

Anaplastic Thyroid Cancer: Ultrasonographic Findings and the Role of Ultrasonography-Guided Fine Needle Aspiration Biopsy

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Received: November 28, 2012

Revised: February 5, 2013

Accepted: February 5, 2013

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The authors have no financial conflicts of interest.

Purpose: To investigate the ultrasonographic (US) features of anaplastic thyroid cancer (ATC) and the diagnostic performance of US-guided fine needle aspiration biopsy (FNAB) therein. **Materials and Methods:** Eighteen cases of ATC diagnosed between January 2001 and May 2011 were included. FNAB was performed in all cases. Initial FNAB results were divided into three groups: 1) the cytological ATC group, cytological diagnosis of ATC; 2) the underestimated group, cytological diagnoses of malignancy other than ATC; and 3) the false negative group, cytological diagnoses of atypical, benign and non-diagnostic lesions. We retrospectively reviewed US findings and compared treatment modalities between each group. **Results:** Among the 18 patients, there were nine in the initially cytological ATC group, four in the underestimated group and five in the false negative group. The most common US features of ATC were a solid (64.7%) and irregular shaped (88.2%) mass with lymph node involvement (76.4%). However, except for lymph node involvement ($p=0.003$), US findings for each group were not statistically different. The initial cytological diagnostic accuracy of ATC was 50% (9/18). Surgery was performed less in the ATC group (11%) and the false negative group (20%) than the underestimated group (75%). **Conclusion:** The US features of ATC were not especially different from other types of aggressive thyroid cancer. A correct diagnosis of ATC by initial US-FNAB was made in 50% of the patients, which is significant in that therapeutic surgery can be undertaken in lower numbers if correctly diagnosed.

Key Words: Anaplastic thyroid cancer, fine needle aspiration biopsy, ultrasonography

INTRODUCTION

Anaplastic thyroid cancer (ATC) is the most aggressive form of thyroid cancer, with median survival on the order of 3 to 5 months following diagnosis.¹⁻⁴ Even though less than 1-3% of all thyroid cancers are ATC, it contributes to 14-50% of the annual mortality associated with thyroid cancer.⁵⁻⁷ The treatment for ATC has not been standardized because therapy has not been conclusively proven to be effective in prolonging survival. Until now, surgery has played an important role in survival for patients with intrathyroidal tumors.⁸⁻¹⁰ However, many patients have also presented

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with inoperable disease; macroscopic complete resection is only possible in up to one-third of patients at presentation.¹¹⁻¹⁴ The best survival results have been observed in inoperable patients who received primary chemotherapy and radiation therapy rather than primary surgical resection.^{10,15} Therefore, an accurate preoperative diagnosis of ATC may help avoid unnecessary surgery and allow treatment to proceed directly to medical therapy.^{4,16}

A diagnosis of ATC is usually suspected on clinical examination and ultrasonography (US), which can be confirmed by fine needle aspiration biopsy (FNAB), core biopsy or surgery. US and the following FNAB are the first diagnostic modalities in the evaluation of a palpable thyroid mass.¹⁷ Several studies have focused on the treatment modalities or outcomes of ATC,^{1,4,9,11,14,15,18,19} but there have been few reports about US features and the role of preoperative US-guided biopsy in ATC.

The purpose of this study was to investigate the US features of ATC and the diagnostic performance of US-guided FNAB.

MATERIALS AND METHODS

This retrospective study was conducted with institutional review board approval and patient informed consent was waived.

Study population

From January 2001 to May 2011, a total 18 patients were diagnosed as having ATC by US-FNAB, core biopsy and surgery at our institution and were included in this study.

Initially, all patients had undergone US-FNAB of thyroid masses. According to the initial FNAB results, patients underwent follow up FNAB (n=2), core biopsy (n=3), diagnostic surgery (n=1) and other treatment options including medical treatment or therapeutic surgery (n=3). Among the 18 patients, 5 were men and 13 were women, with ages ranging from 54-81 years (mean age 70.5 years). All patients complained of having a palpable neck mass.

Imaging and diagnostic processes

US was performed before FNAB in all 18 patients with a 7-15 MHz linear array transducer (HDI 3000 or 5000; Philips Medical Systems, Bothell, WA, USA) or 5-12 MHz linear array transducer (Iu22; Philips Medical Systems). Real-time US and subsequent US-FNAB was performed by one

of six radiologists with 1 to 14 years of experience in thyroid imaging. US-FNAB was performed with a 23-gauge needle attached to a 20-mL disposable plastic syringe and aspirator or a 2-mL syringe disposable plastic syringe. Aspirated materials were expelled on glass slides and immediately fixed in 95% alcohol for Papanicolaou staining. The remaining material in the syringe was rinsed in normal saline for cell block processing. US-guided core biopsy was performed using a freehand technique, and each procedure was performed with a 18-gauge dual-action semiautomatic core biopsy needle (Stericut with coaxial; TSK Laboratory, Tochigi, Japan). A cytopathologist was not on site during the aspiration procedure. Additional special staining was performed according to the requirements set by the cytologist. From 2001 to December 2009, cytology reports were divided into the following categories: 1) nondiagnostic 2) benign 3) indeterminate 4) suspicious for malignancy and 5) malignant. Afterwards, the Bethesda classification was used to classify cytology results at our institution.²⁰

Data analysis

Each US image was reviewed retrospectively by two radiologists in consensus. US images were reviewed according to size, composition, echogenicity, margin, presence of calcification, shape and presence of lymph node involvement. Lymph node involvement was considered pathologic if any one of the following suspicious features were present: round shape, increased echogenicity, cystic change, or presence of microcalcifications.²¹

To evaluate cytological diagnostic accuracy for ATC, FNAB results were divided into three groups: 1) the cytological ATC group, cytological diagnosis of ATC; 2) the underestimated group, cytological diagnoses of malignancy rather than ATC, such as suspicious for papillary carcinoma, papillary carcinoma, and poorly differentiated carcinoma; and 3) the false negative group, cytological diagnoses of atypical, benign and non-diagnostic lesions. We included atypia in the false negative group, because the Bethesda system recommends follow-up FNAB for patients with atypia instead of surgery.²⁰ We compared US findings between these three groups.

For statistical analysis, the SPSS statistical package, version 11.0, for Windows (SPSS Inc., Chicago, IL, USA) was used. Pearson chi square tests and Fisher's exact tests were used to study categorical variables and the Kruskal-Wallis test was used to compare continuous variables. *p*-values < 0.005 were considered statistically significant.

RESULTS

Among the 18 patients, there were nine in the initially cytological ATC group; four in the underestimated group, poorly differentiated cancer (n=3) and suspicious papillary carcinoma (n=1); and five in the false negative group, atypia (n=1), benign (n=3), and non-diagnostic (n=1). The initial cytological diagnostic accuracy of ATC was 50% (9/18)

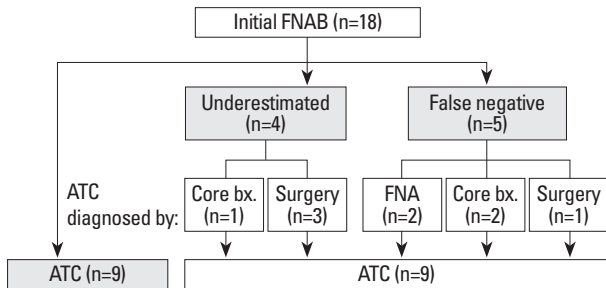


Fig. 1. Preoperative diagnostic methods of 18 anaplastic ATC. Underestimated group: poorly differentiated cancer and suspicious papillary carcinoma. False negative group: atypia, benign and non-diagnostic. bx, biopsy; ATC, anaplastic thyroid cancer; FNAB, fine needle aspiration biopsy.

and it increased to 72% (13/18) if the underestimated group was regarded as part of the correct diagnostic group. Among the 4 patients in the underestimated group, one case of suspicious papillary carcinoma was diagnosed as ATC by follow-up core biopsy, and three cases of poorly differentiated thyroid carcinoma (PDTC) that underwent surgery were finally diagnosed as ATC. Among the 5 patients in the false negative group, one atypia case and one non-diagnostic case were diagnosed as ATC by follow-up core biopsy; two benign cases were diagnosed as ATC by repeat FNAB and one benign case was determined to be ATC after surgery (Fig. 1). Accordingly, a preoperative diagnosis of ATC was made in 14 out of 18 cases (77.8%). The mean number of days from first visit to confirmative diagnosis of ATC was 10 days for the ATC group, 33.5 days for the underestimate group and 104.5 days for the false negative group.

US images were available in 17 out of 18 patients. US features of the 17 ATC patients according to the three groups above are summarized in Table 1. Mean age and mass size were not statistically different between each group. The common US features of ATCs were solid (11/17, 64.7%), marked

Table 1. Ultrasonographic Findings of Anaplastic Thyroid Cancers According to Three Groups

	ATC (n=8)	Underestimate (n=4)	False negative (n=5)	p value
Diameter (mm)	48.5	49.5	40.4	0.330
Age (yrs)	76.3	63.5	67.4	0.322
US features				
Composition				0.262
Solid	7 (87.5%)	2 (50.0%)	2 (40.0%)	
Mixed (≤50% of solid)		1 (25.0%)	2 (40.0%)	
Mixed (>50% of solid)	1 (12.5%)	1 (25.0%)	1 (20.0%)	
Echogenicity				0.522
Marked hypoechoic	5 (62.5%)	2 (50.0%)	2 (40.0%)	
Hypoechoic	3 (37.5%)	1 (25.0%)	3 (60.0%)	
Isoechoic		1 (25.0%)		
Margin				0.118
Well defined			2 (40.0%)	
Irregular	8 (100%)	4 (100%)	3 (60.0%)	
Calcifications				0.236
Microcalcification	1 (12.5%)	1 (25.0%)		
Macrocalcification	3 (37.5%)	3 (75.0%)	1 (20%)	
Mixed calcifications				
No calcification	4 (50.0%)		4 (80%)	
Shape				0.172
Wider than tall	4 (50%)	3 (75%)	5 (100%)	
Taller than wide	4 (50%)	1 (25%)		
Lymph node involvement				0.003
Yes	8 (100%)	4 (100%)	1 (20%)	
No			4 (80%)	

ATC, anaplastic thyroid cancer; US, ultrasonographic.

hypoechoogenicity (9/17, 52.9%) irregular margin (15/17, 88.2%), internal calcification (9/17, 52.9%), wider than tall shape (12/17, 70.5%), and presence of cervical lymph node involvement (13/17, 76.4%). The US findings for each group were not statistically different, except for lymph node involvement ($p=0.003$). Mixed composition was more frequently seen in the underestimated (50%) and false negative groups (60%), compared with the ATC group (12.5%), but this was not statistically different ($p=0.262$). We also compared US findings between those in the initially diagnosed malignancy group (the cytological ATC and underestimated groups) and those in the false negative group, but similarly, lymph node involvement was the only statistical factor that differed between the two groups (Table 2).

Differences in treatment modalities between the three groups are summarized in Table 3. Surgery was performed

less on patients in the initially confirmed ATC (11%) and false negative groups (20%) than those in the underestimated group (75%).

DISCUSSION

ATC is an extremely aggressive solid tumor that resists most therapeutic efforts and is always fatal.^{1-4,9,10} It contrasts with well differentiated thyroid cancer (WDTC), which accounts for most thyroid malignancies, with an indolent course and good prognosis regardless of the type of treatment.² The incidence of ATC is decreasing, presumably due to earlier detection of antecedent disease.²² Several studies have revealed that potentially curative surgery is the only discriminating variable that retains a significant association with prolonged

Table 2. Ultrasonographic Findings of Anaplastic Thyroid Cancers According to Two Groups

	Malignancy (n=12)	False negative (n=5)	<i>p</i> value
Diameter (mm)	48.8	40.4	0.139
Age (yrs)	72.0	67.4	0.429
US features			
Composition			0.440
Solid	9 (75.0%)	2 (40%)	
Mixed (≤50% of solid)	1 (8.3%)	2 (40%)	
Mixed (>50% of solid)	2 (16.7%)		
Echogenicity			0.715
Marked hypoechoic	7 (58.3%)	1 (20%)	
Hypoechoic	4 (33.3%)	2 (40%)	
Isoechoic	1 (8.3%)	3 (60%)	
Margin			0.074
Well defined		2 (40%)	
Irregular	12 (100%)	3 (60%)	
Calcifications			0.320
Microcalcification	2 (16.7%)		
Macrocalcification	6 (50.0%)	1 (20%)	
Mixed calcifications			
No calcification	4 (33.3%)	4 (80%)	
Shape			0.128
Wider than tall	7 (58.3%)	5 (100%)	
Taller than wide	5 (41.7%)		
Lymph node involvement			0.002
Yes	12 (100%)	1 (20%)	
No		4 (80%)	

Table 3. Differences between Treatment Modalities Based on the Diagnosis of Initial FNAB

Treatment Modality	ATC (n=9)	Underestimated (n=4)	False negative (n=5)
Surgery	1 (11%)	3 (75%)	1 (20%)
Non-surgical treatments	8 (89%)	1 (25%)	4 (80%)

FNAB, fine needle aspiration biopsy; ATC, anaplastic thyroid cancer.

survival.^{8,9,18} But, it was seen in previous studies that systemic metastases were present in 46% of ATC patients at presentation, and 68% ATC of patients had metastases diagnosed during the course of their illness.¹⁹ At this stage, complete resection is not meaningful, so patients with inoperable disease at diagnosis are considered for combined chemotherapy and radiotherapy.¹⁰ Thus it is important to accurately diagnose ATC before surgery and treatment.¹⁶

Grossly, ATC is a nonencapsulated, tan-white, fleshy tumor with a direct extension into the surrounding soft tissue of the neck and has regions of necrosis and hemorrhage.²³ The imaging features of ATC reflect these gross findings. Large, solid and ill defined masses accompanied by necrosis, nodular calcification and cervical lymph node involvement are the common image features of ATC.²⁴ In our study, common US features of ATCs included solid (11/17, 64.7%), marked hypoechogenicity (9/17, 52.9%), irregular margin (15/17, 88.2%), internal calcification (9/17, 52.9%), wider than tall shape (12/17, 70.5%) and presence of cervical lymph node involvement (13/17, 76.4%). The image features of ATCs are not that different from other aggressive forms of thyroid cancer, but the prognosis of ATC is significantly worse than PDTC. The 5-year disease free survival and 5-year cause-specific survival of ATC are both 0%, but those of PDTC are 51% and 70%.²⁵ PDTC patients survive longer, with median survival being 3.2 years for PDTC and 3.1 months for ATC. In a previous study, one third of ATC patients died of local disease, whereas all patients who died of PDTC had distant metastases.²⁶ ATC more frequently invades the surrounding structures and more often involves regional lymph nodes; moreover, half the patients with ATC present with distant metastases.²³

Considering the limitations of US evaluation in studying larger masses, CT is much more useful in defining the local extent of the disease and detecting lymph node metastases. MRI has further added value over CT scan for detecting invasion of vascular, airway and bony structures.^{23,27} CT or MR information on the extent and location of tumor necrosis and site of calcification in the tumor is expected to lower false negative diagnoses by appreciating indication sites for biopsy.²⁸ However, when ATC is clinically suspected, US-guided cytologic confirmation is initially performed and CT or MRI is performed after diagnosis.

The cytological diagnosis accuracy of ATC reported by previous reports was 78.7% to 90%.²⁹⁻³³ In the present study, the accuracy of initial US-FNAB was 50% (9/18) and increased to 72% (12/18) if the underestimated group was in-

cluded. The diagnostic performance in our study was lower than others and this may be due to a different study design and sample size. Previously, Us-Krasovec, et al.²⁹ reported the FNAB results of 113 ATCs for 20 years. They reported that 107 patients (107/113, 94.7%) were diagnosed as malignant and 96 (96/107, 89.7%) were diagnosed as ATC through reexamination. If we had reevaluated the pathology slides retrospectively perhaps there would have been an increase in diagnostic accuracy. The reason for failures in obtaining an accurate diagnosis on FNAB might have been due to sampling in areas that consisted of foci of WDTC or in areas burdened with necrosis, fibrosis, or hemorrhage.^{2,29} In the present study, among the five false negative cases, 60% (3/5) had both solid and cystic portions on US, which contrasted with the 12.5% (1/8) in the initially cytological ATC group. It is difficult to obtain adequate cells for diagnosis from masses containing both solid and cystic portions,^{34,35} which could explain why false negative diagnosis was frequent in mixed composition.²⁹ According to Luze, et al.,³¹ 12% of samples of finally confirmed ATCs contained only necrotic material. In order to obtain a representative sample, large tumor masses must be aspirated at two or three different sites. This is particularly important in differentiated tumors undergoing transformation to ATC, in which only small foci of ATC components may be present and both well differentiated carcinoma and ATC coexist.^{1,2,29}

Multimodality treatment with combination surgery, radiation and chemotherapy has been reported to improve outcomes for ATC.^{2,3,5,18} If tumors can be managed by aggressive surgery with preservation of organ function, adjuvant chemotherapy and radiotherapy may be the best option for now.³⁶ The majority of patients with ATCs die from aggressive local regional disease, primarily upper airway respiratory failure. For this reason, aggressive local therapy is indicated in all patients who can tolerate it. Yet complete resection of ATC is rarely performed, which gives the best chance of long term control and disease free survival. Accordingly, more than two-thirds of advanced ATC patients are not expected to show survival benefits from initial surgery.³⁷ In our study, 92.8% (13/14) of pre-op diagnosed ATC cases did not undergo surgical treatment. Among the 9 patients in the cytological ATC group, only 1 patient underwent surgery, whereas 3 out of 4 patients in the underestimated group underwent surgery even though similar US findings were observed. If the patients had been diagnosed with ATCs pre-operatively, surgery would not have been performed.

Among the 9 patients in the underestimate and false neg-

ative groups, 5 patients were diagnosed as having ATCs through repeat FNAB or core biopsy. Repeated FNAB and core biopsy including immunohistochemical staining and flow cytometry analysis^{2,19,22} were valuable methods when suspicions of clinical and imaging findings remained high and initial FNAB was inadequate or benign.

There are some limitations to this study. First, this study was based on a retrospective design, and the initial cytology results were not reviewed. More over as this study had a small sample size, we did not compare the mean survival period of each group by different treatment modalities. So we did not analyze the survival rate of each group. Second, almost all cases of ATC in our study were of large size and advanced cases. Recently, the wide use of high resolution US has provided early detection of thyroid malignancy, and this might contribute to an earlier detection of ATC. Han, et al.³⁸ reported time trends of tumor size and characteristics of ATC. They reported that the mean tumor size of ATC decreased significantly and the frequencies of coexistent differentiated thyroid cancer (DTC) increased over time. The mean tumor size of long term survivors was significant smaller and the proportion of cases with coexistent DTC was much higher in long term survivors than short term survivors. So smaller ATC and cases with coexistent DTC were increasingly detected, and accordingly, therapeutic approaches have evolved with growing expectations of long term survival for ATC patients.³⁸

In conclusion, in the present study, the most common US features of ATC were a large, solid, irregular shaped mass with lymph node involvement. A correct diagnosis of ATC by initial US-FNAB was made in 50% of the patients, which is significant in that therapeutic surgery can be undertaken in lower numbers if correctly diagnosed.

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