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Longitudinal assessment of tau and amyloid beta in cerebrospinal fluid of Parkinson disease

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Abstract

Tau gene has been consistently associated with the risk of Parkinson disease in recent genome wide association studies. Additionally, alterations of the levels of total tau, phosphorylated tau [181P], and amyloid beta 1–42 in cerebrospinal fluid have been reported in patients with sporadic Parkinson disease and asymptomatic carriers of leucine rich repeat kinase 2 mutations, in patterns that clearly differ from those typically described for patients with Alzheimer disease. To further determine the potential roles of these molecules in Parkinson disease pathogenesis and/or in tracking the disease progression, especially at early stages, the current study assessed all three proteins in 403 Parkinson disease patients enrolled in the DATATOP (Deprenyl and tocopherol antioxidative therapy of parkinsonism) placebo-controlled clinical trial, the largest cohort to date with cerebrospinal fluid samples collected longitudinally. These initially drug-naïve patients at early disease stages were clinically evaluated, and cerebrospinal fluid was collected at baseline and then at endpoint, defined as the time at which symptomatic anti-Parkinson disease medications were determined to be required. General linear models were used to test for associations between baseline cerebrospinal fluid biomarker levels or their rates of change and changes in the Unified Parkinson Disease Rating Scale (total or part III motor score) over time. Robust associations among candidate markers are readily noted. Baseline levels of amyloid beta

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Contributors

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Conflicts of interest

The authors declare that they have no conflict of interest.

were weakly but negatively correlated with baseline Unified Parkinson Disease Rating Scale total scores. Baseline phosphorylated tau/total tau and phosphorylated tau/amyloid beta were significantly and negatively correlated with the rates of the Unified Parkinson Disease Rating Scale change. While medications (deprenyl and or tocopherol) did not appear to alter biomarkers appreciably, a weak but significant positive correlation between the rate of change in total tau or total tau/amyloid beta levels and the change of the Unified Parkinson Disease Rating Scale was observed. Notably, these correlations did not appear to be influenced by *APOE* genotype. These results are one of the very first pieces of evidence suggesting that tau and amyloid beta are critically involved in early Parkinson disease progression, potentially by a different mechanism than that in Alzheimer disease, although their applications as Parkinson disease progression markers will likely require the addition of other proteins.

Keywords

amyloid beta; APOE; cerebrospinal fluid; Longitudinal study; Parkinson disease progression; tau

Introduction

Parkinson disease (PD) is the second most common serious age-related neurodegenerative disease after Alzheimer disease (AD) and, although non-motor symptoms are often identified, devastating motor symptoms including rigidity, akinesia, and tremor are more pronounced clinical manifestations of the disease, especially at relatively early stages [19]. Recently, several genome wide association studies [10,51,59] have found that the *MAPT* gene (encoding tau protein) is consistently associated with the risk of sporadic PD. This discovery has spurred the field to investigate tau concentrations in human cerebrospinal fluid (CSF), which is in direct contact with brain tissue. We and others have reported that the CSF concentrations of total tau (t-tau) and phosphorylated tau (p-tau) are lower in PD patients compared to healthy controls [3,36,54]. This is quite different from AD cases, including prodromal AD, i.e., those with mild cognitive impairment (MCI), where both tau species are drastically increased in CSF [16,18,32,53]. Additionally, several independent investigations have reported that CSF concentrations of amyloid beta peptide 1–42 (A_{42}), a traditional marker for AD, are also lower, though to a lesser extent than in AD, in patients with PD [7,36,44,54,58]. More recently, in a cohort of early PD patients enrolled in the Parkinson's Progression Markers Initiative (PPMI), decreased A_{42} , t-tau and p-tau were also observed [22]. Remarkably, even in asymptomatic carriers of PD-causing genetic mutations of leucine rich repeat kinase 2 (*LRRK2*), all three markers decreased, in concordance with a decline in striatal dopamine as assessed by positron emission tomography imaging [1].

Notably, however, association studies between the tau gene (*MAPT*) and CSF protein levels in patients with AD have produced inconsistent results. For example, significant association between CSF tau levels and a *MAPT* single-nucleotide polymorphism (SNP) rs242557 was reported in one study [27], while another study failed to detect this association, but instead found significant associations with other SNPs [23]. There is also evidence for the influence of a few other genes, *APOE* in particular (*APOE* genotype, specifically the $\epsilon 4$ allele, is an established risk factor for late onset AD [13]), on CSF t-tau, p-tau, and/or A_{42} concentrations [25,46,53]. Whether these associations are applicable to PD cases, however, needs to be validated.

It should be emphasized that almost all of the PD studies discussed above have been carried out using cross-sectional methods. To further evaluate the roles of A_{42} , t-tau and p-tau in PD pathogenesis or PD severity or progression, in this study, we took advantage of a large

cohort with longitudinally collected CSF samples. The DATATOP (deprenyl and tocopherol antioxidative therapy for Parkinsonism) study, initiated in 1987, was a multicenter, placebo-controlled, double-blind randomized clinical trial of a cohort of 800 patients with early, untreated PD [34,40,57]. Clinical information including Unified Parkinson Disease Rating Scale (UPDRS) total and motor scores and CSF from each patient were obtained at baseline and endpoint, defined as the time at which it was determined that the patient required levodopa therapy. To date, DATATOP is the largest PD cohort, with longitudinal CSF samples collected in a significant portion of the subjects, along with comprehensive clinical assessments. Given that the study was initiated about three decades ago, with many years follow up and multiple investigations published [20,24,34,40–43,56,57], the dataset has been extensively cleaned and most atypical parkinsonian cases (e.g., those with progressive supranuclear palsy or PSP and multiple system atrophy or MSA) have already been identified and can be excluded from the cohort. Another advantage of this cohort is that none of the cases were treated at baseline, i.e., potential drug effects on biomarkers can be excluded in the baseline measurements.

Materials and methods

Participants

The DATATOP study was designed to examine the impact of the monoamine oxidase type B inhibitor deprenyl (selegiline) (DEP) and the antioxidant α -tocopherol (vitamin E) (TOC) on the progression of disability in 800 patients with early PD (Hoehn and Yahr stage 1 or 2; none had any evidence of severe tremor or met the study criteria for significant dementia, defined as a Mini Mental State Examination [MMSE] score <23) who did not yet require anti-PD medication [20,34,39,40,57]. It was initially planned to follow subjects for 24 months using a 2 × 2 factorial design where participants were randomly assigned to placebo, DEP, TOC, or both DEP and TOC. The subjects were enrolled between September 1987 and November 1988 (“baseline”) and the inclusion and exclusion criteria have been published elsewhere [40]. The study’s primary outcome measure was the time until an “endpoint”, defined as the development of disability necessitating the introduction of levodopa therapy as judged by the blinded evaluating clinician, was reached. After an average 14 months of follow-up (“Period I”, original DATATOP), in the fall of 1989, preliminary analysis indicated unexpectedly striking effects of DEP in postponing PD disability [41]. After this disclosure, all active study subjects were placed on open-label DEP for about 18 months, from Fall 1989 to Spring 1991 (“Period II”). Blinded TOC treatment assignments were maintained for about 3 years after the initial randomization. Clinical data (e.g., UPDRS) and CSF samples were collected at the baseline and final (endpoint or the end of Period II, after 1–2 month washout) time points.

In this study, we excluded 110 patients whose follow-up time was less than 6 months (including 76 who reached endpoint within 6 months and 34 who withdrew), 45 whose PD diagnoses were unlikely to be correct, 30 who withdrew after 6 months, and 212 who had missing CSF or UPDRS at the baseline (63) or final (189) time point. The 6-month cut-off was applied mainly because, in chronically progressive diseases, sufficient time is needed for changes in biochemical markers to be appreciated. The demographic information at baseline of the remaining 403 subjects included in this study can be found in Table 1.

CSF specimen collection, storage, and quality control

The CSF collection protocol for the DATATOP subjects was described elsewhere [42]. Briefly, the lumbar puncture was carried out in the decubitus position between 6 and 10 AM, and CSF was collected sequentially in measured aliquots and then immediately placed on ice before freezing at -70°C . Polypropylene tubes (Sarstedt. Nümbrecht, Germany) were used

for CSF collection and sample storage; all the sites involved in the study used the same collection supplies. The CSF samples were only thawed immediately before the Luminex assay. An aliquot was also taken to measure hemoglobin levels (as an index of the degree of red blood cell contamination of CSF) as previously described [17]. Note that although the DATATOP CSF samples were frozen for more than two decades, we found that the concentrations of CSF markers (such as A₄₂ and tau) in these samples were comparable with those in the CSF samples collected recently [54], which is in line with previous findings by others [4,61].

Luminex assays

CSF A₄₂, t-tau, and p-tau[181P] (p-tau) levels were measured using the INNO-BIA AlzBio3 kit obtained from Innogenetics (Gent, Belgium) following the manufacturer's instructions as described previously [54]. All CSF samples were analyzed using a LiquiChip Luminex 200™ Workstation (Qiagen, Valencia, CA, USA). The assay platform and quality control procedures have been utilized in our laboratory extensively and described in previous publications [1,17,54].

Statistical analysis

All analyses were performed with SPSS Statistics 20.0 (IBM, Chicago, IL, USA). Six CSF markers were analyzed: A₄₂, t-tau, p-tau, plus the ratios p-tau/t-tau, t-tau/A₄₂, and p-tau/A₄₂. Except for A₄₂ and p-tau/t-tau (which were normally distributed), all other CSF markers were log(10) transformed to obtain normal distribution. Group differences between males and females were assessed using Mann-Whitney U test; all other between-group differences were tested by using ANOVA or ANCOVA controlling for covariates. Potential confounding variables were assessed by performing bivariate analysis ($p < 0.15$ was used as a cutoff for selection). Pearson's correlation was employed to analyze the age dependence of CSF markers. All other correlations were analyzed by using general linear models (GLM). Age and sex were used as covariates for correlations between baseline parameters. For correlations related to prediction and progression analyses, rate of clinical score (UPDRS) change (average change per month) between baseline and final time points was tested as a dependent variable, controlling for treatment group, baseline UPDRS and MMSE scores in addition to age and sex of the subject. Kaplan-Meier survival and Cox proportional hazards regression tests were also used to test for associations between baseline CSF biomarkers and the time needed to reach endpoint. All analyses were conducted at a 2-sided $\alpha = 0.05$ significance level; however, multiple comparisons need to be addressed since six markers are being analyzed, hence a p -value of >0.008 (uncorrected, i.e., $0.05/6$; Bonferroni correction) should be interpreted with caution.

Results

Effects of age, sex, and cross-sectional analyses at baseline and final time points

Table 1 lists the demographic information and summary of CSF biomarker concentrations at baseline. As is typical for most published PD cohorts, there were more males than females in the DATATOP study, but age and other parameters including UPDRS scores are not significantly different between males and females (Mann-Whitney U test, $p > 0.05$). The cohort overall demonstrated significant progression from baseline (UPDRS total [mean \pm SD], 24.34 ± 11.72 ; motor, 16.31 ± 8.81) to final time point (UPDRS total, 39.91 ± 15.69 ; motor, 26.84 ± 11.46). The effects of age and sex of the subjects on the CSF protein concentrations (A₄₂, t-tau, and p-tau) and p-tau/tau, t-tau/A₄₂, and p-tau/A₄₂ ratios (the last two are often used in AD diagnosis) were first evaluated using the baseline samples from all 403 patients included in this study. Consistent with previous studies in patients with PD and healthy controls by us and others [7,35,54,60], CSF t-tau and p-tau were

significantly correlated with age, but A_{42} was not (Supplementary Fig. 1). Although all CSF marker concentrations increased as a function of age, the p-tau/t-tau ratio was lower in older patients. Additionally, differences between males and females in p-tau or its combinations with other markers were identified (Mann-Whitney U test: p-tau, $p=0.027$; p-tau/t-tau, $p=0.036$, p-tau/ A_{42} , $p=0.024$). Similar to what we reported previously in a cross-sectional study [54], the baseline CSF A_{42} ($p=0.088$), t-tau ($p=0.125$) and p-tau ($p=0.389$) concentrations did not correlate with CSF hemoglobin levels, suggesting the effects of potential blood contamination in CSF on these markers were minimal. Additionally, it appears that the effects of *APOE* genotype (available in 279 subjects) on the baseline levels of these CSF markers were also minimal, except that the CSF A_{42} levels were slightly lower in subjects with at least one $\epsilon 4$ allele than in those without (Supplementary Table 1). These observations were largely confirmed when analyzing CSF marker concentrations at the final time point. Given the age- and sex-dependence differences in CSF markers, age and sex were controlled as covariates in further analyses.

The correlations between CSF marker levels at baseline and final time points were analyzed using GLM. Remarkably, all baseline CSF marker levels were positively correlated with each other when controlling for age and sex of subjects (A_{42} vs. t-tau, $r=0.562$, $p<0.0001$; A_{42} vs. p-tau, $r=0.337$, $p<0.0001$; t-tau vs. p-tau, $r=0.577$, $p<0.0001$) (Fig. 1). For example, lower CSF A_{42} concentrations in a given subject are typically associated with lower t-tau and p-tau concentrations. Similar correlations were also observed between the CSF marker concentrations at the final time point (data not shown). Note that there are only 17 subjects (4%) at baseline and 29 (7%) at final time point had abnormally elevated p-tau/ A_{42} (using an upper cutoff value defined previously [36]), which is comparable to typical age-matched control populations reported in various investigations [12,29,36]. In other words, there were no apparent molecular CSF signatures of Alzheimer's changes in this population during two years of investigation.

To determine if CSF concentrations of A_{42} , t-tau, p-tau, p-tau/t-tau, t-tau/ A_{42} , and p-tau/ A_{42} are related to disease severity, correlation was analyzed between baseline concentrations of the CSF markers and baseline UPDRS scores or duration of disease. We found no associations except for A_{42} concentration, which weakly and negatively correlated with total UPDRS score at baseline when controlling for age and sex of the subjects (Fig. 2; $r=-0.105$, $p=0.035$), i.e., A_{42} tended to be lower when UPDRS was higher. The significance of the correlation was not notably changed when *APOE* $\epsilon 4$ carriers were compared to noncarriers, i.e., no substantial effects of *APOE* $\epsilon 4$ status were observed. Intriguingly, no correlations were observed between any of the CSF marker concentrations, including A_{42} , and UPDRS scores at the final time point ($p>0.05$). There were also no correlations between any of the CSF markers and the MMSE score (index of general cognitive impairment) at baseline ($p>0.1$).

Prediction of disease progression using baseline CSF marker levels

The nature of this longitudinal study allows us to determine whether baseline CSF markers are predictive of PD progression in terms of the change in UPDRS score from baseline to final time points. Because all subjects who didn't reach endpoint by the end of the first period (original DATATOP) were treated with DEP in the second period, this cohort closely mimics a so-called "two-period, delayed-start" model that is being actively employed in clinical trials currently for PD and other neurodegenerative disorders [38,39,43,49]. Note that those subjects who reached endpoint by the end of the first period (before the "delayed start") were considered as failed subjects but still a part of such model, and were thus included in the analyses in this study.

When controlling for baseline UPDRS score, treatment group, length of time exposed to DEP, age and sex of the subject, analyses performed using GLM indicated that the baseline CSF p-tau/t-tau significantly correlated with rate of change between baseline and final time points of total (Fig. 3a; $r=-0.137$, $p=0.006$) and motor UPDRS (Fig. 3b; $r=-0.117$, $p=0.02$). The correlations were improved slightly or remained the same when baseline MMSE score was added to or baseline UPDRS score was removed from the model (Supplementary Table 2). Similarly, the baseline p-tau/A₄₂ ratio was significantly correlated with the rate of total ($r=-0.166$, $p=0.001$) and motor UPDRS changes ($r=-0.141$, $p=0.005$) (Fig. 3c and 3d). These results were also not altered appreciably with or without controlling for baseline UPDRS and baseline MMSE scores (Supplementary Table 2). The correlation of p-tau/t-tau and p-tau/A₄₂ with total UPDRS remained statistically significant even after adjusting for multiple comparisons (Bonferroni correction). Furthermore, the significance of the correlations was not influenced by *APOE* genotype (ε4 status).

As mentioned, in the DATATOP study, the endpoint is defined as the time at which “the patient developed sufficient disability to require dopaminergic therapy”. As a secondary analysis, the effects of the baseline CSF marker values on the time needed to reach endpoint were also tested using a survival time analysis, with or without controlling for age and sex of the subject, baseline UPDRS total score, and treatment group. Only the baseline CSF p-tau/t-tau ($p=0.013$) or A₄₂ ($p=0.082$) showed borderline effects on the time taken to reach endpoint, but the significance was lost after controlling for covariates (p-tau/t-tau, $p=0.635$; A₄₂, $p=0.324$).

Tracking of disease progression using rate of CSF marker changes

We next investigated whether the change in CSF markers corresponded to changes in UPDRS scores. First, we assessed whether different treatments had an effect on observed alterations in biomarker levels compared to placebo. As shown in Supplementary Fig. 2, there were no significant differences in the rate of change for any of the CSF markers investigated among the treatment groups (ANOVA, $p>0.05$). Nevertheless, using GLM analysis, we considered length of time exposed to DEP as a covariate, in addition to baseline UPDRS score, treatment group, and age and sex of the subject. The results demonstrate that the rate of change of t-tau was significantly correlated with the rate of change in UPDRS total ($r=0.174$, $p=0.0005$) or motor scores ($r=0.155$, $p=0.002$) (Fig. 4a and 4b). Significant correlations were also observed for the t-tau/A₄₂ ratio (UPDRS total, $r=0.135$, $p=0.007$; motor, $r=0.137$, $p=0.006$) (Fig. 4c and 4d), with or without adjusting for multiple comparisons. The significances of these correlations were largely the same with or without controlling for baseline UPDRS and MMSE scores (Supplementary Table 2). Additionally, the correlations did not differ by *APOE* ε4 status.

Discussion

This is the first description of the relationship between CSF biomarkers A₄₂, t-tau, and p-tau and PD severity and progression in the largest cohort of PD patients studied. Using GLM models and controlling for age, sex and other potential confounding factors, several significant observations are made. Specifically, all baseline CSF marker levels were strongly correlated with each other, and the levels of A₄₂ were slightly lower in subjects with higher total UPDRS score at baseline, but not at the final point. In addition, the baseline CSF p-tau/t-tau and p-tau/A₄₂ ratio notably correlated with the change in UPDRS total and motor scores. Furthermore, the rate of change in both t-tau and t-tau/A₄₂ ratio significantly, though weakly, paralleled the change in UPDRS scores, suggesting that longitudinal alterations in these CSF biomarkers might be used to assist monitoring disease progression, in addition to indicating the participation of these key proteins in PD pathogenesis. Finally, it is clear that none of the medications substantially affected the CSF biomarkers.

Cross-sectional correlations among CSF markers

The baseline concentrations of A₄₂, t-tau, and p-tau in CSF strongly correlated with each other in this study (Fig. 1). In the AD field, the correlation between CSF p-tau and t-tau is well known, and the importance of tau in mediating A₄₂ toxicity and the involvement of A₄₂ in tau phosphorylation and proteolytic cleavage have also been demonstrated experimentally [62,66]. Similarly, in PD, recent studies have reported that A₄₂, tau, and α -synuclein might act synergistically to accelerate the accumulation and aggregation of each other and promote cognitive decline in transgenic mice [6], suggesting that A₄₂ and tau may indeed interact with each other in PD patients. However, the interactions between A₄₂ and tau are likely different in PD compared to AD, given that the profiles of these CSF proteins are quite different in these two diseases (see further discussion below). Furthermore, although tau and A₄₂ could be secreted from cells by the same mechanisms (e.g., exosomes) [48,50], potentially contributing to the correlation of their CSF concentrations, it would be very challenging to argue that higher tau in AD CSF and lower tau in PD CSF result from the same mechanism(s). In other words, it is more than likely that tau secretion or clearance in CSF is regulated differently in PD, even if the same pathway is involved in both AD and PD.

Cross-sectional correlation between A β ₄₂ and UPDRS total

A weak negative correlation between baseline levels of CSF A₄₂ and UPDRS total was observed in this study (Fig. 2), suggesting that lower A₄₂ may be associated with more severe disease (higher UPDRS). This is also indicated in our Kaplan-Meier survival analysis, where lower CSF A₄₂ was weakly associated with shorter time to reach endpoint in this study (data not shown). Additionally, we as well as others have demonstrated that CSF A₄₂ is significantly lower in PD compared to controls [7,36,44,54]. Changes in the levels of CSF A₄₂ are not well understood, but their decreases observed in AD are conventionally thought to arise by the aggregation and deposition of A₄₂ in neuritic plaques or trapped in brain parenchyma as soluble or insoluble oligomers [15,37,55]. This could also be true in PD patients because neuritic and diffuse plaques are occasionally observed in PD, although most reported results have been obtained in autopsy cases where aging is a major confounder, and A₄₂ plaques are often found in aged individuals without clinical dementia [8,63]. Nonetheless, this potential A₄₂ deposition and the interaction between A₄₂, tau, and α -synuclein in PD brain and their possible contribution to the development of PD, particularly the motor symptoms, remain largely hypothetical. On the other hand, low baseline CSF levels of A₄₂ are reported to be strongly associated with a greater likelihood for cognitive decline in PD [58]. Recent imaging studies also suggest that neocortical Pittsburgh compound B binding correlated robustly with measures of cognitive impairment in subjects with PD at risk for dementia, though elevated cerebral A₄₂-amyloid deposition at levels seen in AD is uncommon [14,21,45]. Because cognitive impairment is observed in most advanced PD cases, our results demonstrating a weak correlation between baseline CSF levels of A₄₂ and total UPDRS scores may be attributable, at least in part, to PD patients who have developed subtle cognitive impairment at early stages or are at risk to develop cognitive impairment later (the DATATOP cohort consists of PD patients who are at early stages without apparent dementia). That said, the weak correlation between A₄₂ and UPDRS observed at baseline was lost at final assessment when most subjects had already been treated with DEP, which might still have a subtle but important effect on UPDRS scores after the washout period. To state it differently, studies in subjects without any medications are needed to further investigate the roles of A₄₂ in PD. Another potential limitation is that direct evidence of the stability of CSF A₄₂ after long freezing periods (>20 years) is still lacking, so the results need to be validated in a recently collected sample set (e.g., PPMI [31]).

Predictive values of baseline p-tau/tau and p-tau/A β ₄₂

PD is a well-known synucleinopathy. However, postmortem studies have also identified tau pathology with p-tau changes in PD brains, though, unlike the global tau pathology observed in AD, PD related tau pathology was more restricted to the striatum [65]. Tau is a microtubule-associated protein and hyperphosphorylated tau is a known component of the neurofibrillary tangles in AD pathology [5]. In PD, a few studies have also shown that the status of tau phosphorylation as well as stability of microtubules can also be affected (e.g., by α -synuclein) [11,47]. It is known that pathological hyperphosphorylation of the tubulin-binding domains on tau prevents tubulin binding and thereby results in the destabilization of microtubules [9,33], but the role of tau phosphorylation on the flanking areas (e.g., T181P, the species measured in the current investigation) is largely unknown. Given that higher p-tau/tau and p-tau/A₄₂ predict slower progression, our results seem to suggest that increasing p-tau [181P] (as a proportion of total tau and/or with accompanying decrease of A₄₂) might be a beneficial or protective change secondary to primary PD pathology. These results, obtained in a sizable un-medicated cohort, might be the first piece of evidence to suggest that tau species are involved in PD progression even at relatively early stages.

Tracking PD progression with longitudinal changes of tau and t-tau/A β ₄₂

One of the most intriguing results of the current study is the observation that an increase in t-tau and t-tau/A₄₂, i.e., a phenomenon largely driven by t-tau, from baseline to final time points significantly corresponds to a faster rate of change in UPDRS scores. The observation is counterintuitive because, as previously indicated, the CSF levels of tau species were lower in patients with PD compared to healthy controls in most well controlled studies where a minimum of 50 cases or controls are included [3,36,54]. Thus, one would expect PD progression to be associated with a further decrease, rather than an increase, in tau. In AD, the significantly higher CSF tau levels compared to controls have been assumed to be secondary to neuronal damage and cell death (i.e., tau being released from damaged cells), but this explanation would lead to the prediction that all neurodegenerative disorders featuring prominent neuronal cell death are associated with higher CSF tau levels, which has clearly not been supported, and thus the underlying mechanisms still remain to be investigated. For PD, a recent study demonstrated that soluble tau levels decreased in the substantia nigra in human PD brain and the 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) mouse model, and loss of tau caused iron retention and facilitated neurodegeneration in mice [28]. This is in line with other studies reporting that overexpression of wild type tau exhibited protective effects on mitochondrial functions in cells [52]. While decreased tau in PD tissue is consistent with human CSF cross-sectional data (i.e., CSF tau levels might reflect the soluble tau levels in cells), the trend of increasing CSF tau in faster progressing patients in the DATATOP study cannot be explained readily by this mechanism. One potential testable hypothesis could be that, in contrast to AD pathology, pathological processes associated with PD promote reactive change(s) that results in a decrease in CSF tau (for example, tau being actively retained in cells to maintain iron clearance or other critical cellular mechanisms), and with gradual loss of this protective mechanism as PD progresses, tau increases in CSF. That said, a direct correlation of CSF and brain levels of tau cannot be assumed, and disease stages of the DATATOP cohort (purposely selected to be at early disease stages) and those obtained at autopsy may be quite different. Nonetheless, our results suggest that CSF t-tau may serve as a progression marker for PD patients in early disease stages as typically included in the DATATOP study.

The DEP and TOC treatments did not show significant effects on the changes of CSF markers investigated in this study (Supplementary Fig. 2) or affect the predictive or tracking ability of these CSF markers in relationship to the rate of UPDRS changes (data not shown). Nevertheless, these results do not necessarily mean that DEP and or TOC have no effects on

other CSF markers, such as α -synuclein and DJ-1, or that they have no protective effects against PD progression. Another important caveat of this study is that the rates are calculated from only two time points (baseline and final) and a linear rate is therefore assumed. However, changes in biomarker level and UPDRS scores are not necessarily linear. Without data points between baseline to final, we cannot know the nature of the curve of progression, and therefore approximations of the rates of change are made using a linear model.

It should also be emphasized that, while the correlations between CSF markers and UPDRS changes identified in this study are statistically impressive, i.e., these disease related proteins are likely to be important in biological PD progression, the rate versus rate correlations should be interpreted with caution because these correlations are between indices that have a common component (study period) [26]. Additionally, it is clear that the correlations between any of the markers assessed with UPDRS scores, total or motor, are not robust enough to be practically useful in a clinical setting, at least in the time frame assessed (about two years). On the other hand, as discussed in several previous studies [54,64], there are several reasons why the correlation between the clinical evaluation (UPDRS) and a CSF marker may not be strong. First, the relationship between worsening UPDRS scores and progressive degeneration of the nigrostriatal system and other brain regions is unlikely to be linear because of compensatory increases in the levels of dopaminergic terminals or D-2 receptors per cell in surviving cells when nigrostriatal dopaminergic neurons degenerate in the basal ganglia. This is indeed one of the reasons that certain biochemical markers may more accurately reflect nigrostriatal degeneration. In the same line of argument, while the DEP treatment is known to mask PD symptoms (UPDRS scores) [41,57], it did not affect CSF markers investigated in this study. Note that although the final UPDRS measurements and CSF samples were taken after a washout period to minimize DEP masking effects, it is unclear how long the DEP effects last. Finally, a significant amount of pathology in PD affects brain areas other than the nigrostriatal system as PD advances [19]. The clinical evaluation, particularly the UPDRS motor score, best reflects disease progression in the nigrostriatal dopaminergic system, while the CSF biomarkers are usually used as indices of pathology in the whole brain. In other words, in the absence of a “Gold Standard” of pathological progression of PD, it is likely to be difficult to discover CSF progression markers that are robustly correlated with UPDRS scores that are predominantly a measure of progression of movement disabilities.

Finally, it should be noted that the DATATOP trial was not set up for tracking the natural history of disease progression and CSF protein marker changes and thus has inherent limitations. However, using a large human cohort (the largest to date), our data are likely some of the first evidence to suggest that tau is involved in PD development and progression, even in the early disease stages at which DATATOP subjects were enrolled. Indeed, tau has been listed as one of the Tier 1 markers (along with α -synuclein) in the currently active large prospective PPMI study [31]. In other words, our results will certainly help other investigators to interpret the data to be gathered in the PPMI cohort (a validation, not discovery, cohort as designed) in future studies. Another limitation is that very limited data is currently available to describe the status of known PD-related gene mutations in DATATOP subjects. However, a few observations strongly suggest that the DATATOP cohort is largely a sporadic cohort; these include: 1) PD-causing gene mutations are usually rather rare in typical clinical cohorts like the DATATOP; 2) in DATATOP subjects, the frequencies of some relatively common gene mutations (e.g., *LRRK2* G2019S and Y1699C) are almost identical to those reported previously in Western European “sporadic PD” cohorts [30]; and 3) among the 403 subjects included in the current study, there are only 62 early-onset patients (age at onset <51) - a rate that is not very different from those reported in regular PD clinics. That said, there is data suggesting that in such early-onset patients, a

population that may have a higher proportion of familial PD compared to the general PD population, 16.6% of them may carry one of the known PD-related mutations [2]. Thus, without complete genotyping data, we cannot exclude the possibility that the presence of certain gene mutations, though rare in the cohort, may further confound the results.

Taken together, in this investigation, using the largest longitudinal PD cohort, CSF biomarkers A₄₂, t-tau, and p-tau were evaluated as a function of PD severity and progression. The main results are higher baseline CSF p-tau/t-tau and p-tau/A₄₂ associated with slower rates of change in UPDRS total and motor scores. Additionally, increased changes in t-tau and t-tau/A₄₂ ratio tracked faster disease progression estimated by UPDRS scores, suggesting that at least tau may play important mechanistic roles in early PD progression and longitudinal alterations in these CSF biomarkers might contribute to assist monitoring disease progression independently. These results, if validated in another large longitudinal cohort, could have a profound impact on the current understanding of PD pathogenesis and novel PD therapies. On the other hand, the changes in all biochemical markers measured over two years of period, though statistically significant (and likely pathologically important), are relatively small, indicating that CSF tau and A₄₂ species will have to be used in combination with other markers to clinically assess PD progression.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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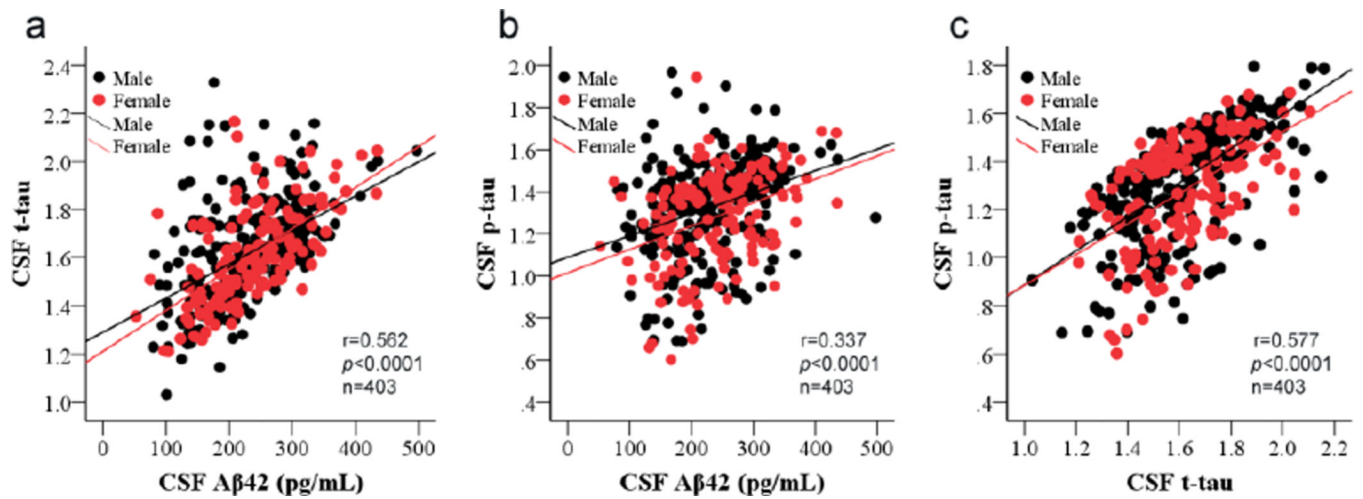


Figure 1. Correlations of CSF A β ₄₂, t-tau, and p-tau levels at baseline

CSF amyloid beta 1–42 (A β ₄₂), total tau (t-tau) and phosphorylated tau [181P] (p-tau) concentrations were measured in 403 DATATOP subjects at the baseline using Luminex assays. CSF t-tau and p-tau were log transformed due to non-normal distribution. (a) CSF A β ₄₂ and t-tau ($r=0.562$, $p<0.0001$); (b) CSF A β ₄₂ and p-tau ($r=0.337$, $p<0.0001$); (c) CSF t-tau and p-tau ($r=0.577$, $p<0.0001$).

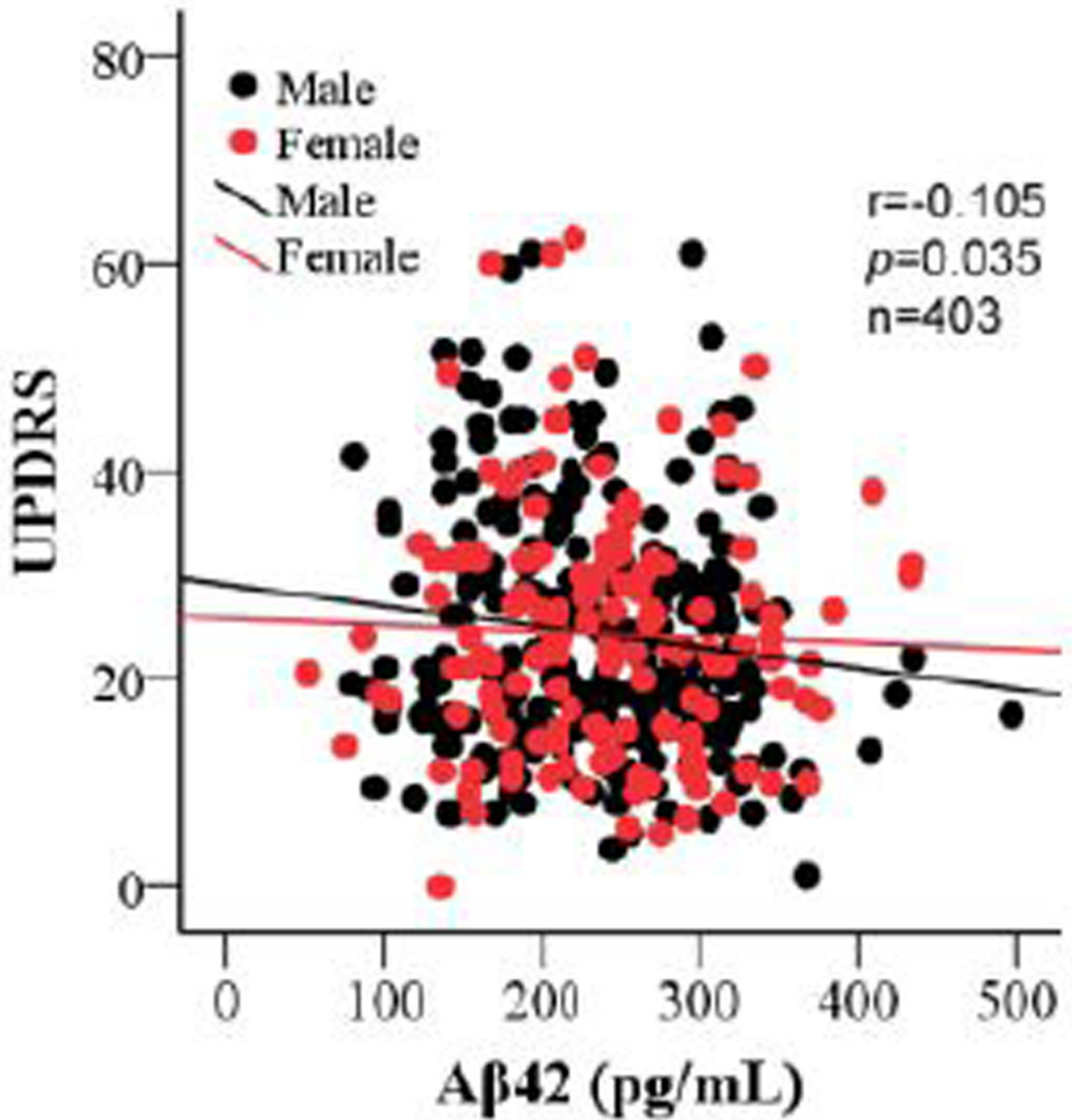


Figure 2. Correlations of CSF A₄₂ with UPDRS total score at baseline
The baseline CSF amyloid beta 1–42 (A₄₂) concentrations correlated with the baseline UPDRS total scores when controlling for age and sex of the subjects ($r = -0.105$, $p = 0.035$).

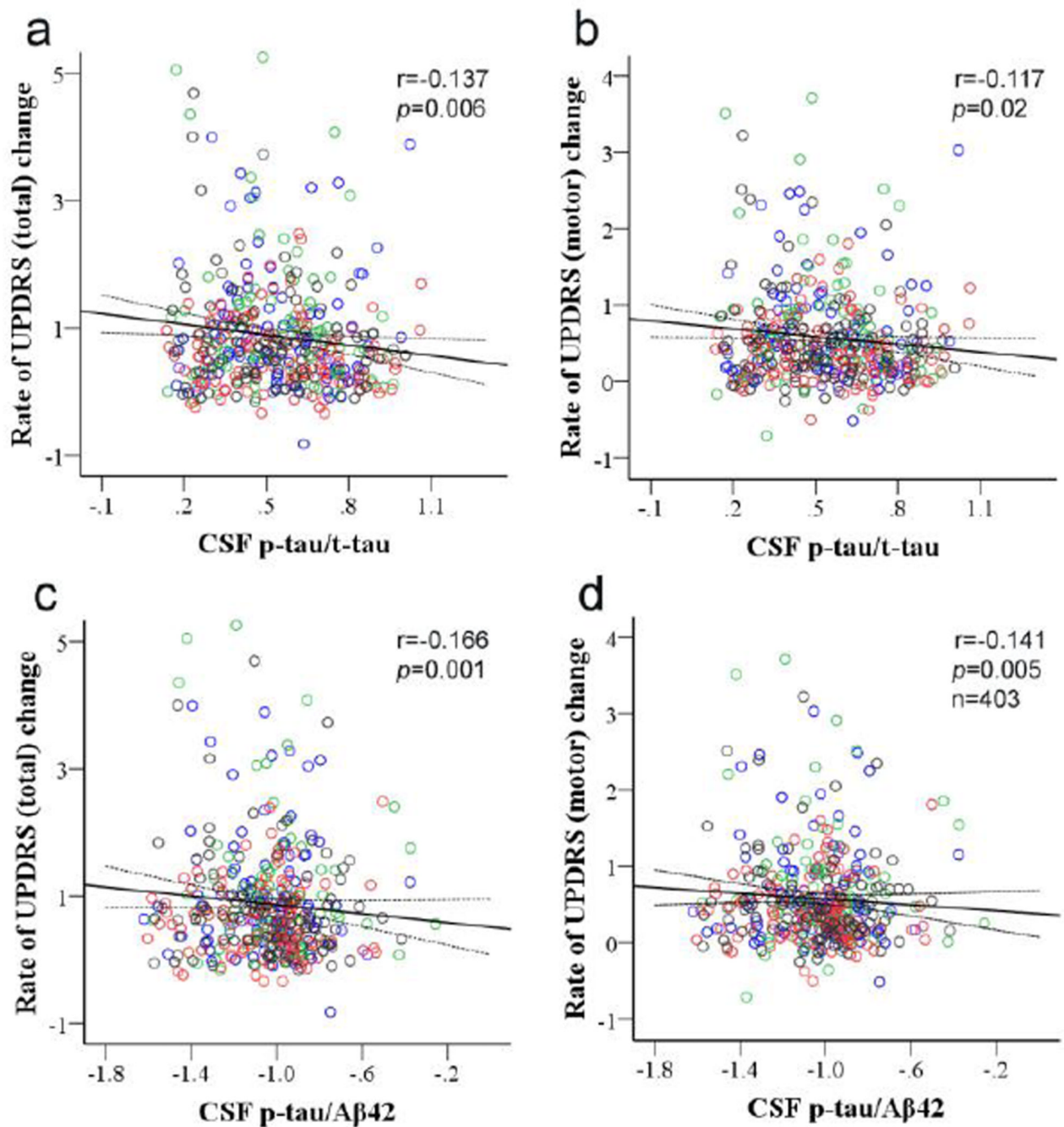


Figure 3. Correlation of baseline CSF p-tau/t-tau or p-tau/A₄₂ and rate of UPDRS changes between baseline and final time points

CSF amyloid beta 1–42 (A₄₂), total tau (t-tau) and phosphorylated tau [181P] (p-tau) concentrations were measured in 403 DATATOP subjects using Luminex assays. CSF p-tau/A₄₂ was log transformed due to non-normal distribution. The baseline p-tau/t-tau significantly correlated with the rate of total (a; $r = -0.137$, $p = 0.006$) or motor (b; $r = -0.117$, $p = 0.02$) UPDRS change (average change per month) between baseline and final time points. Similar correlations were also observed between the baseline p-tau/A₄₂ and the rate of total (c; $r = -0.166$, $p = 0.001$) or motor (d; $r = -0.141$, $p = 0.005$) UPDRS change. Subjects in the placebo group are marked in blue, subjects in the deprenyl (DEP)-treated group are in red,

subjects in the α -tocopherol (TOC)-treated group are in green, and subjects treated with both drugs (TOC/DEP) are in black. The treatments did not show significant effects on the correlations.

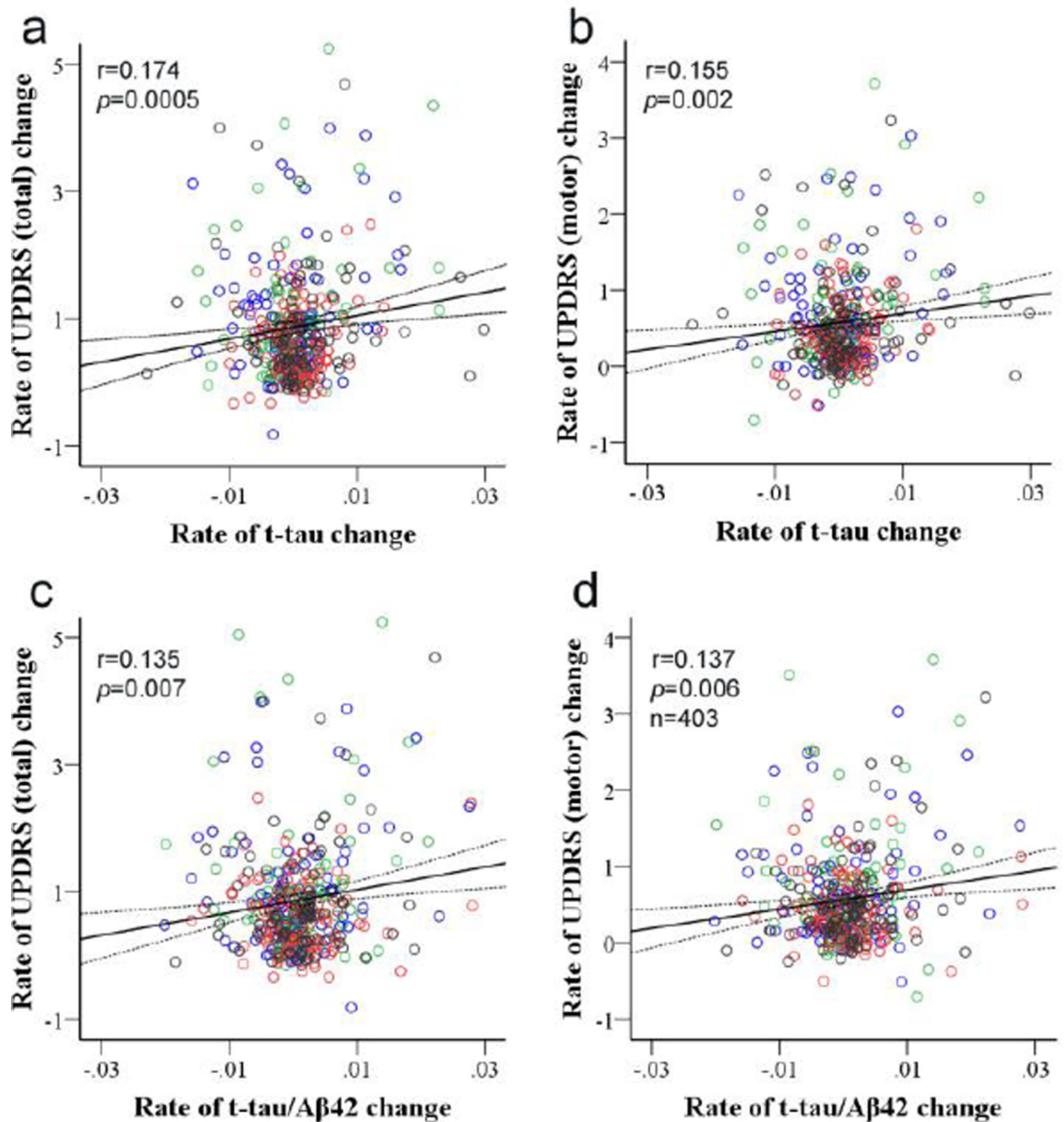


Figure 4. Correlation of rate of CSF t-tau or t-tau/A₄₂ changes and rate of UPDRS changes between baseline and final time points

CSF amyloid beta 1–42 (A₄₂), total tau (t-tau) and phosphorylated tau [181P] (p-tau) concentrations and UPDRS total and motor scores were measured in 403 DATATOP subjects at baseline and final time points. CSF t-tau and t-tau/A₄₂ were log transformed due to non-normal distribution. The rate of change (average change per month) in CSF t-tau significantly correlated with the rate of total (a; $r=0.174$, $p=0.0005$) or motor (b; $r=0.155$, $p=0.002$) UPDRS change. Similar correlations were also observed between the change in CSF t-tau/A₄₂ and the change in total (c; $r=0.135$, $p=0.007$) or motor (d; $r=0.137$, $p=0.006$) UPDRS. Subjects in the placebo group are marked in blue, subjects in the deprenyl (DEP)-

treated group are in red, subjects in the α -tocopherol (TOC)-treated group are in green, and subjects treated with both drugs (TOC/DEP) are in black. The treatments did not show significant effects on the correlations.

Table 1

Demographics and CSF marker values at baseline

Number of cases	403
Sex, F/M (% of male)	142/261 (54.4)
Age, yr	
Mean \pm SD	60.93 \pm 9.18
Range	34 – 79
Duration of disease, year	
Mean \pm SD	2.04 \pm 1.40
Range	0 – 7
Baseline MMSE	
Mean \pm SD	28.87 \pm 1.45
Range	23 – 30
Baseline UPDRS total	
Mean \pm SD	24.34 \pm 11.72
Range	0 – 63
Baseline UPDRS motor	
Mean \pm SD	16.31 \pm 8.81
Range	0 – 50
Baseline Hoehn and Yahr	
Mean \pm SD	1.6 \pm 0.5
Range	1 – 3
Baseline CSF A₄₂ (pg/mL)	
Mean \pm SD	236.14 \pm 74.99
Range	52.14 – 670.08
Baseline CSF t-tau (pg/mL)	
Mean \pm SD	47.03 \pm 25.50
Range	11 – 214
Baseline CSF p-tau (pg/mL)	
Mean \pm SD	23.34 \pm 11.89
Range	3.99 – 93.11
Baseline CSF p-tau/t-tau	
Mean \pm SD	0.54 \pm 0.21
Range	0.14 – 1.06
Baseline CSF t-tau/A₄₂	
Mean \pm SD	0.21 \pm 0.12

Number of cases	403
Sex, F/M (% of male)	142/261 (54.4)
Range	0.08 – 1.21
Baseline CSF p-tau/A₄₂	
Mean ± SD	0.11 ± 0.06
Range	0.02 – 0.55

A₄₂, amyloid beta peptide 1–42; CSF, cerebrospinal fluid; MMSE, Mini Mental State Examination; p-tau, phosphorylated tau; t-tau, total tau; UPDRS, Unified Parkinson Disease Rating Scale.