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## Physical Exercise is Associated with Less Neurocognitive Impairment Among HIV-Infected Adults

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### Abstract

Neurocognitive impairment (NCI) remains prevalent in HIV-infection. Randomized trials have shown that physical exercise improves NCI in non HIV-infected adults, but data on HIV-infected populations is limited. Community-dwelling HIV-infected participants ( $n=335$ ) completed a comprehensive neurocognitive battery that was utilized to define both global and domain-specific NCI. Participants were divided into “Exercise” ( $n=83$ ) and “No Exercise” ( $n=252$ ) groups based on whether they self-reported engaging in any activity that increased heart rate in the last 72 hours or not. We also measured and evaluated a series of potential confounding factors, including demographics, HIV-disease characteristics, substance use and psychiatric comorbidities, and physical functioning. Lower rates of global NCI were observed among the Exercise group (15.7%) as compared to those in the No Exercise group (31.0%;  $p<.01$ ). A multivariable logistic regression controlling for potential confounds (i.e., education, AIDS status, current CD4+ lymphocyte count, self-reported physical function, current depression) showed that being in the Exercise group remained significantly associated with lower global NCI (OR=2.63,  $p<.05$ ). Similar models of domain-specific NCI showed that Exercise was associated with reduced impairment in working memory ( $p<.05$ ) and speed of information processing ( $p<.05$ ). The present findings suggest that HIV-infected adults who exercise are approximately half as likely to show NCI as compared to those who do not. Future longitudinal studies might be best suited to address causality and intervention trials in HIV-infected individuals will determine whether exercise can prevent or ameliorate NCI in this population.

### Keywords

HIV/AIDS; Mild Neurocognitive Impairment; Physical Exercise; Cognition; Lifestyle; Neuropsychology

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## Background

Neurocognitive impairment (NCI) continues to be highly prevalent among persons living with Human Immunodeficiency Virus (HIV) even with significant advances in antiretroviral treatment (ART). A recent study of 1,555 community dwelling HIV-infected (HIV+) adults showed that nearly 50% had some form of HIV-Associated Neurocognitive Disorder (HAND; Heaton et al. 2010). HAND ranges from asymptomatic neurocognitive impairment to more pronounced deficits that interfere with daily functioning, such as problems with financial management, driving, and medication adherence (Antinori et al. 2007; Heaton et al. 2004; Marcotte et al. 1999; Thames et al. 2012). Given the prevalence and impact of NCI on HIV+ individuals, it is important to find strategies to reduce HAND. Modifiable lifestyle factors (e.g., physical activity, education, social engagement, cognitive stimulation, diet) may provide a fruitful target for intervention. A growing body of literature indicates that physical activity may be of particular relevance in reducing HAND (Fillipas et al. 2006; Honn et al. 1999; O'Brien et al. 2010).

There is ample evidence showing that exercise may improve neurocognitive function in those not infected with HIV (Colcombe and Kramer 2003; Heyn et al. 2004). Heyn and colleagues examined 30 randomized trials involving any type of exercise with older non-HIV infected (HIV-) individuals with cognitive deficits (2004). Participants in these trials had baseline mini-mental state exam scores lower than 26 or a diagnosis of cognitive impairment or dementia. They found that increased exercise consistently improved not only physical fitness and function, but also cognitive performance, typically with moderate to large effect sizes. Additionally, Colcombe and Kramer examined 18 longitudinal supervised exercise interventions in older adults generally characterized as normal and found that exercise interventions increased cognitive performance on tasks of executive function, processing speed, and visuospatial abilities (2003).

The mechanisms underlying the association between exercise and neurocognitive function are likely to be multifactorial. However, the positive effect of exercise on cardiovascular fitness and reduced cerebrovascular disease is well established and are thought to be mediators in the relationship between exercise and cognition. Cerebrovascular disease and risk factors are prominent in HIV+ adults and have been associated with HAND (Fabbiani et al. 2012; Foley et al. 2010; McCutchan et al. 2012; Wright et al. 2010). Moreover, ART has negative side effects including cerebrovascular changes (Aboud et al. 2007; Grinspoon and Carr 2005). Among those with HIV, exercise has been found to be a safe and effective method to reduce the impact of cerebrovascular risk factors, increase general fitness, elevate well-being, and improve body image (Hand et al. 2009; O'Brien et al. 2010). Specifically, O'Brien and colleagues examined 14 randomized controlled exercise trials in HIV+ individuals, including at least 20 minutes of aerobic exercise three times per week (2010). They found that exercise caused improvements in body composition, lowered BMI, reduced waist circumference, and improved lipid levels. Neurocognitive functioning was not examined.

Only a few studies have directly examined the relationship between exercise and NCI among HIV+ adults (e.g., Fillipas et al. 2006; Honn et al. 1999). Honn and colleagues examined the association between self-reported exercise (at the time of the study and prior to HIV diagnosis) and performance on a comprehensive neurocognitive battery in a group of 139 asymptomatic HIV+ men (1999). They found limited significant associations between exercise and neurocognitive function. Namely, exercise prior to HIV infection was associated with better performance on a speeded fine motor test (i.e., Grooved Pegboard), and current exercise was associated with performance on a speeded test of complex attention/working memory (i.e., Paced Auditory Serial Addition Test). Fillipas and

colleagues investigated the effects of an exercise intervention (2 hours of supervised aerobic and resistance training per week) in 20 HIV+ men (2006). They found a significant improvement in self-reported cognitive problems on the Medical Outcomes Study HIV Health Survey (MOS-HIV), which served as a secondary outcome measure; however, objective neurocognitive functioning was not assessed. Overall, the scant data indicates that exercise may improve neurocognition in HIV+ populations, but the relationship between the two requires further elucidation.

The main purpose of the present study was to examine the association between self-reported participation in physical exercise and NCI in a well-characterized large cohort of HIV+ adults using a comprehensive neurocognitive battery that allowed examination of global and domain-specific neurocognitive functioning. We hypothesized that those who engaged in recent physical exercise would exhibit lower rates of NCI as compared to those who did not recently participate in these activities.

## Method

### Participants

The present study included 335 community-dwelling HIV+ adults who were recruited by the HIV Neurobehavioral Research Center (HNRC). Details on the HNRC study are described elsewhere (e.g., Heaton et al. 1995). Briefly, the focus of the HNRC is to examine the prevalence, features, course, etiology, and pathogenesis of HIV in the central nervous system. At the initial assessment, persons with a history of non-HIV related neurologic disorders or any other conditions known to alter neurocognitive performance (e.g., seizure disorder, head trauma, learning disabilities, psychotic disorders, current substance abuse) were excluded. The current study included participants within the HNRC cohort with a positive HIV status and with valid data for self-reported exercise and global neurocognition. Participants were evaluated between 2007 and 2011. The participants ranged from 20 to 79 years old (age:  $M = 47.7$ ,  $SD = 10.5$ ), were mostly male (74.3%), and had, on average, one year of college education (education:  $M = 13.0$   $SD = 3.2$ ). Half of the participants (51.3%) described their ethnicity as non-Hispanic white. The majority were on ART (82.2%), were diagnosed with AIDS (64.7%). Duration of HIV infection from self-reported date of first HIV positive test ranged from under a month to slightly over 28 years.

### Exercise Assessment

Participants were queried about their exercise practices using a staff-administered questionnaire. The measure was initially added to estimate the metabolic rate of participants over the last three days. For the purpose of the present study, we used a question that asked participants to estimate how much time (minutes) they spent exercising in the last 72 hours. Exercise was specifically described as any activity in which the heart beats rapidly and specific examples were provided (i.e., running, jogging, lifting heavy weights, aerobics, hockey, football, soccer, squash, basketball, cross country, judo, roller blading/skating, vigorous swimming, vigorous long distance bicycling).

### Neurocognitive Assessment

Participants completed a standardized neurocognitive test battery that covers seven cognitive domains commonly affected by HIV including verbal fluency, working memory, speed of information processing, learning, recall, executive function, and motor function (see Table 2 for a list of specific tests by domain; Blackstone et al. 2012). The test scores were adjusted to control for age, gender, education, race/ethnicity and repeated testing. Each domain score was converted to a standard T-score then assigned a domain deficit score based on a 5-point scale (0 = no impairment to 5 = severe impairment; Blackstone et al. 2012). Individuals with

a domain deficit score higher than 0.5 were considered impaired in that domain (Heaton et al. 1995). The average of the domain deficit scores was used to derive a global deficit score. Participants with a global deficit score greater than or equal to 0.5 were considered to have global NCI, as it has been found to provide the optimal sensitivity and specificity (Carey et al. 2004).

### Covariates

Additionally, we investigated co-factors that may impact the relationship between exercise and NCI. A broad range of variables were considered, including demographic factors (e.g., gender, education), HIV disease characteristics (e.g., CD4+ lymphocyte count, AIDS status, estimated duration of HIV infection, months exposed to ART), hepatitis-C infection, lifetime and current substance use disorder, physical functioning, mental health status, body mass index, lifetime and current major depressive disorder diagnosis, and current mood. The complete list of co-factors examined is available in Table 1 and some variables are detailed here. Nadir CD4+ was self-reported unless a study lab value was lower. AIDS diagnosis was made using CDC classification of 3 or C (Castro et al. 1992). Plasma HIV viral loads were deemed “undetectable” below 48 copies/mL. Current mood state was evaluated using the Beck Depression Inventory II (BDI-II; Beck et al. 1996b). Substance use disorders (i.e., alcohol, amphetamine, cannabis, cocaine, hallucinogen, inhalant, sedative, opioid, PCP) and major depressive disorders were assessed through the computer-assisted Composite International Diagnostic Interview (CIDI), version 2.1 (Wittchen 1994). Current and past substance use disorder included any diagnosis of substance abuse and/or substance dependence also derived from the CIDI. Physical functioning and mental health status were evaluated using the physical health summary (PHS) and mental health summary (MHS) scores, (Revicki et al. 1998) from the MOS-HIV (Wu et al. 1997).

### Statistical Analyses

Given the highly skewed distribution of time spent engaging in exercise, we divided our sample into those participants that reported any amount of time exercising (i.e., Exercise), as per our question, over the last 72 hours ( $n=83$ ; Time in exercise: Median = 120 minutes, IQR=60-180) and those that reported no time exercising ( $n=252$ ) in the last 72 hours (i.e., No Exercise).

To assess the relation between exercise and NCI we first ran a series of Chi-Square tests on global and domain NCI by group. To adjust for potential confounds, we then compared our groups (Exercise vs. no Exercise) on a number of potential covariates using independent sample t-tests for continuous variables and Chi-Square tests for categorical variables. The covariate variables that were significantly different ( $p < 0.05$ ) between the groups were entered into a series of multivariable logistic regression models on global and domain NCI, along with exercise. Odds ratios with 95% confidence intervals were computed to determine the strength of the relationship between exercise and global or domain NCI. All reported  $p$ -values were based on two-sided tests with significance determined at  $p$  values at or below 0.05.

### Results

Table 1 shows the demographic, HIV disease, and health status characteristics by group (i.e. Exercise and No Exercise). The Exercise group reported significantly more formal education, lower prevalence of AIDS, higher current CD4+ count, less current major depressive disorder, and a higher PHS (better self-reported physical functioning).

As shown in Figure 1, the Exercise group had significantly lower rates of global NCI than the No Exercise group and the rate of cognitive impairment was approximately doubled in the No Exercise group ( $df = 1$ ,  $\chi^2 = 7.99$ ,  $p < 0.01$ ,  $OR = 2.28$ ,  $CI = 1.30 - 4.80$ ). A comparable analysis in our subset of participants with undetectable viral load ( $n=233$ ) showed similar findings ( $\chi^2 = 4.02$ ,  $p < 0.05$ ,  $OR = 2.08$ ,  $CI = 1.01-4.31$ ). In our overall sample, a multivariable logistic regression model on global NCI was significant ( $\chi^2 = 13.61$ ,  $p = 0.03$ ) and showed that exercise continued to be significantly associated with global NCI ( $\chi^2 = 6.02$ ,  $p < 0.01$ ,  $OR = 2.63$ ) after adjusting for potential confounding factors that differed between groups.

Figure 2 shows the rate of NCI in each domain by group. Unadjusted analyses showed that the Exercise group had significantly lower rates ( $p < 0.05$ ) of impairment in working memory, speed of information processing, executive function, and motor function. Adjusted models showed that exercise was significantly associated with lower impairment rates ( $p < 0.05$ ) in working memory and speed of information processing (Figure 2), but not in the other cognitive domains.

### Follow-up analysis

In order to more stringently adjust for the potential effect of demographic variables on NCI, we selected a subgroup of participants from the No Exercise group ( $n = 83$ ; Age:  $M=47.5$ ,  $SD=8.7$ ; Education:  $M=14.2$ ,  $SD=2.8$ ; 79.5% male; 59.0% non-Hispanic White) that were matched on demographic characteristics (i.e. age, years of education, gender, and ethnicity) to the Exercise group ( $n = 83$ ). This subgroup of participants in the No Exercise group continued to have significantly lower CD4 counts (Median = 485, IQR = 233-702), higher rates of current major depressive disorder (15.7%), and higher instances of AIDS (79.3%) than the Exercise group ( $p < 0.05$ ), with no other significant group differences on covariates. The rate of global NCI in the No Exercise group (28.9%) continued to be double that of the Exercise group ( $\chi^2 = 4.21$ ,  $p = 0.04$ ,  $OR = 2.19$ ,  $CI = 1.03-4.68$ ) and exercise remained a significant predictor of global impairment after adjusting for potential confounding factors that differed between groups (i.e., current CD4, current major depressive disorder, AIDS status).

### Discussion

The present study is among the first to examine the direct relationship between exercise and neurocognition among HIV+ individuals. We found that self-reported recent engagement in exercise was significantly associated with lower rates of global NCI in a large cohort of HIV+ individuals. Further, this association continued to be significant even after examining a number of potential confounds, including demographic factors, HIV disease characteristics, substance use disorders, past and current depression, mental health status and physical functioning. Among the cognitive domains examined, lower rates of impairment in working memory and speed of information processing were significantly associated with exercise. These findings support exercise as a modifiable lifestyle behavior that may reduce or potentially prevent NCI in HIV+ persons. It is also relevant to note that our findings correspond to a growing body of studies that support the hypothesis that exercise has a positive effect on neurocognition (e.g., Heyn et al. 2004; Lautenschlager et al. 2008; Rosenberg et al. 2012).

Our results are consistent with prior findings indicating an association between exercise and cognition among persons living with HIV, and extend the current literature by showing that this association is present in a diverse, large group of HIV+ patients who have completed a comprehensive neurocognitive assessment (Fillipas et al. 2006; Honn et al. 1999). The only intervention study on this topic among HIV+ adults was limited by a small sample size (i.e.,



17-18 participants per group) and the use of a self-report measure of cognitive symptoms, which might be impacted by responding bias and poor insight (Fillipas et al. 2006). A prior observational study found only a limited association between exercise and neurocognition in a group of young (M=33 years), medically-asymptomatic HIV+ adult males (Honn et al. 1999). Although it included a comprehensive neurocognitive battery, the authors did not report data on cognitive domains, but rather individual tests. However, similar to our present findings, this study reported that current exercise was associated with performance on a cognitive test of working memory that requires speeded information processing.

Our analyses, when adjusted for potential confounds, showed that exercise was significantly associated with lower impairment in working memory and speed of information processing domains. Similar associations have been reported in randomized control exercise interventions of HIV-uninfected persons (Smith et al. 2010). These cognitive domains are thought to be mediated by frontal and subcortical brain systems which are typically most affected by cerebrovascular disease, (DeCarli et al. 1995; Kennedy and Raz 2009; Raz et al. 2003), suggesting that this factor could potentially mediate the relationship between exercise and improved cognition in HIV infection. The major benefit of exercise to the brain may be reduced neurocognitive risk factors, such as high blood pressure and hyperlipidemia. Metabolic syndrome associated with ART use is also associated with increased risk for developing diabetes, hypertension, obesity, and dyslipidemia (Aboud et al. 2007; Grinspoon and Carr 2005). All of these phenomena are cerebrovascular risk factors that may in turn cause NCI (Gorelick et al. 2011). Studies have shown that aerobic exercise can improve body composition, reduce waist circumference and weight, and improve lipid levels in those with HIV infection (O'Brien et al. 2010). Exercise interventions would likely reduce waist circumference and BMI, factors that our group has previously shown to be associated with NCI (McCutchan et al. 2012). In summary, our findings are consistent with the notion of cerebrovascular disease as a possible mechanism underlying the association between exercise and neurocognition.

It is worth noting, however, that exercise has direct impacts on the brain as well. Studies have also shown that exercise reduces oxidative stress and inflammatory markers, and increases neurogenesis, angiogenesis, and synaptogenesis in the brain (Lista and Sorrentino 2010; Ahlskog et al. 2011). Further, it is possible that pain, neuropathy, or some other factor we did not examine may both limit exercise and impair cognition. Alternatively, our results could be interpreted to mean that lower neurocognitive functioning may be a barrier to participating in exercise. The relationship may be a complex and bidirectional in that exercise could influence NCI, and in turn NCI could influence one's ability to engage in exercise.

Our study has several limitations. We measured exercise by self-report, which might be subjected to bias. Our questionnaire was short and did not specify the frequency or quantity of exercise in various categories (e.g., leisure vs. work-related exercise). Moreover, we did not quantify exercise over a long time span. However, studies across many domains have suggested that shorter recall periods may lead to more accurate self-report of various behaviors (Jerant et al. 2008; Napper et al. 2010). Therefore, estimates of exercise time over a relatively short and recent epoch (the previous 72 hours) may be more precise compared to longer periods. We cannot infer causality due to the cross sectional nature of these data, and an interventional study is needed to fully demonstrate the impact of exercise on neurocognition. However, the influence of exercise on NCI is supported in the literature by prospective randomized controlled trials of exercise interventions that have been shown to improve cognition among HIV-uninfected individuals (Heyn et al. 2004). Differences in demographics and systemic function between participants in the Exercise and No Exercise groups might confound the relationship between exercise and neurocognition. In order to

adjust for these potential confounds we examined many covariates and included any that significantly differed between groups in our models. Further, we re-ran our core models in a subgroup of participants matched on demographic characteristics. The strength of this study includes the assessment of a large, well-characterized cohort and the extensive and well-validated neurocognitive battery (Heaton et al. 1995).

Although we have shown that self-reported exercise is associated with better cognitive function, additional research is needed to determine the intensity and frequency of exercise needed to achieve the best neurocognitive outcomes. A better understanding of the relationship between exercise and NCI may be possible if patients are followed longitudinally or if objective measurements of exercise are used, such as pedometers, accelerometers, or supervised exercise. Future studies should also examine the ability of exercise interventions to improve cognitive function in the HIV+ population. In addition to objectively measuring exercise, it has been shown that pedometers can motivate an increase in daily physical activity across all age groups and even in older, sedentary individuals (Kang et al. 2009). Recent studies have also demonstrated significant benefits from using mobile phone interventions to influence exercise behavior. Hurling and colleagues used a text messaging intervention in adults and were able to increase moderate exercise by two hours per week, and reduce body fat percent in nine weeks (2007). Text messaging interventions have even been shown to modify behavior in cognitively impaired schizophrenia patients (Pijnenborg et al. 2010). Thus, using techniques that include pedometer-motivated exercise or a text-messaging interface may increase exercise engagement and lead to improved neurocognitive performance in HIV+ individuals.

In summary, NCI still affects nearly half of the HIV+ population. Our results suggest that exercise is associated with less NCI among HIV infected persons, and may have specific impact on working memory and speed of information processing. Future intervention studies would help better determine whether exercise is an effective tool to address the neurocognitive deficits associated with this disease.

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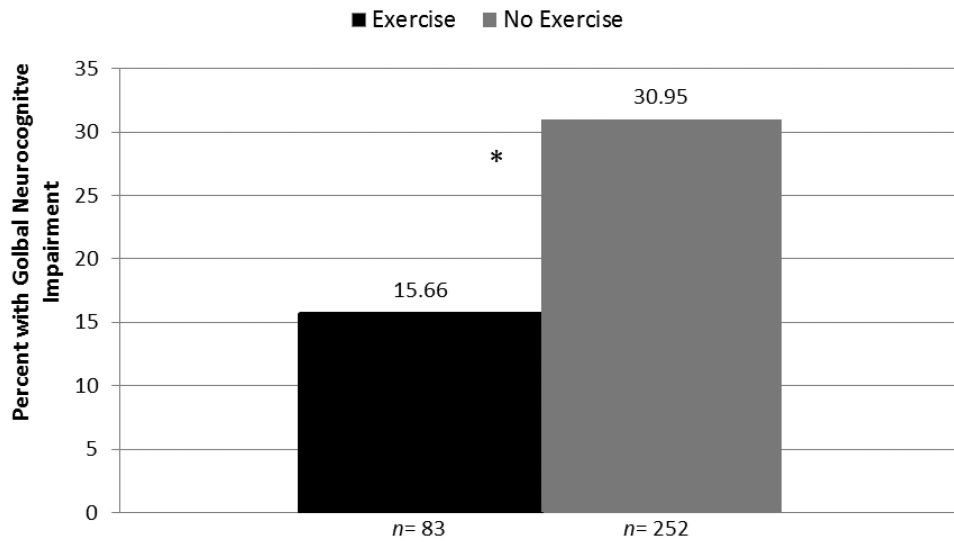


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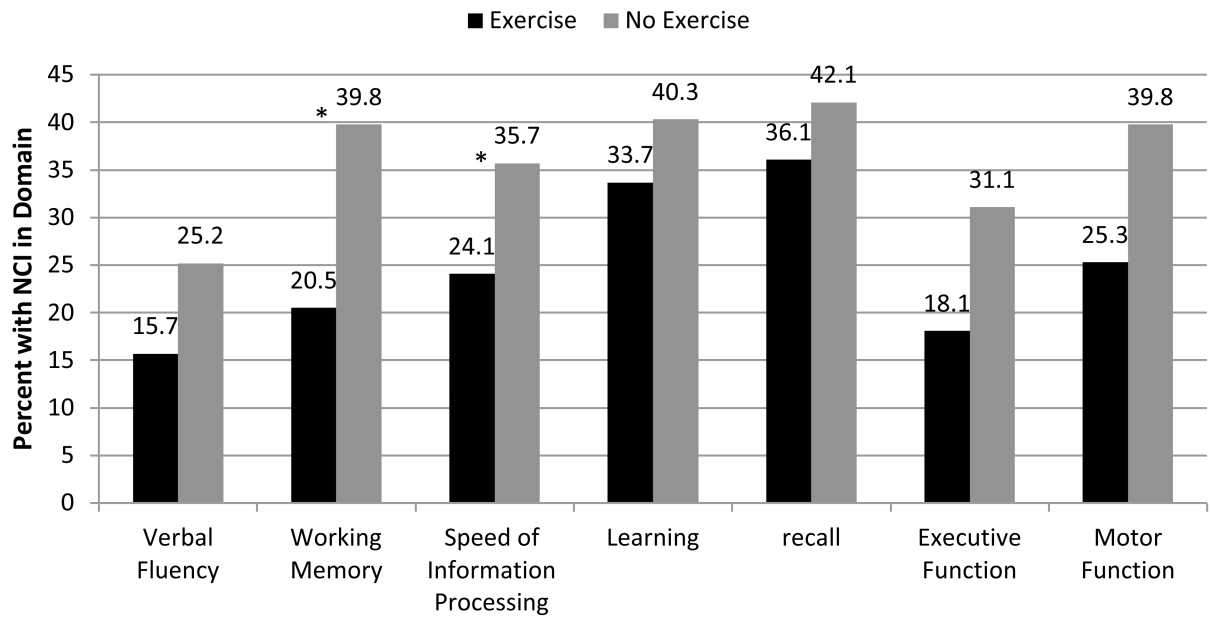
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**Fig. 1.** HIV-infected persons who have not exercised in last 72 hours have double the neurocognitive impairment rate of those who have exercised. *Note: Statistical significance (\* $p < 0.05$ ) derived from multivariable logistic regressions modeling impairment in each domain by group and adjusting for potential confounds*



**Fig 2.**

Working memory and speed of information processing are significantly impaired in the No Exercise group as compared to the Exercise group. *Note: Statistical significance ( $*p < 0.05$ ) derived from multivariable logistic regressions modeling impairment in each domain by group and adjusting for potential confounds*

**Table 1**  
**Demographics, HIV disease characteristics, and psychiatric and physical health status by group (Exercise and No Exercise)**

Variable	Group		<i>p</i> <sup>a</sup>
	Exercise <i>n</i> =83	Exercise <i>n</i> =252	
<b>Demographics</b>			
Age (years)	46.7 (10.0)	48 (10.6)	0.32
Education (years) *	14.2 (2.9)	12.6 (3.2)	<.01
Male	81.9%	71.8%	0.06
Ethnicity			0.40
Non-Hispanic White	57.8%	49.2%	
Hispanic	20.5%	29.37%	
Non-Hispanic Black	18.1%	16.7%	
<b>HIV Disease Characteristics</b>			
Duration of Infection (months)	163.8 (71.6-243.4)	174.4 (113.8-229.8)	0.41
Nadir CD4	197 (61-303)	150 (50-279)	0.08
Current CD4 *	578 (416-865)	528 (338-767)	0.04
Plasma Viral load (detectable) <sup>b</sup>	22.0%	28.0%	0.31
AIDS diagnosis *	54.2%	68.1%	0.02
ART Prescribed	86.4%	86.4%	1.00
Months exposed to ART <sup>b</sup>	80.1 (37.4-135.5)	83.8 (40.6-139.7)	0.53
Hepatitis-C Virus Infection	3.6%	5.2%	0.56
<b>Mental and Physical Health</b>			
Beck Depression Inventory-II	8 (3-15)	10(4-17)	0.22
Mental Health Summary Score <sup>c</sup>	53.0 (43.1-59.1)	50.1 (42.1-57.2)	0.63
Physical Health Summary Score <sup>c*</sup>	54.4 (43.2-60.0)	47.4 (37.2-56.3)	<.01
Substance Use Disorder Lifetime	73.8%	63.9%	0.10
Substance Use Disorder Current	2.5%	4.4%	0.44
Major Depressive Disorder Lifetime	55.0%	58.2%	0.61
Major Depressive Disorder Current *	2.5%	12.5%	0.01
Body Mass Index <sup>d</sup>	25.9 (24.4-29.4)	26.0 (23.0-29.6)	0.38

Notes: Mean (SD), Median (LQ-HQ), or %.

<sup>a</sup>Results from independent sample t-test and Chi-Square tests.  
Missing cases

<sup>b</sup>27(5 from exercise group)

<sup>c</sup>45 (0 from exercise group)

<sup>d</sup>11 (1 from exercise group). If less than 10 cases missing in a given variable, this is not noted.

\* *p*< 0.05



**Table 2**  
**Neurocognitive Testing Battery**

<b>Cognitive Domain</b>	<b>Test</b>	<b>Reference</b>
Verbal Fluency	Letter fluency	Artiola et al. 1999
	Noun Fluency (animals)	Gladsjo et al. 1999
	Verb Fluency (actions)	Heaton et al. 2003
Working Memory	Paced Auditory Serial Addition Test	Diehr et al. 1998
	WMS-III Spatial Span	Wechsler 1997
Speed of Information Processing	WAIS-R/III Digit Symbol	Heaton et al. 2003
	WAIS-III Symbol Search	Heaton et al. 2003
	Trail Making Test Part A	Heaton et al. 1991
	Stroop Color and Word Test (Color trial)	Golden 1978
Learning & Recall	Hopkins Verbal Learning Test-Revised	Benedict et al. 1998
	Brief Visuospatial Memory Test-Revised	Benedict 1997
Executive Function	Wisconsin Card Sorting Test	Kongs et al. 2000
	Category Test	Heaton et al. 1991
	Trail Making Test Part B	Heaton et al. 1991
	Stroop Color and Word Test (interference score)	Golden 1978
Motor Function	Grooved Pegboard Test	Heaton et al. 1991

Note: WMS= Wechsler Memory Scale, WAIS=Wechsler Adult Intelligence Scale