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## ACTIVATION OF ALPHA1-ADRENOCEPTORS ENHANCES GLUTAMATE RELEASE ONTO VTA DA CELLS

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### Abstract

The ventral tegmental area (VTA) plays an important role in reward and motivational processes that facilitate the development of drug addiction. Glutamatergic inputs into the VTA contribute to dopamine (DA) neuronal activation related to reward and response-initiating effects in drug abuse. Previous investigations indicate that alpha1-adrenoreceptors ( $\alpha$ 1-AR) are primarily localized at presynaptic elements in the ventral midbrain. Studies from several brain regions have shown that presynaptic  $\alpha$ 1-AR activation enhance glutamate release. Therefore, we hypothesized that glutamate released onto VTA-DA neurons is modulated by pre-synaptic  $\alpha$ 1-AR. Recordings were obtained from putative VTA-DA cells of male Sprague-Dawley rats (28–50 days postnatal) using voltage clamp techniques. Phenylephrine (10  $\mu$ M) and methoxamine (80  $\mu$ M), both  $\alpha$ 1-AR agonists, increased AMPA receptor-mediated excitatory postsynaptic currents (EPSCs) amplitude evoked by electrical stimulation of afferent fibers ( $p < 0.05$ ). This effect was blocked by the  $\alpha$ 1-AR antagonist prazosin (1  $\mu$ M). Phenylephrine decreased the paired-pulse ratio and increased spontaneous EPSCs frequencies but not their amplitudes suggesting a presynaptic locus of action. No changes in miniature EPSCs (0.5  $\mu$ M TTX) were observed after phenylephrine's application which suggest that  $\alpha$ 1-AR effect was action potential dependent. Normal extra- and intracellular  $Ca^{2+}$  concentration seems necessary for the  $\alpha$ 1-AR effect since phenylephrine in low  $Ca^{2+}$  ACSF and depletion of intracellular  $Ca^{2+}$  stores with thapsigargin (10  $\mu$ M) failed to increase the AMPA EPSCs amplitude. Chelerythrine (1  $\mu$ M, PKC inhibitor) but not Rp-cAMPS (11  $\mu$ M, PKA inhibitor) blocked the  $\alpha$ 1-AR activation effect on AMPA EPSCs, indicating that a PKC intracellular pathway is required. These results demonstrated that presynaptic  $\alpha$ 1-ARs activation modulates glutamatergic inputs that affect VTA-DA neurons excitability.  $\alpha$ 1-ARs action might be heterosynaptically localized at glutamatergic fibers terminating onto VTA-DA neurons. It is suggested that drug-induced changes in  $\alpha$ 1-AR could be part to the neuroadaptations occurring in the mesocorticolimbic circuitry during the addiction process.

### Keywords

Dopamine neurons; Glutamate release; alpha1-adrenoreceptors; Ventral Tegmental Area

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## Introduction

Dopamine (DA) neurons projecting from the ventral tegmental area (VTA) to cortical and ventral forebrain structures are the source of the so called mesocorticolimbic system (Dahlstrom and Fuxe, 1964, Ungerstedt, 1971, Lammel et al., 2011). Activation of VTA DA neurons has been implicated in motivated behaviors as well as in mediating the reinforcing actions of drugs of abuse (Schultz and Schultz, 2002, Kauer, 2004, Grace et al., 2007). Extensive evidence demonstrates a noradrenergic innervation and synaptic modulation of VTA DA neurons. Several tracing studies have shown noradrenergic inputs from locus coeruleus and other pontine structures making extrasynaptic and synaptic contacts into VTA DA neurons (Jones et al., 1977, Liprando et al., 2004, Geisler and Zahm, 2005, Mejias-Aponte et al., 2009).

The presence of alpha-1 adrenoceptors ( $\alpha$ -1-ARs) has been demonstrated in the VTA area (Greene et al., 2005).  $\alpha$ -1-ARs were found to be primarily localized at pre-synaptic elements in the VTA region (Rommelfanger et al., 2009).  $\alpha$ -1-ARs are Gq-protein-coupled receptors that participate in the development of stressors and anxiety responses, and in addiction-related behaviors (Cecchi et al., 2002, Hague et al., 2003, Jimenez-Rivera et al., 2006, Greenwell et al., 2009). Noradrenergic inputs facilitate VTA DA neuronal transmission and induce changes in burst firing via  $\alpha$ -1-ARs (Grenhoff et al., 1993, Grenhoff and Svensson, 1993, Grenhoff et al., 1995, Paladini and Williams, 2004). Since bursting activity in the VTA is dependent on glutamate transmission (Lodge and Grace, 2006) it is logical to postulate that  $\alpha$ -1-ARs might be important in the presynaptic control of glutamate release onto VTA cells. However, to date, there is no direct evidence demonstrating such mechanism.

Diverse brain nuclei send glutamatergic inputs to the VTA. The prefrontal cortex (PFC), seems to be the primary source of glutamatergic innervation of this structure (Carr and Sesack, 2000, Omelchenko and Sesack, 2007). However, the lateral hypothalamus, medial habenula, bed nucleus of the stria terminalis (BNST), laterodorsal and pedunculo pontine tegmental nuclei also send glutamate inputs into the VTA (Murase et al., 1993, Charara et al., 1996, Georges and Aston-Jones, 2001, 2002, Omelchenko and Sesack, 2005, Lodge and Grace, 2006, Gao et al., 2007, Geisler et al., 2007, Omelchenko and Sesack, 2007, 2009). Recently, the presence of local glutamatergic neurons has been demonstrated (Yamaguchi et al., 2007, Dobi et al., 2010).

The VTA contain mainly dopaminergic neurons that are under the influence of these glutamatergic inputs (Cameron et al., 1997). Electrophysiological studies have demonstrated that glutamate-induced excitation can change cell firing, pacemaker and bursting activity in VTA DA neurons (Murase et al., 1993, Georges and Aston-Jones, 2002, Lodge and Grace, 2006). Glutamate release onto this area contribute to changes in cognition, stress and reward, and at the same time, are critical to the effects induced by drugs of abuse (Ungless et al., 2001, Saal et al., 2003, You et al., 2007, Wise, 2009). Thus, the role of glutamate inputs onto VTA DA neurons are of utmost importance in controlling the normal physiological and pathophysiological activity of these cells.

Here we demonstrate that activation of presynaptic  $\alpha$ -1-ARs facilitates glutamate release onto VTA DA neurons. This effect involves a selective activation of the PKC intracellular pathway.

## EXPERIMENTAL PROCEDURES

### Animals and Slice preparation

Sprague-Dawley male rats between 28 and 50 postnatal days were anesthetized with a 90 mg/kg i.p. chloral-hydrate injection of (Sigma, St Louis, MO, USA) and their brains rapidly removed. Sagittal slices (220  $\mu$ M) containing the VTA were cut using a vibratome (VT1000S, Leica, Germany). The rat midbrain was placed into an ice-cold oxygenated artificial cerebrospinal fluid (ACSF) containing (in mM): 127 NaCl; 2.5 KCl; 1.25 NaH<sub>2</sub>PO<sub>4</sub>; 25 NaHCO<sub>3</sub>; 2 CaCl<sub>2</sub>; 1 MgCl<sub>2</sub>; 25 D(+)-glucose, and saturated with 95% O<sub>2</sub>–5% CO<sub>2</sub> gas mixture to a pH=7.4. Slices were transferred to an intermediate chamber and incubated at 32°C in the same solution for 45-min before the initiation of electrophysiological recordings. MK-801 (10  $\mu$ M, Tocris, Ellisville, MO, USA) was added to the incubation solutions to block *N*-methyl-D-aspartate (NMDA)-mediated excitotoxicity. All animal procedures conformed to the guidelines approved by the Institutional Animal Care and Use Committee of the Medical Sciences Campus - University of Puerto

### Electrophysiological recordings

VTA slices were totally submerged in a recording chamber (500  $\mu$ L) with ACSF superfusion at 1–2 ml/min at 32°C. Picrotoxin (100  $\mu$ M, Sigma, St Louis, MO, USA) was added to the ACSF during recording procedures to block GABA<sub>A</sub> receptor-mediated inhibitory postsynaptic currents (IPSC's). Whole cell voltage clamp recordings were obtained from visually identified neurons in the VTA using an infrared microscope with differential interference contrast (DIC) optics, (BX51WI Olympus, Japan). Recordings were acquired through data acquisition software (pClamp 10, Molecular Devices, Sunnyvale, CA). All recordings were performed in putative DA neurons identified by the presence of a large hyperpolarization-activated cation current ( $I_h$  >200 pA), evoked by 1-s hyperpolarizing steps from –60 to –130 mV.  $I_h$  is present in about 84% VTA DA neurons and VTA GABA cells do not express this conductance (Margolis et al., 2006). Therefore, the contribution of non-dopaminergic neurons to the experimental recording performed in this study is likely to be not significant. Whole-cell voltage clamp recordings were made at a holding potential of –70 mV unless indicated. Borosilicate glass patch pipettes (O.D.1.5 mm, I.D.:1.0 mm WPI, Sarasota, FL) were pulled to a final resistance of 3–6 M $\Omega$  and were filled with (in mM): 115 CH<sub>3</sub>SO<sub>4</sub>K (Methyl potassium sulfate); 20 KCl; 1.5 MgCl<sub>2</sub>; 5 HEPES; 1 EGTA; 2 ATP; 0.2 GTP; 10 Creatine Phosphate (CP); pH 7.25, 290 mOsm. (Na) GTP, (Mg) ATP and (Na) CP were added fresh daily. Data were collected through a Multiclamp 700B amplifier (Axon Instruments, Foster City, CA, USA), filtered at 1 kHz, digitized at 5 kHz using Digidata 1440A (Axon Instruments, Foster City, CA, USA), and stored in a PC computer and analyzed off line using GraphPad Prism 5 (GraphPad Software, Inc) software. Pipette's Liquid junction potential was offset compensated using standard Multiclamp 700B circuitry. The seal's quality used was typically 4–6 GO. Series resistance was not compensated and was monitored during the entire experiment. Data was discarded if changes of more than 15% occurred.

### Recording of Synaptic Currents

A bipolar stainless steel stimulating electrode (FHC Inc, Bowdoin, ME) was placed approximately 100  $\mu$ m rostral to the recording electrode and used to stimulate afferents at 0.1Hz by applying a brief (400  $\mu$ s; low pass filter 1 KHz, digitized 5 KHz) electrical pulse (100–300  $\mu$ A). AMPA-mediated Excitatory Post-Synaptic Currents (EPSCs) were recorded at –70 mV. All EPSCs shown in figures are averages of 5 current traces for the treatment under inspection. AMPA EPSCs' amplitudes were calculated by taking a 1 ms window around the peak of the EPSC and comparing this to a 5 ms window immediate before the stimulation artifact. Peak EPSCs' amplitudes were average during control recordings. This

value was used to normalize control and treatment recordings. This procedure allowed expressing data as percentages of the control condition for appropriate statistical comparisons. Paired stimuli were given with a 50 ms interstimulus interval. Paired Pulse Ratio (PPR) was calculated as the ratio of the first and second EPSC's. Spontaneous AMPA EPSC's (sEPSCs) and miniature AMPA EPSC's (mEPSC) were recorded. Tetrodotoxin (TTX, 0.5  $\mu$ M, Alomone Laboratories, Jerusalem, Israel) was added to the ACSF to isolate mEPSCs that are not dependent on presynaptic action potentials. sEPSCs and mEPSCs were recorded at  $-70$  mV, filtered at 1 kHz and digitized at 5 kHz using pCLAMP 10 software (Molecular Devices, Sunnyvale, CA, USA). For a given cell, sEPSCs and mEPSCs were collected (1 sweep for each condition, 3min/sweep) for a control and phenylephrine's period. The recorded sEPSCs and mEPSCs were analyzed afterward using Mini Analysis program 6.0.7 (Synaptosoft Inc. Decatur, GA). Detection criteria were set at  $>6$  pA,  $<1.3$  ms rise time, and  $<0.1$  ms decay time. The choice of this cutoff amplitude for acceptance of sEPSCs and mEPSCs was made to obtain a high signal-to-noise ratio. Then, each event was also visually inspected to prevent noise disturbance of the analysis.

### Data Analysis

All data are presented as mean  $\pm$  SEM. Statistical significance was assessed using Student's paired t-test or One-Way ANOVA with Newman-Keuls as *post hoc* analysis, except when examining the significance of horizontal shifts to the cumulative probability distribution plots obtained from single cell recordings. For the latter case we used the Kolmogorov–Smirnov (K–S) test. P values are reported throughout the text and significance was set as  $p < 0.05$ .

### Drugs

Pharmacological agents used in this study: Phenylephrine hydrochloride ([R]-[ $-$ ]-1-[3-Hydroxyphenyl]-2-methylaminoethanol hydrochloride), methoxamine hydrochloride ( -[1-Aminoethyl]-2,5-dimethoxybenzyl alcohol hydrochloride), prazosin hydrochloride (1-[4-Amino-6,7-dimethoxy-2-quinazolinyl]-4-[2-furanylcarbonyl]piperazine hydrochloride), chelerythrine chloride (1,2-dimethoxy-12-methyl[1,3]benzodioxolo[5,6-c]phenanthridinium chloride tetrodotoxin citrate), Rp-cAMPS (Rp-adenosine 3',5'-cyclic monophosphorothioate triethylammonium salt hydrate) were purchased from Sigma (St Louis, MO, USA). Thapsigargin (3S,3aR,4S,6S,6AR,7S,8S,9bS)-6- (Acetyloxy)-2,3,3a,4,5,6,6a,7,8,9b-decahydro-3,3a-dihydroxy-3,6,9-trimethyl-8-[[2(Z)-2-methyl-1-oxo-2-butenyl]oxy]-2-oxo-4-(1-oxobutoxy)azuleno[4,5-b]furan-7-yl octanoate) was purchased from Tocris (Ballwin, MO). All substances were diluted in fresh ACSF until completely mixed, then transferred to separate graduated reservoirs connected to the chamber. The effects on current amplitude were measured within 5 min after the beginning of the flow (1–2 ml/min).

## RESULTS

In order to assess if the activation of  $\alpha_1$ -ARs alters glutamatergic transmission onto VTA DA neurons whole cell recordings of AMPA EPSCs were performed on putative DA neurons identified by the presence of large  $I_h$  ( $> 200$  pA), slow spontaneous activity and relatively regular inter-spike intervals (Grace and Bunney, 1983, Grace and Onn, 1989). We confirmed that this evoked current was due to AMPA receptor activation by blocking the response with the potent and selective AMPA receptor antagonist NBQX (30 M, data not shown). EPSCs were electrically evoked in the presence of the GABA<sub>A</sub> receptor antagonist, picrotoxin (100  $\mu$ M).

### **$\alpha$ 1-AR activation increases excitatory synaptic transmission at VTA DA cells**

Bath application of the selective  $\alpha$ 1-AR agonist, phenylephrine (10  $\mu$ M), during 10 minutes, but not for 5 minutes, increased AMPA EPSCs peak amplitude to  $161.4 \pm 20.7\%$  of control (n=13; ANOVA  $F_{2,36} = 4.08$ ,  $p < 0.05$ , Fig. 1B). The phenylephrine's effect on AMPA EPSCs had a slow wash-out (10 minutes). Similarly, superfusion of methoxamine (80  $\mu$ M), another  $\alpha$ 1-AR agonist, significantly increased AMPA EPSCs peak amplitude to  $154.57 \pm 22.14\%$  after 10 minutes' superfusion (n=5; ANOVA  $F_{2,12} = 5.43$ ,  $p < 0.05$ ; Fig 1C). Phenylephrine's excitatory action was dose-dependent over the concentration of 0.1 and 100  $\mu$ M (Fig. 1D). Phenylephrine's effect on AMPA EPSCs recordings was blocked in the presence of the  $\alpha$ 1-AR antagonist, prazosin (1  $\mu$ M) (n=9; ANOVA  $F_{4,40} = 0.28$ ,  $p = 0.88$ , Fig. 2) strongly suggesting that activation of  $\alpha$ 1-ARs increases AMPA receptor-mediated synaptic transmission at VTA DA neurons.

### **$\alpha$ 1-AR activation facilitates presynaptic glutamate release**

The  $\alpha$ 1-AR effect could be attributable to an increase in presynaptic glutamate release or to an upregulation of postsynaptic AMPA receptor function. In order to provide evidence that the observed increases in AMPA EPSC peak amplitude were presynaptic, we examined the EPSC peak amplitudes evoked by two closely spaced stimuli. The analysis of their ratio, the paired-pulse ratio (PPR=EPSC2/EPSC1), has been established as a sensitive measure of glutamate release probability (Manabe et al., 1993). Fig. 3 A shows the decrease in PPR sample recordings after 10  $\mu$ M phenylephrine's superfusion. The PPR decreased from  $0.95 \pm 0.06$  to  $0.8 \pm 0.05$  after 10 minutes phenylephrine's application (n=17; paired  $t$ -test,  $p < 0.05$ , Figs. 3 B and C). These results clearly supports that activation of  $\alpha$ 1-ARs evokes EPSCs through a presynaptic increase in the release probability of glutamate.

To confirm if the observed effects were mediated by a presynaptic mechanism we also recorded spontaneous EPSCs (sEPSCs). Sample recordings showed that phenylephrine's superfusion increased the frequency of sEPSC 10 minutes after its application (Fig. 4 A). Fig. 4 B shows that after 10 minutes of phenylephrine's administration there is an increase in the probability of shorter intervals between successive sEPSCs without changes in the amplitude distribution. Phenylephrine significantly increased the frequency distribution after 10 minutes bath application (from  $0.30 \pm 0.05$  to  $0.59 \pm 0.08$  Hz, n=7, paired  $t$ -test  $p < 0.05$ , Fig. 4 D), but not the amplitude (from  $14.65 \pm 0.63$  to  $14.51 \pm 0.95$  pA, n=7, paired  $t$ -test  $p = 0.76$ , Fig. 4D) compared to control recordings. This finding supports the notion that  $\alpha$ 1-ARs activation is enhancing glutamate's presynaptic release onto VTA DA neurons.

Another way in which we determined if modulation of VTA DA neurons' electrical activity upon  $\alpha$ 1-AR activation depends on a presynaptic mechanism was to measure changes in miniature EPSCs (mEPSCs) in the presence of tetrodotoxin (TTX, 0.5  $\mu$ M). Under these conditions, sample recordings before and after 10 minutes phenylephrine's application showed that there was no change in mEPSC frequency or amplitude (Fig. 5 A). Estimates of inter-event intervals probability and amplitude distribution demonstrated nonsignificant changes after 10 minutes phenylephrine's administration (Figs. 5 B and C, respectively). Population analysis illustrate that phenylephrine did not produce significant changes in frequency (from  $0.14 \pm 0.02$  to  $0.13 \pm 0.02$  Hz, n=13, paired  $t$ -test  $p = 0.53$ , Fig. 5 D), or amplitude (from  $18.50 \pm 1.16$  to  $17.74 \pm 1.4$  pA, n=13, paired  $t$ -test  $p = 0.51$ , Fig. 5D) compared to control recordings. Altogether, these results support the notion that  $\alpha$ 1-AR effect on glutamate release onto VTA DA neurons is action potential-dependent.

### **$\alpha$ 1-AR activation modulatory effect needs both: extracellular and intracellular calcium**

Activation of  $\alpha$ 1-AR increases the intracellular calcium concentration by liberation of calcium stores from the endoplasmic reticulum and through the phosphorylation of plasma

membrane calcium channels (Tanaka and Nishizuka, 1994). To test if extracellular calcium was involved in the observed  $\alpha_1$ -ARs effects, we reduced the calcium concentration of the ACSF from 2.0 mM to 1.0 mM to limit the calcium influx to the presynaptic terminal. Fig. 6A shows sample traces of AMPA EPSCs before and after phenylephrine's administration in the presence of a reduced calcium concentration. Phenylephrine in 1.0 mM calcium failed to increase the AMPA EPSC ( $88.76 \pm 8.11\%$  of control,  $n=6$ , ANOVA  $F_{2,15} = 0.51$ ,  $p=0.60$ , Fig. 6 B), confirming the importance of extracellular calcium on the modulatory effect of  $\alpha_1$ -AR activation.

We also, explored whether intracellular calcium stores participate in the  $\alpha_1$ -ARs mediated effect. Brain slices were pre-incubated in thapsigargin (10  $\mu$ M; 30 min) which depletes intracellular  $Ca^{2+}$  stores by blocking the ATPase that mediates  $Ca^{2+}$  uptake (Thastrup et al., 1990, Mathew and Hablitz, 2008). Thapsigargin blocked phenylephrine's effect on AMPA EPSC ( $109.84 \pm 9.10\%$  of control,  $n=8$ , ANOVA  $F_{2,21} = 0.85$ ,  $p=0.43$ , Fig. 6 D). These results suggest that intracellular  $Ca^{2+}$  stores contribute to phenylephrine's facilitation of evoked AMPA EPSCs.

### $\alpha_1$ -AR-mediated increase in glutamate release through PKC pathway

The effect of  $\alpha_1$ -AR on glutamate release could be mediated by direct coupling via protein kinase C (PKC). PKC has been shown to be a downstream element in the intracellular signaling pathway of  $\alpha_1$ -AR activation (Tamura et al., 1993). To explore whether PKC is required for the  $\alpha_1$ -AR mediated presynaptic glutamate release, slices were superfused with the membrane-permeable PKC inhibitor chelerythrine (1  $\mu$ M). Phenylephrine's application after 10 minutes of chelerythrine superfusion failed to induce changes on AMPA EPSCs peak amplitude (control:  $100.6 \pm 0.57\%$ ; chelerythrine 10 min:  $110.0 \pm 6.59\%$ ; phenylephrine 10 min:  $10.9.6 \pm 6.69\%$ ;  $n=7$ ; ANOVA  $F_{4,30} = 0.37$ ,  $p=0.79$ , Fig. 7). Moreover, superfusion of 11  $\mu$ M Rp-cAMPS, an specific inhibitor of protein kinase A (PKA), did not block the action of the  $\alpha_1$ -AR agonist (control  $103.1 \pm 2.5$ ; Rp-cAMPS  $103.3 \pm 5.4\%$ ; Rp-cAMPS + phenylephrine  $139.6 \pm 9.3\%$ ;  $n=7$ ; ANOVA  $F_{4,30} = 7.10$ ,  $p<0.01$ , Fig. 7D). These results confirm that  $\alpha_1$ -AR activation requires the involvement of a PKC pathway to increase glutamate release onto VTA DA neurons.

## DISCUSSION

To our knowledge, the present study is the first to confirm in brain slices using whole-cell recordings that activation of presynaptic  $\alpha_1$ -ARs modulates glutamatergic inputs which affect VTA DA neuron excitability. We found that phenylephrine and methoxamine applications significantly increased AMPA EPSCs amplitude, suggesting an enhancement of glutamate synaptic transmission. The fact that prazosin blocked phenylephrine's effect indicates that the enhanced glutamate transmission is specifically mediated by an  $\alpha_1$ -AR. In addition, phenylephrine significantly decreased the PPR and increased the frequency but not the amplitude of sEPSC demonstrating that  $\alpha_1$ -ARs activation augmented the release probability of glutamate presynaptically. Moreover, mEPSC recordings showed no significant differences in frequency or amplitude after phenylephrine's application, suggesting that the presynaptic  $\alpha_1$ -ARs effect is action potential dependent. Phenylephrine's action depends on extracellular calcium since its administration in low  $Ca^{2+}$  ACSF (1 mM) failed to increase the AMPA EPSC amplitude. Also, depletion of intracellular calcium stores with thapsigargin blocked  $\alpha_1$ -ARs action on AMPA EPSCs. It was further established that  $\alpha_1$ -AR-mediated increase in glutamate release involves a selective activation of the PKC intracellular pathway.

The activity of  $\alpha_1$ -AR in VTA DA cells has been linked to neuronal excitation (Grenhoff et al., 1993, Grenhoff and Svensson, 1993). The application of an  $\alpha_1$ -AR agonist, for example,

results in cell depolarization, augmentation of the firing rate and a facilitation of the transition from pacemaker firing to bursting activity (Grenhoff et al., 1995). In addition, the action of  $\alpha_1$ -AR stimulation on IPSPs were found in the presence of TTX and in the absence of  $Ca^{2+}$ , suggesting a postsynaptic effect on dopamine neurons (Grenhoff et al., 1995, Paladini et al., 2001). In contrast, with these studies, the present results showed that  $\alpha_1$ -AR activation increase AMPA mediated EPSCs in VTA DA cells, specifically through presynaptic modulation of glutamate release. These discrepancies could be due to differences in electrophysiological methods used and the fact that two distinct neurophysiological parameters, namely IPSPs vs EPSCs, were recorded. Further research should be conducted to clarify possible differences in the  $\alpha_1$ -AR modulation of GABAergic and glutamatergic inputs onto VTA DA neurons.

It has been reported that the  $\alpha_{1b}$ -AR subtype is located in the VTA (Greene et al., 2005). Therefore, the effects found in the present study might be mediated by an  $\alpha_{1b}$ -AR subtype. Dysfunction of this type of metabotropic receptors could compromise DA cell excitability as has been shown in other brain areas (Mirnics et al., 2001, Arnsten, 2004).

Studies from several brain regions have shown diverse effects of  $\alpha_1$ -AR activation on glutamate release. For instance, phenylephrine's superfusion onto layer V of pyramidal cortical tissue decreased AMPA EPSCs amplitude and produced no differences in PPR. Such results suggest, that  $\alpha_1$ -AR activation decreases glutamatergic-induced excitation by a postsynaptic modulation of synaptic transmission (Kobayashi et al., 2009). In addition, it has been reported that  $\alpha_1$ -AR activation leads to a depression of excitatory transmission that is long lasting in several structures such as visual cortex, hippocampus and BNST (Kirkwood et al., 1999, Scheiderer et al., 2004, McElligott and Winder, 2008, Scheiderer et al., 2008, McElligott and Winder, 2009). In these studies the presence of paired-pulse stimulation and NE, acting specifically via  $\alpha_1$ -AR, triggered an NMDA receptor-dependent homosynaptic long-term depression (LTD) in visual cortex (Kirkwood et al., 1999). Similarly, activation of an  $\alpha_1$ -AR induced an LTD at CA3-CA1 synapses in hippocampal and on the BNST slices (Scheiderer et al., 2004, Scheiderer et al., 2008, McElligott and Winder, 2009). Some of these results are in disagreement with ours; however, differences between recording sites, tissue preparation and recording conditions could be possible reasons for these discrepancies. Also, activation of noradrenergic receptors can produce different effects that depend on their localization at synapses and their receptor type.

Our studies found that  $\alpha_1$ -AR activation decreases paired pulse ratio (PPR), which is a measurement sensitive to changes in presynaptic glutamate release. Modulation in PPR is a widely accepted analysis to predict the mechanism underlying synaptic response to experimental treatments. Lack of changes in PPR are consistent with postsynaptic effects while decreases in PPR are associated to enhancements in transmitter release (Zucker, 1989, Manabe et al., 1993). Therefore, our findings strongly support that presynaptic activation of an  $\alpha_1$ -AR increase VTA DA neurons excitability through the modulation of glutamate release in this region. These functional findings are consistent with recent ultrastructural identification of  $\alpha_1$ -AR immunoreactivity at pre-synaptic elements (Rommelfanger et al., 2009).

The effects of  $\alpha_1$ -AR stimulation on spontaneous synaptic transmission have been previously assessed with contradictory results in cultured rat hippocampal neurons, and in brain slices of hypothalamus and cerebral cortex (Marek and Aghajanian, 1999, Aubert et al., 2001, Gordon and Bains, 2003, Dong et al., 2005, Gordon and Bains, 2005). Investigations on different nuclei of the hypothalamus and prefrontal cortex preparations have demonstrated that  $\alpha_1$ -AR activation increase mEPSC frequency. No data on sEPSCs were presented (Gordon and Bains, 2003; Dong et al., 2005, Gordon and Bains, 2005). In

contrast, experiments made on neurons of layer V of the medial prefrontal cortex and in mature cultured hippocampal neurons showed that activation of  $\alpha_1$ -ARs increase the frequency but not the amplitude of sEPSC. No differences were found on mEPSC (Marek and Aghajanian, 1999, Aubert et al., 2001). In accordance with the latter data, our results showed that enhancement of EPSCs by  $\alpha_1$ -AR activation is dependent on presynaptic action potentials given that the increased frequency was not observed in the presence of TTX, an established inhibitor of voltage-gated sodium channels (Narahashi et al., 1967). Therefore,

$\alpha_1$ -AR actions on DA neuron excitability follow synaptic activation induced by action potentials from presynaptic neurons. In accordance with our results, Cucchiaroni et al., (2011) reported that  $\alpha_1$ -AR activation increases glutamate release onto DA cells of mesencephalic-striatal co-cultures. The enhanced glutamate release was dependent on presynaptic action potentials and had no effect on the sensitivity of postsynaptic glutamate receptors in DA cells.

Protein kinase C (PKC) activation potentiates synaptic transmission through a mechanism which causes increases in glutamate release (Malenka et al., 1986, Lou et al., 2008). It has also been observed that PKC activation makes synapses potentiation-competent in hippocampal neurons (Wierda et al., 2007). Activation of the PKC pathway has also been claimed to increase the fusion probability of vesicles in the readily releasable pool on calyx of Held synapses (Lou et al., 2008). The latter effect could result from increases in the calcium sensitivity of vesicle fusion, which in turns enhances the spontaneous and evoked release necessary for the potentiation (Lou et al., 2005).

$\alpha_1$ -AR are Gq-protein-coupled receptors, known to activate phospholipase C, and stimulate protein kinase C (PKC) through the increase in diacylglycerol levels (Tanaka and Nishizuka, 1994). Triggering of PKC, via  $\alpha_1$ -AR activation, has been reported to increase glutamate release from excitatory afferent fibers in hypothalamic nucleus (Gordon and Bains, 2003). This PKC effect, through  $\alpha_1$ -AR, has also been observed in pyramidal neurons from layers V-VI of the prelimbic cortex (Dong et al., 2005). Our data showed that in the presence of chelerythrine, a PKC inhibitor, the stimulation of evoked glutamate release by phenylephrine application was blocked. Thus, a PKC-dependent mechanism plays an important role in the  $\alpha_1$ -AR effect on glutamate release onto VTA DA neurons.

The cooperation between the influx of extracellular calcium and PKC activation has been described (Swartz, 1993, Sena et al., 1999). Our results demonstrate that  $\alpha_1$ -ARs activation could increase glutamate release by an interaction with extracellular calcium influx. This interaction can be related to the PKC facilitation of voltage-gated calcium channel activation. There are several possibilities to explain the facilitation of glutamate release, calcium-currents and synaptic transmission by the activation of PKC. At presynaptic terminals, voltage-gated calcium channels are coupled with protein involved in exocytosis of neurotransmitters (Llinas et al., 1992, Stanley, 1997). Presynaptic facilitation shown by PKC is related to the ability to up-regulate the peak current of some calcium-channels. Different calcium channels support the modulation of glutamate release by presynaptic receptors. PKC activation can facilitate presynaptic glutamate release by both, N type and P/Q type calcium channels (Stea et al., 1995, Vazquez and Sanchez-Prieto, 1997, Herlitze et al., 2001). In some neurons, specific types of voltage-gated calcium channels are closely located to a particular calcium-activated potassium channel, presumably for the effective regulation of cell membrane excitability (Marrion and Tavalin, 1998). These regulatory actions of calcium can be amplified, if calcium-induced calcium release increases calcium liberation from intracellular storages such as the endoplasmic reticulum (Endo, 1977, Kuba, 1994, Verkhratsky and Petersen, 1998).



The 1-AR role's on the intracellular calcium stores of ventral midbrain neurons has been previously described (Paladini and Williams, 2004). 1-AR activation induced an intracellular calcium wave that appears to be regenerative in DA neurons. Also, increase in intracellular calcium via 1-AR and mGluRs interactions can have a positive effect on firing pattern of midbrain DA neurons (Paladini et al., 2001, Paladini and Williams, 2004). In our study, PKC inhibition and depletion of intracellular calcium stores were able to block the 1-AR effect on AMPA EPSCs. Therefore, it seems that PKC, together with the availability of intra- and extracellular calcium, are key mechanisms mediating the enhancement of presynaptic glutamate release onto VTA DA neurons (Fig 8).

Glutamate release onto VTA cells contributes to changes in cognition, stress and reward, and is critical to the effects induced by drugs of abuse (Ungless et al., 2001, Saal et al., 2003, You et al., 2007). 1-AR could activate glutamatergic inputs into the VTA from cortical and subcortical structures (Carr and Sesack, 2000, Omelchenko and Sesack, 2007). BNST, and laterodorsal tegmentum have powerful glutamatergic excitatory influences onto VTA DA neurons (Georges and Aston-Jones, 2002, Omelchenko and Sesack, 2007). These glutamatergic connections have been linked to reward-directed behaviors such as cocaine self-administration and drug seeking (Ungless et al., 2001, Saal et al., 2003, You et al., 2007, Wise, 2009). Also, 1-ARs could be located on glutamatergic fibers impinging on VTA DA neurons projecting to prefrontal cortex and nucleus accumbens (Dobi et al., 2010). Consequently, the enhancement of glutamatergic transmission onto VTA DA neurons via 1-ARs can contribute to the facilitation of addiction development of different psychostimulants such as cocaine, amphetamine or morphine (Drouin et al., 2002, Shi et al., 2004, Jimenez-Rivera et al., 2006, Zhou et al., 2006).

A recent study showed that VTA DA cells related to reward and aversive stimuli project to nucleus accumbens (NAc) lateral shell and have a large  $I_h$  current (Lammel et al., 2011). In the present study, the VTA DA cells recorded had an  $I_h$  greater than 200 pA, as mentioned in the experimental procedures. Therefore, according to Lammel et al., (2011), the subpopulation of cells that we recorded could be modified by addiction and/or aversion. On the other hand, aversive stimuli can increase norepinephrine release onto the VTA, which can lead to 1-AR activation. Stimulation of 1-ARs can produce an increase in glutamate release on VTA DA cells that project to NAc lateral shell, suggesting that this modulation can encode occurrence of a salient stimulus independent of its valence. It is possible that VTA DA cells projecting into NAc medial shell, which have small  $I_h$  and could be modified only by addiction, are not taken into consideration in the present study. Other studies must be carried out focusing on this cell sub-population which seems very important in addiction processes.

## CONCLUSION

The present results demonstrate that 1-ARs activation at the presynaptic site increases excitability of putative DA cells within the VTA. In addition, they suggest that 1-AR might be heterosynaptically localized at glutamatergic fibers terminating onto VTA DA neurons. This interaction may play a central role in the synaptic plasticity changes that are known to occur in the VTA after drug exposure. Clear understanding of the 1-AR mediated activation of VTA DA neurons could provide possible avenues for therapeutic pharmacological interventions.

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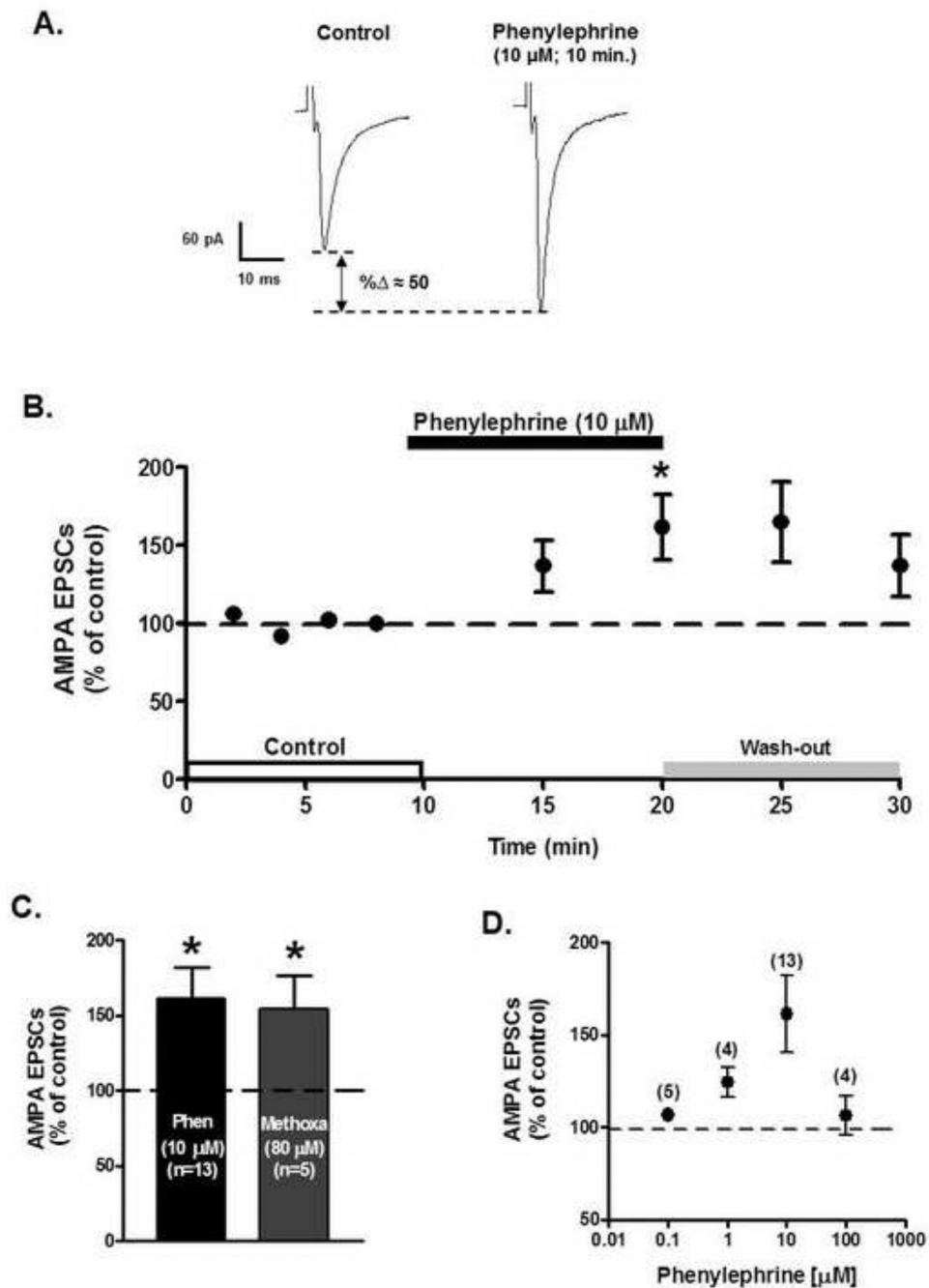
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### Highlights

Presynaptic 1-ARs activation enhances glutamate release onto VTA DA neurons.

This effect involves a selective activation of the PKC intracellular pathway.

Presynaptic 1-AR-mediated effect requires extra- and intracellular.

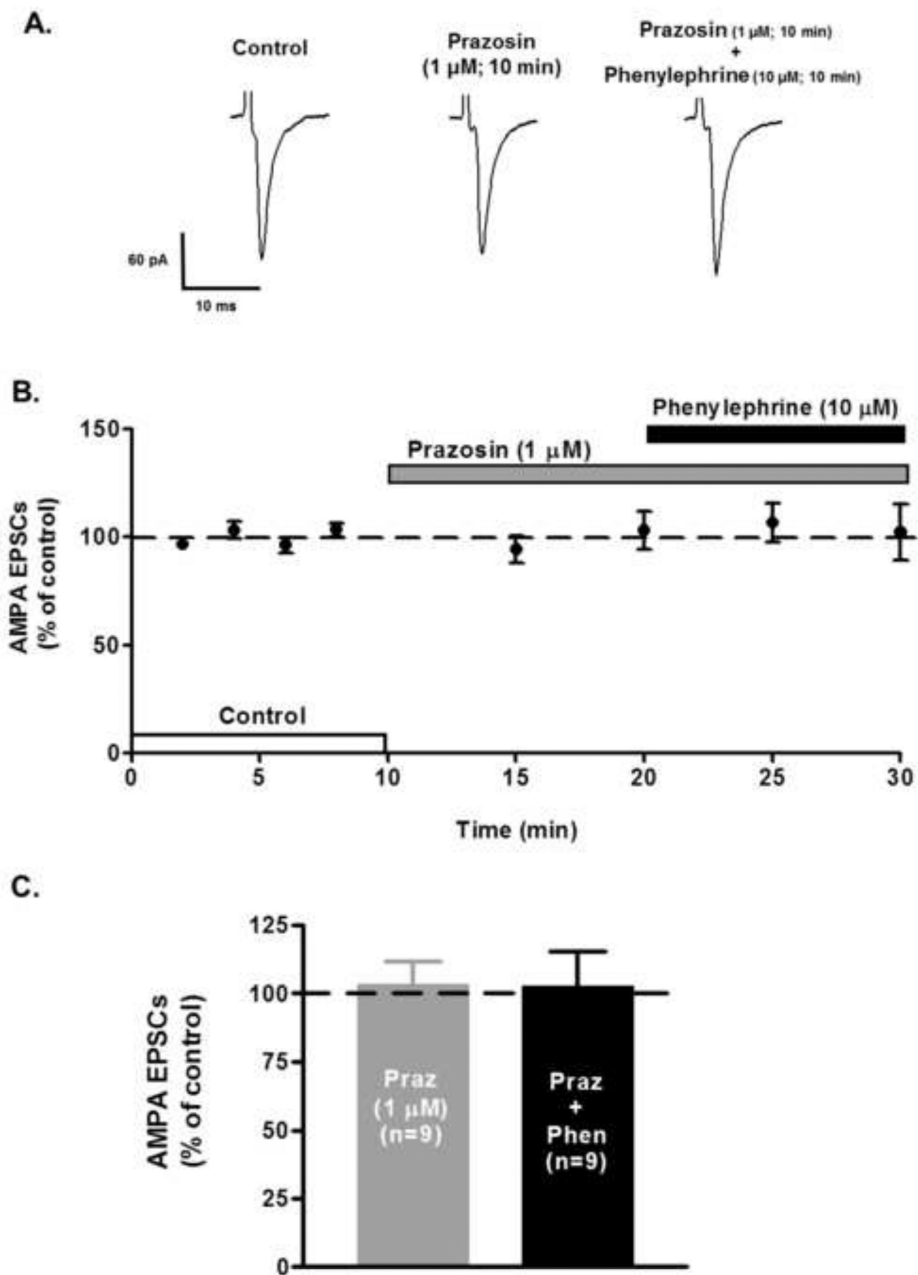


**Figure 1.**

Bath application of phenylephrine (10  $\mu$ M) increases AMPA EPSCs amplitude in putative VTA DA neurons. **A.** Representative recordings from a neuron illustrating that phenylephrine superfusion (10  $\mu$ M, 10 min), induces a significant increment in AMPA EPSCs amplitude in a putative VTA DA neuron voltage clamped at  $-70$  mV. **B.** Summary time course of the effects of phenylephrine bath application on AMPA EPSCs amplitude recorded from 13 putative VTA DA neurons at 8 min of control (2 min intervals), 5 and 10 min phenylephrine (10  $\mu$ M) and 5 and 10 min washout. A 10 min phenylephrine application increases the AMPA EPSCs amplitude. Slow washout of phenylephrine's response was

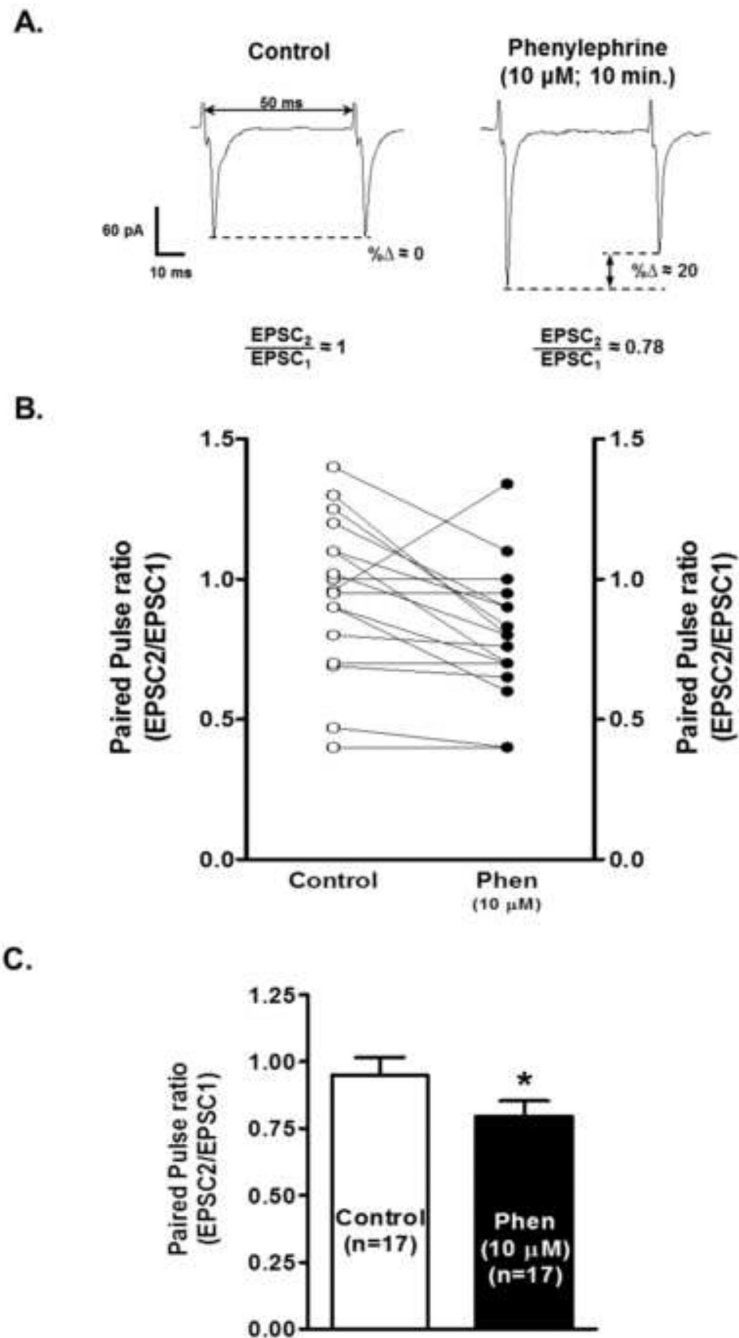


observed. **C.** Bar graph showing that on average phenylephrine (n=13) and methoxamine (n=5) application resulted in an ~ 50% increase in AMPA EPSCs amplitude. No significant differences were observed between controls ( $100.1 \pm 2.3\%$ ). **D.** Dose-response curve of phenylephrine's effect on AMPA EPSCs. Phenylephrine-induced increase was dose-dependent over the concentration range of 0.1 – 100  $\mu\text{M}$ . At 100  $\mu\text{M}$  it seems to desensitize the receptor. Parenthesis indicates numbers of cells in each experiment. \* $p < 0.05$ , One-way ANOVA, Newman-Keuls *post-hoc*.

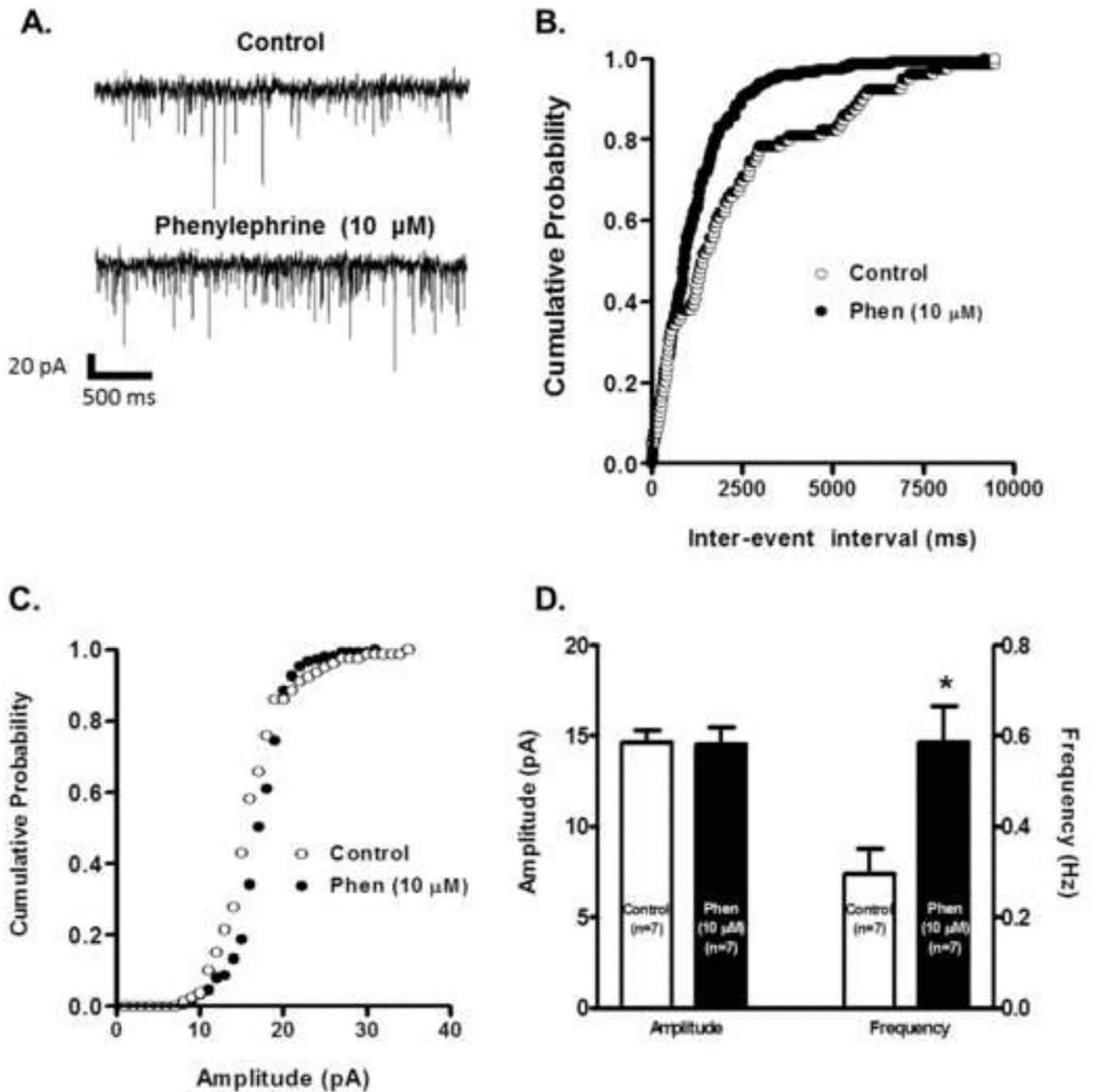


**Figure 2.**

1-AR antagonist, prazosin, blocks phenylephrine's effect on AMPA EPSCs. **A.** Representative recordings from a neuron showing that the 1-AR antagonist prazosin (1  $\mu\text{M}$ ) completely abolishes the phenylephrine-induced increase in AMPA EPSCs amplitude. **B.** Summary time course illustrating prazosin antagonistic actions. Note that prazosin alone has no effect on EPSCs amplitude. Each point represents the mean  $\pm$  SEM of  $n=9$ . **C.** Prazosin superfusion leaves AMPA EPSCs amplitude unaltered. Phenylephrine (10  $\mu\text{M}$ ) and prazosin (1  $\mu\text{M}$ ) co-superfusion prevents phenylephrine-induced increase of AMPA EPSCs amplitude.

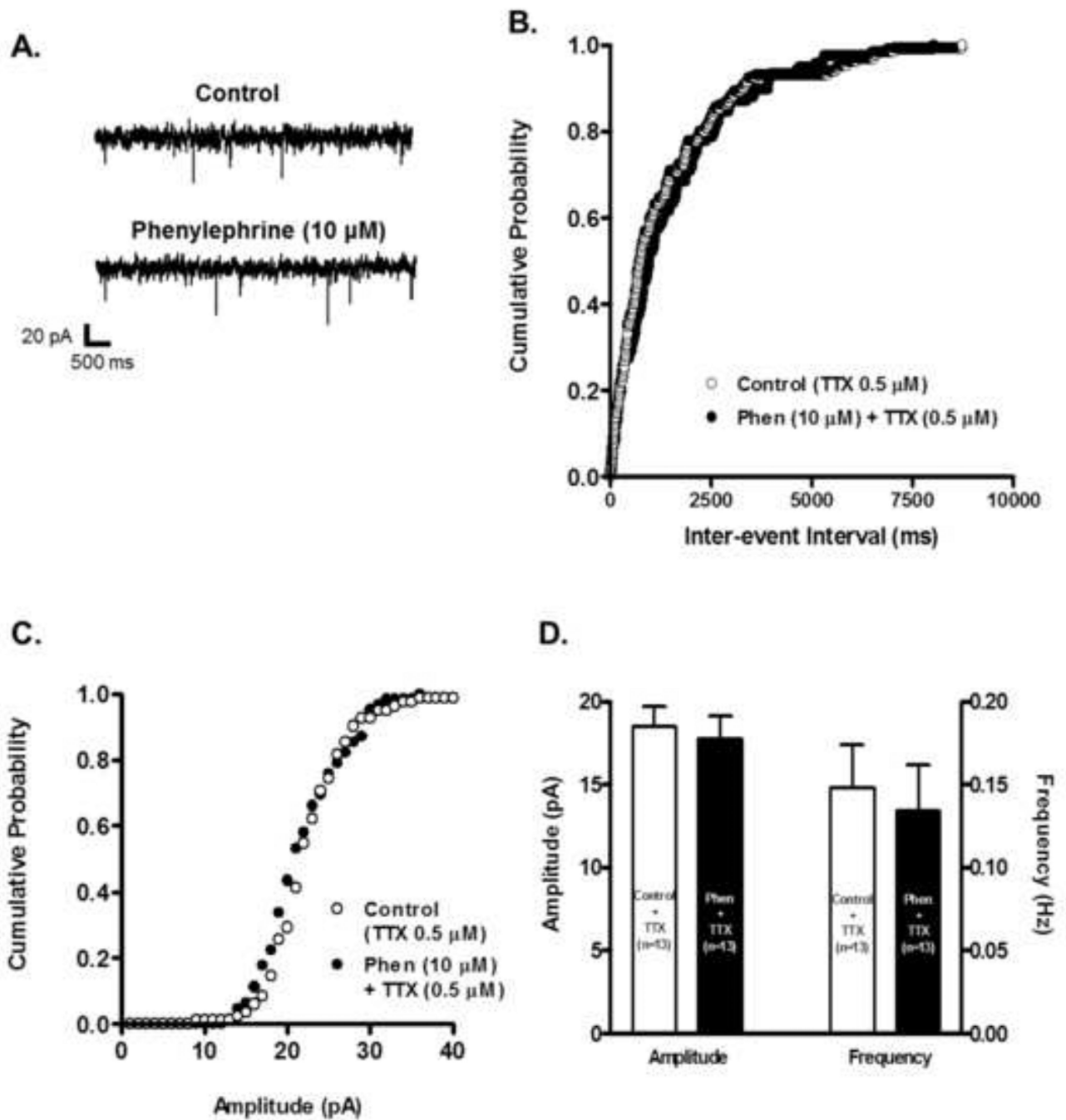


**Figure 3.** Phenylephrine decreases paired-pulse ratio in putative VTA DA neurons. **A.** Representative recordings from a neuron illustrating that phenylephrine superfusion (10  $\mu$ M, 10 min application), induces a significant decrease in paired pulse ratio (PPR = EPSC<sub>2</sub>/EPSC<sub>1</sub>) in a putative VTA DA cell voltage clamp at a -70 mV. Note that the time interval between consecutive EPSCs is 50 ms. **B.** Graph summarizing the change in PPR of 17 cells after 10 min phenylephrine (10  $\mu$ M) bath application. **C.** Bar graph showing that phenylephrine-induced decrease in PPR is statistically significant. \* $p < 0.05$ , paired t-test.



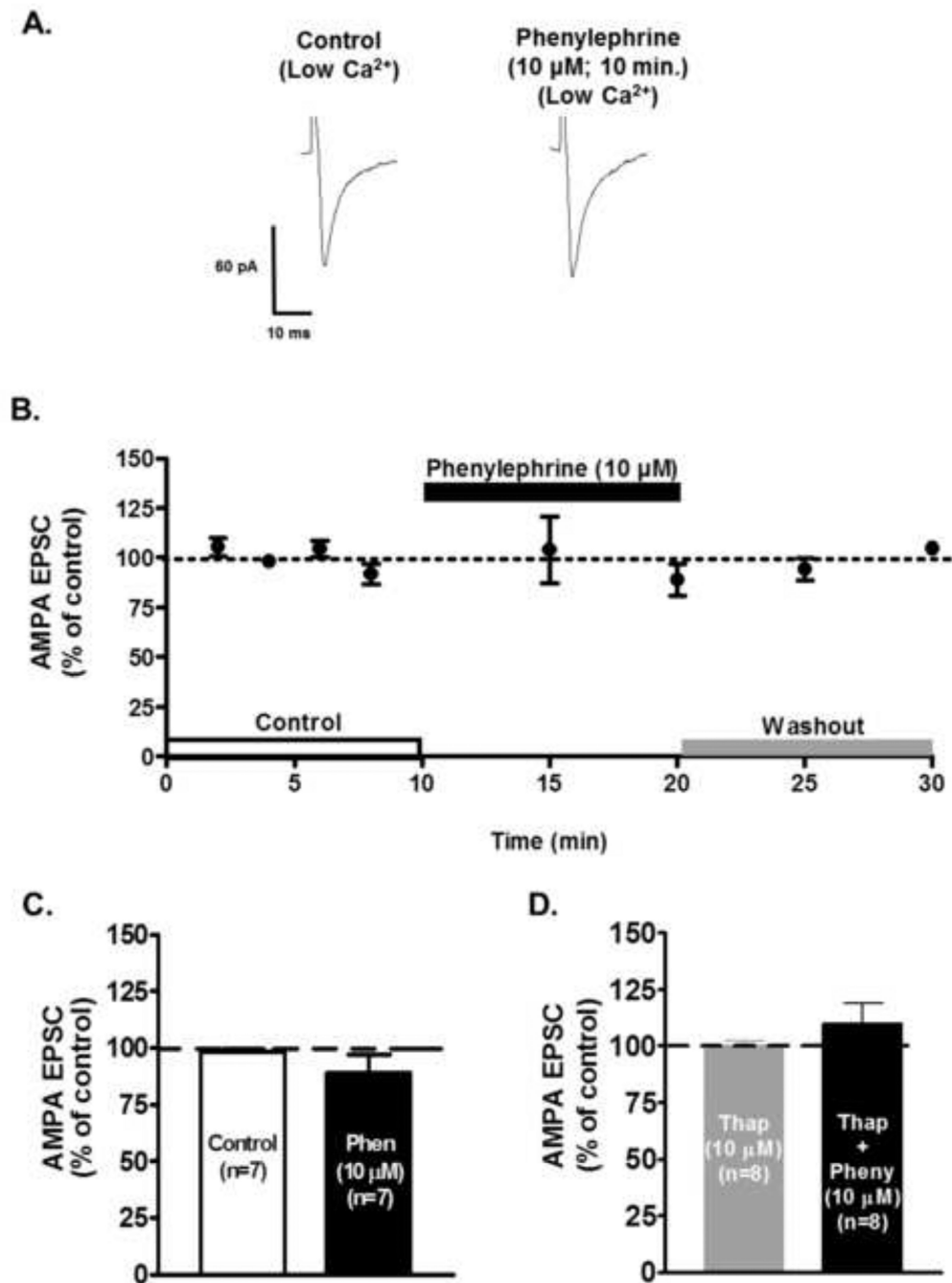
**Figure 4.** Phenylephrine increases the frequency but not the amplitude of sEPSCs. **A.** Representative recordings from a cell illustrating that phenylephrine's application (10  $\mu$ M) increases sEPSC frequency but not the amplitude. The cell was voltage clamped at  $-70$  mV during the recordings. **B.** Phenylephrine's superfusion (10  $\mu$ M, 10 min application) results in a shift to the left of the inter-event interval cumulative distribution (K-S,  $p < 0.05$ ) implying an increase in sEPSCs frequency. The plot was constructed from the cell used in part A. **C.** Phenylephrine does not shift the sEPSCs amplitude cumulative distribution. The plot was constructed from the cell used in A. **D.** Summary graph showing that phenylephrine

increased the mean frequency without affecting the mean amplitude of sEPSCs (n=7). \*p < 0.05, paired *t*-test.



**Figure 5.** Phenylephrine had no effect on mEPSCs frequency or amplitude. **A.** Representative recordings from a neuron illustrating that in presence of TTX (0.5  $\mu$ M) phenylephrine (10  $\mu$ M) does not change the frequency or amplitude of mEPSCs. The neuron was voltage clamped at  $-70$  mV during the recordings. **B.** Phenylephrine's superfusion (10  $\mu$ M, 10 min. application) does not shift the inter-event interval cumulative distribution of mEPSCs. The plot was constructed from the cell used in **A.** **C.** Phenylephrine does not shift the amplitude cumulative distribution of mEPSCs. The plot was constructed from the cell used in **A.** **D.**

Summary graph showing that phenylephrine's superfusion in the presence of TTX had no effect on frequency or amplitude mEPSCs (n=13).

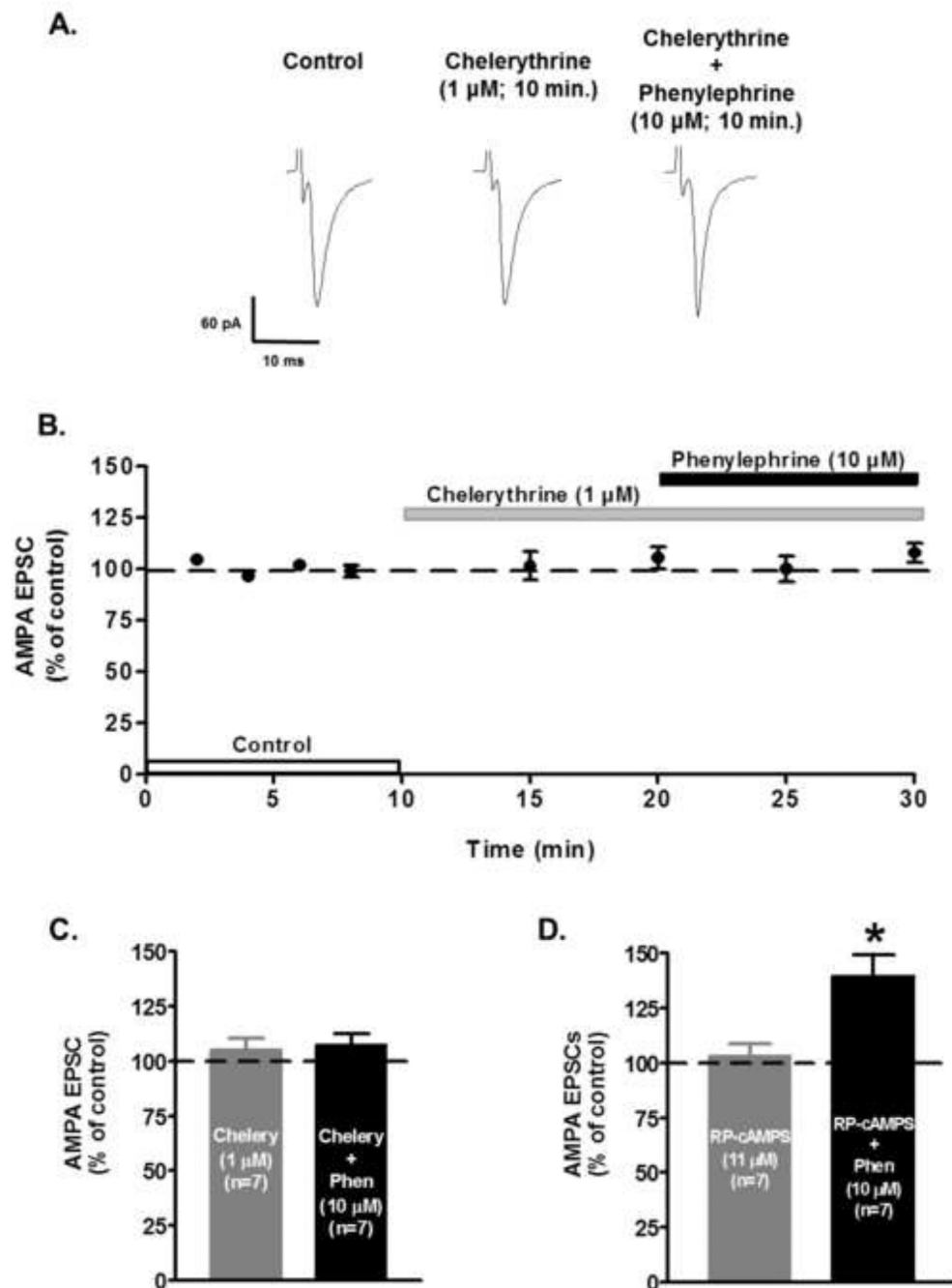


**Figure 6.**

The  $\alpha_1$ -AR mediated increase in AMPA EPSCs amplitude depends on extra- and intracellular calcium. **A.** Representative recordings from a neuron showing that low calcium ACSF (1 mM), completely prevents the phenylephrine-induced increase of AMPA EPSCs in VTA DA cells. **B.** Summary time course of 7 neurons illustrating the population effects. **C.** Bar graph showing a summary of the effects of low Ca<sup>2+</sup> ACSF on phenylephrine-induced increase of AMPA EPSCs (10 μM, 10 min). **D.** Bar graph showing that bath application of phenylephrine (10 μM, 10 min) did not increase evoked AMPA EPSCs in the presence of

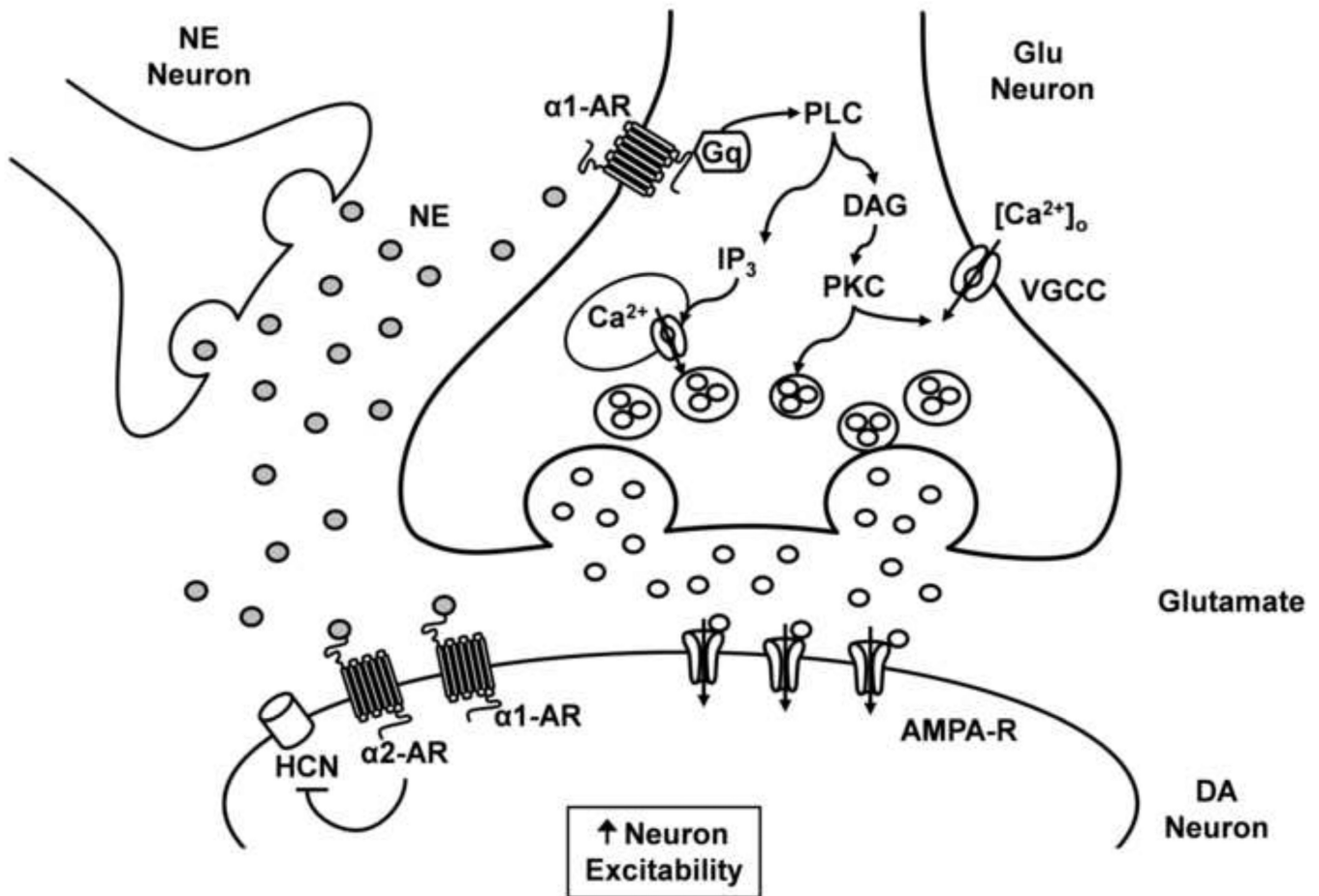


thapsigargin (Thap, n=8). Slices were pre-incubated with 10  $\mu$ M thapsigargin for 30 minutes before recordings were conducted.



**Figure 7.** Involvement of the intracellular PKC signaling in the  $\alpha_1$ -AR mediated increase in AMPA EPSCs amplitude. **A.** Representative recordings from a neuron showing that chelerythrine (1  $\mu\text{M}$ ), a selective PKC inhibitor, completely prevents the phenylephrine-induced increase of AMPA EPSCs in VTA DA neurons. **B.** Summary time course illustrating that PKC selective inhibitor chelerythrine (1  $\mu\text{M}$ ) blocks the phenylephrine-induced increase of AMPA EPSCs amplitude. Note that chelerythrine alone has no effect on EPSCs amplitude. Each point represents  $n=7 \pm \text{SEM}$ . **C.** Bar graph showing that application of Chelerythrine (Chelery), completely abolished phenylephrine's effect (10  $\mu\text{M}$ ; 10 min application) on AMPA EPSCs

in VTA DA neurons. Note that Chelerythrine alone had no effect. **D.** Bar graph showing that application of Rp-adenosine 3',5'-cyclic monophosphorothioate triethylammonium salt hydrate (Rp-cAMPS, 11  $\mu$ M), did not block phenylephrine's effect (10  $\mu$ M) on AMPA EPSCs (n=7). \*p < 0.05, One-way ANOVA, Newman-Keuls *post-hoc*.



**Figure 8. Possible mechanism underlying the increase in glutamate release onto VTA DA neurons via  $\alpha 1$ -AR activation**

Stimulation of presynaptic  $\alpha 1$ -AR at excitatory glutamatergic neurons that project onto VTA DA neurons causes activation of phospholipase C (PLC), probably via a Gq-mediated mechanism, resulting in diacylglycerol (DAG) formation and inositol (1,4,5) trisphosphate activation (IP<sub>3</sub>). The activated DAG stimulates a protein kinase C (PKC) phosphorylation, which may further increase calcium currents through voltage gated calcium channels (VGCC). On the other hand, IP<sub>3</sub> activation increases calcium release from intracellular stores (Tanaka and Nishizuka, 1994). Our results indicate that PKC activation and an increase in intracellular calcium stimulate glutamate release onto VTA DA neurons. The enhancement of glutamate release and HCN current inhibition through  $\alpha 1$ -AR and  $\alpha 2$ -AR, respectively, could mediate an increase in VTA DA neuronal excitability (Inyushin et al., 2010). NE, norepinephrine; Glu, glutamate.