow-Up

From the dates the specimens were collected at MDACC, the median follow-up times for the pregnant patients, non-pregnant female control patients, and male control patients were 15.8 months (range, 3.8–96.5 months), 28.5 months (range, 3.7–126 months), and 25.8 months (range, 0.03–99.1 months), respectively (Table 2). The differences in the follow-up times among the three groups were not statistically significant ( $p=0.46$ ).

### Survival Time

From the dates the specimens were collected at MDACC, the median survival time for the pregnant patients, non-pregnant female control patients, and male control patients were 37.6 months (range, 3.8–96.5 months), 28.8 months (range, 3.7–126 months), and 27.7 months (range, 0.03–99.1 months), respectively. The difference in survival time among the three groups was not statistically significant ( $p=0.87$ ). The survival time subset only to the stage

IV patients for the pregnant patients, the non-pregnant female control patients, and the male control patients were 13.6 months (range, 3.9–96.3 months), 22.5 months (range, 3.7–97.4 months) and 11 months (range, 0.03–74.2 months), respectively (Table 2). The difference in the survival times among the three groups was not statistically significant ( $p=0.76$ ) (Figure 3).

### Treatment Received

All the patients with primary tumors in both the study and control groups underwent wide local excision and sentinel lymph node dissection after biopsy confirmation of melanoma. When the sentinel lymph node was positive for melanoma, regional lymphadenectomy was performed and systemic staging procedures were carried out. Patients with stage I disease were monitored without adjuvant therapy. A total of 5 patients with stage III disease (2 pregnant patients, 2 male control patients, and 1 female control patient) refused adjuvant therapy. Two patients with stage IV disease (both in the male control group) refused adjuvant therapy. The remaining patients received at least one type of adjuvant therapy, including interferon, biochemotherapy, chemotherapy alone, chemotherapy plus radiation therapy, bio-immunotherapy, anti-CTLA4, granulocyte macrophage colony-stimulating factor, or tumor-infiltrating lymphocytes and interleukin-2.

One patient received BRAF inhibitor, and another patient received BRAF plus MEK inhibitors.

### Discussion

Although our study did not reveal a statistically significant difference in ER $\beta$  expression, a trend in our findings indicated that ER $\beta$  was more frequently expressed in the melanomas of pregnant women than in men, but no difference in ER $\beta$  expression was found between pregnant and non-pregnant women. These findings warrant further study. To our knowledge, this is the first study to evaluate hormone receptor expression in the melanomas of stage- and age-matched patients of pregnant women, non-pregnant women, and men.

Both ER $\alpha$  and ER $\beta$  proteins are expressed in the normal skin (7). However, previous studies showed that ER $\beta$  protein is frequently expressed in cutaneous melanoma, whereas ER $\alpha$  protein is rarely expressed (7, 8). Our findings confirm this observation. Studies (9-11) of estrogen-sensitive cancers such as breast and ovarian cancers showed that loss or decreasing levels of ER $\beta$  or increased ER $\alpha$  to ER $\beta$  ratio may be involved in carcinogenesis, thereby suggesting that ER $\beta$  has a tumor-suppressive function. Studies of estrogen receptors in prostate and colon cancers yielded similar results (12-15). Omoto et al. (16) performed a survival analysis of patients with invasive breast cancer and found that 5-year disease-free survival was longer in patients with ER $\beta$ -positive tumors than in those with ER $\beta$ -negative tumors. We speculate that ER $\beta$  might explain the generally favorable prognosis of melanoma in women, although we could not statistically confirm this possibility based on our data. This could potentially be due to the small cohort, which probably limited the statistical power of the study. Fuqua et al. (17) studied ER $\beta$  expression by immunohistochemical analysis in 242 breast cancer patients and found that ER $\beta$  expression was not associated with clinical and biological parameters but correlated with aneuploidy, thereby suggesting that ER $\beta$  expression could be an independent prognostic marker. Likewise, we did not find any association of ER $\beta$  expression with clinical or pathologic parameters such as Breslow thickness, mitotic rate as assessed by pHH3 immunohistochemical analysis, tumor site, and primary or metastatic tumors. Schmidt et al. (8) evaluated the immunohistochemical expression of ER $\alpha$  and ER $\beta$  in various cutaneous melanocytic lesions and found that ER $\beta$  expression was most prominent in lesions close to the epidermis, such as severely dysplastic nevus or melanoma in situ, and that the expression

diminished in deeper and thicker lesions, thereby suggesting that ER $\beta$  may serve as a prognostic marker in melanoma. Studies by di Giorgi et al (6) on ER $\beta$  in cutaneous melanoma revealed similar findings. We were not able to confirm these findings, probably because of the relative predominance of metastatic tumors in our study.

Reports of the development and accelerated progression of melanoma in pregnancy have led to several retrospective case-control studies of the effect of pregnancy on melanoma survival. Some reported poorer outcome when melanoma developed during pregnancy (18-20), whereas others showed that pregnancy did not significantly affect survival in patients with stage I or II disease (21-25). However, no such studies have included patients with stage III or IV disease. Most of our patients had stage IV disease, and our data did not show any significant difference in survival between the pregnant patients and the non-pregnant female and male control patients.

Little is known on the expression of androgen receptor in human melanoma. Briton et al. (26) assessed cancer risk among infertile women with androgen excess disorders and found that the standardized incidence ratio was statistically significant for breast cancer, uterine cancer, and melanoma (standardized incidence ratio, 1.96; 95% CI, 1.12-3.18). Apolipoprotein D, an androgen-regulated protein, has been found in cutaneous melanoma (27). An animal study by Hsueh et al. (28) showed that androgen blockade enhanced the response to melanoma vaccine in male mice. Richardson et al. (29) found that 17 $\beta$  estradiol and estrone inhibited invasion and dehydroepiandrosterone enhanced invasion in melanoma cell lines. The same authors also found that the serum estrogen/androgen index was decreased in female patients with stage IV disease, although this finding was not statistically significant. We did not find any expression of androgen receptor in the specimens included in our study.

Mitotic rate has been found to be a prognostic factor in primary cutaneous melanoma (30-34). The conventional method of counting the mitotic rate on slides stained with hematoxylin and eosin is time consuming and could demonstrate considerable intra- and inter-observer variations. pHH3 is produced by the phosphorylation of Histone 3 during mitotic chromatin condensation in the late G2 and M phases of the cell cycle. pHH3 immunohistochemical staining has been shown to be both sensitive and highly specific for the detection of mitosis since it does not label apoptotic cells (35-37). Therefore, assessing pHH3 nuclear staining of the tumor cells is more efficient and reliable than the conventional method (38, 39). Furthermore, pHH3 staining has been reported to be very useful in facilitating objective grading in meningiomas (40) and astrocytomas (41), in differentiating melanoma from nevi (42), and in evaluating thin melanomas (43). Recently, Ladstein et al. (44) reported that the pHH3 count is a stronger prognostic indicator than the mitotic rate as assessed by hematoxylin and eosin staining for disease-specific survival in nodular melanomas with a Breslow thickness of 0.7-44.0 mm. Our data indicate that the pHH3 count is significantly higher in patients with stage IV disease and in metastatic tumors than in stage I or III disease or primary tumors. However, we did not discern any association of pHH3 with the survival time, which was most likely attributable to the relatively small cohort.

In summary, we observed a trend that ER $\beta$  was more frequently expressed in the melanomas of pregnant women than in those of men, but not in those of non-pregnant women. The small cohort may have limited the statistical power of the study. Larger scale studies are needed to elucidate the potential role of ER $\beta$  as a prognostic marker of melanoma.

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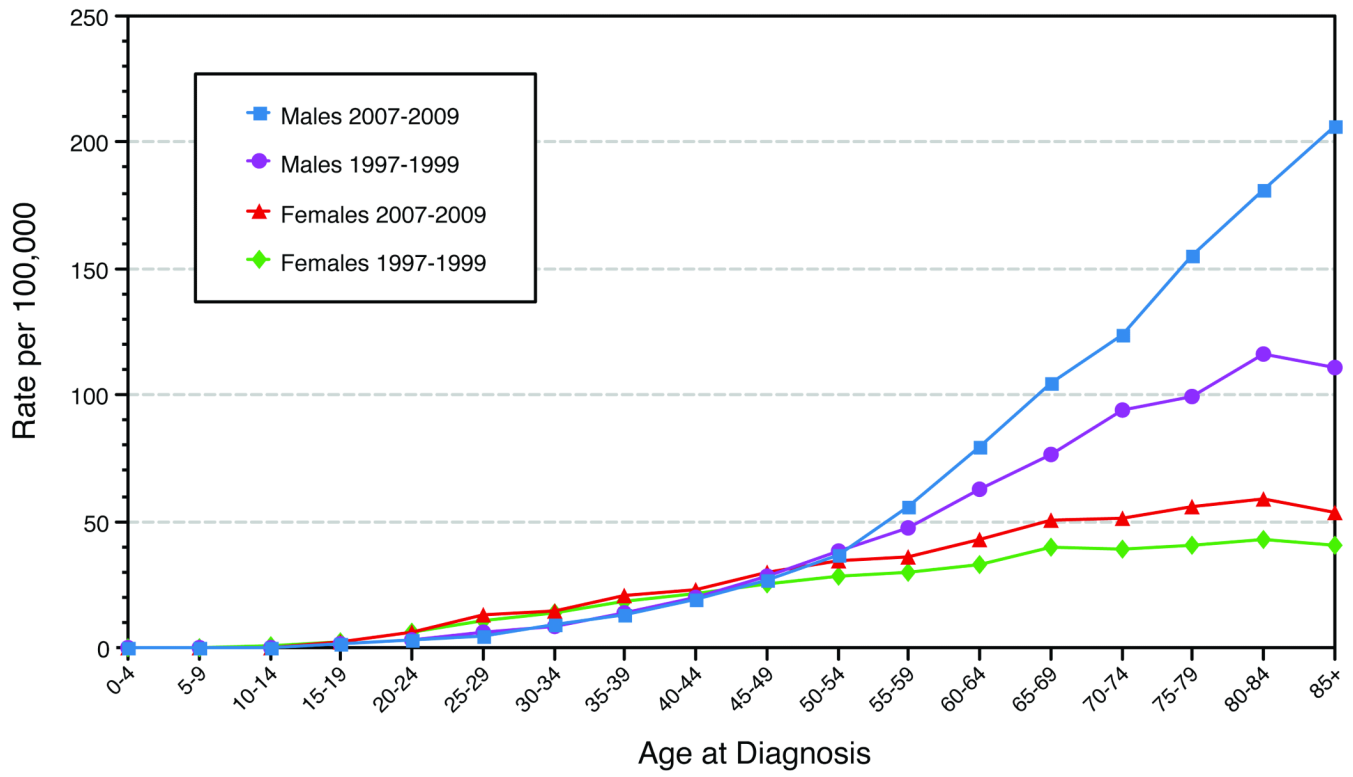
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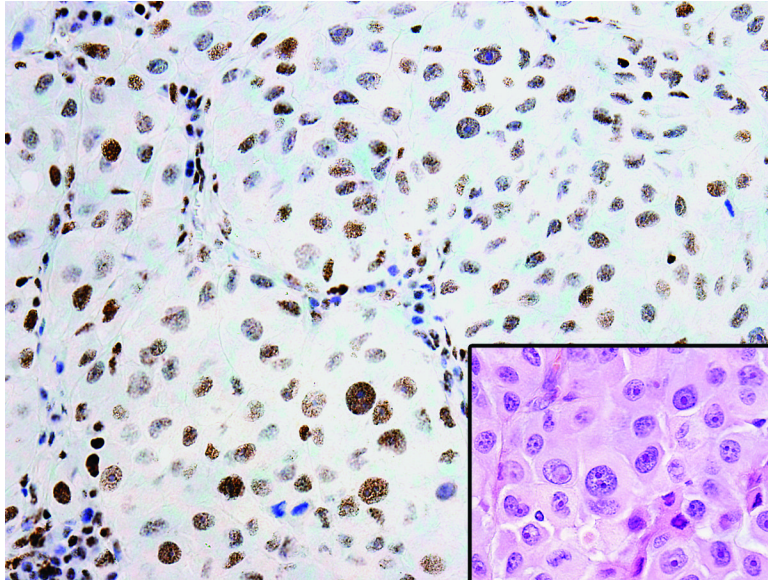
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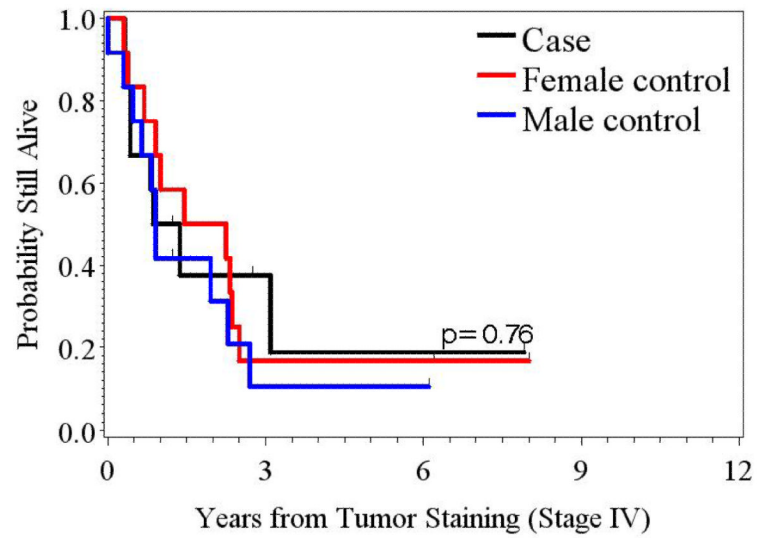
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**Figure 1.** Surveillance Epidemiology and End Results incidence of cutaneous melanoma by age and sex 1997-1999 vs. 2007-2009.



**Figure 2.** Positive ER $\beta$  expression by immunohistochemical nuclear staining of ER $\beta$  antibody (20 $\times$  magnification). **Inset:** The corresponding section of the tumor stained with hematoxylin and eosin (20 $\times$  magnification).



**Figure 3.** Kaplan-Meier survival curve demonstrates no difference in survival in patients with stage IV disease among the pregnant group, the non-pregnant female control group, and the male control group.

**Table 1**

## Summary of Patient and Tumor Characteristics

	Pregnant Patients (n=18)	Non-Pregnant Control Patients (n=18)	Male Control Patients (n=18)
Age (year)			
Median/Range	30/21-44	31/20-43	30/26-43
Primary tumor site			
Head/Neck	3	5	3
Trunk	4	2	6
Extremities	7	7	7
Unknown	4	4	2
Breslow thickness (mm)			
Median/Range	1.63/0.4-16	2.0/0.48-12	3.75/0.65-22
pHH3 count/mm <sup>2</sup>			
Median/Range	9.5/1-42	11/0-18	10/1-42
Ulceration			
Yes	3	3	6
No	10	7	7
Not available for review	1	4	3
Clinical stage			
I	3	3	3
II	0	0	0
III	3	3	3
IV	12	12	12

**Table 2**

## Hormone Receptor Expression, Follow-Up, and Survival Times

	Pregnant Patients (n=18)	Non-Pregnant Control Patients (n=18)	Male Control Patients (n=18)
ER $\alpha$	Positive 1 Negative 17	Positive 0 Negative 18	Positive 1 Negative 17
ER $\beta$	Positive 10 Negative 8	Positive 7 Negative 11	Positive 5 Negative 12
AR	Positive 0 Negative 18	Positive 0 Negative 18	Positive 0 Negative 18
Follow-up			
Died of disease	8	6	5
Died, unknown cause	0	4	6
Alive	10	6	4
Lost	0	2	3
Survival time (months) Median/Range	37.6/3.8-96.5	28.8/3.7-126	27.7/0-99.1
Survival time subset to Stage IV disease (months) Median/Range	13.6/3.9-96.3	22.5/3.7-97.4	11/0-74.2

Note: ER $\alpha$  = estrogen receptor  $\alpha$ ; ER $\beta$  = estrogen receptor  $\beta$ ; AR = androgen receptor.