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### **Prevention of Atrial Fibrillation by Bucindolol Is Dependent on the Beta1389 Arg/Gly Adrenergic Receptor Polymorphism**

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#### **Abstract**

**Objectives—This study assessed the impact of bucindolol, a beta-blocker/sympatholytic agent,** on the development of atrial fibrillation (AF) in advanced chronic heart failure with reduced left ventricular ejection fraction (HFREF) patients enrolled in the BEST (Beta-Blocker Evaluation of Survival Trial).

**Background—** -Blockers have modest efficacy for AF prevention in HFREF patients. Bucindolol's effects on HF and ventricular arrhythmic endpoints are genetically modulated by  $1$ and  $_{2c}$ -adrenergic receptor (AR) polymorphisms that can be used to subdivide HFREF populations into those with bucindolol effectiveness levels that are enhanced, unchanged, or lost.

**Methods—**BEST enrolled 2,708 New York Heart Association (NYHA) class III to IV HFREF patients. A substudy in which 1,040 patients' DNA was genotyped for the  $1-AR$  position 389 Arg/Gly and the  $_{2c}$ 322–325 wild type (Wt)/deletion (Del) polymorphisms, and new-onset AF was assessed from adverse event case report forms or electrocardiograms at baseline and at 3 and 12 months.

**Results—**In the entire cohort, bucindolol reduced the rate of new-onset AF compared to placebo by 41% (hazard ratio [HR]: 0.59 [95% confidence interval (CI): 0.44 to 0.79],  $p = 0.0004$ ). In the 493 1389 arginine homozygotes (Arg/Arg) in the DNA substudy, bucindolol reduced new-onset AF by 74% (HR: 0.26 [95% CI: 0.12 to 0.57]), with no effect in 1389 Gly carriers (HR: 1.01 [95% CI: 0.56 to 1.84], interaction test = 0.008). When  $1389$  Gly carriers were subdivided by  $2c$ Wt homozygotes ( $n = 413$ , HR: 0.94 [95% CI: 0.48 to 1.82],  $p = 0.84$ ) or Del variant carriers ( $n =$ 

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All other authors report that they have no relationships relevant to the contents of this paper to disclose.

**Conclusions—**Bucindolol prevented new-onset AF;  $_1$  and  $_2$ <sub>c</sub> polymorphisms predicted therapeutic response; and the 47% of patients who were  $1389$  Arg homozygotes had an enhanced effect size of 74%. (Beta-Blocker Evaluation in Survival Trial [BEST]; NCT00000560)

#### **Keywords**

arrhythmia; beta adrenergic receptors; genetics; heart failure; norepinephrine

Atrial fibrillation (AF) is the most common cardiac arrhythmia and is frequently observed in chronic heart failure/reduced ejection fraction (HFREF) populations (1), where the incidence is several-fold higher than in patients without heart failure (2). In the Framingham cohort, new-onset AF was associated with an increase in mortality in patients with heart failure (3). However, in HFREF patients, rhythm control strategies with current antiarrhythmic medications have not been associated with improved outcomes (4). This may be due to multiple adverse effects of current antiarrhythmic agents in HFREF populations (5).

A drug treatment capable of decreasing the incidence of new-onset AF with an improved safety profile would benefit HFREF patients, particularly if such therapy also favorably affected the underlying pathophysiologic mechanisms that predispose patients to AF. - Blockers are candidates for such a therapy because they both improve heart failure outcomes (6) and have efficacy for AF prevention (7), likely due in part to reverse remodeling in both ventricular (8) and atrial (9,10) chambers. However, currently approved -blockers exhibit only modest efficacy for reducing new-onset AF in HFREF patients (7).

In patients with HFREF, the Arg389Gly polymorphism in the 1-adrenergic receptor (−AR) (ADRB1) gene affects the therapeutic response to bucindolol, a nonselective -AR blocker with sympatholytic properties (11). Compared to the 389 glycine (Gly) minor allele, the 389 arginine (Arg) major allele gene protein product has a 3- to 4-fold higher signal transduction capacity (11), higher affinity for agonists including norepinephrine (NE) (12), and a larger proportion of constitutively active ARs (11). In a genetic substudy of the BEST (Beta-Blocker Evaluation of Survival Trial), bucindolol exhibited  $1389$  Arg/Gly genotypedependent differential effects on mortality, heart failure hospitalizations, and ventricular arrhythmias (11–13). In addition, in HFREF patients who were  $1389$  Gly carriers (having at least one copy of the dominant negative 389 Gly allele), an insertion/deletion polymorphism at amino acid position 322–325 of the  $_{2c}$ -AR, alleles commonly referred to as either wild type (Wt) or deletion (Del), affects bucindolol's response for both heart failure (12,14) and ventricular arrhythmia (13) endpoints by regulating bucindolol's sympatholytic effects (14– 16).

We hypothesized that  $1389$  Arg/Gly and  $2c322-325$  Wt/Del AR polymorphisms may modulate bucindolol's effects on new-onset AF in HFREF patients, as they do for heart failure (12) and serious ventricular arrhythmia endpoints (13).

#### **Methods**

#### **Study population**

The BEST was a randomized trial of bucindolol versus placebo in HFREF patients with NYHA class III to IV heart failure and left ventricular ejection fractions (LVEF)  $0.35$  (15). The current study analyzed patients who were not in AF at study entry, including 2,176 patients in sinus rhythm (SR) plus 216 patients with other rhythms to yield a study population of 2392 from the entire 2,708 patient cohort, and 925 patients from the 1,040

DNA substudy (846 SR and 79 other rhythms). In the 925 AF-free DNA bank substudy patients, the development of new-onset AF was investigated in  $1389$  Arg/Gly and  $2c322-$ 325 Wt/Del genetic subgroups as previously described for heart failure (12) and ventricular arrhythmic (13) endpoints. The BEST protocol, patient population, and main outcomes have been previously described (15). The DNA bank and the AR polymorphisms substudy protocols and patient populations have also been previously described (11–14). This study used the DNA substudy of BEST, a prospectively planned investigation ( $n = 1,040$ ) with a separate consent form and ethical committee review designed to test the effects of AR polymorphisms on clinical outcomes. All patients signed written consent forms for both the parent BEST protocol and the DNA substudy. Although DNA analysis was performed after the trial ended, clinical data remained blinded from the investigators until the coded genetic data results were submitted to the data coordinating center and analyzed by trial statisticians.

The current substudy is a post hoc analysis investigating the incidence of new-onset AF. Cases of AF were prospectively identified from adverse event case report forms that included electrocardiograms (ECGs) and were reviewed and certified by cardiologist investigators at each site. In patients for whom no adverse event had been recorded, newonset AF event was also obtained from study ECGs performed at baseline and at 3 and 12 months. In order to assess the total number of new-onset AF events, the separate adverse event and study ECG datasets were combined. Time of onset of the AF event was taken as the day of detection, with the duration of AF-free follow-up determined by comparison to the randomization date.

#### **Genotyping and norepinephrine measurements**

Geno-typing for  $1389Arg/Gly$  and  $2c322-325$  Wt/Del polymorphisms was performed with archived DNA (11–14), and plasma norepinephrine (NE) was measured from systemic venous samples as previously described (16).

#### **Statistical analysis**

The primary analysis was the measure of time to first event of AF for patients free of AF at study entry. A log rank statistic was used to generate treatment comparison p values, and a Cox proportional hazards model was used to estimate hazard ratios (HRs) and confidence intervals (CIs) between bucindolol and placebo groups. Per the study regulatory statistical analysis plan, all analyses were adjusted for the covariates of presence/absence of coronary artery disease, LVEF  $20\%$  to  $>20\%$ , black and non-black race, and gender, which are the four strata used in the treatment randomized assignment. Follow-up was by intention-totreat, with censoring for cardiac transplantation, death, nonfatal lost to follow-up, or study end on July 26, 1999. For baseline characteristics, continuous variables were compared using Student t test and presented as the mean  $\pm$  SD. Categorical variables were compared using the chi-square test. As previously reported (14), 66% of patients entered the DNA substudy after randomization and had DNA collection after being enrolled in the parent treatment protocol. In these "late entry" patients, postrandomization AF events that occurred prior to DNA collection were counted in the statistical analysis.

#### **Results**

#### **Clinical characteristics of patient cohorts**

Baseline characteristics for the entire 2392 BEST AF-free cohort at entry are given in Table 1, and they do not differ from previously reported characteristics of the patients in SR at study entry (17). The average follow-up of the 2392 non-AF patients was 2.0 years, with a maximum of 4.1 years. Table 1 also gives the baseline characteristics of the 925 non-AF patients in the DNA substudy (average follow-up 2.1 years) and in selected genotype

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groups. The 69 patient ( $_{1}389$  Arg/Arg +  $_{2}$ c $322-325$  Del carrier) group contained too few events ( $n = 6$ ) for analysis, and the 1389 Arg/Arg group was therefore not subdivided by  $2c322-325$  Wt/Del polymorphism. In the DNA substudy, there were 441 patients who were  $1389$  Arg homozygotes ( $1389$  Arg/Arg) and 484 Gly carriers ( $1389$  Gly/Gly or Arg/Gly). Within the  $1389$  Gly carrier patient group, 358 were  $2c$  Wt homozygotes and 126 were  $2c322-325$  Del carriers. There were no clinically relevant differences between baseline characteristics in the DNA substudy and the entire cohort non-AF patients. As previously reported for all baseline rhythms (12,13), there were significant differences in race and hypertension history between 1389 Arg/Arg and Gly carriers groups, as well as between the two 1389 Gly carrier/  $_{2c}$ 322–325 groups that were related to the 1389 Gly and  $_{2c}$ 322– 325, deletion alleles being more prevalent in blacks (11–14).

#### **Outcomes in the BEST cohort and DNA substudy**

There were 190 new-onset AF events in the entire 2,392 patient cohort, for an overall event rate of 7.9%. In the 925 DNA substudy patients, there were 80 new-onset AF events (rate, 8.6%). In the entire BEST cohort, there was a lower incidence of new-onset AF in the bucindolol group than in the placebo group (n = 75 [6.2%] vs. n = 115 [9.7%] HR: 0.59 [95% CI: 0.44 to 0.79]), corresponding to a 41% risk reduction (Table 2). There was a similar decrease in the incidence of new-onset AF in the DNA substudy in the bucindolol group compared to the placebo group ( $n = 31$  [6.7%] vs.  $n = 49$  [10.7%]; HR: 0.57 [95% CI: 0.36 to 0.90]) (Table 2). Data presented in Table 2 indicate that 85% of events were detected from adverse event forms as opposed to routine ECGs only; thus, most of the events were symptomatic. Time to first event curves for the entire cohort and DNA substudy are given in Figure 1.

Table 3 gives the reduction in new-onset AF analyzed by event duration. AF events were classified as short duration paroxysmal (<24 h), longer duration paroxysmal (between 24 h and 7 days), or persistent (longer than 7 days). Greater than two-thirds (67.9%) of the events were persistent AF, with 23.2% of events longer paroxysmal and only 8.9% of events being short paroxysmal. By HR, bucindolol treatment effects were similar for the three AF durations, with HR of 0.51 (p = 0.183), 0.57 (p = 0.066), and 0.62 (p = 0.007) for shorter paroxysmal, longer paroxysmal, and persistent AF, respectively (Table 3). However, event rates were low in the paroxysmal groups, and the persistent AF group was the only one that attained statistical significance.

#### **Outcomes by genotype group**

Table 4 gives HR data by genotype group. In the  $441 \frac{1389 \text{ Arg/Arg patients}}{1389 \text{Arg/Arg patients}}$ was associated with a marked decrease in the incidence of new-onset AF (HR: 0.26 [95% CI: 0.12 to 0.57],  $p = 0.0003$ . In contrast, bucindolol had no impact on the incidence of new-onset AF in the 484 1389 Gly carriers (HR: 1.01 [95% CI: 0.56 to 1.84], p = 0.97). In the time to first event curves shown in Figure 2, the. 74% risk reduction by bucindolol in <sup>1</sup>389 Arg/Arg patients was associated with an early divergence of curves. There was no reduction in new-onset AF in the 1389 Gly carriers who received bucindolol compared to placebo. These results yielded a significant statistical interaction ( $p = 0.008$ ) between treatment and  $1389$  Arg/Gly genotypes.

For both heart failure endpoints (12) and serious ventricular arrhythmias (13), when HFREF patients are  $1389$  Gly carriers, the type of associated  $2c322-325$  Wt/Del polymorphism can alter bucindolol treatment effects. Data in Table 4 suggest this is also the case for prevention of AF, where Del carriers have a  $HR > 1.0$ . Moreover, the three-genotype group construct that included  $1389$  Arg/Arg patients had an interaction p value of 0.016, supporting the validity of subdividing the  $1389$  Gly carrier group by  $2c$  polymorphism.

#### **Plasma norepinephrine and new-onset AF**

cohort, 1,868 had baseline NE measured. Compared to patients who remained free of AF, patients who developed AF had higher baseline NE levels in the bucindolol group (581  $\pm$ 304 pg/ml vs. 514  $\pm$  344 pg/ml, respectively, p = 0.009) and in the combined treatment groups  $(530 \pm 231 \text{ pg/ml vs. } 498 \pm 326 \text{ pg/ml},$  respectively, p = 0.015). Bucindolol produced a significant reduction in NE levels at 3 months in patients who developed AF (by  $129 \pm 49$ ) pg/ml,  $p = 0.0009$  vs. placebo change) and in patients who remained free of AF (by 74  $\pm$  12) pg/ml,  $p \le 0.0001$  vs. placebo change), with no differences between the two groups ( $p =$ 0.23). Placebo-treated patients exhibited increases in NE in both the new-onset AF subgroup (by  $88 \pm 46$  pg/ml) and in patients who remained free of AF (by  $21 \pm 11$  pg/ml, p = 0.29 vs. new-onset AF). Table 4 gives NE changes at 3 months within the pharmacogenetic subgroups, where it can be observed that there are similar degrees of NE lowering in the bucindolol 1389 Arg/Arg and Gly carrier genetic groups (respectively, 71 and 78 pg/ml and both p <0.010 vs. placebo change). Within the Gly carrier group, the  $_{2c}$ 322–325 Del carrier subgroup has a large degree of bucindolol-associated NE reduction (by 164 pg/ml) as previously reported for the full 1,040, all rhythms DNA substudy population (12), which is due to the exclusive presence of the  $_{2c}322-325$  Del carrier genotype (14).

#### **Discussion**

#### **Treatment effects of bucindolol on new-onset AF in the BEST entire cohort and the DNA substudy**

The DNA substudy and the entire cohort parent populations were very similar in baseline characteristics, length of follow-up (2.0 vs. 2.1 years), overall event rates (respectively, 7.9% and 8.6%), and placebo event rates (respectively, 9.7% and 10.7%). Thus, there was no evidence that late entry of most in the DNA substudy relative to their randomization dates had any impact on the study population from the standpoint of development of newonset AF.

For new-onset AF, bucindolol demonstrated respective risk reductions of 41% ( $p = 0.0004$ ) and 43% ( $p = 0.014$ ) in the entire and DNA substudy cohorts of BEST. In placebo controlled HFREF trials, the effect of -blockade on AF episodes by event duration has not been previously reported, and we evaluated effects on both paroxysmal and persistent AF. In the entire cohort the majority (68%) of AF episodes were >7 days duration or persistent, exhibiting a 38% reduction ( $p = 0.007$ ) by bucindolol. Shorter or paroxysmal episodes of AF were not significantly reduced, although they had lower HRs than in the persistent group. Thus, there was observational evidence of a bucindolol treatment effect regardless of AF duration, and statistical significance of a favorable effect in persistent AF.

#### **Pharmacogenetic treatment effects**

Reduction in new-onset AF was driven by a large bucindolol treatment effect in patients with a  $1389$  Arg/Arg genotype who had a 74% reduction (p = 0.0003) when treated with bucindolol compared to those treated with placebo. There was no reduction in event rate (HR: 1.01) in bucindolol patients who were 1389 Gly carriers, and the treatment  $\times$ genotype group interaction p value was  $0.008$ . Subdividing the  $1389$  Gly carrier genotype by  $_{2c}$ 322–325 Wt/Del genotype appeared to further differentiate bucindolol response as it does for heart failure (12) and serious ventricular arrhythmia (13) endpoints, with a significant ( $p = 0.016$ ) test for interaction when  $1389$  Arg/Arg patients were included in the three group analysis. Although differences in race and/or history of hypertension could have affected the analysis between genotypes, the  $(-1389 \text{ Gly carrier} + -20222 - 325 \text{ Wt/Wt})$  group

had prevalence rates for black patients and cases of hypertension that were similar to those of the 1389 Arg/Arg group but markedly different HRs (0.94,  $p = 0.84$  and 0.26,  $p =$ 0.0003, respectively). This indicates that the differentially enhanced treatment effect of bucindolol on AF prevention is mediated through  $1389$  Arg vs. Gly ARs and is not directly related to race or history of hypertension.

There appears to be a class affect of -blockers for reduction of new-onset AF in HFREF patients. A meta-analysis by Nasr et al. (7) of new-onset AF in HFREF trials demonstrated an average 27% reduction of new-onset AF for five different -blockers and evidence for a treatment effect for all -blockers except nebivolol. This relatively modest reduction in newonset AF across all -blocker HFREF trials is in contrast to the marked 74% reduction in new-onset events in the 1389 Arg/Arg group observed in this analysis.

#### **Role of adrenergic drive in the development of new-onset AF and the pharmacotherapeutic effects of bucindolol**

Patients who developed AF had higher baseline NE levels than patients who remained free of AF, similar to data for AF development in an animal model of heart failure (18). Bucindolol's well-known sympatholytic effects (14–16) were observed in patients who developed AF and in those who did not and to the same extent in patients with  $1389$  Arg/ Arg and  $1389$  Gly carrier genotypes. Thus, NE reduction by bucindolol may play a role in its AF prevention effects, but a difference in degree of sympatholysis does not explain the highly selective therapeutic effects of bucindolol in patients with the  $1389$  Arg/Arg genotype. In this genotype patients express only the  $1389$  Arg receptor, which is the "NE receptor" in the heart (12). A reduction in NE will therefore have a selectively greater therapeutic effect in this genotype, and patients are also protected from the adverse effects of marked sympatholysis (12).

In the ( $1389$  Gly carrier +  $2e^{322-325}$  Del carrier) group, relatively low prevalence (13.6% of the total) combination genotype that exhibited a statistically insignificant 33% numerical increase in new-onset AF, there was a large reduction in NE due to the  $2c^322-325$  Del carrier polymorphism (12,14). The adverse affects of sympatholysis (12,14,16) may have canceled any therapeutic effect of bucindolol in  $1389$  Gly carriers and led to a nonsignificant increase in AF in patients with a  $[-1389 \text{ Gly carrier} + 2c322-325 \text{ Del}]$ carrier] genotype.

#### **Mechanisms of atrial fibrillation prevention by bucindolol as modulated by the β1389 Arg/ Arg genotype**

There are multiple lines of evidence linking high levels of  $_1$ -adrenergic signaling, as predicted for 1389 Arg/Arg homozygotes, to the development of AF. Higher adrenergic activity has been shown to increase the inducibility of AF in humans and dogs in a dosedependent manner (19,20), and in a model of ischemic cardiomyopathy, dogs that developed AF had higher NE levels (18). Furthermore, in isolated human right atrial preparations, isoproterenol infusion has been shown to increase the frequency of atrial early and delayed after-depolarizations, phenomena that have been implicated in initiating AF (21). Bucindolol is especially effective in inhibiting signaling through  $1389$  Arg ARs, through the novel mechanisms of facilitating inactivation of constitutively active receptors (the property of inverse agonism) (11) and NE lowering (12), as well as through high-affinity competitive antagonism (6).

#### **Study limitations**

The primary limitation of the current substudy is the post hoc nature of the analysis. AF was not a prespecified efficacy endpoint, and the data were not adjudicated but rather collected

from investigator-reviewed adverse event case report forms and serial ECGs, similar to the approach used by van Veldhuisen et al. (22). Thus, some AF events were likely missed, and in the case of the 15% of events that were detected by ECG, only the onset of AF could have been much earlier than the recorded date. On the other hand, using adverse event forms and ECGs to capture new-onset AF events represented a blinded, nonbiased way to assess arrhythmia occurrence with 85% of the events being symptomatic. Based on the use of adverse event case report forms and ECGs, it is likely that most AF events of more than several hours duration were detected, with the onset contemporaneous to detection in a substantial majority of cases.

Another limitation of the current analysis is the relatively small number of new-onset AF events. Although the entire cohort contained 190 events, the largest number reported in any HFREF -blocker trial (7), the DNA substudy had only 80 events, and after pharmacogenetic subgrouping the number of events in each group was further reduced by  $\sim$ 50%. These limitations will be addressed in a planned study of AF prevention in  $1389$ Arg/Arg genotype HFREF patients who are randomized to bucindolol versus. metoprolol, a -blocker that does not exhibit pharmacogenetic modulation of clinical therapeutic responses (23).

#### **Conclusions**

Bucindolol was associated with a significant, quantitatively large decrease in new-onset AF in the entire BEST cohort that was observed exclusively in the  $1389$  Arg/Arg genotype.

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#### **Abbreviations and Acronyms**



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Time to event curves for new-onset atrial fibrillation (AF) in the BEST entire cohort (**A**) and the DNA substudy (**B**). **Dashed line** = placebo; **solid line** = bucindolol. HR = hazard ratio.

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#### **Figure 2. Time to New Onset by** β**1389 Arg/Gly Genotype**

Time to event curves are shown for new-onset AF in the BEST DNA substudy by  $1389$ Arg/Gly genotype. There is a significant interaction between genotype and treatment. The benefit of bucindolol is seen exclusively in the 1389 Arg/Arg genotype (**A**), with a risk reduction of 74% compared to placebo ( $p = 0.008$  for interaction vs. Gly carrier group). (**B**) There was no impact of bucindolol in the 1 Gly carriers compared to placebo. **Dashed line** = placebo; **solid line** = bucindolol. Abbreviations as in Figure 1.



Baseline Patient Characteristics for Patients Who Were Not in AF at the Time of Study Entry, in the Entire Cohort, DNA Substudy and Within Genotype Baseline Patient Characteristics for Patients Who Were Not in AF at the Time of Study Entry, in the Entire Cohort, DNA Substudy and Within Genotype<br>Groups



 $p < 0.001$ ;

 $\frac{t}{p}$  < 0.01;

 $t_{\rm p}$  < 0.05.

AF = atrial fibrillation; Arg = arginine; Del = deletion; Gly = glycine; HF = heart failure; HR = heart rate; HTN = history of hypertension; LVEF = left ventricular ejection fraction; NYHA = New York<br>Heart Association; Wt AF = atrial fibrillation; Arg = arginine; Del = deletion; Gly = glycine; HF = heart failure; HR = heart rate; HTN = history of hypertension; LVEF = left ventricular ejection fraction; NYHA = New York Heart Association; Wt = wild type.

#### Prevention of New-Onset Atrial Fibrillation by Bucindolol in BEST



Values are n (%) or hazard ratio (95% confidence interval), p value.

AE = adverse event; AF = atrial fibrillation; BEST = Beta-Blocker Evaluation of Survival Trial.

#### Duration of New-Onset Atrial Fibrillation Events in BEST



Values are n/N (%).

AF = atrial fibrillation; BEST = Beta-Blocker Evaluation of Survival Trial; CI = confidence interval.

Prevention of New-Onset AF by Bucindolol in BEST by Genotype, Total Number of Events, and Norepinephrine Change at 3 Months in Patients in Genetic Groups



Data show prevention of new-onset atrial fibrillation (AF) by bucindolol in BEST by genotype (hazard ratio [R], 95% confidence intervals [CI]), total number of events, and p value. Norepinephrine (NE) change at 3 months in patients in genetic groups.

\* Member of three group construct tested for interaction.

 $B =$  bucindolol; Del = deletion; P = placebo; Wt = wild type. Other abbreviations as in Table 1.