

# Transcription Factor NF-Y Is a Functional Regulator of the Transcription of Core Clock Gene *Bmal1*\*

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Jun Xiao<sup>†1</sup>, Yongchun Zhou<sup>§1</sup>, Hao Lai<sup>‡</sup>, Shi Lei<sup>¶1,2</sup>, Lisa H. Chi<sup>||</sup>, and Xianwei Mo<sup>‡3</sup>

From the <sup>†</sup>Department of Gastrointestinal Surgery, Tumour Hospital of Guangxi Medical University, Nanning, Guangxi 530021, China, the <sup>§</sup>Department of Gastrointestinal Surgery, Guigang City People's Hospital, Guigang, 537100 Guangxi Autonomous Region, China, <sup>¶</sup>Chi Scientific, 85 Shashan Road, Jiangyin, Jiangsu 214434, China, and <sup>||</sup>CHI Scientific Inc., Maynard, Massachusetts 01754

**Background:** The regulation of the core clock gene *Bmal1* is not fully understood despite many studies.

**Results:** NF-Y cooperates with Sp1 to activate the transcription of *Bmal1* by binding to the CCAAT-boxes in the *Bmal1* promoter.

**Conclusion:** NF-Y/Sp1 and Rev-Erb $\alpha$  serve as positive and negative regulators of *Bmal1* expression, respectively.

**Significance:** Elucidation of *Bmal1* regulation provides a better understanding of its biological functions and the circadian clock overall.

The circadian clock enables organisms to adjust to daily environmental changes and synchronize multiple molecular, biochemical, physiological, and behavioral processes accordingly. In mammalian clock work, *Bmal1* is the most important core clock gene, which works with another core clock gene *Clock* to drive the expression of other clock genes and clock-controlled genes. However, the regulation of *Bmal1* has not been fully understood. This work was aimed at identifying the positive regulator(s) of *Bmal1* transcription. A series of 5' deletion reporter constructs was generated, and binding site mutations of mouse *Bmal1* promoter fragments were cloned into pGL3-basic and pGL3(R2.1)-basic plasmids and transfected into NIH 3T3 cells. Luciferase activity was either measured 48 h after transfection or recorded for 4 days after serum shock. DNA affinity precipitation assay was used to detect the transcription factors binding to *Bmal1* promoter. Small interfering RNA against nuclear factor Y, subunit A (NF-YA) and dominant negative NF-YA were employed to study the role of NF-Y in *Bmal1* transcription regulation. Deletion and mutation analyses identified two clusters of CCAAT/GC-boxes at the proximal region of *Bmal1* promoter as the activating cis-elements. *Bmal1* promoter activity was up-regulated by NF-Y and/or Sp1 and repressed by dominant negative NF-YA or siRNA against NF-YA. The activation of *Bmal1* promoter activity by NF-Y and Sp1 was inhibited by Rev-Erb $\alpha$ . DNA affinity precipitation assay showed that NF-Y and Sp1 bound to the two CCAAT/GC clusters of *Bmal1* promoter. These results indicate that NF-Y is a functional activator of *Bmal1* transcription and it cooperates with Sp1 and Rev-Erb $\alpha$  to generate the daily cycle of *Bmal1* expression.

The circadian rhythm is the ~24-h cycle that enables organisms to adjust to daily environmental changes and synchronize multiple molecular, biochemical, physiological, and behavioral processes accordingly (1). The mammalian master pacemaker is located within the suprachiasmatic nucleus of the anterior hypothalamus (2). Recent studies have shown that endogenous oscillators also exist in peripheral tissues as well as in embryos and isolated cells, suggesting the existence of autonomous circadian clocks in each cell of the organisms. Peripheral oscillators are generally under the control of the suprachiasmatic nucleus master pacemaker, but they might be able to reset by alternative means such as restricted feeding (2).

In mammals, eight core clock genes have been identified. The *clock* and *bmal1* (brain-muscle Arnt-like protein1) genes encode basic helix-loop-helix (bHLH)-PAS (Per-Arnt-Sim domain) transcription factors. Three period genes (*per1–3*) encode PAS domain proteins. Casein kinase I $\epsilon$  (CKI $\epsilon$ ) and two cryptochrome genes (*cry1* and *cry2*) are the other core clock genes (2). The levels of transcripts and proteins of all those genes except for *clock* and casein kinase I $\epsilon$  show robust circadian rhythm with an approximate 24-h period.

The circadian rhythms are sustained by interlocking transcriptional-translational feedback loops. The two transcription activators, *Clock* and *Bmal1*, form heterodimers and bind to the E-boxes (consensus sequence as CACGTG) to drive the transcription of their target genes, including *per1–3* and *cry1* and *cry2*. The Per and Cry proteins dimerize in the cytoplasm and translocate into the nucleus to repress their own transcription by inhibiting the activity of *Clock*-*Bmal1* complex. The activity and stability of Per and Cry proteins are regulated by casein kinase I and glycogen synthase kinase-3 $\beta$  (GSK-3 $\beta$ ) (3). On the other hand, *Bmal1* expression has been shown to be repressed by orphan nuclear receptor Rev-Erb $\alpha$  (4) and activated by ROR $\alpha$ <sup>4</sup> (5), which in turn are under circadian control. However,

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<sup>1</sup> Both authors contributed equally to this work.

<sup>2</sup> To whom correspondence may be addressed: Chi Scientific, 85 Shashan Rd., Jiangyin, Jiangsu, 214434, China. Phone: 86-510-86419767; Fax: 86-510-86419769; E-mail: shi.lei@chiscientific.cn.

<sup>3</sup> To whom correspondence may be addressed: Xianwei Mo, Department of Gastrointestinal Surgery, Tumour Hospital of Guangxi Medical University, He Di Rd. 71#, Nanning, Guangxi, 530021, China. Tel.: 86-771-5330708; Fax: 86-771-5312000; E-mail: lyzlyynanning@aliyun.com or mo\_xianwei@sina.com.

<sup>4</sup> The abbreviations used are: ROR $\alpha$ , retinoic acid receptor-related orphan receptor  $\alpha$ ; RORE, ROR $\alpha$  response element; mRORE, mutated RORE; NF-Y, nuclear factor Y; DAPA, DNA affinity precipitation assays.

mutating *ROR $\alpha$*  did not show either drastic decrease of *Bmal1* expression or disruption of *Per2* expression rhythm (5). Using small interfering RNA knockdown, *ROR $\alpha$*  also had only minimal effects on *Bmal1* expression in mouse embryonic fibroblasts (6). It is of great interest to identify the dominant activator of *Bmal1* transcription because high level *Bmal1* expression is required for generating oscillation of both the positive and the negative loops.

The mammalian CCAAT-box-binding factor nuclear factor Y (NF-Y) is an evolutionarily conserved transcription factor presented from yeast to human (7). A functional NF-Y factor consists of three different subunits, NF-YA, NF-YB, and NF-YC. Both the NF-YB and the NF-YC subunits contain a histone-fold motif and interact with each other to form a NF-YB/NF-YC heterodimer. The B/C complex then interacts with the NF-YA subunit to form the NF-Y heterotrimeric transcription factor. All three subunits are required for the binding of NF-Y complex to DNA and NF-Y-directed transcription (7).

The CCAAT motif (Y-box) is present in promoters of many mammalian genes spanning from developmentally controlled and tissue-specific, housekeeping and inducible, to cell cycle-regulated. The position of the CCAAT-box in promoters is strongly biased, and it is typically found as a single copy element in either forward or reverse direction between  $-30$  and  $-150$  bp from major transcription start sites (8). *Bmal1* promoters from mouse to human are highly conserved at the proximal region where multiple copies of CCAAT-boxes are located upstream of the transcription start site. Although NF-Y was implicated as a regulator of the circadian clock (9), there has been no experimental evidence to confirm its role in the transcriptional regulation of clock genes. Here we report the identification of NF-Y as the major activator of *Bmal1* transcription.

## MATERIALS AND METHODS

**Cell Culture, Transient Transfection, and Luciferase Assays**—Mouse embryonic fibroblast NIH 3T3 cells (ATCC, Manassas, VA) were maintained in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% bovine serum, 100 IU/ml penicillin, and 100  $\mu$ g/ml streptomycin (all from Invitrogen, Shanghai, China) at 37 °C in a humidified atmosphere (5% CO<sub>2</sub>). On the day before transfection, NIH 3T3 cells were seeded into 12-well plates to have a confluence about 30–50% on the day of transfection. Reporter constructs and expression vectors were transfected using Lipofectamine Plus (Invitrogen) according to manufacturer's instructions. 50  $\mu$ g of pCMV-lacZ (Promega, Madison, WI) was transfected as the internal control. The total DNA amount was kept at 1.0 mg/well. Corresponding empty vectors were used to make up the differences among treatments. Luciferase activity was measured 48 h later using a microtiter plate luminometer (Dynex, Chantilly, VA) and luciferase assay kit (Promega). All experiments were done by triplicates and performed at least three times independently. Results were shown as relative luciferase activity and expressed as mean  $\pm$  S.E.

**Plasmids**—The mouse *Bmal1* promoter luciferase reporter constructs were generated by PCR (see Table 1 for primer sequences) and digested with MluI and XhoI and then ligated into pGL3-basic (Promega) predigested with the same

**TABLE 1**

**Sequences of primers used in this study**

m, mutant; D, *Bmal1* promoter deletion construct 5' primer; Bm\_luc, *Bmal1* promoter deletion construct 3' primer; pT81, enhancer-less reporter vector.

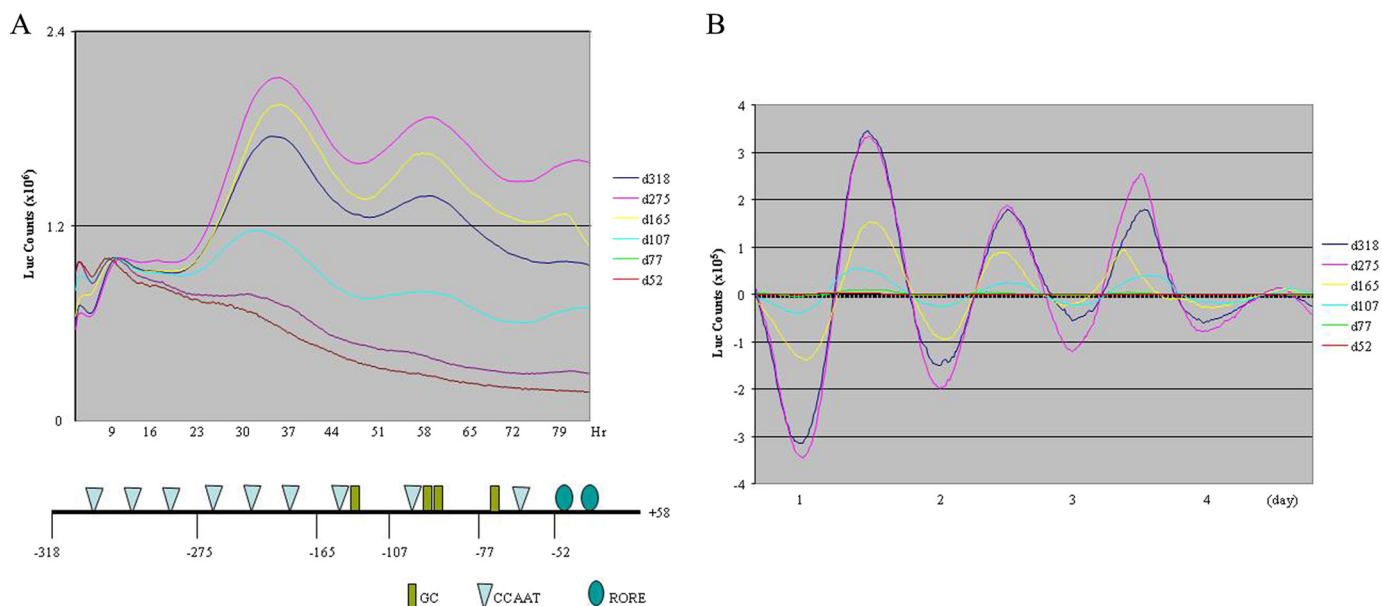
D318-MluI	GGTACGCGTAAAGTAGCAGGTAACCAGCCCT
D275-MluI	GGTACGCGTAGGCTTCCCTAFCGGTCACTCGAT
D165-MluI	GGTACGCGTGAAGAGGCAGGATATCCGGGCTG
D107-MluI	GGTACGCGTGGGCACAGCGATTTGGTGGGC
D77-MluI	GGTACGCGTGCCCTGGGCGGGGGAGCGG
D52-MluI	GGTACGCGTGTGCGAAAGTAGGTTAGTGGTG
Bm_luc-XhoI	GGTCTCGAGTACCTACTTTCTGCCTTCCCT
mSp1-1F	CATTTGGTGGTATGGGGAAAGG
mSp1-1R	CCCTTCCCATACCAACCAATG
mSp1-2F	CGATTGGTGGTATGGGGTATGGGCTGGGC
mSp1-2R	GCCAGGCCCATACCCCATACCAACCAATCG
mY-1F	CTCCTCCATACGGGGCGGG
mY-1R	CCCGCCCGTATGGAGGAG
mY-2F	GCACAGCGATCAGTTGGGCGGG
mY-2R	CCCGCCCACTGATCGCTGTGC
mRORE-1F	AGCGGATTGGTTCGGAGCCCTTCTAGTGGTGGCAGACATTTAG
mRORE-1R	CTAAATGTCGCACCACTAGAAGCGTTCCGACCAATCCGCT
mRORE-2F	CATTTAGGGAAGGCAGACCATCTCCAGGGACGGAGTGCC
mRORE-2R	GGCACCTCCGTCCTGGAGGATGGTCTGCCTTCCCTAAATG
NF-YAm29_F	GCACGGAAGGCTGCGGCGAGGGGGCCGC
NF-YAm29_R	GCGGCCCTGCGCAGCCCTTCCGTGC
siYA-1S	GUACCUGUGUCUGAACACTT
siYA-1R	GUGUCCAGACACAGGUACTT
siYA-2S	CCAGCAGAUCAUCAUCAAATTT
siYA-2R	UUGGAUGAUGAUGUCUGGGTT
pT81-C2F	CAGCGATTGGTGGGCGGGGGGGCCGGCTGGG
pT81-C2R	CCCAGGCGGGCCCGCCCAACCAATCCGCTG
pT81-RORER	GTCGGAAGTAGGTTAGTGGTGGCAGACATTTAGGGAAGGCAG AAAGTAGGTCAAGGA
pT81-RORER	TCCCTGACCTACTTTCTGCCTTCCCTAAATGTCGACCACT AACCTACTTTCCGCAG

enzymes. For bioluminescence recording, the same MluI/XhoI promoter fragments were transferred into pGL3-Basic(R2.1) (Promega). The mutation of the NF-Y-, Sp1-, or *ROR $\alpha$* -binding site was done by site-directed mutagenesis with the primers listed in Table 1. Heterologous promoter reporter vectors were generated by cloning custom-made oligonucleotides corresponding to either the proximal CCAAT/GC cluster or the *ROR $\alpha$* -binding element of murine *Bmal1* promoter (refer to Table 1 for primer sequences) into BamHI/XhoI sites of enhancerless luciferase reporter vector pT81. All the constructs were verified by DNA sequencing. NF-Y expression constructs ( $\Delta$ 4NF-YA,  $\Delta$ 4NF-YB, and  $\Delta$ 4NF-YC) were gifts from Dr. Mantovani (Universita di Milano, Italy). Dominant negative NF-YA (YA m29) was generated by site-directed mutagenesis as described previously (10). pCMV-Bmal1 and mPer1 promoter reporter constructs have been described previously (11).

**Real-time Recording of the Circadian Expression of Bmal1**—NIH 3T3 cells were seeded in 35-mm plates with a density of greater than 95% confluence and transfected the next day with the indicated DNA constructs by Lipofectamine Plus. 24 h after transfection, cells were treated with 50% horse serum for 2 h and replaced with culture medium without serum. Luciferin was added at a final concentration of 1  $\mu$ M. Light emission was measured and integrated for 1 min at intervals of 15 min by an LM-2400 photon detection unit (Hamamatsu, Japan).

**Preparation of Nuclear Extract and DNA Affinity Precipitation Assays (DAPA)**—Nuclear extracts from 24 h post-serum-shocked NIH 3T3 cells were prepared as described previously (19). DAPA have been described previously (19). The sequences for the oligonucleotides used in DAPA were as follows (with mutated nucleotides in lowercase): 1-WT, 5'-CTC CAT TGG TGG GCG GGG AAG G; 1-NF-Y, 5'-CTC CAA ACG TGG GCG GGG AAG G; 1-Sp1, 5'-CTC CAT TGG TGG TAT GGG

## NF-Y Regulates *Bmal1*



**FIGURE 1. Identification of promoter element(s) responsible for mouse *Bmal1* gene activation and rhythmic expression.** A, 5' deletion *Bmal1* reporter constructs were transfected into NIH 3T3 cells. Cells were treated with 50% horse serum for 2 h on the next day followed by culturing in regular DMEM without serum and 1  $\mu$ M luciferin (*Luc*) and placed in LM-2400 for bioluminescence recording. The highest activity recording of each construct was set as 1, respectively. B, data were normalized to the average luciferase activity produced over the duration of the recording and are plotted as the difference from the centered 24-h moving average.

AAG G; 1-Mut, 5'-CTC CAA ACG TGG TAT GGG AAG G; 2-WT, 5'-GCG ATT GGT GGG CGG GAG GCC GGG CCT; 2-NF-Y, 5'-GCG AAA CGT GGG CGG GAG GCC GGG CCT; 2-Sp1, 5'-GCG ATT GGT GGT ATG GAG TAT GGG CCT; and 2-Mut, 5'-GCG AAA CGT GGT ATG GAG TAT GGG CCT. 5'-Biotinylated double-stranded oligonucleotides corresponding to wild type or mutant (CCAAT- and GC-box mutated individually or combined) sequences of either distal or proximal clusters of Y-box/GC-box were incubated with NIH 3T3 nuclear extract. The protein-DNA complexes were pulled down by Tetralink<sup>TM</sup> avidin resin and resolved on PAGE gel followed by immunoblotting with antibodies against NF-YA (G-2) and Sp1 (PEP2) (Santa Cruz Biotechnology, Inc. Santa Cruz, CA).

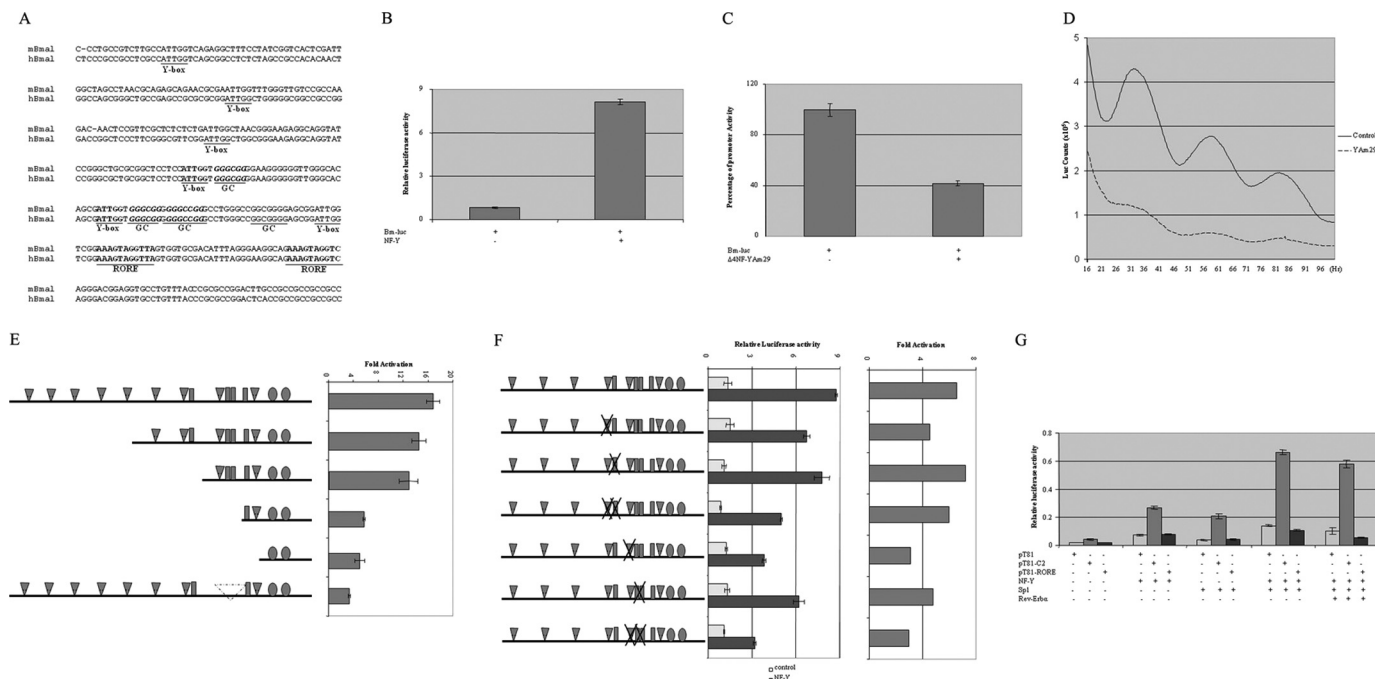
**Small Interfering RNA (siRNA) against NF-YA**—siRNAs were designed using the Invitrogen RNAi designing tool. Two pairs of RNA oligonucleotides targeting different regions of NF-YA and a control pair (enhanced green fluorescence protein) were custom-synthesized by Sangon (Shanghai, China). Double-stranded siRNA was transfected into NIH 3T3 cells using Lipofectamine 2000 (Invitrogen, Shanghai, China) according to the manufacturer's instructions. The protein or mRNA level was measured 48 h after transfection by immunoblotting with specified antibodies or quantitative real-time PCR using the ABI Prism 7000 detection system (Applied Biosystems, Foster City, CA).

## RESULTS

**Identification of Promoter Region Conferring *Bmal1* Activation**—It has been shown that *Bmal1* expression is negatively regulated by Rev-Erba, which drives the circadian oscillation of *Bmal1* expression and forms the stabilizing loop in the positive limb of the circadian clock. However, the identity of the activator of *Bmal1* transcription has been elusive. We

employed *in vitro* real-time expression monitoring systems to identify the cis-element in the mouse *Bmal1* promoter driving its transcription. A series of 5' deletion reporter constructs was generated using a destabilized luciferase reporter vector to enhance the oscillation resolution. The reporter constructs were transfected into NIH 3T3 cells individually, and their bioluminescence was recorded. The expression level and circadian rhythm of *Bmal1* were gradually reduced from  $-275$  to  $-107$  deletion constructs (Fig. 1, A and B). Further truncating *Bmal1* promoter to  $-77$ , the promoter activity was further diminished (Fig. 1A) and circadian oscillation was almost flattened (Fig. 1B), indicating that the cis-element(s) critical for activation of *Bmal1* transcription were localized within *Bmal1* promoter regions between  $-275$  to  $-77$ . As all promoter reporter constructs had intact transcription start site and both ROR-binding sites, the loss of transcriptional activity and rhythmicity indicated the requirement of (an) additional cis-element(s) within the promoter for the up-regulated expression of the *Bmal1* gene.

**NF-Y Activates *Bmal1* Transcription through the Inverted CCAAT-boxes**—The proximal regions of human and mouse *Bmal1* promoter are highly conserved, and they include several inverted CCAAT motifs (Y-box), two clusters of Y-box and GC-boxes, as well as two ROR-binding sites (Fig. 2A). To determine whether NF-Y is truly an activator of *Bmal1* gene, we first co-transfected mouse *Bmal1* luciferase reporter gene with NF-Y expression constructs in transient transfection assay. Co-transfection of NF-Y yielded 4–10-fold increase of mouse *Bmal1* promoter activity (Fig. 2B). Co-transfection of the dominant negative form of NF-YA expression vector ( $\Delta$ 4NF-YAm29) with *Bmal1*-luc strongly inhibited *Bmal1* promoter activity (Fig. 2C) but maintained detectable oscillation (Fig. 2D), implicating the involvement of NF-Y in activating *Bmal1*



**FIGURE 2. NF-Y is the functional transcription activator of *Bmal1* gene.** *A*, alignment of human (*hBmal*) and mouse (*mBmal*) *Bmal1* promoter proximal region. The important and highly conserved transcription factor-binding sites are shown in **boldface** and underlined. *B*, *Bmal1* luciferase (*Bm-luc*) reporter gene (d318) was co-transfected with NF-Y or empty pSG5 vectors into NIH 3T3 cells. Luciferase activity was measured 48 h later. *C*, *Bmal1* luciferase reporter gene (d318) was co-transfected with dominant negative NF-YA or empty pSG5 vectors into NIH 3T3 cells. Luciferase activity was measured 48 h later. The remaining luciferase activity was shown. *D*, NIH 3T3 cells were transfected with *Bmal1* promoter construct in combination with control vector or pSG5-YAm29 and treated the same way as in Fig. 1. *E*, 5'-truncated *Bmal1* promoter constructs were co-transfected with NF-Y or empty pSG5 vector. The ratio of luciferase activity of each reporter with and without NF-Y was shown. *F*, *Bmal1* promoter (d318) reporter constructs with mutated different CCAAT-box or/and Sp1 site were co-transfected with either pSG5 or NF-Y expression constructs. The relative luciferase activity (*left panel*) and -fold of activation by NF-Y (*right panel*) were shown. *G*, pT81-carrying the proximal CCAAT/GC-box/GC-box (C2) and ROR $\alpha$ -binding sites of mouse *Bmal1* promoter were co-transfected with NF-Y, Sp1, and/or Rev-Erb $\alpha$ . The luciferase activity relative to  $\beta$ -galactosidase activity was shown. Error bars in *B*, *C*, *E*, *F*, and *G* indicate mean  $\pm$  S.E.

expression. Two lines of experiments were carried out to further dissect the sequence requirements of mouse *Bmal1* promoter for NF-Y activation. First, a series of 5'-truncated promoter reporter genes were co-transfected with or without NF-Y constructs to locate the region conferring significant activation of mouse *Bmal1* promoter. As deleting from -255 to -165 then to -107 caused gradual decreases of activation of *Bmal1* expression, further deletion from -107 to -77 resulted in significant loss of activation (Fig. 2*E*). Next, we characterized the possible roles of two clusters of Y-box/GC-box in mediating NF-Y activation of *Bmal1* expression. The Y-boxes and GC-boxes were mutated individually or in combination within each cluster. Mutating the GC-box of distal cluster (C1) did not have significant effect on either promoter activity or activation by NF-Y. Mutation of the Y-box of C1 or GC-boxes of proximal cluster (C2) had a moderate effect on NF-Y activation of *Bmal1* expression. However, mutation of proximal Y-box caused more than 50% decrease of such activation (Fig. 2*F*). Furthermore, deletion of a small fragment from -118 to -77, which contained the proximal Y-box/GC-box cluster, from the -318 construct almost totally abolished the activation of *Bmal1* expression by NF-Y (last lane of Fig. 2*E*). Alternatively, when the proximal cluster of Y-box/GC-boxes (C2) was cloned into an enhancerless luciferase reporter vector pT81, it was specifically activated by NF-Y, whereas pT81-RORE did not show any activation by NF-Y when compared with empty pT81 vector (Fig. 2*G*).

*NF-Y and Sp1 Bind to Two Y-box/GC-box Clusters*—To test whether NF-Y actually binds to those two CCAAT-boxes, DAPA were performed. Wild type oligonucleotides of both distal (C1) and proximal (C2) elements pulled down NF-Y and Sp1, whereas mutating Y-box and GC-box simultaneously abolished their ability to bind either protein (Fig. 3, *A* and *B*). Individual mutation of Y-boxes reduced both NF-Y and Sp1 binding, whereas mutation of GC-boxes only reduced Sp1 binding capability but did not have any effect on NF-Y binding (Fig. 3, *A* and *B*). To confirm the specificity of such binding, we then performed competitive DAPA. Each wild type biotinylated oligonucleotide was incubated with NIH 3T3 cell nuclear extracts alone or with 10 times the amount of nonbiotinylated oligonucleotide (wild type or mutants) added. Wild type oligonucleotide competed away NF-Y and Sp1 binding to its own sequence as well as the other cluster of Y-box/GC-box (Fig. 3, *C* and *D*). The oligomers with mutated Y-box competed away Sp1 binding but not NF-Y binding, whereas mutation at the GC-box abrogated its ability to compete for Sp1 but not NF-Y binding (Fig. 3, *C* and *D*).

*NF-Y Activation of *Bmal1* Expression Is Inhibited by Rev-Erb $\alpha$* —As Rev-Erb $\alpha$  has been shown to be a negative regulator of *Bmal1* transcription to generate the oscillation of *Bmal1* expression, we next tested whether NF-Y activation of *Bmal1* was repressed by Rev-Erb $\alpha$ . In a transient transfection luciferase assay, we co-transfected *Bmal1* promoter reporter with NF-Y and an increasing amount of Rev-Erb $\alpha$

## NF-Y Regulates *Bmal1*

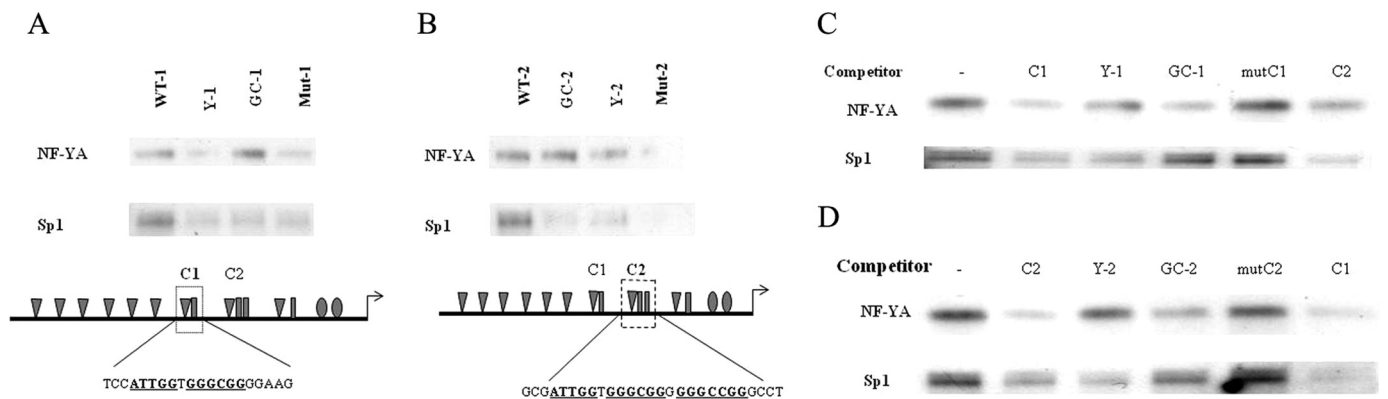


FIGURE 3. **NF-Y and Sp1 bind to two CCAAT/Sp1 clusters.** *A* and *B*, 5'-biotin-conjugated oligonucleotides corresponding to either one of the two CCAAT/Sp1 clusters and their mutants (CCAAT or Sp1 site or both mutated; indicated as *Mut-1* and *Mut-2*) were incubated with NIH 3T3 cell nuclear extracts and precipitated with Tetralink™ avidin resin. The DNA-protein complexes were resolved in SDS-PAGE gel and immunoblotted with specified antibodies. *C* and *D*, 5'-biotin-conjugated wild type oligonucleotides preincubated with 20-fold indicated unconjugated oligonucleotides for 5 min before the procedure in *A* and *B*. *mutC1* and *mutC2* indicate mutant 1 and mutant 2.

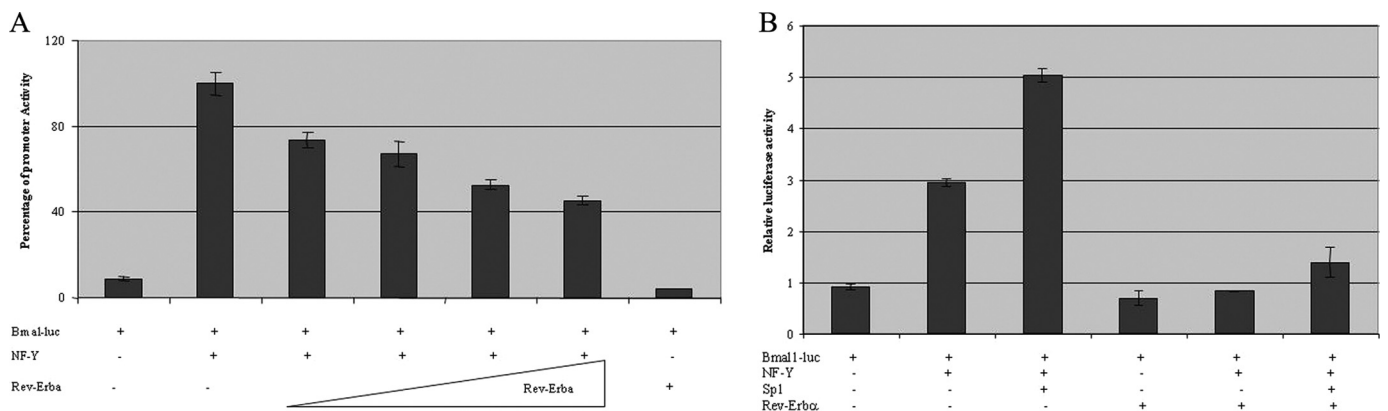


FIGURE 4. **The activation of *Bmal1* expression by NF-Y is repressed by Rev-Erb $\alpha$ .** *A*, *Bmal1* luciferase reporter construct was co-transfected with a constant amount of NF-Y expression vectors and an increased amount of Rev-Erb $\alpha$  expression construct. *B*, *Bmal1* luciferase reporter construct was co-transfected with NF-Y, Sp1, and Rev-Erb $\alpha$  mammalian expression vectors alone or in combination. Error bars indicate mean  $\pm$  S.E.

expression construct. The activation of *Bmal1* promoter activity by NF-Y was dose-dependently repressed by Rev-Erb $\alpha$  (Fig. 4A). Furthermore, *Bmal1* promoter was activated by NF-Y and Sp1 synergistically, which was repressed by Rev-Erb $\alpha$  (Fig. 4B).

**The Elements in *Bmal1* Promoter Binding Positive and Negative Regulators Are Separable**—It has been shown that two ROR-binding sites are required for the repression and rhythmic expression of *Bmal1* gene. On the other hand, although it was suggested that ROR $\alpha$  bound to RORE to activate *Bmal1* transcription, we identified the sequence between  $-165$  and  $-77$  (especially from  $-107$  to  $-77$ ) of *Bmal1* promoter as the more important cis-elements for positive regulation of *Bmal1* expression. To determine the role of RORE and the cis-element located within  $-107$  to  $-77$  in the transcriptional activation of *Bmal1*, we made two reporter constructs to specifically address this issue. The first construct had both ROR $\alpha$ -binding sites mutated in the  $-275$  construct (mRORE), and the second one had wild type ROR $\alpha$ -binding sites but a deletion of the sequence from  $-118$  to  $-77$  (d118–77). These two reporter constructs showed completely opposite behavior. Although mRORE had high basal *Bmal1* expression, d118–77 showed minimal promoter activity (Fig. 5A). Consequently, in serum-shocked NIH 3T3 cells, mRORE showed constantly high level

expression, whereas d118–77 had extremely low level expression and without oscillation (Fig. 5B). Furthermore, mutating the Y-box within the region between  $-118$  and  $-77$  caused significant decrease of *Bmal1* promoter activity in the presence of NF-Y but had no effect on the repression by Rev-Erb $\alpha$  in the presence or absence of NF-Y. On the contrary, disrupting the ROR $\alpha$ -binding sites abolished the ability of Rev-Erb $\alpha$  to inhibit *Bmal1* expression at basal or NF-Y-activated state (Fig. 5C).

**Endogenous Expression of *Bmal1* and Its Target Gene Is Reduced by Knocking Down NF-Y Activity**—The ability of NF-Y to regulate *Bmal1* expression *in vivo* was tested using siRNA against NF-YA. Two siRNAs targeting different regions of mouse NF-YA were transfected into NIH 3T3 cells, and protein levels were measured 48 h after transfection. One siRNA (siYA-2) drastically knocked down the expression level of NF-YA, whereas the other one (siYA-1) did not have any effect on NF-YA level (Fig. 6A). Consequently, the *Bmal1* level in siYA-2 treated cells was also decreased significantly, but that in siYA-1-treated cells did not change (Fig. 6, A and B). When siRNAs targeting NF-YA were co-transfected with *Bmal1* or *Per1* promoter luciferase reporter, siYA-2 significantly knocked down both *Bmal1* and *Per1* promoter activity, whereas siYA-1 did not have any effect (Fig. 6, C and D). Overexpression of *Bmal1* restored *Per1* promoter activity in siYA-2-treated cells to control level (Fig. 6D).

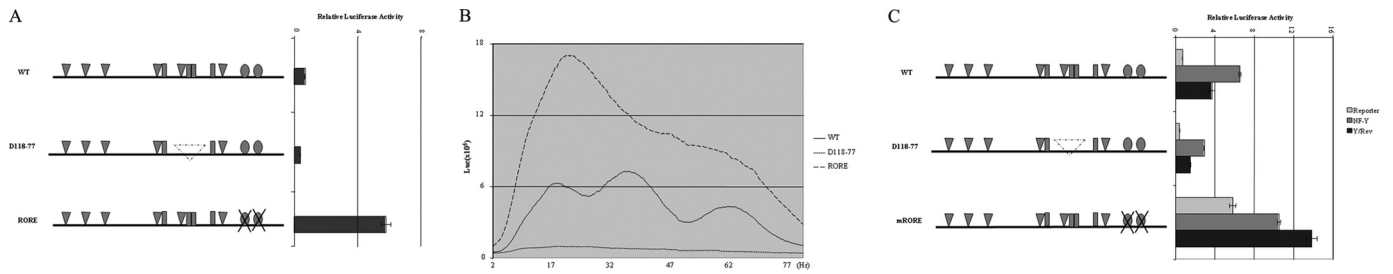


FIGURE 5. **The cis-elements responsible for *Bmal1* transcription activation and repression are separated.** A, The d275 *Bmal1*-luc construct (WT), with a deletion from  $-77$  to  $-118$  (D118-77), or with both ROR $\alpha$ -binding sites mutated (mRORE) was transfected into NIH 3T3 cells. Luciferase activity was measured 48 h later. B, WT, D118-77, or mRORE was transfected into NIH 3T3 cells and treated as in Fig. 1. C, WT, D118-77, or mRORE was co-transfected with NF-Y and/or Rev-Erb $\alpha$  into NIH 3T3 cells. Luciferase (*Luc*) activity was measured 48 h later. Error bars in A and C indicate mean  $\pm$  S.E.

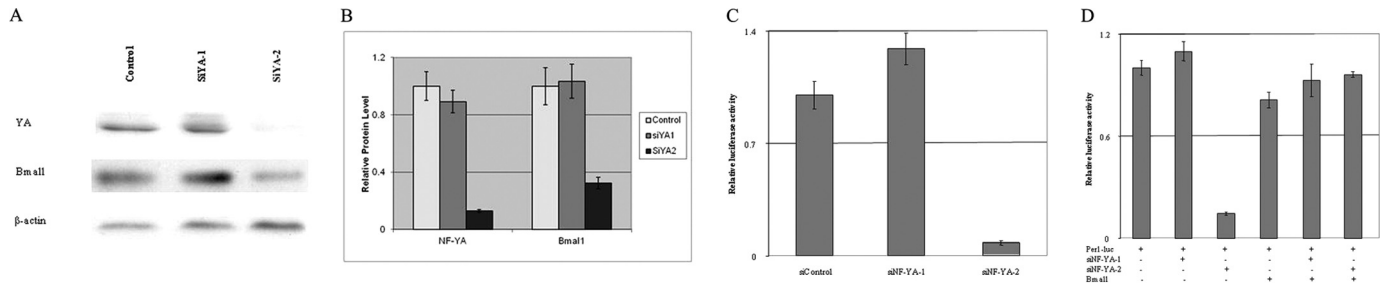


FIGURE 6. **NF-Y activates *Bmal1* expression and regulates *Per1* expression through *Bmal1*.** A, knocking down NF-YA (*YA*) level resulted in the down-regulation of *Bmal1* expression. Small interfering RNA targeting either NF-YA (*SiYA-1* and *SiYA-2*) or control (enhanced green fluorescent protein) was transfected into NIH 3T3 cells. 48 h after transfection, total cell lysates were subjected to immunoblotting assay to measure the expression levels of NF-YA, *Bmal1*, and  $\beta$ -actin. B, quantification of the bands in A. The ratio of NF-YA and  $\beta$ -actin or *Bmal1* and  $\beta$ -actin from control siRNA-treated sample was set as 1. C and D, *Bmal1* and *Per1* promoter activity was inhibited by siRNA targeting NF-YA (*siNF-YA-1* and *siNF-YA-2*). *Bmal1* (C) or *Per1* (D) luciferase (*Per1*-luc) construct was co-transfected with control(*siControl*) or NF-YA siRNA. Luciferase activity was measured 48 h later. Error bars in B–D indicate mean  $\pm$  S.E.

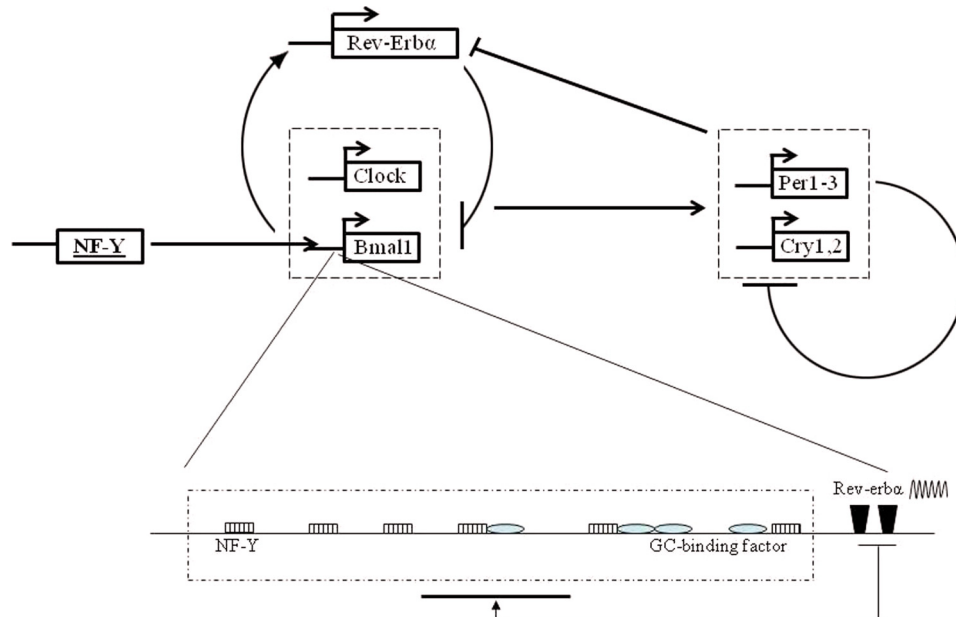


FIGURE 7. **A proposed model of *Bmal1* regulation and clock work.** NF-Y/Sp1 and other factors drive *Bmal1* transcription, which is repressed by Rev-Erb $\alpha$ . The oscillation of Rev-Erb $\alpha$  results in the anti-phased cyclic expression of *Bmal1* (refer to “Results” for details).

**DISCUSSION**

Our results demonstrated that heterotrimeric transcription factor NF-Y was a functional activator of *Bmal1* transcription. First, NF-Y activated, whereas dominant negative NF-YA or small interfering RNA against NF-YA inhibited *Bmal1* promoter activity in transient luciferase assays. Second, NF-Y (and Sp1) bound to the *Bmal1* promoter *in vivo* and *in vitro*. Third, RNAi against NF-YA significantly decreased *Bmal1* expression

level. Fourth, deletion of CCAAT/GC elements reduced both expression level and oscillation amplitude of *Bmal1*.

It has been shown that *Bmal1* expression is inhibited by another clock-controlled gene, Rev-Erb $\alpha$ , which drives the circadian oscillation of *Bmal1* expression (4). Although it is speculated that ROR $\alpha$  acts as the activator of *Bmal1* transcription, mice with mutated ROR $\alpha$  (and embryonic fibroblasts originating from such mice) or cells with decreased ROR $\alpha$  level by RNA

interference had *Bmal1* expression level and rhythm comparable with that of wild type. Moreover, other results (12) and our results showed that ROR $\alpha$ -binding sites were not sufficient to drive rhythmic *Bmal1* expression as the promoter reporter constructs containing intact transcription start site and both ROR $\alpha$ -binding sites had extremely low promoter activity and flattened oscillation, which was different from the conclusion drawn by Ueda *et al.* (13) when they fused a DNA fragment containing ROR $\alpha$ -binding sites of *Bmal1* promoter with SV40 promoter. This approach had two major flaws. First, it completely changed the configuration around ROR $\alpha$ -binding sites in the promoter and the distance of ROR $\alpha$ -binding sites from the transcription start site. Second, the SV40 promoter masked the need for the activating elements of the *Bmal1* promoter. Moreover, mutating the ROR $\alpha$ -binding sites resulted in significantly high promoter activity and dampened rhythm at peak level of the *Bmal1* gene, indicating that the ROR $\alpha$ -binding sites of *Bmal1* promoter served primarily as negative-regulating elements for Rev-Erb $\alpha$  binding and that the role of ROR $\alpha$  activation in *Bmal1* expression was marginal.

Deleting the proximal cluster or mutating the Y-box and two GC-boxes of the cluster greatly reduced *Bmal1* level and flattened the oscillation of *Bmal1* expression at the trough level, indicating that the activation of *Bmal1* transcription was mainly mediated through the proximal Y-box/GC-box cluster. As NF-Y and Sp1 cooperatively regulate many genes (14–18), it was not surprising to see that *Bmal1* transcription was up-regulated by NF-Y and Sp1 through a tandem of one Y-box and two GC-boxes. NF-Y bound to CCAAT-box of various promoters and recruited RNA polymerase II and general transcription factors onto those promoters (7). NF-Y has been shown to regulate the transcription of genes involved in development (14), cancer (14, 15), cell growth (16), and cell cycle control (17). A previous study (9) implicated a possible role for NF-Y in the regulation of the circadian clock, but we for the first time provided direct evidences that NF-Y activated *Bmal1* transcription by binding to the CCAAT-boxes in *Bmal1* promoter.

Based on previously published data and our results (4–6, 12), we propose a new model for the clock work and *Bmal1* regulation (Fig. 7). Unlike other clock genes, the oscillation of *Bmal1* expression is solely achieved by the oscillation of negative regulator Rev-Erb $\alpha$ . The high basal level of *Bmal1* expression is driven by NF-Y/Sp1. *Bmal1* and Clock activate the transcription of *per1–3*, *cry1*, *cry2*, *Rev-Erb $\alpha$* , and other clock-controlled genes, which is repressed by *Per/Cry*, whereas the transcription of *Bmal1* is repressed by Rev-Erb $\alpha$ .

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