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## Beyond PSA: The next generation of prostate cancer biomarkers

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### Abstract

Since the introduction of serum prostate specific antigen (PSA) screening twenty-five years ago, prostate cancer diagnosis and management have been guided by this biomarker. Yet, PSA has proven controversial as a diagnostic assay due to its limitations. The next wave of prostate cancer biomarkers has emerged, introducing new assays in serum and urine that may supplement or, in time, replace PSA due to higher cancer specificity. This expanding universe of biomarkers has been facilitated, in large part, by new genomic technologies that have enabled an unbiased look at cancer biology. Such efforts have produced several notable success stories, moving biomarkers from the bench to the clinic rapidly. However, biomarker research has centered on disease diagnostics, rather than prognosis and prediction, which could work toward disease prevention—an important focus moving forward. We review the current state of prostate cancer biomarker research, including the PSA revolution, its impact on early prostate cancer detection, the recent advances in biomarker discovery, and the future efforts that promise to improve clinical management of this disease.

### Introduction

The introduction of biomarkers for disease diagnosis and management has revolutionized the practice of oncology. Biomarkers are molecules whose detection or evaluation provides information about a disease beyond the standard clinical parameters that are routinely gathered by the clinician. Biomarkers can be proteins, metabolites, RNA transcripts, DNA, or epigenetic modifications of DNA, among other alterations. They can be detected through patient tissue samples, obtained either by biopsy or surgical resection, or non-invasively through the isolation of cells and/or molecules from bodily fluids, such as blood or urine. While increasing interest in biomarkers has spurred much research recently, controversies regarding what constitutes a robust biomarker and how to investigate biomarkers clinically remain, and these subjects will be addressed later in this review.

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Broadly, there are seven common clinical roles for biomarkers (1), which address specific clinical questions when managing cancer patients or patients suspected to have a malignancy:

- Disease disposition: What is a patient's risk of developing cancer in the future?
- Screening: Does earlier detection of patients with cancer decrease mortality?
- Diagnostic: Who has cancer? What is the grade of the cancer?
- Prognostic: What clinical outcome is most likely if therapy is not administered?
- Predictive: Which therapy is most appropriate?
- Monitoring: Was therapy effective? Did the patient's disease recur?
- Pharmacogenomic: What is the risk for adverse reaction to the prescribed therapeutic dose?

A few successful examples of cancer biomarkers have emerged that illustrate these categories. For example, the commercially available OncotypeDx gene expression assay serves as prognostic biomarker to help predict breast cancer recurrence (2). Amplification of the human epidermal growth factor receptor 2 (*HER2*) oncogene (3), mutation in v-raf murine sarcoma viral oncogene homolog B1 (*BRAF*) (4), or the presence of a fusion between the echinoderm microtubule-associated protein-like 4 (*EML4*) gene and the anaplastic lymphoma kinase (*ALK*) gene (*EML4-ALK*) (5) are predictive biomarkers for breast cancer (*HER2*), melanoma (*BRAF*), or lung cancer (*EML4-ALK*) that help identify which patients will most likely benefit from targeted therapies against those genetic aberrations (6). Serum PSA is commonly used for monitoring disease progression following hormonal therapy of hormone-naïve prostate cancer (7).

The ideal biomarker for clinical use should have three major characteristics: 1) a safe and easy means of measurement, preferably non-invasively; 2) high sensitivity, specificity, and positive and negative predictive values for its intended outcome; and 3) improves decision-making abilities in conjunction with clinicopathological parameters. Although a biomarker that performs well in several of the aforementioned categories would be ideal, the reality is that multiple biomarkers will be likely required for cancer to fully cover screening, diagnosis, prognosis, and prediction.

## PSA as a prostate cancer biomarker

Prostate cancer is the most common non-cutaneous cancer in men, with over 200,000 prostate cancer diagnoses per year in the United States. The lifetime risk for a U.S. male to develop prostate cancer is approximately 1 in 6, although the risk of dying from prostate cancer is only 1 in 35 (8). This discrepancy between prostate cancer incidence and lethality has led to widespread scrutiny of prostate cancer patient management, particularly for low-grade, low-stage disease ("indolent" disease) (9).

Unlike most solid tumors, prostate cancer management has long employed biomarkers. The first of these, prostatic acid phosphatase (PAP), was noted in the 1930s to be elevated in the serum of men with metastatic prostate cancer, and for nearly 50 years PAP was investigated as a clinical marker for disease progression (10).

In the 1980s, PAP was rapidly replaced by PSA, a secreted protein first studied in the late 1970s (11). PSA is encoded by the prostate-specific gene kallikrein 3 (*KLK3*), a member of the tissue kallikrein family, a gene family of serine proteases located on chromosome 19q13.4 that also includes *KLK2* and *KLK4* (12). Mature PSA is the result of two

proteolytic cleavages of two inactive precursor peptides, pre-proenzyme PSA (pre-proPSA) and proPSA. In its final form, PSA is secreted into semen (12). Under normal conditions, only low levels of PSA can be detected in blood, and the increase of serum PSA found in prostate cancer can represent abnormalities in prostate gland architecture and vascularization, although the exact mechanism is unclear (7).

Initial reports suggested a role for PSA as a biomarker for monitoring the progression of patients already diagnosed with prostate cancer or for recurrence following curative therapy for organ-confined disease (Fig. 1). In a landmark study, Stamey *et al.* performed the first large-scale analysis of serum PSA as a prostate cancer biomarker in 1987, convincingly demonstrating that PSA was more sensitive than PAP for monitoring the disease (13). They showed that PSA level increased with advancing clinical stage and was useful for detecting disease recurrence after curative therapy (13).

Subsequent studies shifted the focus of PSA towards early detection of prostate cancer. In 1986, the U.S. Food and Drug Administration (FDA) approved PSA as an adjunctive test to the DRE for the detection of prostate cancer in men over the age of 50. In 1991, Catalona and colleagues demonstrated that the combination of a serum PSA measurement of more than 4.0 ng/mL with other clinical findings, such as the results of a digital rectal exam (DRE), improved detection of prostate cancer in a prospective study of 1653 healthy men with no history of cancer (14). Numerous groups confirmed that PSA was useful as a diagnostic test for prostate cancer (15).

### **Impact of PSA on diagnosis and treatment: More harm than good?**

Between 1985 and 1995, prostate cancer incidence doubled in the U.S., from approximately 55 to 110 cases per 100,000 men (16, 17). This dramatic increase was paralleled by an even more striking increase in invasive procedures for prostate cancer treatment: radical prostatectomy rates were nearly 6-fold higher in 1990 than in 1984 (18). These major shifts in the detection and treatment of prostate cancer have been attributed to the use of PSA as a diagnostic test, coupled with improvements in the safety of the radical prostatectomy procedure (19).

The introduction of PSA into the prostate cancer diagnostics community also led to its widespread use as a screening test among asymptomatic men. Subsequently, the proportion of men with metastatic prostate cancer at the time of diagnosis decreased dramatically, a major feat for the prostate cancer community that altered disease management (16). More men were being diagnosed with prostate cancer, with the majority having early-stage, clinically indolent disease. More men with benign conditions such as inflammation or hyperplasia were also being biopsied. PSA therefore enables the early detection of many latent prostate cancers, the majority of which may never have led to harm (16). This discrepancy between decreasing disease aggressiveness and increasing treatment has led to widespread criticism that prostate cancer is now an “overdiagnosed” and “overtreated” cancer. The majority of low-grade, low-stage tumors are unlikely to cause significant symptoms or mortality, and it is estimated that up to 50% of new prostate cancer diagnoses detect a tumor that was unlikely to surface clinically in the absence of PSA screening (9). A subsequent analysis by Draisma *et al.* suggested an overdiagnosis rate of 20 to 42% (20).

Moreover, treatment of indolent cancer may cause a patient more harm than good. Biopsies and prostate cancer treatments have been associated with psychological distress, loss of bodily function, pain, and suffering for patients (21). Side effects of radiotherapy and radical prostatectomy, including sexual dysfunction, urinary incontinence, and impaired bowel/rectal function, occur in large fractions of patients, adding to a patient’s distress (22).

Rarely, treatment of prostate cancer directly contributes to a life-threatening adverse event (23).

Although mortality from prostate cancer has been decreasing since the mid-1990s, it is unclear to what extent PSA screening may be responsible. The two largest prospective screening trials to date—the Prostate, Lung, Colorectal, and Ovarian Cancer Screening Trial (PLCO) in the U.S. and the European Randomized Study of Screening for Prostate Cancer (ERSPC) in Europe—failed to demonstrate a concordant benefit in overall patient survival from PSA screening (24, 25). At best, the ERSPC trial demonstrated that PSA screening decreased prostate cancer related mortality; however, in order to prevent 1 death from prostate cancer, a physician must screen 1410 men for serum PSA and treat 48 (25). In 2002 the U.S. Preventive Services Task Force (USPSTF) deemed the evidence to be insufficient to recommend routine use of PSA as a screening test among men younger than age 75 (<http://www.uspreventiveservicestaskforce.org/uspstf/uspSprca.htm>). The USPSTF reviewed the available evidence again in 2011 and, in a draft report, concluded that population benefit from PSA screening was inconclusive, recommending against PSA-based prostate cancer screening at any age (N.B. This draft is currently in the public forum for feedback) (26).

## A biomarker with limitations

The diagnostic test performance characteristics of PSA are variable. First, its specificity and sensitivity ranges from 20 to 40% and 70 to 90%, respectively, depending on the PSA cutoff values used (e.g. 3 ng/mL vs 4 ng/mL) (27). The area-under-the-curve (AUC) metric of the receiver operating characteristic (ROC) curve is between 0.55 and 0.70 for the ability of PSA to identify patients with cancer, where a score of 1.0 is perfect discrimination and 0.5 is a coin-toss (27). One of the major reasons for such poor specificity is the fact that several non-cancerous events may elevate the level of PSA. Indeed, inflammation, infection, trauma, and benign prostatic hyperplasia (BPH) are more common causes of elevated serum PSA than cancer (7, 12, 27). BPH is known to be present in over 50% of men >50 years old, thus confounding PSA as a cancer biomarker (8, 12). Not surprising then, PSA-based screening for prostate cancer is plagued by false positives, resulting in a positive predictive value of only 25 to 40% (28). Conversely, approximately 15% of men with a low-level PSA (<4.0 ng/mL) have prostate cancer, and 15% of these display a high Gleason score (29, 30).

## PSA derivatives

There have been numerous efforts to improve the performance of the PSA test, such as normalizing PSA to the size of the gland (the PSA “density”) (13, 31, 32) or monitoring the dynamics of PSA change in serum (the PSA velocity and doubling time) (33–37). In addition, assays measuring alternative molecular traits of PSA have also gained attention, including free and complexed PSA (fPSA and cPSA, respectively) (38–41), and isoforms of the PSA protein (proPSA, most commonly) (Fig. 1) (42, 43).

Among these, cPSA and fPSA have been considered adjunctive tests to total serum PSA rather than replacement assays (Fig. 1). cPSA measurements exploit the molecular interactions of PSA mainly with  $\alpha$ -1-antichymotrypsin (ACT) in the blood (39). Conversely, fPSA measures the percentage of total serum PSA not bound to ACT. This %fPSA decreases in prostate cancer, making it useful in distinguishing men with BPH from men with cancer. A %fPSA of less than 25% has been shown to improve the sensitivity and specificity of a total PSA test and to reduce unnecessary biopsies (38, 41). %fPSA has thus gained FDA approval for use when patients have a total PSA in the 4 – 10 ng/mL “gray zone.” Furthermore, combined measurement of [–2] pro-PSA (a peptide precursor to mature PSA) with fPSA may help diagnose early prostate cancers with a PSA of 2 to 10 ng/mL (42, 43). fPSA has several drawbacks, such as the potential instability of the fPSA measurement

if sample processing occurs after 24 hours of collection (44). The %fPSA may also increase following DRE or biopsy procedures (45), confounding its use in those settings.

PSA dynamics, namely PSA velocity (PSAV) and doubling time (PSADT), have prognostic value (46). PSAV is defined as the change in PSA concentration per year, with a high PSAV being strongly associated with prostate cancer and a 9-fold elevated risk of cancer-death following prostatectomy (33, 34, 47). PSADT is defined as the time necessary for the serum PSA level to double. PSADT is most commonly used to monitor disease progression following curative therapy for organ-confined disease, as an increasing PSA level following radiotherapy or prostatectomy indicates the presence of residual tumor cells. Numerous studies have demonstrated that a more rapid PSADT (<10 months) is associated with reduced survival (35, 36). In rare cases, disease may recur in the absence of an elevated PSA (48). Nevertheless, neither test has been shown to improve over a standard PSA measurement for prostate cancer screening (37). Taken together, measurement of PSA isoforms and dynamics have modestly improved care but are largely hindered by the same issues confounding PSA itself.

## The next generation of biomarkers: -omics in prostate cancer

The 20 years since the widespread adoption of PSA have witnessed a remarkable maturation of genomic technologies, such as microarrays and whole-genome sequencing (49). These advances in DNA sequence and RNA transcriptome profiling have enabled detailed dissections of cancer biology at a level previously unattainable (49, 50). As a result, the world of biomarker research has shifted to use these “-omics” methods, populating the prostate cancer literature with discoveries based on profiling prostate tumors for aberrations in either DNA, RNA, or epigenetic DNA methylation states. Here, we will focus on the discovery and characterization of emerging urine assays for prostate cancer, including *PCA3* and the *TMPRSS2-ERG* gene fusion, although the biomarker research also includes advances in tissue and imaging-based tools as well (Fig. 2A).

### PCA3

The most prominent biomarker emerging as a non-PSA-based diagnostic test for prostate cancer is prostate cancer antigen 3 (*PCA3*). *PCA3* is a long noncoding RNA (lncRNA) that has been shown to be elevated in >90% of prostate cancer tissues, but not normal or BPH tissues—an important distinction to serum PSA (51, 52). The high sensitivity and specificity of *PCA3* in tissues led to studies of *PCA3* as a non-invasive biomarker, where numerous assays have been developed to detect the RNA transcript in patient urine samples, which contain cells shed from the prostate during urination (Fig. 2B). Over the past decade, several iterations of *PCA3* urine tests have emerged (53), and currently a clinical-grade assay based on transcription-mediated amplification is available (53).

Urine *PCA3* measurements have consistently added to the diagnostic information obtained from the PSA test, with higher AUC values of 0.66 to 0.72 (compared to 0.54 to 0.63 for serum PSA alone) (54). A particularly important attribute of *PCA3* is the fact that, unlike PSA, urine *PCA3* levels are independent of prostate size (55). Sensitivities for urine *PCA3* levels range from 47 to 69%, with most between 58 and 69%, although it is difficult to compare the studies directly because of different analysis platforms, different criteria for enrolling patients (serum PSA elevated to varying levels), and relatively small patient cohorts (several hundred men) (54). While *PCA3* has been established as a robust biomarker despite these variations, the differences in methodology illustrate the challenges of biomarker research and development even for a highly sensitive tissue biomarker such as *PCA3*. In addition, combining a serum PSA value with a urine *PCA3* analysis improves both measures, with the combination AUC of 0.71 to 0.75 (56). In 2012, *PCA3* was approved by



the FDA as a diagnostic test for prostate cancer in the setting of a prior negative prostate biopsy.

### **TMPRSS2-ERG**

A gene fusion product arising from a translocation of the androgen-induced transmembrane protease, serine 2 (*TMPRSS2*) gene with the transcription factor v-ets erythroblastosis virus E26 oncogene homolog (*ERG*) is one of the most common genetic events in prostate cancer, present in approximately 50% of all cases and accounting for 90% of prostate cancer fusions (57). *TMPRSS2-ERG* fusions are specific for prostate cancer, and can even be detected in precursor lesions, such as prostate intraepithelial neoplasia (PIN), if these lesions are proximal to, or contiguous with, regions of cancer (58).

The detection of *TMPRSS2-ERG* RNA in patient urine has also been investigated (59, 60) (Fig. 2B). Yet, *TMPRSS2-ERG* is absent in approximately 50% of cancers; therefore, its ideal usage lies in multiplexed assays with other biomarkers. To this end, Hessels *et al.* measured urinary *TMPRSS2-ERG* in conjunction with *PCA3* and found that the sensitivity of the combined test was 0.73—better than either test alone (59). Similarly, a large study of more than 1300 men demonstrated recently that combined measurement of *PCA3* and *TMPRSS2-ERG* in urine outperformed serum PSA for prostate cancer diagnosis (AUC = 0.71 — 0.77 for *TMPRSS2-ERG* + *PCA3*; AUC = 0.61 for PSA), thus adding to available clinical information in the Prostate Cancer Prevention Trial (PCPT) risk estimates for predicting cancer (60).

There has been some debate as to whether the presence of a *TMPRSS2-ERG* fusion is itself a prognostic biomarker when detected in tissues. While several groups have reported an association between *TMPRSS2-ERG* and aggressive prostate cancer (60–62), others have not observed this association (63, 64). One complication to these studies has been heterogeneity in the patient populations studied and the clinical outcomes evaluated. Interestingly, quantitative levels of *TMPRSS2-ERG* detected in urine, however, appear to be associated with clinically significant prostate cancer based on Epstein criteria, which stratifies disease aggressiveness using PSA density and characteristics of the patient's biopsy (Gleason score as well as the percent tumor observed in the biopsy core and the number of cores with tumor) (60).

### **Limitations of PCA3 and TMPRSS2-ERG assays**

As with any assay, there are limitations to the *PCA3* and *TMPRSS2-ERG* tests. First, these tests are currently adjunctive to PSA, and head-to-head trials to determine whether these tests perform well in the absence of PSA screening are lacking. Secondly, urine expression of *PCA3* or *TMPRSS2-ERG* is determined relative to urine PSA mRNA (59, 60), because PSA transcript abundance indicates the relative yield of prostate cells in the urine sediment. Thus, if the PSA transcript level is too low, the tests are uninformative.

### **AMACR**

Another biomarker nominated by RNA expression profiling is the enzyme alpha-methylacyl-CoA racemase (*AMACR*), which has demonstrated high sensitivities and specificities, each >90% when tested as a diagnostic biomarker on prostate biopsy tissue (65). Low *AMACR* expression in prostate cancer has also been correlated with metastasis and biochemical recurrence (66). However, *AMACR* is not specific to prostate cancer, and is also not suitable for non-invasive detection in urine (67), rendering it most useful as a tissue biomarker when prostate biopsy cores yield ambiguous pathological results.

### Germline prostate cancer risk loci

In addition to profiling urine RNA and DNA, genomic analyses have recently uncovered several single nucleotide polymorphisms (SNPs) associated with prostate cancer. These loci may be germline indications of an individual's risk for developing prostate cancer. To date, more than 50 SNPs have been proposed as putative risk loci for prostate cancer, of which ~30 have been validated in multiple studies (68). Although each individual SNP is likely to contribute a minor degree to disease risk (thus making individual assays ineffective) combining multiple SNPs may yield more informative results. In a retrospective cohort of 2893 prostate cancer patients and 1781 control patients, Zheng *et al.* defined a core set of 5 disease-associated SNPs that were then combined with family history to predict risk (up to tenfold) for developing prostate cancer (69). Recently, rare SNP variants in *HOXB13*, an *AR* cofactor, have recently been implicated in familial predisposition to early-onset prostate cancer as well, although these variants occur at low prevalence in the general prostate cancer population (<1%) (70).

### Other “-omic” biomarkers

Other studies have used high-throughput proteomics and metabolomics platforms to elucidate signatures of serum proteins and urine metabolites in prostate cancer. One major advantage of profiling the human serum proteome is the vast dynamic range—greater than 10 logs ( $10^{10}$ )—over which serum proteins can be accurately detected (71). Rosenzweig *et al.* used mass spectrometry to nominate serum proteins signatures for predicting prostate cancer biochemical recurrence (72). Mass spectrometry has also been used to identify candidate serum proteins that may indicate response to chemotherapy (73). Profiling of culture media from prostate cancer cell lines for secreted proteins has also identified several potentially diagnostic proteins (74). Similarly, a study of urine metabolites in prostate cancer patients lead to the identification of a series of metabolites elevated in aggressive forms of prostate cancer, including sarcosine, a metabolite of glycine (75).

Further refinements of genomic technologies, such as next generation transcriptome sequencing (RNA-Seq), promise to uncover additional biomarkers in an unbiased manner, including tissue-specific non-coding RNAs similar to *PCA3* (50). With throughputs of thousands of molecules simultaneously, advances in computational biology and informatics will continue to be integral to fish out cancer-specific indicators in a sea of “-omics” data. In some cases, these high-throughput approaches can be used to define patient-specific biomarkers that may further the concept of personalized medicine (76). To this end, Roychowdhury *et al.* recently employed several kinds of sequencing approaches to comprehensively define the genomic and transcriptomic aberrations in metastatic prostate cancer patients in a clinically-relevant time-frame of <4 weeks post-biopsy (77). Further developments in personalized sequencing efforts may enable biomarker discovery in a patient-specific manner and impact disease management.

### Circulating tumor cells

One area of expanding investigation is circulating tumor cells (CTCs). CTCs are found in the bloodstream and are particularly prevalent in locally aggressive or metastatic disease. CTCs can be both a biomarker for cancer detection and a source of molecular information, such as *TMPRSS2-ERG*, androgen receptor (*AR*) and phosphatase and tensin homolog (*PTEN*) copy number status (Fig. 2C) (78). In support of CTCs as a predictive biomarker, several groups have demonstrated that an increased abundance of CTCs in the blood of castration-resistant prostate cancer patients predicted worse overall survival (79, 80). However, detecting CTCs and extracting molecular information is currently labor-intensive and expensive, and it is yet unknown to what extent CTC abundance in blood represents

aggressive disease undergoing hematogenous spread versus cells that have simply dislodged from the main tumor bulk into the bloodstream.

## Exosomes

A similar effort has recently focused on developing assays to detect prostate-derived exosomes (also called prostatosomes). Exosomes are small vesicles (50 – 150 nm in diameter) generated from internalized parts of the cellular membrane that are subsequently secreted into the blood, semen, or urine (Fig. 2C) (81). Prostate cancer patients exhibit increased numbers of exosomes in their serum compared to men with no disease, and elevated levels of exosomes may also correlate with increasing Gleason score (82). Prostate cancer RNA biomarkers, including *PCA3* and *TMPRSS2-ERG*, can also be detected in urine-derived exosomes from prostate cancer patients (83). Although these efforts remain mainly research-oriented at this time, they provide promising future directions for biomarker research.

## The role of biomarkers in prostate cancer diagnosis

PSA has persisted in clinical practice owing in large part to the public's demand for prostate cancer screening. Indeed, PSA remains an inexpensive and sensitive biomarker for disease detection and monitoring progression and recurrence following curative therapy of local disease (7, 34). Furthermore, because PSA screening is so common, the clinical evaluation of new biomarkers has only occurred in patient populations previously screened for PSA. Thus, future iterations of prostate cancer biomarkers will most likely retain PSA as a primary clinical tool in conjunction with other tests, unless new biomarkers are shown to be superior to PSA in head-to-head comparisons. In this regard, new biomarker assays will likely complement PSA-based detection of prostate cancer (Fig. 2A, B).

A common theme in prostate cancer biomarker development is the desirability of non-invasive assays to replace biopsy as the diagnostic “gold standard”. Biopsy procedures are associated with increased risk of adverse events, such as bleeding and sepsis, owing to their invasive nature. Studies have routinely shown that biopsies are associated with a 15 – 20% false negative rate (84, 85), perhaps owing to inefficient sampling, where normal tissue is biopsied in addition to diseased tissue. Non-invasive biomarkers in serum and urine have the potential to improve the standard tissue biopsy procedure, although they cannot provide direct histopathological or spatiotemporal information. As such, supplementing PSA measurements with urine biomarker analyses may become standard practice in the near future.

Finally, these developments also need to be considered in conjunction with tissue biomarkers and imaging technologies, such as transrectal ultrasound (TRUS), computed tomography (CT), magnetic resonance imaging (MRI), and positron emission tomography (PET) (Fig. 2A). Indeed, the role of imaging is crucial to patient management for visualizing and staging both localized prostate cancers and metastatic disease, especially in the bone.

## Future directions of biomarker discovery in prostate cancer

The most critical biomarker studies will focus on biomarker candidates that address the current gaps in prostate cancer biomarker development, including prognostic and predictive biomarkers (Fig. 3). The utility of PSA as a diagnostic biomarker for prostate cancer is limited by the fact that only about 3% of PSA-screened men with prostate cancer have lethal disease, thus leading to overtreatment of indolent disease (9). Development of new biomarkers that only identify more prostate cancer cases does not address this discrepancy. It follows, then, that the identification and validation of novel biomarkers to “rule out” lethal



prostate cancer at the point of screening is the greatest unmet clinical need, as this may reduce unnecessary interventions that may cause more harm than good.

One approach to identifying predictive biomarkers is to focus on genomic disease signatures, such as loss of the *PTEN* tumor suppressor or gain of ETS transcription factor gene fusions, which influence the biological characteristics of an individual cancer. For example, *PTEN* loss activates the phosphoinositide 3-kinase (PI3K) pathway, which inhibits AR signaling and causes resistance to AR-based therapies (86). Treatment of *PTEN*-null mouse tumors with combined pharmacologic inhibition of PI3K and AR signaling has led to tumor regression (86). Clinically, *PTEN* deletion is associated with poor outcome and hormone-refractory disease in prostate cancer (87). Therefore, *PTEN* deletion may be both prognostic and predictive of response to therapy. Similarly, *TMPRSS2-ERG* fusions may predict for tumor sensitivity to poly (ADP-ribose) polymerase 1 (*PARP1*) inhibition (88), and may add prognostic information detailing more aggressive disease, especially in conjunction with *PTEN* deletion (61, 89).

## Design, interpretation, and challenges for future prostate cancer biomarkers

One of the important lessons learned from the popularization of PSA as a screening test is that biomarker development requires *a priori* deliberation of the intended role. Initially developed to aid the monitoring of prostate cancer recurrence, widespread uptake of the PSA test to screening even of asymptomatic men has resulted in the net overdiagnosis and overtreatment of indolent disease. As a result, clinicians must now endeavor to educate their patients about the limitations of the PSA test and also inform patients that treating prostate cancer is not always beneficial to the patient. Hindsight begs the question: “What is the best path to validate a new biomarker for clinical application?” The National Cancer Institute’s Early Detection Research Network (EDRN) has headed a response to this question with their unique biomarker discovery and validation infrastructure as well as their standardized prospective-specimen-collection, retrospective-blinded-evaluation (PRoBE) approach to biomarker validation (90).

### Design

A general model for biomarker development, led by efforts from the EDRN, consists of five phases (Table 1): 1) biomarker discovery; 2) clinical assay development; 3) retrospective studies to clarify target populations; 4) prospective screening studies to determine efficacy; 5) analysis of biomarker impact in terms of cost-effectiveness and patient compliance (90, 91). The problem with biomarker development often lies not in the general framework outlined above, but poor adherence to it. Perhaps the largest shortcoming of many failed biomarker trials is that independent groups have been unable to generate concordant results; nonetheless, biomarker development proceeds (92).

A major implication of this framework is that the time required from the initial discovery and retrospective studies to clinical adoption of a biomarker is often lengthy. In effect, the framework describes an adapted version of phase I/II/III clinical trials, where the idea is to establish sequential levels of evidence—from discovery to retrospective to prospective studies—that show utility of the biomarker. Ultimately, biomarker studies for prostate cancer are unlikely to be evaluated in terms of overall patient survival or progression-free survival, as these metrics may take decades to evaluate for a novel biomarker. The only practical means to potentially assess such endpoints is to create large repositories for a range of tissues on the basis of ongoing screening and therapeutic trials. Such repositories would

enable large-scale evaluation of new biomarkers in the clinical trial setting in a relatively more rapid time frame (years as opposed to decades).

## Interpretation

Statistical interpretation is a core consideration in biomarker studies. Any interpretation must first determine that the study is designed with sufficient power to evaluate the desired endpoints (Table 1). Then, a classic biomarker analysis evaluates the sensitivity and specificity, often using an ROC curve analysis. However, Shaw *et al.* argued that standard ROC curves are not always appropriate analyses, especially in the context of prostate cancer screening (93). The issue derives from the fact that new prostate cancer biomarkers are generally combined with PSA to identify improvements in the combined test over PSA. In this case, studies become biased when they cannot evaluate the performance of the secondary marker in the absence of the first marker—i.e., if a patient is PSA-negative. Therefore, a “relative” ROC (rROC) curve may be more appropriate, in which the relative true- and false-positive rates—but not their absolute true- and false-positive rates—are evaluated (93). Promising new prostate cancer biomarkers should therefore be evaluated both in combination with PSA and independent of PSA screening status. For this latter option, one approach is to move the research out of the urologist’s office and into the primary care setting, where men could be screened for a promising novel biomarker prior to receiving PSA testing and a DRE. Another option would be to design trials that include biopsies of men with an abnormal measurement of a new biomarker even if the PSA and DRE results suggest only a low risk for cancer.

Monitoring sensitivity and specificity is standard practice in biomarker studies, but this may not be sufficient to evaluate efficacy. These metrics measure the proportion of individuals, either positive or negative for the test, that have been detected accurately. But, in fact, positive and negative predictive values are more clinically informative statistics. These two metrics report a confidence for the relative value of a positive or negative test result. Even with a reasonable sensitivity and specificity, a test may actually have a low positive predictive value. Herein lies another fundamental problem with PSA: even when the sensitivity is set reasonably high, the resulting positive predictive value is only ~25% (e.g. when a 4 ng/mL cut-off value is applied) (28).

## Challenges and common errors

Biomarker studies are often fraught with systematic errors in the design and execution (Table 1) (94), which has resulted in widespread failure of initially “promising” biomarker trials (92, 95). In the literature, there are five common errors that render many biomarker studies ineffectual: lack of a robust assay protocol for reproducibility; biased comparison groups in the study (case vs. controls); unclear or inappropriate clinical role of the biomarker; underpowered study size; and inappropriate statistical analyses, including overfitting of data. These errors can be made at any stage of the biomarker development process. But most frequently they occur in preclinical stages and the weakness of the biomarker is later revealed in larger trials (92).

Of these, the lack of a clear clinical role and inappropriate statistical methods are particularly germane to our discussion. First, the clinical role of newly discovered biomarkers is often only vaguely defined—if at all—leading to poorly executed clinical studies (91, 96, 97). A biomarker, by definition, is employed for only a specific patient population for a specific clinical purpose (e.g. prognosis). Extension of such a biomarker beyond its intended context is unlikely to result in positive results. PSA screening trials were commissioned decades after PSA was introduced into clinical practice as a screening test, only to conclude that PSA screening offers negligible benefit at the population level (24,

25). Perhaps the best way to avoid such complications in biomarker development is to clearly define a specific context(s) for a candidate biomarker through rigorous retrospective evaluation of the biomarker in clinically-annotated tissue repositories.

Second, the statistical analysis of biomarker trials is challenging, and there is a concern that biomarker studies too often suffer from overfitting the data for an individual dataset (90–92, 94). This will lead to positive results for a single trial that are unable to be reproduced independently. In this regard, cross-validation of the statistical analysis is an important, but only partial solution, as it still does not employ an independent set of samples. A biomarker is not considered “validated” until independent research groups have rigorously demonstrated concordant results in independent trials.

Another issue that plagues biomarker studies is that substantial bias is introduced through selective reporting of data. This “non-reporting” bias tends to mask negative reports, whereas published articles may be more positive (90, 98). For instance, in the cancer literature, Kyzas *et al.* demonstrated that published articles showed a significant association of *p53* mutations with clinical outcome in head and neck squamous cell cancers, whereas unpublished data or data located in large, unwieldy supplemental files were markedly less positive (94). This issue of transparency may be best addressed during the peer review process, where journals can promote thorough evaluation of submitted manuscripts by providing longer periods of time for review of manuscripts with large amounts of supplementary material and specifically ask reviewers for comments on the integrity of the supplementary material.

## Conclusions

The era of PSA testing in prostate cancer has imparted lasting changes in the way we think about prostate cancer biology and clinical management. Although it is natural for patients to want to know if they have prostate cancer, the high prevalence of latent cancers detected by PSA-based screening singularly argues for the use of adjunctive biomarkers that better refine disease risk. Moreover, prostate cancer biomarkers should be evaluated in terms of their intended use and clinical context: reduction in unnecessary biopsies, reduction in unnecessary prostatectomies/radiotherapy, stratification of organ-confined tumors (curable by surgery), ability to monitor progression during watchful waiting, detection of micrometastatic disease below the limit of detection for imaging modalities, or reduction in overall mortality. A more rational approach to biomarker discovery, combined with modern molecular science and bioinformatics, will eventually allow clinicians to better diagnose and target treatment for those patients who are most likely to benefit.

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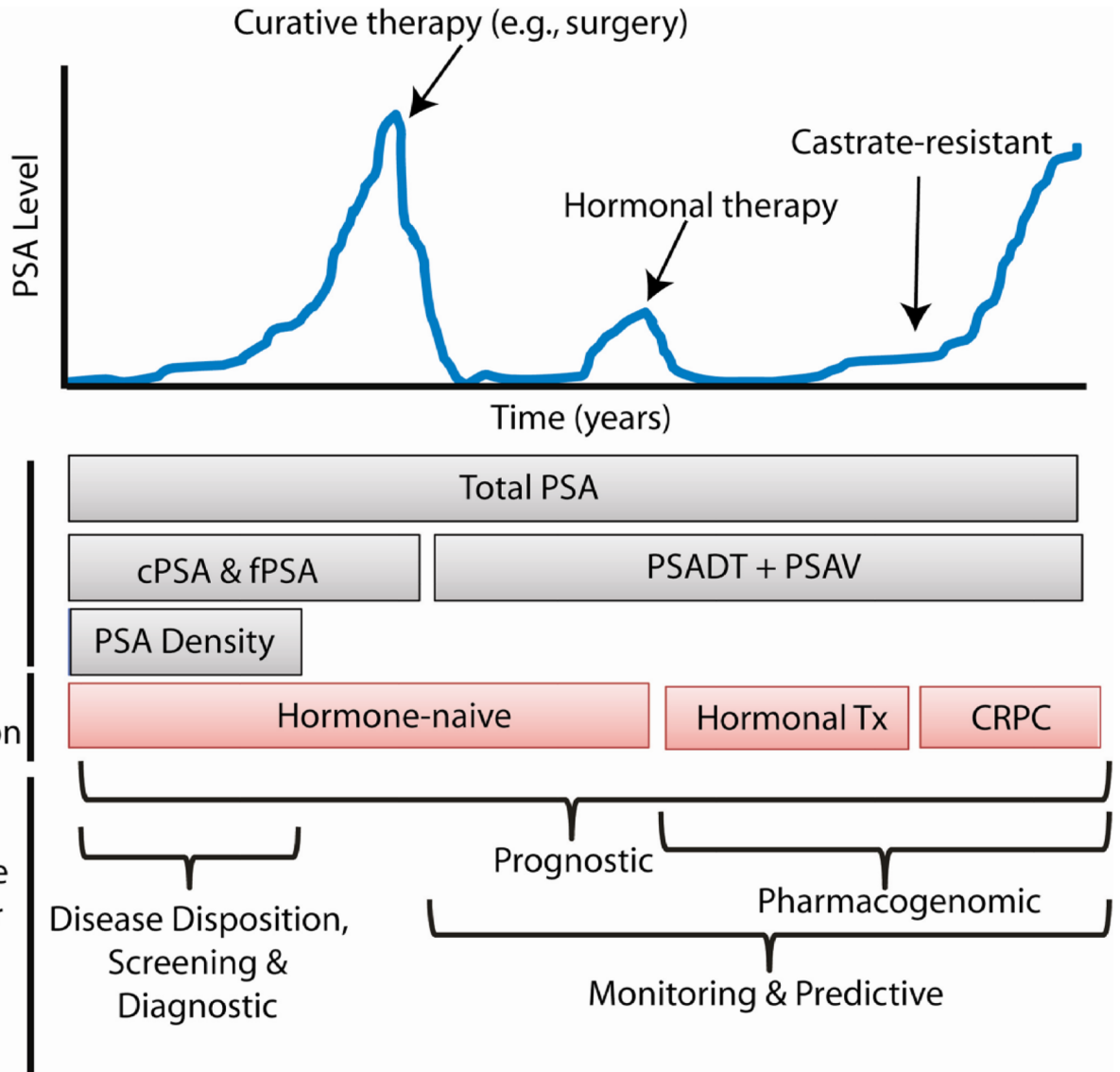
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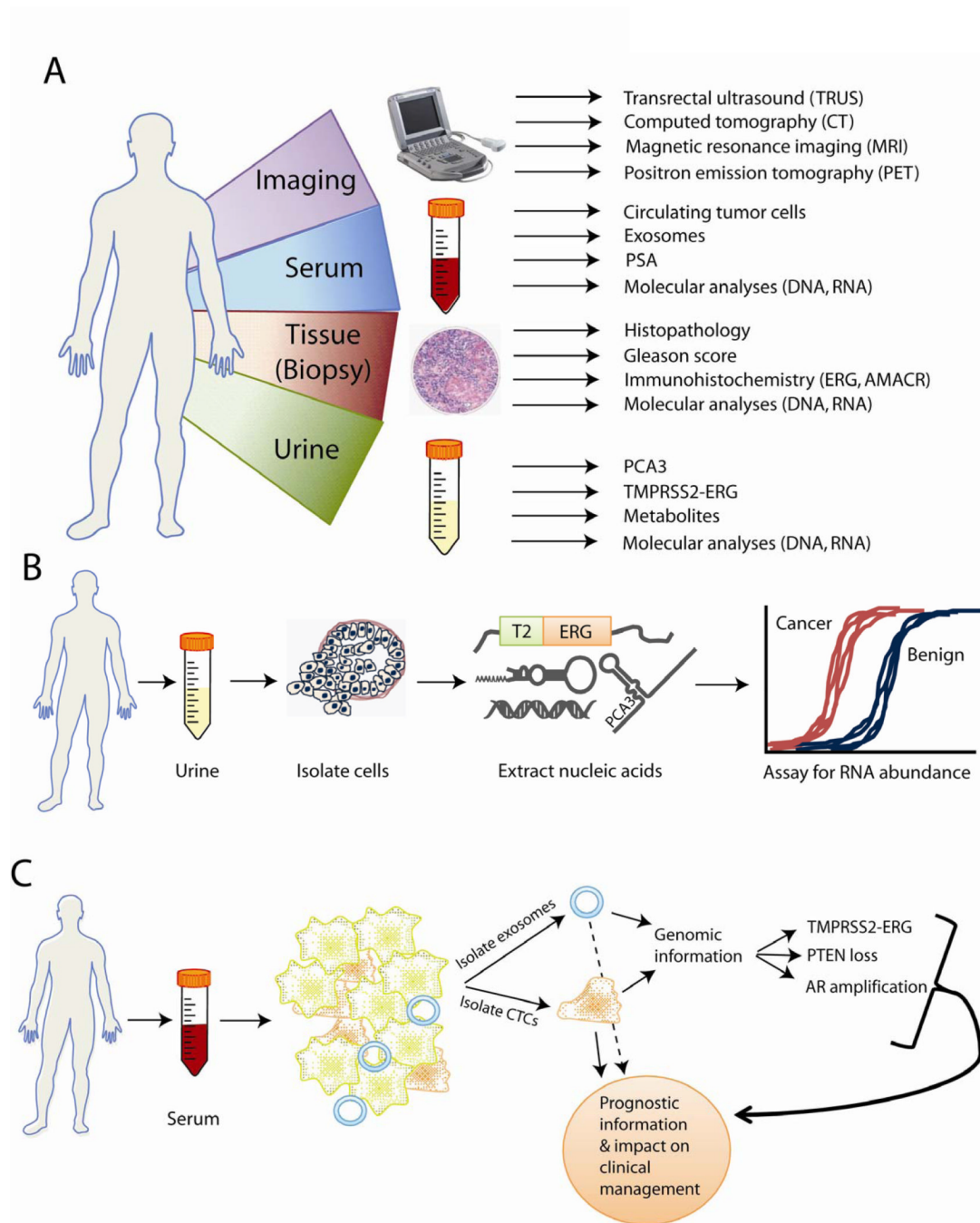
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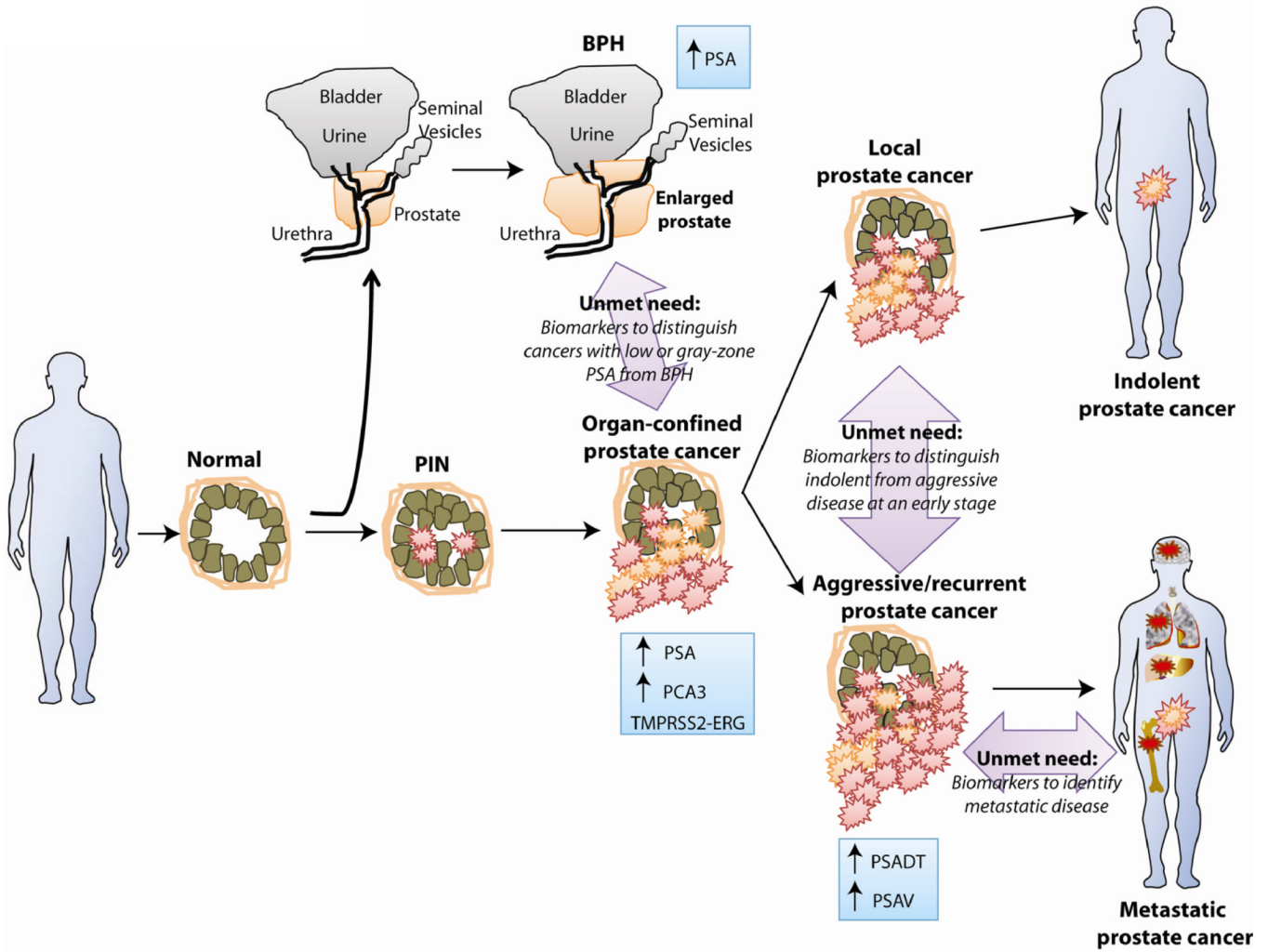
**Figure 1. PSA clinical course and biomarker uses**

In this model, PSA levels or increases suggest the presence of prostate cancer and can inform management decisions. Several types of PSA measurement can be employed, including total PSA, complexed and free PSA (cPSA and fPSA), PSA doubling time (PSADT) and velocity (PSAV), and PSA density. This cartoon plot illustrates the clinical course of some patients with recurrent prostate cancer, in which disease recurs following curative therapy. Hormonal therapy in this example leads to castrate-resistant prostate cancer (CRPC), in which the cancer becomes refractory to conventional hormonal therapies. The bottom segment of the plot indicates the type of biomarkers applicable for measurement for disease management.



**Figure 2. Advances in prostate cancer biomarker uses**

(A) The emerging clinical paradigm for prostate cancer biomarkers, including the combined application of imaging biomarkers and biomarkers found in serum, urine, and tissue. (B) Recent advances in molecular biology have enabled the robust detection of transcriptomic, proteomic, and genomic biomarkers in patient urine. *PCA3* and *TMPRSS2-ERG* screening lend increased specificity for detecting cancer, resulting in fewer false positive test results. (C) Promising avenues of biomarker research are the isolation of circulating tumor cells (CTCs) and exosomes from patient serum. Molecular analysis of CTCs and exosomes for common genetic aberrations may further provide predictive information for prostate cancer.



**Figure 3. Future challenges for prostate cancer biomarker research**  
 Current clinical practice relies on PSA to help diagnose prostate cancer. New prostate cancer biomarkers should be targeted to addressing unmet clinical needs in prostate cancer management, including indicators for disease with low PSA values (<10ng/mL), prognostic markers to distinguish indolent from aggressive disease, and biomarkers for metastatic cancer.

**Table 1**

## Questions and challenges in biomarker discovery and validation

<p><i>Based on refs. 99 &amp; 100</i></p> <hr/> <p><i>Phase 1: Biomarker Discovery</i></p> <p>Is the biomarker disease-specific?</p> <p>Is the biomarker tissue-specific?</p> <p>If normally expressed in another tissue, non-invasive detection may be confounded.</p> <p>What is the dynamic range of biomarker expression?</p> <p>What is the absolute level of biomarker expression?</p> <p>Low-expressed biomarkers are often less reliable.</p> <hr/> <p><i>Phase 2: Clinical Assay Development</i></p> <p>Is the assay non-invasive?</p> <p>Is the assay reproducible?</p> <p>Is the assay easy or difficult to perform?</p> <p>Difficult assays have a lower likelihood of clinical utility.</p> <p>Do biomarker assay results correlate with other confounding factors?</p> <p>Patient age, gender, ethnicity, etc. could be confounding.</p> <hr/> <p><i>Phase 3: Retrospective Studies</i></p> <p>What endpoints will be measured?</p> <p>Is the cohort size adequate to evaluate the desired endpoints?</p> <p>Are concordant results obtained from multiple independent cohorts?</p> <p>Lack of reproducibility halts development of many initial biomarkers.</p> <p>Do cohorts accurately reflect the target patient population?</p> <p>Are the control and experimental cohorts matched uniformly?</p> <p>Biases in cohort design account for many false-positive biomarker reports.</p> <p>Does the biomarker improve upon existing clinical tests?</p> <p>If the novel biomarker does not offer an improvement, further development may be halted.</p> <hr/> <p><i>Phase 4: Prospective Studies</i></p> <p>Are the control and experimental cohorts matched uniformly?</p> <p>Biases in cohort design account for many false-positive biomarker reports.</p> <p>What endpoints will be measured?</p> <p>The biomarker may be primarily diagnostic, prognostic, or predictive in nature.</p> <p>What performance criteria will be measured?</p> <p>Biomarker efficacy can be in terms of sensitivity/specificity, positive/negative predictive values, etc.</p> <p>What clinical patient population will be used?</p> <p>Defining the appropriate clinical context is an essential aspect often overlooked.</p> <p>Is large-scale implementation of the biomarker feasible?</p> <p>A feasibility analysis suggests whether the test could be used widely.</p> <p>How does the biomarker compare to other clinical tests?</p>
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*Phase 5: Analysis of Biomarker Impact*

What statistical test is most appropriate?

What type of cross-validation is appropriate?

Does the analysis overfit the data?

Overfitting is a common problem with many analyses.

Is the biomarker protocol conducive to usage?

Acquisition of the biomarker should be simple and robust.

Is the biomarker cost-effective?

Were there issues with compliance to the biomarker?