

# NIH Public Access

**Author Manuscript** 

Biol Psychol. Author manuscript; available in PMC 2014 October 01.

Published in final edited form as: *Biol Psychol.* 2013 October ; 94(2): 255–262. doi:10.1016/j.biopsycho.2013.06.007.

# Evening-type military veterans report worse lifetime posttraumatic stress symptoms and greater brainstem activity across wakefulness and REM sleep

**Brant P. Hasler**<sup>a</sup>, **Salvatore P. Insana**<sup>a</sup>, **Jeffrey A. James**<sup>b</sup>, and **Anne Germain**<sup>a</sup> <sup>a</sup>Department of Psychiatry, University of Pittsburgh School of Medicine, Pittsburgh, PA

<sup>b</sup>University of Pittsburgh School of Medicine, Department of Radiology

# Abstract

Evening chronotypes exhibit increased rates of affective dyregulation and sleep disturbances (e.g., insomnia and nightmares). Such symptoms are common to military veterans with posttraumatic stress disorder (PTSD); however, the influence of chronotype on this population remains unknown. We examined behavioral, psychological, and neural correlates of chronotype in 36 combat-exposed military veterans with varying degrees of posttraumatic stress symptomatology. We employed FDG-PET to assess neural activity across wakefulness and rapid eye movement (REM) sleep. We used polysomnography and diaries to monitor sleep, and a self-report survey to measure chronotype. Eveningness was associated with greater lifetime PTSD symptoms, more disturbed sleep, and more frequent and intense nightmares. Eveningness was also associated with greater brain activity in posterior cingulate/precuneus and brainstem regions across wakefulness and REM sleep, overlapping with regions related to arousal and REM sleep generation. Chronotype may be an important correlate of neural activity in REM sleep-generating and/or arousal regulatory regions among combat-exposed veterans with PTSD symptoms. Further investigations of the role of chronotype in PTSD are warranted.

### Keywords

imaging; chronotype; sleep; PTSD; veterans; nightmares

# INTRODUCTION

Individual differences in preferred sleep-wake timing, termed *chronotype* or *morningness-eveningness*, are associated with a variety of mental and physical health outcomes (e.g., (Broms et al., 2011; Gau et al., 2007; Mongrain, Carrier, & Dumont, 2006; Negriff, Dorn, Pabst, & Susman, 2011; Randler, 2011; Urban, Magyarodi, & Rigo, 2011)). Specifically, the eveningness end of the chronotype spectrum is linked to affective and behavioral

#### FINANCIAL DISCLOSURES

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Correspondence and reprint requests may be sent to: Anne Germain, Ph.D., University of Pittsburgh School of Medicine, Department of Psychiatry, 3811 O'Hara Street, Pittsburgh, PA 15213; Phone: 412-246-6436; Fax: 412-246-5300; germax@upmc.edu.

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Drs. Hasler, Insana, and Germain, as well as Mr. James, report no biomedical financial interests or potential conflicts of interest.

dysregulation, including depression and sleep disturbances such as insomnia and nightmares (e.g.,(Drennan, Klauber, Kripke, & Goyette, 1991; Gau, et al., 2007; Merikanto et al., 2012; Nielsen, 2010)). Chronotype may be relevant to combat-exposed military veterans, who often exhibit posttraumatic symptoms including affective disturbances, insomnia, and nightmares (Germain, in press; Germain, Buysse, & Nofzinger, 2008). However, the influence of chronotype on combat-exposed military veterans with posttraumatic symptoms remains unknown.

To date, eveningness has been most consistently associated with depression (unipolar and bipolar) and substance abuse (Adan, 1994; Broms, et al., 2011; Drennan, et al., 1991; Hasler, Allen, Sbarra, Bootzin, & Bernert, 2010; Randler, Stadler, Vollmer, & Diaz-Morales, 2012; Tonetti et al., 2010); suggesting dysregulated affect and motivation. We recently extended these findings and identified differences in affective circuitry between morning- and evening-types among a sample of adults with primary insomnia (Hasler et al., 2012). The evening-type insomniacs showed blunted daily patterns in positive affect and corresponding altered relative regional glucose metabolism within the medial prefrontal cortex (mPFC).

Recent evidence also links eveningness to more frequent and intense nightmares (Nielsen, 2010; Selvi et al., 2012). This link suggests that the affective dysregulation, which characterizes evening-types' waking state, may also extend to the rapid-eye movement (REM) sleep state during which nightmares primarily occur. The dorsolateral prefrontal cortex (dlPFC) is relatively deactivated during REM sleep, while medial prefrontal cortex and subcortical limbic areas show activation levels that are similar to, or even exceed, activation that is observed during wakefulness (Braun et al., 1997; Maquet et al., 1996; Nofzinger, Mintun, Wiseman, Kupfer, & Moore, 1997). Hypoactivity of the mPFC, which provides top-down modulation of the subcortical limbic regions including the amygdala, may facilitate the occurrence of nightmares (Germain, et al., 2008; Germain et al., 2013). Evening-types who experience diminished mPFC activity during REM sleep may thus be at particular risk of nightmares.

In the present analyses, we examined correlates of chronotype among military veterans with varying degrees of posttraumatic stress symptomatology. We used [18F]-fluoro-2-deoxy-Dglucose positron emission tomography (FDG-PET) to examine the relative regional cerebral metabolic rate of glucose (rCMRglc), as an index of brain activity, during wakefulness and REM sleep. We monitored sleep using both sleep diaries and polysomography (PSG), the gold standard for objective assessment of sleep continuity and sleep architecture. The first aim was to investigate the relationship between chronotype, psychological functioning, and sleep in military veterans with posttraumatic stress symptoms. We hypothesized that eveningness would be associated with greater depression, worse insomnia, and more nightmares. The second aim was to investigate the relationship between chronotype and brain activity during REM sleep. We hypothesized that eveningness would be associated with diminished rCMRglc in prefrontal regions during REM sleep, thus paralleling the hypothesized increased frequency of nightmares. Finally, the third aim was to evaluate the relationship between chronotype and PFC activity during wakefulness. We hypothesized that greater eveningness would be associated with lower rCMRglc in prefrontal regions during wakefulness, which may reflect reduced regulatory control that could account for psychological dysregulation.

#### **METHODS**

#### **Participants**

These data came from a study that was designed to examine the neurobiological correlates of posttraumatic stress disorder during sleep among combat-exposed military veterans. The study was approved by the University of Pittsburgh, Institutional Review Board, the Human Use Subcommittee of the Radioactive Drug Safety Committee, and the Human Research Protection Office of the Department of Defense. All participants provided written, informed consent.

Participants included thirty-six military returnees from Operation Iraqi Freedom and Operation Enduring Freedom (Table 1). Participants exhibited a range of posttraumatic stress symptomatology, from mild to severe, and 24 participants met criteria for Posttraumatic Stress Disorder (PTSD) as based on the Clinician-Administered PTSD Scale (CAPS;(Blake et al., 1995)), the gold standard for PTSD assessment (National Center for PTSD). Exclusion criteria were the presence of psychotic disorders, untreated, unstable psychiatric or medical disorders, bipolar disorder, and active substance abuse and/or dependence in the past 3 months. No participants carried current mood disorder diagnoses; four participants had histories of major depression. Furthermore, participants were asked to limit alcohol use to 2 drinks per day throughout the study, and breathalyzers and urinary drug screens were employed to confirm abstinence from alcohol and illicit drug use prior to each sleep/PET study. Participants were also excluded if they had an apnea-hypopnea index >15 or periodic limb movement arousal index >15 on the PSG screening night.

#### Study design

Participants completed screening/baseline procedures that included a diagnostic interview, a physical examination, magnetic resonance imaging, and a laboratory-based sleep screen. The one-night sleep screen was completed at the University of Pittsburgh Neuroscience Clinical & Translational Research Center (N-CTRC), and served as an adaptation night. Participants completed a number of self-report measures (described below) during screening/baseline procedures, including completing a sleep diary for up to 10 consecutive nights. Eligible participants returned to the sleep laboratory for four consecutive PSG sleep study evaluations, one waking PET scan, one NREM sleep PET scan, and one REM sleep PET scan. Sleep schedules in the laboratory were based on habitual sleep schedules as measured by the previously obtained sleep diaries. Night 1 served as the baseline night. The next morning, 2 to 4 hours after awakening, participants underwent the waking PET scans. The NREM sleep PET scans occurred on the Night 2 (data not reported here). Night 3 was used as a recovery night from the mild sleep disruption induced by the scanning procedures conducted on Night 2. The REM sleep PET scans occurred on Night 4; [18F]-FDG was injected at the onset of the 2<sup>nd</sup> REM sleep period.

#### Measures

During the screening/baseline evaluation, participants completed the Composite Scale of Morningness (CSM;(Smith, Reilly, & Midkiff, 1989) to assess self-reported chronotype. The CSM includes 13 Likert-type items that are summed to obtain a total score ranging from 13 (extreme eveningness) to 55 (extreme morningness). The CSM has sound psychometric properties (Caci et al., 2005; Guthrie, Ash, & Bendapudi, 1995; Smith, et al., 1989) and CSM scores correlate with both diary- and actigrapy-derived sleep-wake timing (Randler, 2009; Thun et al., 2012). Participants also completed the Pittsburgh Sleep Quality Index (PSQI;(Buysse, Reynolds, Monk, Berman, & Kupfer, 1989)) to assess global sleep quality, and the Pittsburgh Sleep Quality Index Addendum for PTSD (PSQI-A;(Germain, Hall, Krakow, Katherine Shear, & Buysse, 2005; Insana, Hall, Buysse, & Germain, in press)) to

assess disruptive nocturnal behaviors (e.g., nightmares) related to PTSD. Participants completed a sleep diary, which included items on bedtime, lights out (the time participants closed their eyes with their intention to fall asleep), sleep onset, sleep offset, sleep onset latency (interval from lights out until sleep onset), and wakefulness after sleep onset (amount of wakefulness between sleep onset and sleep offset).

Participants' baseline levels of depression symptoms were assessed via the Beck Depression Inventory (BDI-1A;(Beck, Steer, Ball, & Ranieri, 1996)).

A trained Master's or doctoral level study personnel administered the CAPS to assess posttraumatic stress symptom severity over two timeframes—past month and lifetime. The CAPS includes an item about nightmares (frequency and intensity) that was analyzed separately for certain analyses discussed below.

#### Polysomnographic sleep assessment

The PSG montage included bilateral central and occipital electroencephalography (EEG) channels, electro-oculogram, submentalis electromyogram, and electrocardiogram. EEG signals were recorded at 20-second epochs, were digitized at 256 Hz, decimated to 128 Hz, and sleep stages were visually scored according to Rechtschaffen and Kales criteria (Brunner et al., 1996; Rechtschaffen & Kales, 1968; Vasko et al., 1997). Measures of interest included sleep onset latency, wake after sleep onset, and total sleep time. We also examined three REM-related variables: *REM fragmentation* (number of occurrences of consecutive epochs of wake and/or NREM within REM periods immediately preceded by and followed by an epoch of REM), *REM latency* (number of minutes from sleep onset to the first REM period), and *REM density* (REM counts/minute). We focused on PSG data from the baseline night (Night 1) for the present analyses. We also used REM density during the uptake period of the REM scans for the correlation analyses below.

#### Magnetic resonance and PET study procedures

Prior to the PET procedures, all participants underwent a brain magnetic resonance (MR) scan on a Siemens 3T Trio scanner. The following axial series was oriented to the anterior commissure-posterior commissure line: fast spin-echo T2-weighted images (TE/TR=104/4660ms, FOV 18×24cm, 46 slices, 3.6mm slices), proton density-weighted images (TE/TR=23/4050ms, FOV 18×24cm, 46 slices, 3.6mm slices) and fast fluid-attenuated inversion recovery images (TE/TR/TI=90/9160/2500ms, FOV 21.2×25.6cm, 48 slices, 3mm slices). A volumetric MPRAGE sequence was acquired in the sagittal plane (TE/TR=2.98/2300ms, flip angle=9°, FOV 24×25.6cm, 160 slices, 1.2mm slices). MR data was registered with PET data using Automated Image Registration.

At the start of each PET study (morning wakefulness and REM sleep), two intravenous catheters were placed, one in each arm, with normal saline infused at the minimal rate to keep the vein open. The radioligand was injected through one catheter, and the other catheter was used to sample glucose and radioactivity. These PET procedures were originally described by Nofzinger and colleagues (Nofzinger et al., 1998).

For the wake PET scan (2–4 hours post-waking), participants lay supine with their eyes closed while their wakefulness was continuously monitored with PSG. For the REM sleep PET scan, [18F]-FDG was injected at the onset of the 2nd REM sleep period, consistent with previously-validated methods (Nofzinger, et al., 1997; Nofzinger et al., 1999). After 20 minutes of PSG-monitored wakefulness and at the beginning of the second REM sleep interval, an intravenous bolus of [18F]-FDG (M dose=6.52±1.66 mCi) was injected. Following the 20-minute uptake period during each respective state, participants were transported to the PET Center for a10–15 minute transmission scan and a 60-minute

emission scan. PET studies were conducted on a Siemens/CTI ECAT HR+ PET scanner with a Neuro-insert (CTI PET Systems, Knoxville, TN) in 3D mode. PET images were reconstructed using standard commercial software as 63 2.4-mm transaxial slices. The estimated full-width half-maximum resolution of reconstructed images was 6 mm in the transverse plane.

#### **Data Analysis**

**Analysis of clinical and sleep data**—All analyses were conducted within SPSS 18.0. Non-normal sleep variables were transformed prior to statistical analysis as needed (Tables 2 and 3). In order to minimize Type II errors due to the small sample size, we report all results, highlighting which findings survive Bonferroni correction for multiple comparisons (requiring a p<0.0025; =0.05/20 planned comparisons).

**Analysis of neuroimaging data**—High-resolution structural MR images were aligned along the AC-PC line and medial longitudinal fissure using Statistical Parametric Mapping version 8 (SPM8; http://www.fil.ion/ucl/ac/uk/spm/software/spm8/), then normalized to the ICBM 152 template (Montreal Neurological Institute) via the unified segmentation technique (Ashburner & Friston, 2005). The FDG images were aligned and averaged over 60 to 90 minutes post-injection (six 5-minute frames) via established methods (Woods, Mazziotta, & Cherry, 1993). Summed FDG images were co-registered to their corresponding structural MR and the previously obtained transformation parameters applied. Normalized FDG images were smoothed using a 10 mm FWHM Gaussian filter.

Whole-brain voxel-wise regression models ran in SPM8 were calculated to test whether there was an association between chronotype (CSM scores) and rCMRglc. Although the prior literature suggests that candidate regions (e.g., prefrontal areas) may be related to chronotype, given the novelty of our sample and methodology (i.e., scan during REM sleep), we focused on whole-brain voxel-wise approaches rather than region-of-interest analyses. We included age, sex, and the CAPS-lifetime score as covariates in all analyses; the latter covariate was included in order to account for the cumulative effect of posttraumatic stress symptoms. We applied a height threshold of p<0.01, minimum extent of 50 contiguous voxels, and corrected cluster-level threshold of p<0.05 (familywise error rate) to these analyses. After identifying clusters of interest, we extracted their mean rCMRglc value using the MATLAB toolbox *rex* (web.mit.edu/swg/rex/rex.pdf) for correlation analyses within SPSS 18.0. Given potential time-of-day effects on brain activity, we also explored correlations between rCMRglc and scan time during wakefulness and REM sleep.

# RESULTS

#### Sample characteristics (Table 1)

Participants spanned the continuum of chronotype (CSM), falling on average into the intermediate range between extreme evening- and morning-types (13–26=evening-type; 27–41=intermediate-type; 42–55=morning-type; (Natale & Alzani, 2001)). On average, the sample exhibited subclinical levels of depression symptoms (BDI <10) and significant symptoms of posttraumatic stress according to the CAPS, particularly when considering the lifetime timeframe. Based on the CAPS nightmare item, the sample reported more frequent and intense nightmares over the lifetime timeframe than over the past month. The sample reported poor overall sleep quality (mean PSQI >5). On average, the sample reported mild levels of PTSD-related disruptive nocturnal behaviors according to the PSQI-A (mean PSQI-A < 4).

#### Diary-based sleep (Table 1)

Participants completed the diary for a mean (± SD) of 6.50±1.06 days. Based on mean diary data, the sample did not report clinically significant symptoms of insomnia (both sleep onset latency and wake after sleep onset were <30 minutes, sleep efficiency >85%).

#### Polysomnography (PSG; Table 1)

PSG- and diary-based sleep parameters were similar, suggesting that the diary data was an accurate reflection of participants' sleep outside the laboratory. The mean REM latency was  $80.48 \pm 40.42$  minutes, the mean REM fragmentation was  $6.67 \pm 4.01$ , and the mean REM density was 8.07±4.30 counts/minute.

We used PSG to confirm that participants were predominantly in REM sleep or wakefulness during the respective FDG-PET uptake periods. Participants spent 94.64±9.16% of the first 15 minutes post-injection in REM sleep, and 95.52±3.48% of the first 15 minutes postinjection awake, during the REM sleep and waking FDG-PET uptake periods, respectively.

#### Correlations between chronotype, clinical, and sleep measures

Clinical measures—Greater eveningness correlated with higher levels of ifetime posttraumatic stress symptoms (CAPS-lifetime; r=-0.418, p=0.015).<sup>1</sup> This correlation was not driven by greater sleep disturbance among evening-types, as it remained unchanged after removing the insomnia item (r=-0.415, p=0.016). Chronotype did not significantly correlate with past month's posttraumatic stress symptoms (CAPS-past month; r=-0.23, p=0.20). Contrary to our expectations, chronotype was not significantly associated with depression (BDI; r=-0.26, p=0.15), nor was it significantly associated with age (r=0.28, p=0.10).

Greater eveningness was unrelated to overall sleep quality over the past month (PSQI; r= -0.04, p=0.81), but was associated with the extent of PTSD-specific nocturnal disturbances at a trend-level (PSQI-A; r=-0.29, p=0.083). Greater eveningness was also associated with more frequent and intense nightmares, as measured by the nightmare item from the CAPS (lifetime: r=-0.41, p=0.019; past month: r=-0.38, p=0.036).

None of the correlations between chronotype and posttraumatic stress symptoms, depression, or sleep quality survived the strict Bonferroni correction for multiple comparisions (p < 0.0025).

Diary-based sleep measures (Table 2)—Greater eveningness correlated with later sleep timing (onset and offset) and longer sleep onset latency based on diary measures, but was not correlated with wake after sleep onset, sleep efficiency, or total sleep time.

Polysomnography-based sleep measures (Table 3)—Similar to the diary findings, greater eveningness correlated with longer sleep onset latency based on PSG. Greater eveningness was also significantly associated with less REM fragmentation, but was not correlated with REM density or REM latency.

#### Chronotype and brain activity during wakefulness

Eveningness was positively associated with rCMRglc during wakefulness in three clusters (Figure 1A), after accounting for age, sex, and CAPS-lifetime score<sup>2</sup>. The first cluster was composed of 2,163 voxels (peak voxel [6,-28,-16], t=5.14, p<0.001, cluster-p<sub>FWF</sub>=0.02)

<sup>&</sup>lt;sup>1</sup>Note that higher CSM scores indicated greater morningness, or less eveningness, and thus positive correlations between eveningness and clinical measures are expressed as negative r-values. <sup>2</sup>We also ran the same models using the CAPS-past month total score as a covariate; results were unchanged.

and extended into the brainstem, midbrain, and thalamus. The second cluster was composed of 1,785 voxels (peak voxel [-16,-42,36], t=4.63, p<0.001, cluster-p<sub>FWE</sub>=0.04) and extended into the left precuneus and dorsal portion of the posterior cingulate cortex. The third cluster was composed of 1,761 voxels (peak voxel [32,-34,28], t=4.15, p<0.001, cluster-p<sub>FWE</sub>=0.04) that extended into the right precentral gyrus and inferior parietal lobe. There were no clusters in which rCMRglc during wakefulness were significantly associated with greater morningness.

#### Chronotype and brain activity during REM sleep

We observed a similar pattern of associations between chronotype and rCMRglc during REM sleep. Greater eveningness was significantly associated with greater rCMRglc in two clusters (Figure 1B), after accounting for age, sex, and CAPS-lifetime score<sup>2</sup>. The first cluster was composed of 3,378 voxels (peak voxel [-8, -26, -20], t=7.04, p<0.001, cluster-p<sub>FWE</sub>=0.001) and extended into the brainstem, midbrain, and thalamus. The second cluster was composed of 2,197 voxels (peak voxel [-12, -44, 36], t=4.35, p<0.001, cluster-p<sub>FWE</sub>=0.001) and extended into the left precuneus and dorsal posterior cingulate cortex.

#### Brainstem cluster and REM density analyses

The eveningness-associated brainstem cluster that we previously identified during REM sleep appeared to coincide anatomically with the known mesopontine REM-generating brainstem regions (i.e., the pedunculopontine [PPT] and laterodorsal tegmental nuclei [LDT]). Lacking the necessary measures (e.g., radioligands for acetylcholine) to confirm this anatomical colocalization, we instead sought supporting evidence by performing additional analyses to explore whether this eveningness-associated brainstem cluster might also be associated with REM density, which served as an index of REM-generating activity.

First, a REM density brain model was calculated. We ran a whole-brain voxel-wise regression model testing whether REM sleep rCMRglc was associated with PSG-measured REM density. We included age and sex as covariates. As shown in Figure 2A, REM density was significantly associated with greater rCMRglc in a 4,010 voxel cluster (peak voxel [-8,-32,-14], t=4.27, p<0.001, cluster-puncorr=0.01) and a 2,880 voxel cluster (peak voxel [54,14,-4], t=3.85, p<0.001, cluster-puncorr=0.03). The first cluster included portions of the brainstem, midbrain, and cerebellum. The second cluster was restricted to the right hemisphere, and included portions of the inferior frontal gyrus, superior temporal gyrus, and insula. Second, using the results of the REM density model as a mask in the subsequent analysis, we investigated the overlap between the REM density model and brain areas associated with chronotype. We ran a new SPM model testing whether chronotype was associated with REM sleep rCMRglc in any clusters within this mask from the REM density model. Age, sex, and CAPS-lifetime score were included as covariates. Greater eveningness was associated with an 833 voxel cluster (peak voxel [-8, -26, -20], t=7.04, p<0.001, cluster-puncorr=0.02) encompassing portions of the brainstem and midbrain (Figure 2B). These preliminary data support our post-hoc hypothesis that greater eveningness would be associated with higher rCMRglc in brainstem regions implicated in REM sleep generation.

#### Brain activity correlations with chronotype, clinical, and sleep measures

For the following correlation analyses, we extracted mean rCMRglc values from the brainstem and precuneus/PCC clusters that were associated with eveningness during wakefulness and REM sleep, yielding four variables (i.e., both clusters within both states, as described in the preceding section). In order to focus on the brainstem regions most relevant to REM-generating mechanisms in the REM sleep scan, we used rCMRglc values from the cluster masked by the REM density model–rather than from the initial unmasked cluster. The mean rCMRglc in this cluster (Figure 2B) was more strongly correlated with

eveningness (r=-0.70, p<0.001) than the broader unmasked brainstem cluster (r=-0.59, p<0.001; Figure 1B). We did not similarly mask the eveningness-associated brainstem cluster from the waking scan (Figure 1A) because we did not have any theoretical bases to focus on REM-generating mechanisms during wakefulness. Higher mean brainstem rCMRglc during wakefulness was moderately correlated with greater eveningness (r=-0.58, p<0.001).

The extracted mean CMRglc values from the precuneus/PCC clusters (Figures 1A/B) showed strong correlations with chronotype (i.e., higher CMRglc-greater eveningness) during wakefulness (r=0.73, p<0.001) and REM (r=0.69, p<0.001).

During both wakefulness and REM sleep, brainstem and precuneus/PCC rCMRglc generally correlated with the time of the respective scan, as based on the FDG injection time (see Supplement). Given the high degree of covariation between chronotype and scan time, we elected to not include scan time as a covariate in subsequent analyses.

**Clinical measures**—Mean brainstem rCMRglc (waking and REM) did not significantly correlate with measures of depression (BDI) or posttraumatic symptoms (CAPS). Mean brainstem rCMRglc (waking and REM) was also unrelated to disruptive nocturnal behaviors (PSQI-A), and overall sleep quality (PSQI). Mean precuneus rCMRglc (waking and REM) did not correlate with any clinical measures.

**Diary-based sleep measures (Table 2)**—Higher brainstem rCMRglc during wakefulness and REM sleep both correlated with later sleep timing and longer sleep onset latency based on diary measures. However, they were not correlated with wake after sleep onset, sleep efficiency, or total sleep time. Mean precuneus rCMRglc (waking and REM) did not correlate with any diary-based sleep measures.

**Polysomnography-based sleep measures (Table 3)**—Higher brainstem rCMRglc during wakefulness and REM sleep both correlated with longer sleep onset latency based on PSG. Higher brainstem rCMRglc during wakefulness and REM sleep, respectively, showed significant and trend-level associations with less wake after sleep onset. Finally, higher brainstem rCMRglc during wakefulness and REM sleep both showed significant associations with greater REM density. Mean precuneus rCMRglc (waking and REM) did not correlate with any PSG-based measures.

As reported above, both chronotype and brainstem rCMRglc (waking and REM) were consistently associated with diary- and PSG-based sleep onset latency. Therefore, we speculated that greater activity within the PPT/LDT, and thus greater arousal during wakefulness and REM sleep, might contribute to the longer sleep onset latencies. Exploratory mediation analyses (see Supplement) were generally consistent with this notion.

## DISCUSSION

The present analyses are the first to examine correlates of chronotype in military veterans with posttraumatic stress symptoms. Consistent with previous studies, eveningness was associated with greater affective and sleep disturbances, including subjective (diary) and objective (PSG) sleep measures. However, other symptoms associated with eveningness were novel, particularly an association with greater lifetime symptoms of posttraumatic stress. The FDG-PET scans during wakefulness and REM sleep revealed associations between eveningness and objective measures of neural activity in multiple brain regions, although not within the prefrontal regions that we predicted. Notably, one eveningness-associated cluster encompassed brainstem areas associated with REM sleep generation and

arousal. Taken together, these findings suggest that chronotype may be an important correlate of neural activity in REM-generating and/or arousal regulatory regions among combat-exposed military veterans with PTSD symptoms and/or nightmares.

Our findings complement other recent evidence that veterans with PTSD experience elevated neural activity that persists throughout wakefulness and REM sleep and extends throughout the brain, including the REM-generating and arousal regulatory brainstem regions highlighted in the current findings, as well as limbic regions implicated in fear responses, and striatal areas linked to reward processing (Germain, et al., 2013). Although these studies are limited by cross-sectional designs, as a whole, the data suggest that trauma exposure may be associated with changes throughout sleep, arousal, fear, and reward regulatory systems, and these changes may contribute to PTSD symptoms during both wakefulness (e.g., hypervigilance) and sleep (e.g., nightmares).

Our novel finding that greater eveningness correlated with higher brainstem rCMRglc across wakefulness and REM sleep suggests that eveningness in combat-exposed veterans is associated with chronic elevated arousal across sleep and wake states. Consistent with this interpretation, greater eveningness was also associated with longer sleep onset latency (perhaps due to higher arousal at bedtime interfering with sleep), worse lifetime PTSD symptoms, and nightmares. Both eveningness and brainstem rCMRglc also correlated with later sleep timing, underscoring the apparent importance of the sleep timing aspects of chronotype. Furthermore, our exploratory mediation analyses revealed a possible pathway by which elevated brainstem activity during wakefulness may account for the association between eveningness and longer sleep onset latencies. However, the cross-sectional nature of our data precludes any causal interpretations.

Indeed, our findings raise questions about the extent to which self-reported chronotype reflects trait-like endogenous circadian timing, rather than current sleep-wake behavior. Although chronotype measures tend to correlate with physiological measures of circadian phase (e.g., Duffy et al, 2009), substantial variance remains unaccounted for in these correlations, and other factors (e.g., individual differences in homeostatic sleep drive (Mongrain 2006)) may influence one's preference for sleep-wake timing. A plausible alternative model is that posttraumatic stress symptoms, including hyperarousal (per the greater brainstem rCMRglc) and pre-sleep concern about safety and/or nightmares, lead to difficulty initiating sleep and later sleep/wake timing, which are then misinterpreted as eveningness. Disentangling these models will require inclusion of endogenous measures of internal circadian timing, obviating any concern about whether chronotype reflects a stable trait or the individual's biased interpretation of his or her own current behavior. These measures would also be useful in determining whether the observed correlations between brain activity and scan timing were driven by circadian phase differences (see Supplement).

Consistent with previous studies, eveningness in this sample was associated with more frequent and intense nightmares. In contrast to our initial hypotheses, this association does not appear to be explained by altered PFC function, which could permit greater affective dysregulation during REM sleep and manifest as nightmares. Instead, our data suggest that eveningness is associated with less REM sleep fragmentation and more robust neural activity in REM sleep-generating brainstem regions, which are in turn associated with higher REM density. Accordingly, higher REM density has been previously linked to chronic PTSD in some (Mellman, Nolan, Hebding, Kulick-Bell, & Dominguez, 1997; Ross et al., 1994) but not all studies (e.g., (Engdahl, Eberly, Hurwitz, Mahowald, & Blake, 2000; Germain & Nielsen, 2003). Chronobiological factors may also contribute to increased nightmare generation among more evening-type individuals, such as circadian modulation of REM sleep (Dijk & Czeisler, 1995; Nielsen, 2010), memory consolidation (Nielsen, 2010;

Walker & Stickgold, 2006), and mood (Murray et al., 2009). Altered alignment between sleep-wake timing and internal circadian phase among evening-types may contribute to dysregulation in these processes (Duffy, 1999; Nielsen, 2010; Wittmann, Dinich, Merrow, & Roenneberg, 2006).

The unanticipated association between eveningness and greater activity in a posterior cingulate cortex/precuneus cluster may also be relevant to increased nightmares and lifetime posttraumatic stress symptoms. Recent evidence suggests that the posterior cingulate and/or precuenus may be implicated in dreaming (Desseilles, Dang-Vu, Sterpenich, & Schwartz, 2011; Domhoff, 2011). Although the posterior cingulate cortex and precuneus are typically hypoactive during REM sleep relative to wakefulness (Braun, et al., 1997; Maquet, et al., 1996; Nofzinger, et al., 1997), as noted above, new evidence indicates that waking hypermetabolism in these regions persists into REM sleep in veterans with PTSD (Germain, et al., 2013). Likewise, our findings of increased activity associated with eveningness during both REM sleep and wake states could indicate that more symptomatic evening-types are experiencing chronic activation of these regions. The posterior cingulate cortex and precuneus also appear to be relevant to PTSD (Germain, et al., 2013); however, prior reviews and meta-analyses have diverged on whether these regions are consistently hypo- or hyperactive in PTSD (Bremner, 2002; Hayes, Hayes, & Mikedis, 2012; Patel, Spreng, Shin, & Girard, 2012). Other recent reports have specifically linked the precuneus to dissociative responses (Lanius et al., 2002) and dysregulated memory processes in PTSD (Geuze, Vermetten, de Kloet, & Westenberg, 2008; Hayes et al., 2011).

In contrast to past studies, eveningness was not associated with depression or brain activity in prefrontal regions implicated in affect regulation, but was more clearly associated with measures of posttraumatic stress symptoms, and both subjective and objective elevations in sleep onset latency. Combined with our neuroimaging findings, these data suggest that chronotype may be operating differently among military veterans with posttraumatic stress symptomatology than among previously-studied samples of community-based adolescents and adults. The relationship between stress and chronotype is just starting to gain attention, including a recent paper reporting that sleep quality mediated the association between chronotype and response to a an arithmetic stress-induction task (Roeser, Meule, Schwerdtle, Kubler, & Schlarb, 2012). Furthermore, previous studies have generally presumed that chronotype is a stable, trait-like construct that reflects endogenous internal timing, and consequently have therefore conceptualized eveningness as a precipitating or perpetuating factor for affective and behavioral dysregulation. Morningness, in contrast, has been proposed as a protective factor (e.g., (Gelbmann et al., 2012). As previously noted, our current findings suggest that self-reported eveningness could also be a consequence of such dysregulation, particularly PTSD symptoms, which may delay sleep timing.

Limitations of our study include the cross-sectional design and reliance on self-reported chronotype. Longitudinal studies beginning prior to trauma exposure would best articulate the direction of the relationships between chronotype, sleep, PTSD symptoms, and brain activity. Perhaps a more pragmatic next step would be to retrospectively assess chronotype and sleep timing prior to trauma exposure. Another viable next step would be to assess these relationships during the course of treatment for sleep disturbances such as sleep onset insomnia and nightmares; thus, determining whether chronotype shifted towards morningness with resolution of other symptoms. Finally, our small sample size may limit the generalizability of these findings and increase the risk for Type II error. In particular, the non-significant correlation between chronotype and depression may be partly a function of limited statistical power; the magnitude of both the chronotype-depression and chronotype-age correlations in our sample were consistent with those observed in other studies (e.g.,

(Drennan, et al., 1991; Hasler, et al., 2010; Randler, et al., 2012) and might prove statistically significant with a larger sample size.

This study is the first to report that chronotype is associated with lifetime posttraumatic stress symptoms, sleep, and brain activity among combat-exposed military veterans. As seen in other populations, eveningness was generally associated with worse functioning and altered brain metabolism. However, the specific pattern of eveningness correlates in this sample was unique; particularly, the elevated glucose metabolism in brainstem and precuenus/posterior cingulate regions across both wakefulness and REM sleep. These associations may provide insight into the hyperarousal symptoms characteristic of PTSD. Our findings suggest that further investigations into the role of chronotype in PTSD, including whether it might be a novel target of sleep-based treatment, would be well justified.

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

# Acknowledgments

The authors would like to thank the veterans who participated in this study. The authors would also like to thank the staff of the University of Pittsburgh PET and MR Center for their invaluable technical assistance. This work was supported by a grant from the Department of Defense, W81XWH-08-1-0637-PT073961 (Germain), and by grants from the National Institutes of Health, including R21MH083035 (Germain), T32HL082610 (Buysse), and UL1-RR024153.

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## Highlights

- Chronotype may be relevant to combat-exposed military veterans with PTSD
- We examined the correlates of chronotype in veterans using FDG-PET and PSG
- Chronotype was associated with lifetime PTSD, sleep disturbance, and nightmares
- Chronotype was associated with brainstem rCMRglc across wakefulness and REM sleep

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#### Figure 1.

Relative cerebral glucose metabolism (rCMRglc) associated with eveningness during wakefulness (A) and REM sleep (B) in combat-exposed military veterans with posttraumatic stress symptoms. Sagittal sections correspond to the x-coordinates of the peak voxel for each cluster of interest. Clusters during wakefulness were centered in the precuneus/posterior cingulate, brainstem, and precentral gyrus/inferior parietal lobe (left to right). Clusters during REM sleep were centered in the precuneus/posterior cingulated and brainstem.

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#### Figure 2.

Relative cerebral glucose metabolism (rCMRglc) associated with REM activity (REM counts/minute) during REM sleep. Figure 2A shows rCMRglc associations with REM activity in a whole-brain voxel-wise analysis. Figure 2B shows a brainstem cluster in which rCMRglc was associated with eveningness after masking using the regions associated with REM activity from Figure 2A in a region of interest analysis.

#### Table 1

Demographics, clinical and sleep measures

	Mean ± SD	Range
Age	$28.81 \pm 5.62$	22.18 - 45.84
Sex (male/female)	29/7	
Composite Scale of Morningness	$35.86 \pm 6.38$	22 - 47
Clinician-administered PTSD scale		
Past month	$39.76\pm22.74$	3 - 82
Life time	$59.97\pm30.59$	3 - 107
Past month-nightmare item	$1.65\pm2.23$	0-6
Life time-nightmare item	$3.31\pm2.81$	0 - 8
Beck Depression Inventory	$5.38 \pm 3.97$	0 – 13
Pittsburgh Sleep Quality Index	$6.37 \pm 3.39$	0 - 14
PSQI - Addendum for PTSD	$2.53 \pm 2.21$	0-7
Diary-based sleep parameters		
Lights out	$0{:}04\pm1{:}18$	21:34 - 3:36
Sleep onset	$0{:}27\pm1.21$	21:30 - 3:36
Sleep offset	$7{:}43\pm2{:}02$	5:26 - 15:27
Sleep onset latency (min.)	$23\pm15$	1.20 - 64.2
Wake after sleep onset (min.)	$12\pm13$	0-49.20
Total sleep time (hours)	$6.91 \pm 1.15$	4.93 - 9.76
Sleep efficiency (%)	$92.04 \pm 5.93$	78.53 - 99.44
PSG-based sleep parameters		
Sleep onset latency (min.)	$23.37\pm20.32$	1 - 83
Wake after sleep onset (min.)	$24.21 \pm 15.15$	7 – 76
Total sleep time (hours)	$6.78\pm0.76$	4.81 - 8.18
Sleep efficiency (%)	$89.46 \pm .5.26$	76.48 - 98.00
REM latency (min.)	$80.48 \pm 40.42$	21 - 224
REM fragmentation	$6.67 \pm 4.01$	1 – 16
REM density (counts/min.)	$11.44 \pm 7.14$	0.77 - 26.40

#### Table 2

Correlations with diary-based sleep variables

Variables	1 <sup><i>d</i></sup>	2	3
1. Eveningness (CSM)	_		
2. rCMRglu - brainstem - WAKE	.58 ***, <sup>†</sup>	_	
3. rCMRglu - brainstem - REM	.70 <sup>***,†</sup>	.79 <sup>***,†</sup>	—
4. Sleep onset	.55 **, <sup>†</sup>	.48*	.45*
5. Sleep offset	.63 ***, <sup>†</sup>	.77 ***, <sup>†</sup>	.58 **, <sup>†</sup>
6. Sleep onset latency <sup><math>a</math></sup>	.39*	.41*	.45*
7. Wake after sleep onset $^{b}$	00	.03	.04
8. Sleep efficiency <sup>C</sup>	18	18	21
9. Total sleep time	.23	.38	.19

<sup>a</sup>Ln-transformed;

<sup>b</sup>Sqrt-transformed;

<sup>C</sup>Reverse-scored and Ln-transformed

 $d^{}_{Note}$  that the sign of correlations with the Composite Scale of Morningness are reversed to ease interpretation (positive values now indicate positive correlations with eveningness)

\*\*\* p<0.001;

p < 0.01;

\* p < 0.05;

 $^{\dagger}$  survived Bonferroni correction (p < 0.0025)

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#### Table 3

Correlations with polysomnography-based sleep variables

Variables	1 <sup>c</sup>	2	3
1. Eveningness (CSM) <sup>C</sup>	-		
2. rCMRglu - brainstem - WAKE	.59 ***, <sup>†</sup>	_	
3. rCMRglu - brainstem - REM	.70 <sup>***,†</sup>	.79 ***, <sup>†</sup>	_
4. Sleep latency <sup><i>a</i></sup>	.43 **	.51 **, <sup>†</sup>	.47 **
5. Wake after sleep onset $^{b}$	14	35*	32
6. Total sleep time	02	18	21
7. REM latency	02	11	11
8. REM fragmentation	36*	20	12
9. REM density	.26	.41*	.62 **, <sup>†</sup>

<sup>a</sup>Ln-transformed;

# <sup>b</sup>Sqrt-transformed

 $^{c}$ Note that the sign of correlations with the Composite Scale of Morningness are reversed to ease interpretation (positive values now indicate positive correlations with eveningness)

\*\*\* p < 0.001;

p < 0.01;

p < 0.05;

 $^{\dagger}$  survived Bonferroni correction ( $p\,{<}\,0.0025)$