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Expression of Autophagy and UPR genes in the Developing Brain during Ethanol-Sensitive and Resistant Periods

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Abstract

Fetal alcohol spectrum disorders (FASD) results from ethanol exposure to the developing fetus and is the leading cause of mental retardation. FASD is associated with a broad range of neurobehavioral deficits which may be mediated by ethanol-induced neurodegeneration in the developing brain. An immature brain is more susceptible to ethanol neurotoxicity. We hypothesize that the enhanced sensitivity of the immature brain to ethanol is due to a limited capacity to alleviate cellular stress. Using a third trimester equivalent mouse model of ethanol exposure, we demonstrated that subcutaneous injection of ethanol induced a wide-spread neuroapoptosis in postnatal day 4 (PD4) C57BL/6 mice, but had little effect on the brain of PD12 mice. We analyzed the expression profile of genes regulating apoptosis, and the pathways of ER stress response (also known as unfolded protein response, UPR) and autophagy during these ethanol-sensitive and resistant periods (PD4 versus PD12) using PCR microarray. The expression of pro-apoptotic genes, such as caspase-3, was much higher on PD4 than PD12; in contrast, the expression of genes that regulate UPR and autophagy, such as atf6, atg4, atg9, atg10, beclin1, bnip3, cebpb, ctsb, ctsd, ctss, grp78, ire1 , lamp, lc3 perk, pik3c3, and sqstm1 was significantly higher on PD12 than PD4. These results suggest that the vulnerability of the immature brain to ethanol could result from high expression of pro-apoptotic proteins and a deficiency in the stress responsive system, such as UPR and autophagy.

Keywords

alcohol; brain development; fetal alcohol syndrome; gene expression; neurodegeneration

Introduction

Fetal alcohol spectrum disorders (FASD) are a range of disabilities that result from prenatal alcohol exposure. Children with FASD exhibit cognitive, neuropsychological and behavioral problems, and numerous secondary disabilities including depression and anxiety disorders (Hellemans et al. 2009; Riley et al. 2011). Fetal alcohol exposure is a leading non-genetic

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cause of mental retardation, and affects brain structure and function. The most devastating consequence of ethanol exposure is the neuronal loss in the developing central nervous system (CNS) which accounts for many symptoms shown in FASD children. Mechanisms underlying ethanol-induced neuronal loss are complex. Animal studies have demonstrated temporal windows of vulnerability to ethanol-induced neuronal loss in the developing CNS (Maier et al. 1999; Siler-Marsiglio et al. 2006). Ethanol exposure during different periods of brain development results in differences in cell loss as a function of the timing of ethanol exposure during brain development.

Ethanol is a cellular stress inducer and causes oxidative stress and endoplasmic reticulum (ER) stress in the developing brain (Chen and Luo 2009; Ke et al. 2011a). The sustained cellular stresses may result in neuronal death if they are not adequately alleviated by internal defense systems, such as the antioxidant system, ER stress response (also known as unfolded protein response, UPR) or autophagy. We have recently demonstrated that ethanol exposure can activate a neuroprotective autophagy (Chen et al. 2012). Autophagy is one of the two major pathways that accomplish regulated protein catabolism. The other one is the ubiquitinproteasome system (UPS). Autophagy is a lysosomal degradation pathway involved in the turnover of cellular macromolecules and organelles and is essential for survival, differentiation, development and homeostasis (Levine and Kroemer 2008). Although some of these functions overlap with those of the UPS, autophagy is primarily responsible for degrading long-lived proteins and maintaining amino acid pools in the setting of chronic starvation. The autophagy pathway is uniquely capable of degrading entire organelles such as mitochondria, peroxisomes and ER, as well as intact intracellular microorganisms (Levine and Kroemer 2008). Autophagic degradation of cellular constituents can efficiently recycle essential nutrients to sustain basic biological processes. Autophagy is also used as a defense mechanism to clear intracellular microbes, misfolded proteins and damaged organelles. Constitutive clearance of cytosolic proteins by low-level basal autophagy is an additional important cytoprotective function, particularly to neurons.

The ER regulates posttranslational protein processing and transport. The ER is also the site for the biosynthesis of steroids, cholesterol and other lipids. Under some cellular stress conditions, unfolded or misfolded proteins accumulate in the ER lumen and activate a compensatory response which has been referred to as ER stress response or UPR (Ron 2002; Xu et al. 2005). UPR initiates protective responses, resulting in an overall decrease in protein synthesis, enhanced protein degradation and increased protein folding capacity of the ER (Ron 2002). However, sustained ER stress ultimately leads to apoptotic death of the cell (Xu et al. 2005; Rasheva and Domingos 2009).

We hypothesize that the brain develops more effective defense systems as it matures to alleviate environmental stresses, becoming more resistant to ethanol neurotoxicity. In this study, we demonstrated that the brain of postnatal day 4 (PD4) C57BL/6 mice was sensitive to ethanol-induced neuroapoptosis, but the brain of PD12 was quite resistant. Using pathway-specific PCR microarrays, we showed that the expression of pro-apoptotic genes, such as caspase-3, was much higher at the ethanol sensitive period (PD4). However, the expression of genes that regulate UPR and autophagy significantly increased at the ethanolresistant period (PD12).

Materials and Methods

Materials

Animals and treatment—C57BL/6 mice were obtained from Harlan Laboratories (Indianapolis, IN) and maintained in the Animal Facility at the University of Kentucky Medical Center. All procedures were performed in accordance with the guidelines set by the

NIH and the Animal Care and Use Committee of the University of Kentucky. The Institutional Animal Care & Use Committee (IACUC) has specifically approved this study. Each 4-day-old (PD4) or 12-day-old (PD12) mouse pup in a litter was assigned to either control (saline) or ethanol group. An ethanol exposure paradigm, which had been shown to induce robust neurodegeneration in mice (Olney et al. 2002; Liu et al. 2009), was employed. The mice were injected subcutaneously with saline or ethanol $(2.5 \text{ g/kg}, 20\% \text{ solution in})$ saline) twice at 0 h and 2 h. Eight hours after the first ethanol injection, the brains were removed. Three pups from each treatment group (saline or ethanol) on PD4 and PD12 were processed for PCR microarray and immunohistochemical (IHC) study.

Sample preparation

Protein extraction—Mice were anesthetized by intraperitoneal injection of ketamine (100) mg/kg)/xylazine (10 mg/kg), and cerebral cortices were immediately dissected. The tissues were frozen in liquid nitrogen and stored at −80°C. Proteins were extracted as previously described (Ke et al. 2011a). Briefly, tissues were homogenized in an icecold lysis buffer containing 50 mM Tris-HCl (pH 7.5), 150 mM NaCl, 1 mM EGTA, 1 mM PMSF, 0.5% NP-40, 0.25% SDS, 5 µg/ml leupeptin and 5 µg/ml aprotinin. Homogenates were centrifuged at 20,000 g for 30 min at 4°C and the supernatant fraction was collected.

RNA isolation—Cerebral cortices from PD4 or PD12 C57BL/6 mice were harvested and frozen. Samples were homogenized with 2 ml of TRIzol reagent (Invitrogen) using a MISONIX sonicator XL-2000 (Qsonica, LLC, Newtown, CT, USA) for 30 sec at Power 2 on ice. Total RNA was extracted from 1 ml of each homogenate according to manufacturer's protocol. RNA was quantified using NanoDrop 2000 (Thermo Scientific, Wilmington, DE, USA). A260/A280 ratio was in the range from 1.97 to 2.05. A260/A230 ratio was between 2.14 and 2.35. One microgram of total RNA was used for first strand cDNA synthesis (RT2 First Strand Kit, SABiosciences, Frederick, MD, USA, Cat.# 330401) according to manufacturers' protocols.

Immunohistochemistry (IHC)

After treatments, the mice were deeply anesthetized with ketamine $(100 \text{ mg/kg})/xy$ lazine $(10$ mg/kg), and then perfused with saline followed by 4% paraformaldehyde in 0.1 M potassium phosphate buffer (pH 7.2). The brains were removed and post-fixed in 4% paraformaldehyde for an additional 24 hours, and then transferred to a 30% sucrose solution. The brain was sectioned at 40 μ m with a sliding microtome (Leica Microsystems, Wetzlar, Germany). The procedure for IHC staining has been described (Ke et al. 2011a). Briefly, free-floating sections were incubated in 0.3% H₂O₂ in methanol for 30 min at room temperature and then treated with 0.1% TritonX-100 for 10 min in PBS. The sections were washed with PBS three times, and then blocked with 1% BSA and 0.01% TritonX-100 for 1 hour at room temperature. The sections were incubated with an anti-active caspase-3 antibody (at dilution of 1:1000) overnight at 4°C. Negative controls were performed by omitting the primary antibody. After rinsing in PBS, sections were incubated with a biotinylated goat anti-rabbit IgG (Vector Laboratories Inc., Burlingame, CA; 1:200) for 1 hour at room temperature. The sections were washed 3 times with PBS, then incubated in avidin–biotin–peroxidase complex (Vector Laboratories Inc. 1:100 in PBS) for 1 h and developed in 0.05% 3,3'-diaminobenzidine (DAB) (Sigma-Aldrich) containing 0.003% $H₂O₂$ in PBS. The images were recorded using an Olympus microscope (BX61) equipped with a DP70 digital camera.

Analysis of genes associated with autophagy and unfolded protein response (UPR) by PCR microarray

To analyze differential expression of genes involved in autophagy and UPR during brain development, we used the RT² Profiler PCR Array System (SABiosciences, Frederick, MD, USA, 96 well format). Mouse autophagy PCR array contained 84 key genes in the autophagic pathway (Cat.# PAMM-084Z) and UPR PCR array contained 84 key genes for the UPR pathway (Cat.# PAMM-089F). Three samples from each group and six plates for each array were used. Briefly, 102 µl of cDNA from each sample was mixed with 1350 µl of $2 \times RT^2$ SYBR Green Master mix (SABiosciences) and RNase-free H₂O to the final volume 2,700 µl. 25 µl of sample was used for each well of the RT^2 Profiler PCR Array plate. Quantitative Real-Time PCR was performed in a Roche Light Cycler 480 using software 1.5.OS04. After 10 min of activation at 95°C, 45 cycles were performed with the following cycle parameters: 15 sec at 95°C, 1 min at 60°C (acquisition). After finishing the last cycle a melting curve analysis was performed. Standard – Ct method was used for determining changes in gene expression during the development. SABiosciences web-based software for Standard RT² PCR Array analysis ([http://pcrdataanalysis.sabiosciences.com/pcr/](http://pcrdataanalysis.sabiosciences.com/pcr/arrayanalysis.php) [arrayanalysis.php](http://pcrdataanalysis.sabiosciences.com/pcr/arrayanalysis.php)) was used to calculate experimental results including statistical analysis. The data were normalized with beta-actin.

Immunoblotting

The immunoblotting procedure has been previously described (Chen at al. 2012). Briefly, aliquots of the protein samples (30 µg) were separated on a SDS-polyacrylamide gel by electrophoresis. The separated proteins were transferred to nitrocellulose membranes. The membranes were blocked with either 5% BSA or 5% nonfat milk in 0.01 M PBS (pH 7.4) and 0.05% Tween-20 (TPBS) at room temperature for one hour. Subsequently, the membranes were probed with primary antibodies directed against target proteins overnight at 4°C. After three quick washes in TPBS, the membranes were incubated with a secondary antibody conjugated to horseradish peroxidase (Amersham, Arlington Hts. IL). The immune complexes were detected by the enhanced chemiluminescence method (Amersham). In some cases, the blots were stripped and re-probed with either an anti-tubulin or an anti-actin antibody. The density of immunoblotting was quantified with the software of Quantity One (Bio-Rad Laboratories, Hercules, CA).

Statistical analysis

Statistical analysis of obtained data was performed with SABiosciences web-based software for Standard RT^2 PCR Array analysis. Student t-test was used to verify the analysis. Changes in gene expression levels with $p < 0.05$ were considered statistically significant.

Results

Differential effect of ethanol on caspase-3 activation in the brain of PD4 and PD12 mice

We have previously demonstrated ethanol exposure on PD7 induced a wide spread neuroapoptosis in the brain, which was indicated by the increase in the expression of active caspase-3 and Fluoro-J-C positive cells (Liu et al. 2009; Ke et al. 2011b). Consistent with the previous findings, we showed a drastic increase of immunohistochemical staining of active caspase-3 in the brain of PD4 mice (Fig. 1A). Ethanol-induced activation of caspase-3 was particularly strong in some brain regions, such as cerebral cortex, caudate putamen, thalamus, Inferior colliculus and cerebellum. On PD12, however, ethanol caused little caspase-3 activation (Fig. 1B). These results indicated a temporal window of vulnerability; i.e., brain of PD4 mice was sensitive but that of PD12 was resistant to ethanol-induced neuroapoptosis.

The expression of genes related to autophagy and UPR in the brain of PD4 and PD12 mice

We examined and compared the expression profiles of genes associated with autophagy and UPR pathways in cortex samples obtained from PD4 and PD12 mice. We have screened a total of 168 genes and presented the results showing changes greater than 1.9-fold or with p < 0.05 (Table 1). We observed changes in expression profile of genes involved in all aspects of UPR (unfolded protein binding, ubiquitination, ER associated degradation, protein folding, apoptosis, transcriptional regulation) and autophagy (vacuole formation, protein targeting to membrane/vacuole, protein transport, protease activity, chaperone mediated autophagy and co-regulation of autophagy, cell cycle and apoptosis). As the brain matured, the expression of genes involved in autophagy and UPR pathways generally increased with the exception of Casp3 and Cxcr4. The expression of Casp3 and Cxcr4 was higher on PD4 than PD12.

The expression of a number of key genes that are involved in the activation of autophagy was significantly higher on PD12 than on PD4; these included several Atg genes, Becn1, Bnip3, Cdkn2a, Ctsb, Ctsd, Ctss, Gabarapl1 an 2, Irgm1, lamp1, MAPK14, Map1lc3a (Lc3), Mtor, Pik3c3, Pik3cg, Pten, Snca, Sqstm1 and Wipi1 (Table 1). These genes encode proteins involved in critical autophagic events, such as vacuole formation, protein targeting to membrane/vacuole, protein transport and protease activity. A group of genes that regulate key events of UPR were also upregulated on PD12; these included Atf6, Atxn3, Cebpb, Eif2ak3 (also known as Perk), Eif4g1, some heat shock proteins, Nploc4, Mapk9, Os9 and Sil1 (Table 1).

We examined the protein levels of several important genes regulating apoptosis, autophagy and UPR with immunoblotting analysis. Consistent with the pattern of gene expression, immunoblotting results confirmed a higher expression of caspase-3 protein in the brain of PD4 mice than that of PD12 (Fig. 2A). The expression of proteins associated with autophagy, such as Beclin 1, LC3-II and mTOR was significantly higher in PD12 brain (Fig. 2C). The expression of some UPR proteins, such as ATF6, Eif2ak3 (PERK), and MAPK9 was up-regulated in PD12 brain (Figs. 2B and 2C). Interestingly, the protein levels of GRP78 and IRE1 , two important UPR genes, were significantly increased as the brain matured (Figs. 2B and C), however mRNA levels exhibited little change between PD4 and PD12.

Discussion

In this study, we demonstrate a temporal window of vulnerability to ethanol neurotoxicity. In PD4 mice, subcutaneous (SC) injection of ethanol causes dramatic activation of caspase-3, indicative of apoptosis; whereas in PD12 mice ethanol has little effect on caspase-3 activation. Therefore, the brain of PD4 mice is sensitive to ethanol-induced neuroapoptosis while that of PD12 is resistant. The selection of SC administration of ethanol as opposed to intravenous (IV), intraperitoneal (IP) administration or gastric intubation (GI) was based on the following considerations: 1) this is a widely used model and well established to study the mechanisms of ethanol-induced apoptosis; 2) ethanol via SC administration is absorbed rapidly enough but more gradually than by IV or IP. Infant mice tolerate this more gradual sustained elevation compared to an abrupt peaking to higher levels; 3) there is less leakage of ethanol using SC administration. Although SC administration may produce some stress to animals, it is not more stressful than IV, IP or GI. Ethanol-induced neuroapoptosis is unlikely caused by the injection because the mice receiving SC injection of saline and no injection display similar intensity of active caspas-3 IHC staining (data not shown). Therefore, this model provides a useful tool to uncover the mechanisms underlying ethanol-induced neurodegeneration in the developing brain.

Caspase-3 is an executive caspase in the central position within apoptotic machinery. Caspase-3 is most frequently involved in the apoptosis of post-mitotic neurons in the developing brain (Madalosso et al. 2005). Our results indicate a high expression of caspase-3 in early postnatal days (PD4) and as the brain matures its expression levels decline (PD12). This finding is consistent with a previous study of developmental expression of caspase-3 in rats (Yakovlev et al. 2010), which showed a much higher expression of caspase-3 in the brain on PD2 than PD60. The expression profile of caspase-3 in the early postnatal days is generally consistent with the naturally occurring neuroapoptosis (programmed cell death) at this stage, indicating its important role in regulating neuroapoptosis (Spreafico et al. 1995; Madalosso et al. 2005). Therefore, high expression of caspase-3 in the early postnatal days may be responsible for enhanced sensitivity to ethanol-induced neuroapoptosis. In contrast to caspase-3, the expression of caspse-8 is higher on PD12 than PD4. Although caspase-8 may also be involved in an extrinsic apoptotic pathway, it is caspase-3 that plays an essential executive role in the regulation of apoptosis. Caspase-3 may have a non-apoptotic role in the developing brain; for example, it can regulate neural differentiation (Oomman et al. 2004; Fernando et al. 2005). High expression of caspase-3 in early postnatal days supports the notion that it may regulate neural differentiation as well.

Another gene whose expression is significantly higher on PD4 than in PD12 is chemokine (C-X-C motif) receptor 4 (CXCR4). CXCR4 is a receptor for chemokine stromal cellderived factor 1 (SDF1 or CXCL12). SDF1/CXCR4, which were originally identified for their role in leukocyte trafficking, play an important role in neural progenitor cell proliferation and neuron migration (Shimoji et al. 2009; Zhu et al. 2009; Tiveron et al. 2010). CXCR4 mediates human immunodeficiency virus type 1 (HIV-1)-induced neurodegeneration (Mocchetti et al. 2008). CCCR4 also plays a pro-apoptotic role during neurodegeneration in the nigro-striatal system (Shimoji et al. 2009). High expression of CXCR4 on PD4 is consistent with its role in regulating proliferation of neural progenitor cells and neuron migration. It is unclear whether high levels of CXCR4 also contribute to enhanced sensitivity to ethanol.

We have previously demonstrated that ethanol induced ER stress in the developing brain (Ke et al. 2011a). Autophagy is a process of self-degradation of cellular components in which double-membrane autophagosomes sequester organelles or portions of the cytosol and fuse them with lysosomes or vacuoles for breakdown by resident hydrolases. Autophagy is up-regulated in response to extra- or intracellular stress and signals such as starvation, growth factor deprivation, ER stress, and pathogen infection (He and Klionsky 2009). The involvement of autophagy in cell death has been controversial. Since the formation of autophagosomes is frequently associated with cellular stress and cell death, some believe that autophagy may promote cell death (Galluzzi et al. 2008). However, many view autophagy as a cellular self-defense response (Shen and Codogno 2011). Particularly, in neuropathies (Huntington's, Alzheimer's and Parkinson's diseases) and ischemic heart disease, autophagy is more widely accepted as beneficial due to its role in eliminating 'toxic assets' and promoting cell viability (Glick et al. 2010). We have recently demonstrated that ethanol can activate autophagy in SH-SY5Y cells and the developing brain, and autophagy is protective against ethanol neurotoxicity (Chen et al. 2012).

Using pathway-specific PCR microarrays, we examined the expression of 168 genes associated with autophagy and UPR in the brain of PD4 and PD12 mice. As the brain matures, the expression of autophagy and UPR genes significantly increases (Table 1); these genes are critically involved in all aspects of autophagy and UPR. It is generally believed that UPR can trigger autophagy (He and Klionsky 2009). However, these two pathways are

In addition to the regulation at transcriptional levels, the expression of these genes can be regulated at translational or post-translational levels. For example, although the mRNA of GRP78 displays little change between PD4 and PD12, its protein levels significantly increase on PD12. GRP78 has been a key pro-survival factor for neurons under ER stress (Wang et al. 2009). Together, these results indicate that the brain develops a more effective defense system to cope with cellular stress during the first two postnatal weeks in rodents. In other words, the enhanced sensitivity to ethanol neurotoxicity during early postnatal days likely results from a high expression of proapoptotic caspase-3 and an incomplete anti-stress system.

Ethanol also causes oxidative stress which is considered an important mechanism for ethanol neurotoxicity in the developing brain (Ikonomidou, 2009; Luo, 2012). The expression of brain antioxidant enzymes is also developmentally regulated (Khan and Black 2003). To gain an insight into the role of the antioxidant system, we will evaluate the expression of antioxidant enzymes during these ethanol-sensitive and resistant periods in our future studies.

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Figure 1. Effect of ethanol on the expression of active caspase-3 in the brain of postnatal day 4 (PD4) and (PD12) mice

PD4 (**A**) and PD12 mice (**B**) were injected subcutaneously with ethanol (2.5 g/kg, or saline) at 0 and 2 hours as described under the Material and Methods. At 8 hours after the first injection, the brain was removed and fixed. The sagittal brain sections were processed for immunohistochemical analysis of active caspase-3 as described under the Materials and Methods. Inset regions in the lower panels (indicated by boxes) are shown in upper panel with higher magnification. Scale bar = 100 μ M for lower panels. Bar = 500 μ M for upper panels. MCtx: Motor cortex; SCtx: Somatosensory cortex; VCtx: Visual cortex: HIPPO: Hippocampus; CPu: Caudate putamen; THAL: Thalamus; IC: Inferior colliculus; CRBL: Cerebellum.

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Figure 2. Developmental expression of proteins associated with UPR and autophagy pathways Cerebral cortices of mice at specified ages were removed and protein was extracted. **A**: The expression of caspase-3 was evaluated by immunoblotting as described under the Materials and Methods (top panel). Relative levels of caspase-3 were determined by densitometry and normalized to actin (bottom panel). *** denotes a significant difference from PD4 (p < 0.001). **B**: The expression of ATF6 and GRP78 was evaluated by immunoblotting (top panel). Relative levels of caspase-3 were determined by densitometry and normalized to tubulin (bottom panel). *** denotes a significant difference from PD4 (p < 0.001). **C**: The expression of LC3, beclin 1, mTOR, IRE1 , PERK and MAPK9 was evaluated by immunoblotting (top panel). Relative levels of caspase-3 were determined by densitometry and normalized to actin (bottom panel). *** denotes a significant difference from PD4 (p < 0.001); ** $p < 0.01$; * $p < 0.05$.

Table1

Developmental expression genes associated with autophagy and UPR in the brain (PD12 versus PD4)

Cerebral cortices of mice on PD4 and PD12 were removed and RNA was extracted.

The expression of genes associated with autophagy and UPR pathways was analyzed using pathway-specific PCR microarray as described under the Materials and Methods.

A total of 168 genes were screened and normalized with the expression of -actin. The changes were expressed as a ratio of PD12 to PD4 (PD12/ PD4). For example, the expression of Atf6 on PD12 was 2.18 fold greater than PD4. The changes in expression greater than 1.9-fold or with a pvalue smaller than 0.05 were presented. The experiment was replicated three times.