

ABSTRACT

Introduction: Adolescents ranging in age from 11-15 (early-mid adolescence) comprise the largest percentage of baseball and softball athletes in the United States. Shoulder and elbow injuries are commonly experienced by these athletes with baseball pitchers and softball position players most likely to be injured.

Common Injuries: Physeal injury often termed “Little League” shoulder or elbow is common and should be differentiated from soft tissue injuries such as biceps, rotator cuff, or UCL injuries. Regardless of diagnosis, rehabilitation of these athletes’ shoulder and elbow injuries provide a unique challenge given their rapidly changing physical status.

Treatment: Common impairments include alterations in shoulder range of motion, decreased muscle performance, and poor neuromuscular control of the scapula, core, and lower extremity. A criterion based, progressive rehabilitation program is presented. Discharge from formal rehabilitation should occur only when the athlete has demonstrated a resolution of symptoms, acceptable ROM, muscle performance, and neuromuscular control while progressing through a symptom free return to sport.

Prevention of Reinjury: Reintegration into the desired level of sport participation should be guided by the sports medicine professional with a focus on long-term durability in sport performance as well as injury prevention. A prevention program which includes parent, coach, and athlete education, regular screening to identify those athletes at the highest risk, and monitoring athletes for the development of risk factors or warning signs of injury over the course of participation is indicated.

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INTRODUCTION

The majority of the 11.5 million athletes playing baseball in the United States compete at the high school and club level.¹ High school participation in the throwing sports has increased over the past two decades. In 2013, softball and baseball ranked as the fourth and third most popular high school sports for girls and boys, respectively.² Participation in sports has been linked to many health benefits including improved cardiovascular, musculoskeletal, and psychosocial health but participation is also associated with a potential risk of injury.

Interscholastic injury rates are relatively low in softball and baseball when considering all position players over the course of a 12-week season. Many adolescent athletes play multiple positions and participate on more than one team per year contributing to arm fatigue and the risk of overuse injuries.^{3,4} The shoulder has been reported as the joint most frequently injured followed by the elbow.⁵⁻⁷ The highest shoulder injury rates are found in baseball pitchers and softball position players.⁵ Baseball pitchers are also documented to suffer the most severe injuries requiring the greatest number of days lost from practice or competition.⁸⁻¹⁰

Adolescent athletes present a particular challenge when injured, as they are most often during or just after periods of rapid growth. Combined with the extreme stresses repetitive throwing motion imparts to immature bone and developing soft tissue these athletes present differently than our skeletally mature throwing athletes.¹¹ The proposed stresses have been documented in magnitudes sufficient to change both soft tissue and bone and have been observed in athletes with arm pain and injury.^{5,12-15} The combination of repetitive throwing, weak physal cartilage at growth centers, muscle tightness associated with rapid long bone growth, increased laxity of soft tissue structures, and decreased development of neuromuscular movement patterns may place the adolescent athlete at increased risk of upper extremity injury.¹⁵⁻¹⁹ These influences combined with established risk factors in all throwers may predispose the adolescent athlete to both common and unique injuries. Therefore, this clinical commentary will describe the risk factors and impairments associated with the most common adolescent

baseball and softball upper extremity injuries and suggest treatment strategies to fully rehabilitate these athletes following injury. Secondly, the clinical commentary will review the risk factors related to the development of adolescent throwing injuries to assist the clinician in developing prevention strategies and to assist in the accurate examination of these athletes.

Risk Factors for Overuse Upper Extremity Injuries

Overuse arm injuries are common in throwing athletes.²⁰ There are numerous risk factors hypothesized to predispose throwers to arm injury and these factors can be classified as non-modifiable or modifiable. Non-modifiable risk factors include age, body mass index, height, coaching habits, and pitching performance satisfaction.^{10,21-23} Modifiable risk factors include pitching mechanics, frequency and volume as well as physical factors such as altered shoulder rotational ROM, decreased posterior shoulder flexibility, rotator cuff weakness and imbalance, and poor neuromuscular control of scapular, core, and lower extremity musculature.^{5,11,21,22,24-35} Modifiable factors are important, as these factors should be the emphasis of treatment and prevention programs.

Injuries to the Adolescent Throwing Shoulder

Pathologies commonly seen in the adult throwing shoulder include internal impingement, irritation of the undersurface of the rotator cuff, and Superior Labral Anterior-Posterior (SLAP) lesions. These injuries, although possible, are less commonly seen in the younger adolescent athlete (13-15 year old). The incidence of rotator cuff pathology in individuals under 20 years of age is documented to be approximately 1%.³⁶ The prevalence of SLAP tears was 18% in high school baseball players with the relative risk of developing a SLAP tear estimated at 1.4 times greater than for junior high school players (4.8% prevalence).²³

Anterior/superior shoulder pain related to rotator cuff/biceps pathology, labral pathology and/or proximal humeral epiphyseal injury are more common complaints in the 13-16 year old throwing athletes. Proximal humeral epiphysiolysis occurred with similar frequency between all junior and high school

baseball pitchers and position players.²³ Windmill softball pitchers often present with anterior shoulder pain related to labral injury or biceps pathology.^{37,38}

Impairments in Adolescent Athletes' with Throwing Shoulder Injuries

Immature athletes presenting with shoulder pain often demonstrate similar problems. The athlete often complains of a gradual onset in glenohumeral pain while throwing.³⁹ The athlete, parent, or coach note altered performance related to decreased velocity and accuracy during throwing.³⁹ These athletes are challenging as they often have increased joint laxity combined with poor flexibility and a reduction in range of motion (ROM).^{40,41} The injured athlete also most often presents with pain on resisted external rotation, a reduction in rotator cuff strength/endurance, and poor scapular control.^{24,25,40,42}

Other impairments appear more specific to exact pathology and can be helpful in the differential diagnosis, creation of an individual treatment plan, and prognosis for the athlete. Proximal humeral epiphysiolysis or "Little League shoulder" should be suspected in throwing athletes complaining of anterior/lateral shoulder pain with activity, within the ages of 11-15, and those athletes who are at their peak growth velocity. Athletes often present with a concomitant increase in volume or intensity of throwing. These athletes often complain of aching at the superolateral aspect of the shoulder and tenderness on palpation at the growth plate. Throwing athletes demonstrating vague anterior shoulder pain risk and the stated intrinsic and extrinsic factors present with a high pre test probability of Little Leagues shoulder. The clinical examination of these athletes not often definitive and diagnosis must be confirmed through imaging studies.

Radiographs demonstrate widening of the proximal humeral physis and changes in the appearance of the bone including sclerosis, fragmentation, and cystic changes.⁴¹ (Figure 1) Athlete's presenting with deep shoulder pain on maximal cocking, intermittent popping or catching during rotational movements, loss of external rotation strength with accompanying atrophy in the infrapinnous fossa should be suspected of possible SLAP pathology.⁴³ Physical examination using a cluster of specific tests,⁴⁴ a possible intra-articular diagnostic injection,⁴⁵ and an MRI might be



Figure 1. *Widening of the proximal humeral physis and changes in the appearance of the bone including sclerosis, fragmentation, and cystic changes.*

used to confirm the diagnosis. Although beyond the scope of this paper to describe all labral specific special tests, a recent review examining the clinical utility of orthopedic tests documented the biceps load II had the highest levels of sensitivity and specificity for diagnosis of SLAP pathology.⁴⁴ Other tests commonly used in isolation or combination to examine labral pathology in throwers includes the O'Brien's sign, biceps load I, and the relocation test. These tests demonstrate equivocal utility based on review of the literature.⁴⁴

Injuries to the Adolescent Throwing Elbow

Repetitive throwing imparts a tensile stress and on the medial elbow and a compressive force at the lateral elbow.⁴⁶ These forces are distributed among musculotendonous, capsuloligamentous, and bony structures. Twenty-eight percent of all youth pitchers report a history of elbow pain.²¹ UCL reconstructions have doubled in high school baseball players¹⁰ but UCL injury is not the most frequent injury sustained by the youngest adolescent throwers. In the skeletally immature athlete the physis at the medial epicondyle is weaker than the UCL and other dynamic restraints of the elbow.⁴⁶ The same forces creating the UCL pathology in older more physically mature athletes are hypothesized to be responsible for the development of medial elbow epicondylar pain reported in 11-15 year old throwers.^{47,48}

“Little League Elbow” is a term used to encompass most of the medial elbow problems reported by youth/adolescent players.⁴⁶ Medial epicondylar apophysitis is a common pathology related to repetitive valgus stress at the elbow.⁴⁹ The incidence of medial epicondylar injury in early adolescence has been reported to be from 4 to 39%.⁴⁷ The spectrum of pathology at the medial epicondyle can vary from irritation to the origin of the flexor pronator mass through fragmentation and avulsion of the medial epicondyle.

The athlete with apophysitis often presents with a gradual onset of discomfort during the throwing motion with aching following activity depending on the progression of the problem. Athletes often report a dramatic increase in volume or intensity of training preceding the increase in medial elbow discomfort. Complaint of decreased throwing velocity and problems with accuracy that may be related to loss of grip strength are not uncommon. The medial epicondyle is tender to palpation and may be enlarged. Patients with more involved pathology may present with acute motion loss and pain with extremes of elbow motion. Radiologic examination often demonstrates fragmentation at the condyle or avulsion near the apophysis (Figures 2A & B). The definitive

diagnosis of medial epicondylar apophysitis can only be made with a combination of careful subjective and objective clinical examination and confirming radiologic studies.

Adolescent athletes may present with lateral elbow pain related to compression forces at the radiocapitellar joint. Panner’s disease and osteochondritis dissecans (OCD) are similar in symptom presentation including stiffness and pain at the lateral elbow.⁵⁰ The differentiation between Pannars and OCD of the capitellum may be the age of presentation and the persistent mechanical symptoms associated with loose body presence seen with OCD.⁵⁰ (Figure 3) Pannars disease is commonly seen in younger athletes (<10 years old) without a history of trauma, and has a good prognosis with expected full recovery of function. Osteochondritis dissecans of the capitellum often presents with more severe pain and limitations.⁵¹ The pathology is theorized as related to a disruption in subchondral blood flow to the capitellum.⁵¹ The radial head is secondarily involved as the pathology advances in the throwing adolescent. Loose bodies from the injured capitellum and radial head have been reported, and are the cause of the mechanical symptoms typical with this pathology. This condition often presents itself in athletes 13

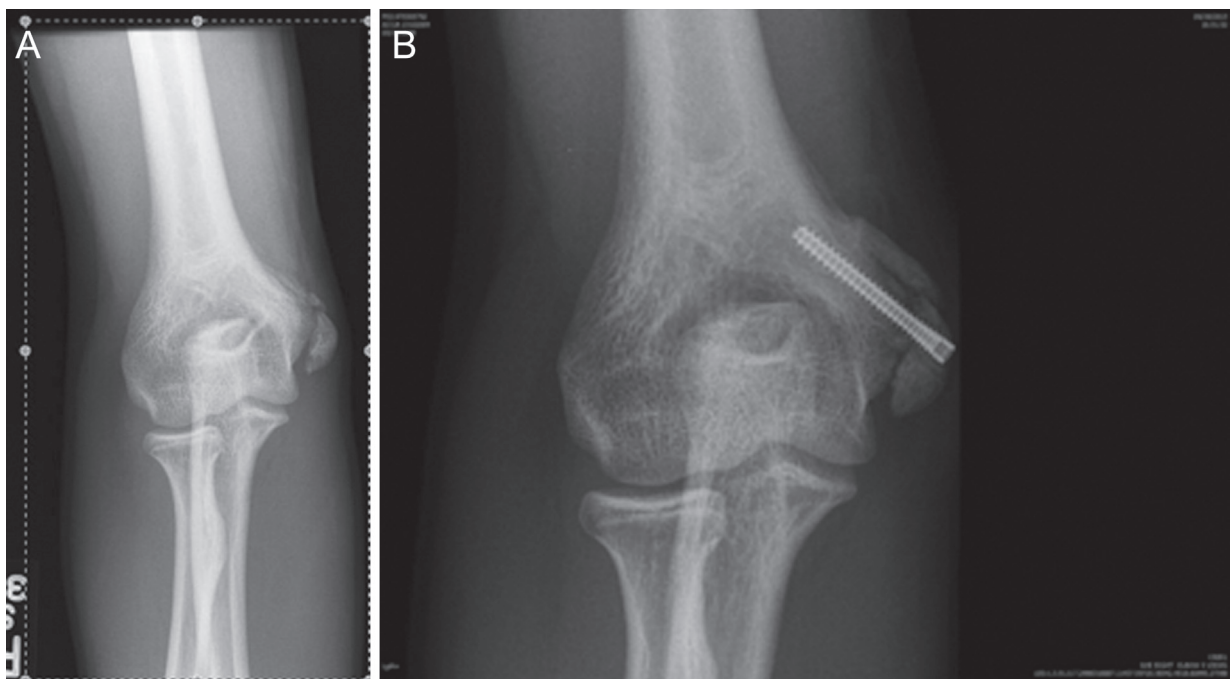


Figure 2. A. Fragmentation at the condyle or avulsion near the apophysis. B. After surgical repair.

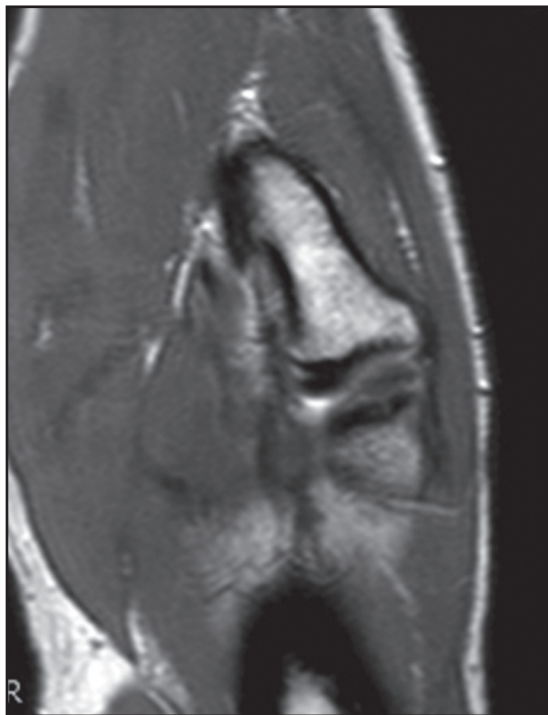


Figure 3. *Osteochondritis dissecans of the capitellum.*

years of age or older. The athlete often complains of pain at late cocking through acceleration when compressive forces at the elbow are greatest.⁵¹ Tenderness and pain at the lateral elbow especially during extremes of elbow motion are consistently found in these throwers.⁵¹ A loss of elbow extension of up to 30 degrees is not uncommon.⁵¹

Impairments in Throwing Athletes presenting with Elbow Injuries

The precipitating factors for the development of elbow pain in youth athletes appear to be multifactorial. Modifiable physical factors hypothesized in the development of elbow injuries include rapid growth velocity,⁴ asymmetrical loss of internal rotation,⁵ scapular dyskinesis,⁵² decreased kinetic chain activation/control,⁵² and decreased coordination and neuromuscular control.⁵³ Competition factors including catching, pitching, or playing both catcher and pitcher,⁵⁴ playing on multiple teams and leagues, aggregate pitch count over 80 pitches per game or participation during 8 months per year,²² performance of the slider pitch type,²¹ pitching with arm fatigue,²² and pitching with improper mechanics. Each of these factors alone or in combination increase a player's risk for serious elbow injuries.^{55,56}

Non-Operative Treatment of Upper Extremity Injuries to Adolescent Athletes

A detailed examination of the injured athlete includes a complete history (including self report scores such as Quick Disabilities of the Arm, Shoulder and Hand Score (DASH) and SPORT Module, Kerlin-Jobe Orthopaedic Clinic (KJOC) or Functional Arm Scale for Throwers (FAST), physical examination (including baseline Beighton scores, humeral torsion, and shoulder ROM) and specific review of practice and competition habits in order to isolate precipitating factors and current impairments upon which to focus treatment. The adolescent athlete is monitored for changes in height, weight, BMI and changes in flexibility throughout the rehabilitation process. Impairments should be then prioritized based on context of the diagnosis, magnitude, and likely causative association with the involved tissues. Table 1 summarizes the common impairments, athlete presentation, treatment focus and goals during the phased rehabilitation continuum.

The basis for initial phase of treatment in the adolescent athlete with an overuse arm begins with relative or active rest. The stress of throwing is removed from the irritated tissue and treatments are implemented that are designed to decrease inflammation, gently increase ROM and flexibility, and support tissue healing.⁵⁷ The sports medicine professional monitors the athlete's response to the initial treatment and designs a program to restore necessary flexibility including joint mobilizations and gentle ROM.⁵⁷ The lower extremities and core are incorporated into the rehabilitation program in order to enhance sport specific neuromuscular control and flexibility. Specific treatments are designed to address athlete specific impairments. For example, the athlete presenting with poor lower extremity flexibility will focus on enhanced dynamic stretching and functional movement patterns. (Figure 4). Once the athlete has full ROM without pain at rest, < 3/10 pain with rehabilitation activity and 90% total arc of shoulder motion and elbow ROM the athlete can progress to the second phase of the rehabilitation program.

The second phase of the rehabilitation program is designed to resolve all symptoms while promoting functional upper extremity motion, strength, and

Table 1. Phases and Progression of Rehabilitation

Phase I-Impairments	Phase II-Impairments	Phase III-Impairments
<ul style="list-style-type: none"> • High Irritability-Pain ($\geq 7/10$) • Pain specific to activity <ul style="list-style-type: none"> • Often specific to phase of activity • Pain before end ROM • Limited functional ROM • High Disability <ul style="list-style-type: none"> • \geq DASH/PENN 50% 	<ul style="list-style-type: none"> • Moderate Irritability- Pain (4/10) • Pain at end ROM • Impaired Neuromuscular (NM) control • Moderate Disability <ul style="list-style-type: none"> • DASH-PENN 26-49% 	<ul style="list-style-type: none"> • Low Irritability-Pain ($\leq 3/10$) • No night or rest pain • Minimal pain at end ROM • AROM = PROM • Low Disability <ul style="list-style-type: none"> • DASH/PENN $\leq 25\%$
Treatment Focus	Treatment Focus	Treatment Focus
<ul style="list-style-type: none"> • Relative or active rest (No participation, no throwing) • Pain Reduction • Restoration of PROM (Total body flexibility, joint specific- gentle joint mobilizations and ROM) • Neutral stability exercises- isometrics and AAROM • Lower extremities and core impairments addressed (extensibility, control, & balance) 	<ul style="list-style-type: none"> • Active rest (Limited participation, no throwing) • Pain Reduction • Equalize ROM A=PROM • Restore Functional Motion • Stabilization (scapular and rotator cuff program)- AROM, limited resistance 	<ul style="list-style-type: none"> • Restore end terminal ROM • Athlete maintains motion throughout sport activities • Improve shoulder girdle endurance then power • Initiate return to play progression
Goals	Goals	Goals
<ul style="list-style-type: none"> • Functional ROM without pain at rest, • $< 3/10$ pain with rehabilitation activity • 90% total arc of shoulder motion and elbow ROM 	<ul style="list-style-type: none"> • Resolve symptoms with rehab activity • Promote functional upper extremity (motion, strength, & endurance) • Symmetrical total arc of shoulder motion • Strength ratio- 70% uninjured extremity; 66% ER:IR ratio (same arm) @ start of rehab session • $< 15\%$ impairment on Quick Dash/similar subjective outcome 	<ul style="list-style-type: none"> • Pain free at rest, during rehab, & pre-sport drills • Restore sport specific strength, endurance, and neuromuscular control • Exercise tolerance mimics the mean loads for game and position specific participation without symptom increase • Strength ratio- 90% uninjured extremity; 66% ER:IR ratio (same arm) prior to & after the exercise session • 0% impairment on Quick Dash/similar subjective outcome



Figure 4. Dynamic lower extremity stretch, as an example.

endurance and to advance stability and control of the scapula, core and lower extremities. Specific sleeper (Figure 5) and cross body stretches (Figure 6) to enhance posterior shoulder flexibility are implemented. A total arm care strength and endurance program with techniques including hold relax, contract relax, and rhythmic stabilization are initiated to ensure good neuromuscular recruitment and control



Figure 5. The sleeper stretch, traditionally performed at 90 degrees.



Figure 6. *The sidelying crossbody stretch.*

are initiated.⁵⁷ The authors criteria for advancement to phase III of the rehabilitation program include pain free performance of all rehabilitation activities, demonstration of symmetrical total arc of shoulder motion,^{26,57} improving strength as measured by hand held dynamometer (HHD) to 70% of the uninjured extremity,⁵⁷ and functional scores that indicate less than 15% impairment on the Quick Dash or similar subjective outcome score. Additionally, the ratio of external rotation (ER): internal rotation (IR) shoulder strength within the same extremity should begin to approach the normative ratio of 66% as measured by HHD or isokinetically based on clinical availability.^{58,59}

This phase of rehabilitation emphasizes the restoration of sport specific strength, endurance, and neuromuscular control at the expected level of demand and participation. The athlete must remain pain free and continue to maintain symmetrical motion with the increased workload. Addition of activities requiring the athlete to perform sport specific motions while transitioning from concentric to eccentric loads is critical to prepare the athlete to return to activity. The activity focus during this phase is on plyometric drills, advanced core control, and lower extremity balance activities. The goals for the athletes exercise tolerance and requirements are set to mimic the mean loads required for game and position specific participation.^{60,61} Measures of shoulder strength (90% uninjured arm) and ER:IR strength ratios (66%)⁵⁹ are documented prior to and after performance of the exercise session. The athlete progresses to the return to sport phase of the rehabilitation program after

attainment of exercise goals while maintaining 90% of pre workout strength. Additional requirements include maintenance of pain free symmetrical ROM, 0% disability on basic Quick Dash outcome score, and good compliance and knowledge of the home exercise program.

Phase IV of the rehabilitation program focuses on sport specific drills and reintegration into sport activities. The specific interval throwing program (ITP) is designed to be sport and position specific based on the available literature.⁶⁰⁻⁶⁵ A focused warm up program that will be reproduced as the athlete returns to full participation is used prior to each interval throwing session. The throwing distance begins at 45 feet and the athlete's strength and flexibility are measured (HHD/ isokinetic device and goniometer/ inclinometer are used to quantify clinical impairments based on clinic availability) prior to and after the first throwing session at the new distance as they progress through the program. The athlete should maintain 90% of their pre session strength and flexibility after execution of the ITP. The athlete progresses through the stages of the ITP as suggested unless they experience pain with or after throwing, a reduction in strength or ROM, or if they have arm soreness lasting 24 hours. The athlete remains at the current stage until soreness and pain resolve with performance and strength/ ROM stabilize with throwing. Successful return to sport includes ensuring the athlete is progressing toward previous performance level, demonstrates durability and reproducibility of proper mechanics, utilizes appropriate rest, and is competent in an appropriate in season program. Athletes should be monitored throughout the process and the authors recommend frequent communication between the athlete, parents, coaches, and rehabilitation professionals to offer support during return to full competition and reduce the risk of reinjury. Prior to discharge, the athlete's throwing mechanics should be evaluated using video analysis to educate athletes and coaches and assist the athlete to correct errors and provide the basis for a safe return to sport.

Prevention of Throwing Injuries in the Adolescent Athlete

The goal of community screening is to prevent initial and subsequent arm injuries in adolescent throwing

athletes. The most important tenants of the prevention program are: education, identification of those athletes at the highest risk, full rehabilitation of current and past injuries, and monitoring athletes for the development of risk factors or warning signs of injury.⁶⁶

The focus of education is the dissemination of current recommendations and information to coaches, athletes, and parents. USA baseball guidelines for game pitch count and rest days should be the basis for advice for adolescent baseball participation.⁶⁷ Pitch counts guidelines for windmill softball players has been recommended³⁸, however to the authors' knowledge, actual pitch count limits for softball have not been established and high school softball rules do not address game or seasonal pitch counts.³ For both softball and baseball athletes, recommendations include avoiding continued participation during episodes of pain or fatigue.²² Advising athletes to avoid year round participation and recommending several periods of rest throughout the year to ensure safe participation are recommended.^{4,22}

Preseason screening is key to identify athletes at risk including a review of patient history, current symptoms, self reported outcome and monitoring of physical factors including height, weight, passive ROM (shoulder internal rotation, shoulder external rotation, shoulder horizontal adduction and elbow extension ROM), shoulder strength, Beighton score, lower extremity and core control during single and double leg function. Athletes with a Beighton score of greater than 2⁶⁸ are monitored closely by the schools athletic trainer and are advised to perform a rotator cuff endurance program 2-3 times per week. Athletes deemed at risk based on their ROM, strength, lower extremity or core performance are instructed in a specific exercise program to address their impairments and they are monitored closely by their physical therapist or athletic trainer for the performance of the exercise program and the development of pain or changes in performance.

Athletes sustaining an injury are evaluated and rehabilitated thoroughly following a criterion-based program detailed earlier. The athlete should be screened for height, weight, ROM, humeral torsion, arm strength/ endurance, scapular dyskinesis, and lower extremity and core performance to determine priority impairments for treatment. Upon discharge,

the athlete should be contacted on a monthly basis to track exposure, performance, and the development of pain or injury for a minimum of 1 year. Athletes also are incorporated into the regular screening program.

CONCLUSION

Injuries in the adolescent thrower have become a significant health concern with reports of increased frequency of need for surgery and significant time loss. Athletes sustaining these injuries are at risk for future injury and cessation of participation. Knowledge of common risk factors, impairments, and effective interventions in these athletes may prevent or minimize the impact of these injuries.

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