

Molecular Typing of CTX-M-Producing *Escherichia coli* **Isolates from Environmental Water, Swine Feces, Specimens from Healthy Humans, and Human Patients**

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CTX-M-producing *Escherichia coli* is the predominant type of extended-spectrum β-lactamase (ESBL)-producing *E. coli* world**wide. In this study, molecular typing was conducted for 139 CTX-M-producing** *E. coli* **isolates, phenotypically positive for ESBLs, isolated from environmental water, swine, healthy humans, and hospitalized patients in Hangzhou, China. The antibiotic resistance profiles of the isolates for the cephalosporins and fluoroquinolones were determined. The isolates showed 100% resistance to cefotaxime and ceftriaxone while maintaining relatively high susceptibility to cefoxitin, cefepime, and ceftazidime. A total of 61.9% (86/139) of the isolates, regardless of origin, showed high resistance to fluoroquinolones. PCRs and DNA sequenc**ing indicated that $bla_{\text{CTX-M-14}}$ was the most prevalent CTX-M-9 group gene and that $bla_{\text{CTX-M-15}}$ and $bla_{\text{CTX-M-55}}$ were the domi**nant CTX-M-1 group genes. Isolates from all sources with CTX-M types belonging to the CTX-M-1 or CTX-M-9 group were most frequently associated with epidemics. Molecular homology analysis of the isolates, conducted by phylogenetic grouping, pulsed-field gel electrophoresis (PFGE), and multilocus sequence typing (MLST), demonstrated that the dominant clones belonged to B2-ST131, D-ST648, D-ST38, or A-CC10. These four sequence types (STs) were discovered in** *E. coli* **isolates both from humans and from environmental water, suggesting frequent and continuous intercompartment transmission between humans and the aquatic environment. Seven novel sequence types were identified in the current study. In conclusion, this study is the first to report the molecular homology analysis of CTX-M-producing** *E. coli* **isolates collected from water, swine, and healthy and hospitalized humans, suggesting that pathogens in the environment might originate both from humans and from animals.**

Although *Escherichia coli* normally exists in the intestinal tracts of humans and animals, it is also frequently associated with various intestinal and extraintestinal diseases, such as urinary tract infection (UTI), bacteremia, and meningitis [\(1\)](#page-7-0). *Enterobacteriaceae* producing extended-spectrum β -lactamases (ESBLs) have increasingly emerged due to the widespread use of cephalosporins. In China, the prevalence of ESBL-producing *E. coli*remained above 50.0% during 2009 to 2011, according to the national resistance surveillance program [\(2](#page-7-1)[–](#page-7-2)[4\)](#page-7-3).

CTX-M-type ESBLs have recently become predominant among ESBL subtypes [\(5\)](#page-7-4). Since the first report of CTX-M ESBL from a strain of *E. coli* in 1989 [\(6\)](#page-7-5), the CTX-M family of ESBLs has spread in many countries, and the global spread of CTX-M-producing *Enterobacteriaceae* is a major concern [\(7,](#page-7-6) [8\)](#page-7-7). Among the different CTX-M subtypes, those of the CTX-M-1 and CTX-M-9 groups were the most common in Asia as well as worldwide [\(9\)](#page-7-8). In recent years, ESBL-producing *E. coli* strains have been identified not only in the community [\(10,](#page-7-9) [11\)](#page-7-10) but also in animals [\(12](#page-7-11)[–](#page-7-12)[16\)](#page-7-13), even in food-producing animals [\(17\)](#page-7-14).

As a matter of fact, in China, 6,000 tons of antibiotics, about half of the world's total consumption, have been used as feed additives yearly [\(18\)](#page-7-15). Such a huge usage of antibiotics has exerted strong selection pressure for resistant bacteria, especially for zoonotic pathogens, such as *E. coli*, *Staphylococcus* spp., and *Salmonella* spp. We have previously conducted surveys of fluoroquinolone-resistant *Citrobacter freundii* isolates and their gene subtypes from the aquatic environment in Hangzhou in comparison with those from clinical isolates, and we found that plasmids isolated from environmental and clinical *C. freundii* isolates appeared to be homogenous [\(19\)](#page-7-16). Although there are several reports

on the hypothesis that animals might become infection sources or even serve as reservoirs for the spread of these bacteria [\(9\)](#page-7-8), few studies have focused on ESBL subtypes from zoonotic pathogens isolated from the aquatic environment, farm animals, healthy humans, and human patients.

Molecular typing of ESBL-producing *E. coli* is useful for hospital epidemiologists, microbiologists, and clinicians in guiding surveillance studies, monitoring outbreak situations, and tracking the spread of emerging pathogens. Multilocus sequence typing (MLST) and pulsed-field gel electrophoresis (PFGE) are the methods most widely used to analyze the molecular typing of strains [\(20\)](#page-7-17). Thus, in the present study, we used MLST and PFGE to analyze the molecular homology of the CTX-M subtypes from *E. coli* strains collected from the aquatic environment, hospitalized patients, and feces from swine and healthy humans.

MATERIALS AND METHODS

Bacterial isolates. For microbiological characterization, a total of 139 *E. coli* isolates phenotypically positive for ESBL production were obtained

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FIG 1 Proportions of bacteria from each source belonging to phylogenetic groups A, B1, B2, and D. HP, hospitalized patients; HH, healthy humans. Pie charts 1, 2, 3, and 4 represent the distributions of isolates from hospitalized patients, healthy humans, pigs, and water, respectively. Pie chart 5 displays the proportion of all 139 CTX-M-producing *E. coli* strains in each phylogenetic group.

from Hangzhou, China. Among the 139 isolates, 31 were obtained from swine feces during 2012 to 2013, 26 were recovered from environmental water during the same period, 46 were obtained from physical examination of asymptomatic persons in 2012, and the other 36 clinical isolates were randomly recovered from previously stored, nonduplicated ESBLproducing *E. coli* isolates collected from hospitalized patients in the Second Affiliated Hospital of Zhejiang University from 2010 to 2012. The clinical isolates were from urine, blood, sputum, and body secretions; none were from fecal samples. All the isolates were identified by the Vitek 2 Compact system (bioMérieux, Hazelwood, MO, USA) and were screened for ESBL production by Etest (AB Biodisk, Solna, Sweden) according to the manufacturer's instructions.

Water samples were collected from 12 distinct water sources in Hangzhou (Huajiachi Lake, East River, West Lake, Xixi Wetland, Jinghang Grand Canal, Qiantang River, Jiefang River, Nine Creeks, Tiesha River, a fountain at the Second Affiliated Hospital of Zhejiang University, the sewer surrounding a pig farm, and a tributary of the Qiantang River) during 2012 to 2013. Two to three representative sites in each locality were selected for water collection. We selected those sampling locations to represent the whole aquatic environment. Bacteria in water samples (1-liter samples) were concentrated by vacuum filtration through a filter membrane ($<$ 0.2 μ m). The membrane was then washed and was suspended in 10 ml 0.45% saline solution, and 200 μ l of the suspension was inoculated onto blood agar, MacConkey agar, and *Salmonella*-*Shigella* agar plates. All the colonies on MacConkey medium were isolated and identified.

The swine and healthy-human feces were collected with sterile swabs and were then inoculated onto blood agar, MacConkey agar, and *Salmonella*-*Shigella* agar plates within 2 h. Colonies were picked and were identified by the Vitek 2 Compact system. If two or more strains of *E. coli* were collected from the same swine or human fecal specimen, genotypic characterization was conducted to exclude homologous isolates from the same sample.

PCR and sequence analysis. To determine the genotypes of ESBLs, we performed PCR using primers specific to the TEM, SHV, CTX-M-1, CTX-M-2, CTX-M-8, and CTX-M-9 groups and AmpC, as reported previously [\(21,](#page-7-18) [22\)](#page-7-19). Considering the high prevalence of qnr and $aac(6')$ -*Ib-cr* in the aquatic environment of*Citrobacter freundii*[\(19\)](#page-7-16), plasmid-mediated quinolone resistance (PMQR) genes, including *qnrA* [\(23\)](#page-7-20), *qnrB* [\(23\)](#page-7-20), *qnrC* [\(24\)](#page-7-21), *qnrD* [\(25\)](#page-7-22), *qnrS* [\(23\)](#page-7-20), and *aac(6*=*)-Ib* [\(26\)](#page-8-0), were amplified through a TPersonal cycler (Biometra, Germany). PCR products were sequenced using an ABI 3730 sequencer (Applied Biosystems, Foster City, CA), and the sequences were then compared with the reported sequences from GenBank [\(http://blast.ncbi.nlm.nih.gov/Blast.cgi\)](http://blast.ncbi.nlm.nih.gov/Blast.cgi).

Sequence type (ST) determination and phylogenetic grouping. MLST was performed as reported previously; seven housekeeping genes, including *adk* (encoding adenylate kinase), *fumC* (fumarate hydratase), *gyrB* (DNA gyrase), *icd* (isocitrate dehydrogenase), *mdh* (malate dehydrogenase), *purA* (adenylosuccinate synthetase), and *recA* (ATP/GTP binding motif), were used [\(27\)](#page-8-1). Clonal complexes (CC) were compared with those of the *E. coli* MLST website [\(http://mlst.ucc.ie/mlst/dbs/Ecoli\)](http://mlst.ucc.ie/mlst/dbs/Ecoli). Phylogenetic groups were determined using a multiplex PCR assay of *chuA*, *yjaA*, and tspE4.C2 as described previously [\(28\)](#page-8-2).

PFGE profiles. The chromosomal genome was prepared in agarose blocks and was digested with the restriction enzyme XbaI. DNA fragments were separated by use of a Rotaphor System 6.0 instrument (Whatman Biometra, Goettingen, Germany). *Salmonella enterica* serovar Braenderup H9812 was used as a size marker. The pulse times were increased from 1 to 30 s over 24 h at a voltage of 6 V and an angle of 120°. A dendrogram was generated from the homology matrix with a coefficient of 2.0% by the unweighted pair-group method using arithmetic averages (UPGMA) to describe the relationships among PFGE profiles. Isolates were considered to belong to the same PFGE group if their Dice similarity index was \geq 80% [\(29\)](#page-8-3).

FIG 2 PFGE of XbaI-digested DNA from 19 A-CC10 *E. coli* isolates. An UPGMA dendrogram based on Dice similarity coefficients was generated using UVI-Band software (Bio-Rad). Eighty percent similarity was used as the cutoff point.

Antibiotic susceptibility testing. *In vitro* testing of susceptibility to ampicillin, ceftazidime, cefotaxime, ceftriaxone, aztreonam, cefoxitin, cefepime, levofloxacin, moxifloxacin, piperacillin-tazobactam, cefoperazone-sulbactam, imipenem, meropenem, and ertapenem was performed by the Mueller-Hinton agar dilution method, while the MICs of amikacin and ciprofloxacin were determined by the Etest (AB Biodisk, Solna, Sweden) according to the manufacturer's instructions. Susceptibility results were interpreted based on the recommended breakpoints of the CLSI [\(30\)](#page-8-4). *E. coli* ATCC 25922 was used for quality control.

Statistical analysis. Fisher's exact *t* test was used to analyze the significant differences in resistance rates by using the SPSS for Windows software package (version 17.0; SPSS Inc., Chicago, IL, USA).

RESULTS

Bacteria isolated from healthy humans, animals, and the aquatic environment. A total of 208 *E. coli* isolates were collected from water samples. No more than two *E. coli* isolates were collected from the same fecal sample. Ninety-seven *E. coli* isolates were obtained from 105 swine (*E. coli* was not isolated from 18 swine, and 20 *E. coli* isolates were isolated from 10 samples of swine feces), and 263 *E. coli* isolates were obtained from physical examination of 200 asymptomatic persons (for 63 samples, 2 *E. coli* strains each were isolated).

Among the isolates from water samples, swine feces, and feces from healthy humans, 26, 31, and 46 isolates, respectively, were confirmed as ESBL-producing *E. coli*. The prevalences of ESBLs were 12.5% (26/208) in the aquatic environment, 32.0% (31/97) in the swine feces, and 17.5% (46/263) in the feces from healthy humans. Twenty-six aquatic-environment ESBL-producing *E. coli* isolates were recovered from Huajiachi Lake (4 isolates), Xixi Wetland (3 isolates), Jinghang Grand Canal (10 isolates), and East River (9 isolates).

PCR classification of CTX-M-type β-lactamases and PMQR genes. Among the 139 ESBL-positive *E. coli* isolates (26 from water, 31 from swine feces, 46 from healthy humans, and 36 from hospitalized patients), 33 carried CTX-M-1 group ESBL genes, while 99 carried CTX-M-9 group ESBL genes and 7 carried both CTX-M-1 and CTX-M-9 group ESBL genes. $bla_{\text{CTX-M-14}}$ was the most prevalent CTX-M-9 group gene, and *bla_{CTX-M-55}* and $bla_{CTX-M-15}$ were the most prevalent CTX-M-1 group genes. In addition, $bla_{\text{CTX-M-123}}$ was detected for the first time in clinical *E*. *coli* isolates, while *bla*_{CTX-M-121} and *bla*_{CTX-M-104} were detected for the first time in pig feces. The distributions of CTX-M gene types were different in different sources. $bla_{\text{CTX-M-24}}$ was found in all isolates except for those from the aquatic environment, while $bla_{\text{CTX-M-3}}$ and $bla_{\text{CTX-M-15}}$ were discovered in all isolates except for those collected from swine feces. Additionally, one isolate for which the cefoxitin MIC value was $256 \mu g/ml$ harbored CMY-2. None of the other types of AmpC were detected. Furthermore, among the PMQR genes, four *E. coli* isolates from swine feces and feces from healthy humans harbored the *qnrS1* gene, and one isolate from environmental water possessed the *qnrS2* gene. The *aac(6*=*)-Ib-cr* gene was detected in nine isolates collected from all sources except healthy-human feces.

Sequence type distribution and phylogenetic grouping. Fiftyone different sequence types, including 17 clonal complexes and 28 singletons, were identified among the 139 *E. coli* isolates. Among these, ST131 was the most prevalent sequence type in the

FIG 3 PFGE of XbaI-digested DNA from 16 D-ST38 *E. coli* isolates. An UPGMA dendrogram based on Dice similarity coefficients was generated using UVI-Band software (Bio-Rad). Eighty percent similarity was used as the cutoff point.

current study, accounting for 14.4% of isolates (20 isolates), followed by ST648 (13.7%; 19 isolates), CC10 (13.7%; 19 isolates), and ST38 (11.5%; 16 isolates). CC10 was found in water, humans, and animals, while ST131, ST648, and ST38 were found only in water and humans. *E. coli* strains isolated from swine feces exhibited a much higher diversity of sequence types than isolates from other sources. ST3484, ST3485, ST3725, and ST3744 were novel STs first identified in the current study and were isolated from swine feces. In addition, one ST3724 isolate from a healthy human and two other isolates, with ST3745 and ST3746, from environmental water also represented novel STs found in the present study. Besides CC10, ST405 and ST46 were found in swine feces, healthy humans, and hospitalized patients (see Table S1 in the supplemental material). The CTX-M-9 producers were distributed among 15 ST complexes (48 isolates) and 25 singletons (58 isolates), while the CTX-M-1-producers were distributed among 11 ST complexes (22 isolates) and 11 singletons (18 isolates). Among the 139 ESBL-producing *E. coli* isolates, phylogenetic groups A, B1, B2, and D were all detected in both the CTX-M-9 producing and the CTX-M-1-producing *E. coli* group (see Table S2 in the supplemental material); subgroup D was predominant (57 isolates; 41.0%), followed by subgroups A (41 isolates; 29.5%), B2 (30 isolates; 21.6%), and B1 (11 isolates; 7.9%). *E. coli* isolates from different sources exhibited totally different distributions among phylogenetic groups. Isolates from hospitalized patients belonged mainly to subgroups B2 and D, whereas isolates from swine belonged mainly to subgroups A and B1 [\(Fig. 1\)](#page-1-0). On the other hand, the phylogenetic subtype distribution of the ESBLs from environmental water was intermediate, with proportions in each group close to the averages for total isolates [\(Fig. 1\)](#page-1-0).

PFGE. Some strains that belonged to the same ST exhibited quite different PFGE patterns. Compared with the ST131, CC10,

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and ST38 groups, the ST648 group constituted one large cluster (defined at the 50% similarity level), aside from strain TJ179 [\(Fig.](#page-2-0) [2](#page-2-0) to [5\)](#page-5-0). The other three groups, in turn, were distributed among several clusters with a similarity level of $<$ 50%. When 80% similarity was used as the cutoff point, HD10-10, XX3-3, and EC12-1 (90% similarity), EC10-5 and EC10-7 (88% similarity), and TJ126 and JH3 (87% similarity) within the ST131 group were considered to be related. Ten isolates within the ST648 group, 4 within the CC10 group, and 2 within the ST38 group were regarded as related [\(Fig. 2](#page-2-0) to [5\)](#page-5-0). The remaining isolates were defined as unrelated.

Antimicrobial susceptibility profiles.All the ESBL-producing *E. coli* isolates showed resistance to ampicillin, cefotaxime, and ceftriaxone. In contrast, ESBL-producing *E. coli* isolates showed high susceptibility to carbapenems (100% susceptible), followed by piperacillin-tazobactam (1.6% resistant) and amikacin (4.3% resistant). Among the cephalosporin antibiotics, there was high susceptibility to cefoxitin (6.5% resistant), followed by cefepime (19.4% resistant) and ceftazidime (24.4% resistant). On the other hand, the isolates showed quite high resistance (61.9%) to the fluoroquinolones. In addition, antimicrobial resistance differed between the CTX-M-1- and CTX-M-9-producing groups with regard to ceftazidime ($P < 0.001$), aztreonam ($P < 0.001$), cefoxitin $(P = 0.003)$, and cefepime $(P < 0.001)$; the rates of resistance of the CTX-M-1-producing group to these antibiotics were much higher than those of the CTX-M-9-producing group [\(Table 1\)](#page-5-1). For most antibiotics (except piperacillin-tazobactam and amikacin), the resistance rates of isolates from different sources differed significantly [\(Table 2\)](#page-6-0). The resistance rates of isolates from hospitalized patients were higher than those of the other three groups, and the isolates from healthy humans appeared to be much more sensitive than the other groups. Isolates of different sequence types differed significantly in susceptibility to fluoroquinolones.

FIG 4 PFGE of XbaI-digested DNA from 20 B2-ST131 *E. coli* isolates. An UPGMA dendrogram based on Dice similarity coefficients was generated using UVI-Band software (Bio-Rad). Eighty percent similarity was used as the cutoff point.

The rates of resistance of the ST38 group to fluoroquinolones were lower than those of the other ST groups [\(Table 1\)](#page-5-1). There was no significant difference among isolates of different phylogenetic groups [\(Table 2\)](#page-6-0). For human hosts, significant differences between isolates from healthy humans and hospitalized patients $(P < 0.001)$ were identified for all the antibiotics other than three to which the isolates were relatively sensitive (cefoxitin, piperacillin-tazobactam, and amikacin) (see Table S3 in the supplemental material).

DISCUSSION

ESBL-producing *E. coli* strains seem to be the emergent cause of urinary tract ailments and other serious infections of humans in different countries. Among *E. coli* clinical isolates, CTX-M types belonging to the CTX-M-1 and CTX-M-9 groups were the most prevalent. It is surprising that these two groups were also prevalent in *E. coli* strains isolated from the aquatic environment and swine feces. CTX-M-15 and CTX-M-14 were used to represent the epidemic CTX-M-1 and CTX-M-9 groups in humans in Asia, respectively [\(9\)](#page-7-8). Nevertheless, among the 17 CTX-M-1-producing human isolates in the present study, 8 isolates harbored the *bla*_{CTX-M-55} gene, while only 6 isolates possessed the *bla*_{CTX-M-15} gene. *bla*_{CTX-M-55} was first discovered in community-onset ESBLproducing *E. coli*, hospital-acquired ESBL-producing *E. coli*, or hospital-acquired ESBL-producing *Klebsiella pneumoniae* infections in Thailand in 2007; this gene carried an Ala-77-Val substitution relative to $bla_{CTX-M-15}$ and had reduced susceptibility to

ceftazidime [\(31\)](#page-8-5). Ewers et al. [\(32\)](#page-8-6) had reported that *bla*_{CTX-M-15} was the epidemic gene type of the CTX-M-1 group in pigs. However, in our study, the 11 *E. coli* strains collected from swine feces that produced CTX-M-1 group enzymes all had $bla_{\text{CTX-M-55}}$, which was reported to be the dominant gene type in companion animals in Asia [\(9\)](#page-7-8). In consideration of the study of Leversteinvan Hall et al. reporting that Dutch patients, retail chicken meat, and poultry share the same ESBL genes, plasmids, and strains [\(33\)](#page-8-7), the rising proportions of $bla_{\text{CTX-M-55}}$ both in humans and in pigs in the present study suggested two possibilities. One is the possible transmission of the pathogen carrying the gene, or the gene itself, between humans and animals or the transmission of ESBL genes through the food chain. The other possibility is that the *bla*_{CTX-M-15} gene may mutate to *bla_{CTX-M-55}* by an Ala-77-Val substitution during the course of transmission. Meanwhile, a clinical isolate possessing *bla*_{CTX-M-123} was obtained in this study; this gene was first discovered in a pig feces isolate from Guangzhou, China, in 2012 and had never been reported in a human specimen. This finding also revealed the possibility of cross-transmission between humans and pigs.

In the current study, the prevalence of ESBL-producing *E. coli* isolates in swine feces was 32.0%, much higher than in previous studies (10.7% in 2007; 21.5% in 2008 to 2009) [\(16,](#page-7-13) [34\)](#page-8-8). However, in *E. coli* strains isolated from the feces of healthy humans, the prevalence of ESBLs was 17.5%, much lower than that in swine feces. The dramatic increase in the prevalence of ESBLs in swine feces may be due to the increasing use of antibiotics in recent

FIG 5 PFGE of XbaI-digested DNA from 19 D-ST648 *E. coli* isolates. An UPGMA dendrogram based on Dice similarity coefficients was generated using UVI-Band software (Bio-Rad). Eighty percent similarity was used as the cutoff point.

years. In China, pig feed is usually mixed with a small amount of amoxicillin and quinolones for growth promotion and prophylaxis. Long-term exposure to small doses of antibiotics might have caused the very high proportion of ESBL-producing *Enterobacteriaceae* isolated from the feces of sows and piglets. Thus, the control of antimicrobial resistance may require monitoring of antimicrobial use and surveillance of drug-resistant pathogens in the veterinary environment.

The proportions of isolates from hospitalized patients with phylogenetic subgroups A, B1, B2, and D in the current study were similar to those reported previously [\(35\)](#page-8-9), while the proportions for the other three sources were slightly different, especially those

TABLE 1 Resistance rates of ESBL-producing *E. coli* isolates by sequence type and ESBL type

^a P values of -0.01, indicating significant differences, are shown in boldface. —, no *P* value calculated, since the data did not meet the criteria of the Fisher exact *t* test.

^b For 7 subgroups of the CTX-M-1 and CTX-M-9 groups, *P* values were not calculated due to the small number of strains.

Antimicrobial agent	Rate of resistance (%) by source (no. of isolates)					Rate of resistance (%) by phylogenetic group (no. of isolates)				P value for
	Water (26)	Pig feces (31)	Hospitalized patients (36)	Healthy humans (46)	P value for sources ^{<i>a</i>}	A(41)	B1(11)	B2(30)	D(57)	phylogenetic groups
Ceftazidime	34.6	16.1	47.2	6.5	0.000	17.1	36.4	30.0	24.6	0.437
Cefotaxime	100.0	100.0	100.0	100.0	--	100.0	100.0	100.0	100.0	
Ceftriaxone	100.0	100.0	100.0	100.0	--	100.0	100.0	100.0	100.0	
Aztreonam	69.2	61.3	78.4	19.6	0.000	56.1	90.9	60.0	42.1	0.018
Cefoxitin	19.2	0.0	11.1	0.0	0.001	2.4	0.0	0.0	14.0	0.036
Cefepime	19.2	9.7	47.2	4.3	0.000	12.2	9.1	23.3	24.6	0.367
Levofloxacin	76.9	48.4	88.9	37.0	0.000	53.7	36.4	73.3	64.9	0.115
Moxifloxacin	76.9	48.4	88.9	41.3	0.000	61.0	36.4	73.3	64.9	0.193
Piperacillin-tazobactam	0.0	0.0	6.3	0.0	0.148	2.4	0.0	0.0	1.8	1.000
Cefoperazone-sulbactam	15.4	3.2	41.7	4.3	0.000	4.9	9.1	26.7	19.3	0.051
Ciprofloxacin	76.9	48.4	88.9	41.3	0.000	58.5	27.3	73.3	64.9	0.059
Amikacin	0.0	12.9	5.6	0.0	0.023	7.3	0.0	0.0	5.3	0.524

TABLE 2 Resistance rates of ESBL-producing *E. coli* isolates by source and phylogenetic group

^a P values of -0.01, indicating significant differences, are shown in boldface. —, no *P* value calculated, since the data did not meet the criteria of the Fisher exact *t* test.

for pigs. Subgroups B2 and D were the main phylogenetic groups for hospitalized patients, whereas subgroups A and B1 were the prominent groups for pig feces. The phylogenetic subtype distribution of the ESBLs in isolates from environmental water is intermediate between those for hospitalized patients and pigs, close to the average distribution of total isolates, suggesting that the pathogen in environmental water might originate from both humans and animals. The phylogenetic grouping results are in accordance with the study of Johnson and Stell [\(36\)](#page-8-10), which demonstrated that isolates in phylogenetic groups A and B1 were generally commensal strains, while subgroups D and B2 were associated with extraintestinal infections. Although there is insufficient data to determine whether the CTX-M-type-producing *E. coli* strains found in healthy humans or hospitalized patients have acquired these genes from food animals via the food chain, the high prevalence of CTX-M-type ESBLs among commensal *E. coli* isolates strongly suggests a significant role for commensal *E. coli* strains as ESBL gene reservoirs, which poses an additional risk to humans. Therefore, monitoring of the spread of CTX-M-producing *E. coli*strains in foods is urgently needed for the protection of public health.

Multiple papers have reported that ST131 was the ESBL-producing *E. coli* sequence type most frequently associated with epidemics [\(32,](#page-8-6) [35,](#page-8-9) [37](#page-8-11)[–](#page-8-12)[39\)](#page-8-13). In 2008, an MLST investigation revealed a pandemic clone, B2-O25b:H4-ST131 CTX-M-15, with high extraintestinal virulence, causing urinary tract infections, bacteremia, urinary sepsis, and neonatal sepsis [\(38,](#page-8-12) [39\)](#page-8-13). Soon after the first discovery of human clinical isolates of *E. coli* producing ST131 ESBLs, they disseminated to various animal species, including poultry, cattle, pigs, wildlife, and companion animals [\(9\)](#page-7-8). ST131 is also a major ST in the present study, but only one *E. coli* isolate, EC12-1, with B2-ST131 CTX-M-15 was collected, from the bile specimen of a 68-year-old woman with choledocholithiasis. The patient eventually recovered through rational treatment. Meanwhile, D-ST648, D-ST38, and A-CC10 are becoming the other most prevalent sequence types. *E. coli* D-ST648 strains have been reported to produce CTX-M-type ESBLs or NDM carbapenemase and have been isolated from humans or poultry in recent years [\(40](#page-8-14)[–](#page-8-15)[42\)](#page-8-16). *E. coli* D-ST38 and A-CC10 strains have also been reported to produce CTX-M-type ESBLs in clinical patients [\(43,](#page-8-17) [44\)](#page-8-18). Thus, in addition to the reported pandemic B2-O25b:H4ST131 CTX-M-15 clone, attention should be paid to the rising of *E. coli* D-ST648, D-ST38, and A-ST10 strains.

PFGE indicated that there is diversity among the human, water, and swine strains (data not shown). Only limited similarity was found. Nevertheless, the main STs—ST131, ST648, ST38, and CC10 –were identified in the *E. coli* strains isolated from the aquatic environment. Besides, some *E. coli* strains isolated from the aquatic environment were closely related to isolates collected from hospitalized patients. Additionally, *E. coli* isolates HD10-10 and XX3-3, both belonging to D-ST131, were obtained from two distinct water sources, whereas their resistance profiles, ESBL gene types, sequence types, and PFGE patterns were all the same. These two water sources were 12.8 km away from each other. One was located on the campus of Zhejiang University, and the other was located in a pond in a scenic spot. All these results suggest possible frequent and continuous interspecies transmission, and water may be an important vehicle for the spread of ESBL genes. However, more discriminative typing tools are necessary to obtain data to support this hypothesis.

Nonetheless, the sequence types of the ESBLs from swine feces were much more diversified than those of ESBLs in isolates from environmental water. Four of seven novel STs reported in the current study were from swine feces. Among the four main STs, *E. coli* isolates from swine feces belong only to CC10. However, 10 of 19 CC10 strains were from swine. Although only one isolate from a hospitalized patient was found to belong to CC10, the emergence of CTX-M-type-producing *E. coli* strains with A-CC10 should be tracked and monitored carefully to guard against a A-CC10 emergency in patients and even the general community.

The fact that *E. coli* strains with the same phylogenetic group and sequence type were distributed among various PFGE patterns indicated that the molecular evolution of epidemic CTX-M-producing *E. coli* occurred not as a recent emergence but by long-term interspecies cross-transmission. Furthermore, the CTX-M-type seems to have no relationship with the ST, since isolates with the four main STs had both CTX-M-1 and CTX-M-9 enzymes and were in different clusters. This result is somewhat different from those of a previous study [\(45\)](#page-8-19).

With regard to antibiotic resistance, the rates of resistance of CTX-M-1 group isolates to ceftazidime, aztreonam, and cefepime

were significantly higher than those of CTX-M-9 group isolates, probably due to the *bla_{CTX-M-55}* gene, the main gene that caused ceftazidime resistance [\(31\)](#page-8-5). Not only clinical *E. coli* isolates but also *E. coli* strains collected from environmental water, pig feces, and healthy humans had high rates of resistance to fluoroquinolone. The high rate of resistance of *E. coli* strains from healthy humans to fluoroquinolone may be due to previous abuse of antibiotics. As with the high resistance rate of the isolates from pig feces, long-term small-dose feeding of antibiotics to animals may be the primary cause. This observation further supports the idea that the use of antimicrobial agents as growth promoters in animal food contributes to the initial selection of resistant organisms. The resistance rates of the hospitalized-patient group were significantly higher than those of the other three groups due to antibiotic use in clinical therapy, further proving this selection pressure hypothesis. Only 11 isolates harboring the *aac(6*=*)-Ib-cr* or *qnrS* gene were detected. In contrast to the high prevalences of the *qnr* and *aac(6*=*)-Ib-cr* genes in both waterborne environmental bacteria and clinical isolates of *C. freundii* reported previously [\(19\)](#page-7-16), the proportions of these genes in *E. coli* isolates in the current study were much lower. This demonstrates that it may be much easier for *C. freundii* than for *E. coli* to carry *qnr* genes in the aquatic environment, and the most probable mechanism for the high rates of resistance to fluoroquinolone may be chromosome-mediated resistance, such as point mutations in the gyrase and topoisomerase IV genes [\(25\)](#page-7-22). Otherwise, the ST648 group was 100% resistant to fluoroquinolone, yet the resistance rate of the ST38 group was only 18.8%. Although the ST38 and ST648 groups originated mainly from humans, hospitalized patients accounted for 36.8% (7/19) of isolates in the ST648 group compared with 18.8% (3/16) in the ST38 group. ST648 isolates possessing β -lactamases have been identified worldwide [\(40,](#page-8-14) [42,](#page-8-16) [46\)](#page-8-20), suggesting that ST648 ESBLs may contribute to the spread of the β -lactamase gene and that these isolates may be more likely than others to cause extraintestinal infections, ultimately resulting in multidrug resistance.

To the best of our knowledge, this is the first report on molecular homology analysis of CTX-M-producing *E. coli* isolates collected from water, swine, and healthy and hospitalized humans. The study implied that these pathogens in the environment might originate from both humans and animals and can serve as a reservoir and medium for the spread of resistant pathogens.

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