

# Association of self-reported race with AIDS death in continuous HAART users in a cohort of HIV-infected women in the United States

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**Objective:** To assess the association of race with clinical outcomes in HIV-positive women on continuous HAART.

**Design:** Prospective study that enrolled women from 1994 to 1995 and 2001 to 2002.

**Setting:** Women's Interagency HIV Study, a community-based cohort in five US cities.

**Participants:** One thousand, four hundred and seventy-one HIV-positive continuous HAART users.

**Main outcome measures:** Times to AIDS and non-AIDS death and incident AIDS-defining illness (ADI) after HAART initiation.

**Results:** In adjusted analyses, black vs. white women had higher rates of AIDS death [adjusted hazard ratio (aHR) 2.14, 95% confidence interval (CI) 1.30, 3.50;  $P=0.003$ ] and incident ADI (aHR 1.58, 95% CI 1.08, 2.32;  $P=0.02$ ), but not non-AIDS death (aHR 0.91, 95% CI 0.59, 1.39;  $P=0.65$ ). Cumulative AIDS death incidence at 10 years was 17.3 and 8.3% for black and white women, respectively. Other significant independent pre-HAART predictors of AIDS death included peak viral load (aHR 1.70 per  $\log_{10}$ , 95% CI 1.34, 2.16;  $P<0.001$ ), nadir CD4<sup>+</sup> cell count (aHR 0.65 per 100 cells/ $\mu$ l, 95% CI 0.56, 0.76;  $P<0.001$ ), depressive symptoms by Center for Epidemiology Studies Depression score at least 16 (aHR 2.10, 95% CI 1.51, 2.92;  $P<0.001$ ), hepatitis C virus infection (aHR 1.57, 95% CI 1.02, 2.40;  $P=0.04$ ), and HIV acquisition via transfusion (aHR 2.33, 95% CI 1.21, 4.49;  $P=0.01$ ). In models with time-updated HAART adherence, association of race with AIDS death remained statistically significant (aHR 3.09, 95% CI 1.38, 6.93;  $P=0.006$ ).

**Conclusion:** In continuous HAART-using women, black women more rapidly died from AIDS or experienced incident ADI than their white counterparts after adjusting for confounders. Future studies examining behavioral and biologic factors in these women may further the understanding of HAART prognosis.

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## Introduction

Globally, women represent more than 50% of persons living with HIV infection (PLWH), and HIV/AIDS is the leading cause of death worldwide for women of reproductive age [1,2]. In the United States, African American women are 19-fold more likely than white women to be diagnosed with HIV [3], and 2007 United States Centers for Disease Control and Prevention data ranked HIV in the top 10 causes of death for African American and Hispanic women aged 20–54 years [4,5]. Declines in AIDS-related mortality have been less steep in black women than in most other groups [6,7]. Many studies of safety and efficacy of antiretroviral therapy (ART) have been conducted in men of European descent; it is unclear if there is a survival difference by race in PLWH treated with HAART. Whereas some studies have found no differences by ancestry in risk of death or AIDS-defining illness (ADI) in HAART users [8–10], others have observed higher rates of all-cause mortality or decreased rates of virologic suppression in people of African descent, but did not assess or find any difference in AIDS-specific mortality or adherence [11–16].

Postulated explanations for higher AIDS mortality rates among blacks, if present, include decreased access to care, lower HAART adherence, and socioeconomic, behavioral, genetic, or other biologic factors [17–20]. In a previous study from the Women's Interagency HIV Study (WIHS), we found a clinically but not statistically significant survival advantage in white compared to black women on continuous HAART: adjusted hazard ratio (aHR) 0.49 ( $P=0.19$ ) for death from AIDS [21]. We extended the WIHS study into the era of modern HAART using follow-up and events from 1995 to 2009, hypothesizing that black women would have higher rates of AIDS death and ADI compared to white women.

## Methods

### Study population

Women's Interagency HIV Study is a multicenter prospective observational cohort study of 2843 HIV-infected and 975 HIV-uninfected women enrolled in 1994–1995 and 2001–2002. Informed consent was obtained from participants, and the Institutional Review Boards of the six collaborating institutions approved the study. Study participants were interviewed and examined semiannually; numerous laboratory tests and studies were performed [22]. This analysis included all WIHS participants who initiated HAART after WIHS enrollment and reported HAART use at 70% or more of subsequent visits. Although individual women were allowed to have up to four consecutive missed visits or visits off HAART (i.e. in cases of otherwise long-term consistent use), less than 5% of the total semiannual

person-visits were off HAART. HAART was defined as any three-drug antiretroviral regimen, one of which must have been a protease inhibitor, a non-nucleoside reverse transcriptase inhibitor, one of the nucleoside reverse transcriptase inhibitors abacavir or tenofovir, an integrase inhibitor (raltegravir), or an entry inhibitor (maraviroc or enfuvirtide) [23].

### Exposure variables

The primary exposure was self-categorized race: white (Hispanic and non-Hispanic), black (Hispanic and non-Hispanic), and other, who were predominantly women who self-identified as Hispanic, but not white or black. Women self-categorized their race and ethnicity by responding to interviewer-administered questions: one querying whether they were Hispanic or not, and another asking if they were black/African American, white/Caucasian, American Indian/Alaskan Native, Asian, Native Hawaiian/Other Pacific Islander, or other. Other variables examined included date of HAART initiation defined as the mid-date between the visit at which consecutive HAART use was first reported and the previous visit; age at HAART initiation; time of enrollment in WIHS (1994–1995 or 2001–2002); risk category for HIV acquisition [injection drug use (IDU), heterosexual exposure, transfusion]; and pre-HAART initiation variables of illicit drug use, smoking tobacco, both in the past 6 months, annual income \$12 000 or less, having graduated from high school, self-reported prior ADI; hepatitis C virus (HCV) infection defined as positive antibody with viremia; nadir CD4<sup>+</sup> cell count and peak log<sub>10</sub> HIV-1 RNA, which reflected the lowest CD4<sup>+</sup> cell count; and highest HIV viral load measured at any pre-HAART visit. We also included pre-HAART clinical depressive symptom burden defined as Center for Epidemiology Studies Depression score (CES-D) at least 16. As others have done [24–27], we divided the 20 CES-D items into depression component subscores: somatic, positive, negative, and interpersonal. Each of these subscores was dichotomized about the closest value to the sample median to obtain somatic, negative, positive, and interpersonal depression subscales of at least 7, 5, 4, and 1, respectively. We adjusted for WIHS site in all analyses. Starting from October 2002, WIHS included measures for assessment of self-reported adherence (defined as  $\geq 95\%$  use of all prescribed antiretrovirals), which were included in a separate analysis.

### Outcome variables

Primary outcomes were time from date of first visit after HAART initiation to AIDS death, non-AIDS death, and incident ADI. Ascertainment and classification of deaths in WIHS have been described previously [28,29]. For this analysis, deaths from infection were included as AIDS deaths. ADIs were self-reported and classified as incident as previously described [30]. To account for loss to follow-up, women without study outcomes were censored at the earlier of 2 years after their last HAART

use visit or 31 December 2009 as ascertainment of death beyond that date may not have been complete. We analyzed self-reported HAART adherence at each 6-month visit.

### Laboratory methods

Plasma HIV-1 RNA was measured by isothermal nucleic acid sequence-based amplification (NASBA/Nuclisens; Organon Teknika Corp., Durham, North Carolina, USA) with a lower limit of detection of 80 copies/ml. Lymphocyte subsets were quantified using standard flow cytometric methods in laboratories participating in the NIH/NIAID Flow Cytometry Quality Assessment Program [31]. HCV RNA was measured on frozen specimens from HCV antibody-positive women using either the COBAS Amplicor Monitor 2.0 assay or the COBAS Taqman assay (Roche Diagnostics, Branchburg, New Jersey, USA) [32].

### Statistical analysis

Racial groups were compared by means or proportions of potential confounding covariates. We performed unadjusted competing risk Kaplan–Meier and adjusted proportional hazards survival models of time to AIDS death, non-AIDS death, and incident ADI by racial group [33]. In models restricted to 1255 women with adherence data, adherence to HAART (available from October 2002 forward) was included as a time-dependent variable. Women without study outcomes were censored at the earlier of 2 years after their last HAART use visit or 31 December 2009. Multivariate proportional hazards analyses were performed with backwards stepwise selection among the following variables assessed at the pre-HAART visit: race, age, HIV exposure category, income, education, date of WIHS enrollment, WIHS site, year of HAART initiation, illicit drug use, smoking status, depressive symptoms, nadir CD4<sup>+</sup>, peak HIV-1 RNA, pre-HAART ADI, HCV status, and adherence. As the primary exposure of interest, race was forced into the stepwise models, as were age and smoking tobacco. Logistic regression models of adherence from October 2002 onwards were fit using the same predictor variables, and generalized estimation equations (GEEs) to adjust for within-person collinearity of repeated visits [34]. Multivariate models of adherence were fit using the same stepwise approach (without adherence as a predictor) described above. A sensitivity analysis assessing collinearity of HCV and IDU was also performed.

## Results

Of the 2090 HIV-positive WIHS participants who reported HAART use, 1471 met inclusion criteria for continuous HAART use: 823 (55.9%) were black, 334 (22.7%) white, and 314 (21.3%) reported their race as other. During the study follow-up, 167 women died of

AIDS, 136 died of non-AIDS causes, and 11 with unknown cause of death. Most deaths of unknown cause were recent without enough information available to allow adjudication for cause. Clinical and sociodemographic characteristics of the study population are shown in Table 1. Black compared to white women were older at HAART initiation, more likely to have used illicit drugs, have HCV, and smoke tobacco. Women reporting their race as other initiated HAART earlier in calendar time and had lower income and educational attainment. There was no statistical difference in the prevalence of depressive symptoms at the pre-HAART visit across racial groups. HAART adherence was significantly lower in black women.

### Cumulative incidence of death

Figures 1 and 2 show cumulative incidence of AIDS and non-AIDS death, respectively, stratified by race. Ten years after HAART initiation, black women had the highest cumulative incidence of AIDS death, 17.3 vs. 8.3% for whites and 14.2% for others (Fig. 1), whereas cumulative incidence of non-AIDS death was similar in blacks and whites (13.1 and 12.3%, respectively) and lower (7.7%) in women reporting their race as other (Fig. 2).

### Associations with death from AIDS

In univariate and multivariate analyses, black women experienced more rapid death from AIDS than white women. In multivariate models not adjusting for adherence (middle column, Table 2) the aHR for AIDS death in black vs. white women was 2.14 (95% CI 1.30, 3.50;  $P=0.003$ ). Other significant independent predictors of AIDS death were higher peak pre-HAART viral load (aHR 1.70 per log<sub>10</sub> HIV RNA increase, 95% CI 1.34, 2.16;  $P<0.001$ ), HCV status (aHR 1.57, 95% CI 1.02, 2.40;  $P=0.04$ ), and depressive symptoms (aHR 2.10, 95% CI 1.51, 2.92;  $P<0.001$ ). As shown in univariate models (left column, Table 2), negative, positive, interpersonal, and somatic depressive symptoms qualitatively had equivalent associations with time to AIDS death; thus only CES-D at least 16 was included in the multivariate model because of issues of collinearity and multiple comparisons. Women who acquired HIV via transfusion vs. heterosexual exposure were more likely to die from AIDS (aHR 2.33, 95% CI 1.21, 4.49;  $P=0.01$ ). Higher pre-HAART nadir CD4<sup>+</sup> cell count (aHR 0.65 per 100 cells/ $\mu$ l increment, 95% CI 0.56, 0.76;  $P<0.001$ ) and later year of HAART initiation (aHR 0.91 per year, 95% CI 0.85, 0.97;  $P=0.005$ ) protected against AIDS death.

In both univariate and multivariate subanalyses of the 1255 women with adherence data, at least 95% self-reported adherence to HAART strongly protected against AIDS death (aHR 0.33, 95% CI 0.21, 0.53;  $P<0.001$ ) (right column, Table 2). When time-updated adherence was included in the model, the association of African American race with AIDS death persisted (aHR 3.09,

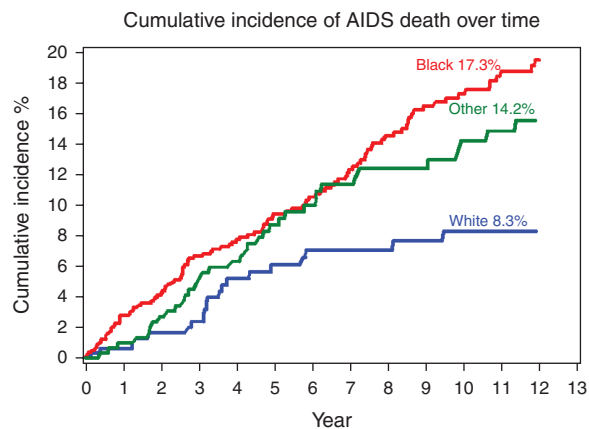
**Table 1. Participant characteristics in percentages (%) or means (SDs).**

	White (N = 334)	Black (N = 823)	Other (N = 314)	P-value (N = 1471)
<b>Demographics</b>				
Age at last pre-HAART visit (years)	40.0 (8.4)	41.3 (8.5)	39.3 (8.0)	<0.001
<b>Baseline risk category</b>				
IDU	28.8%	28.9%	24.4%	0.60
Heterosexual risk	68.5%	67.9%	72.1%	
Transfusion risk	2.7%	3.2%	3.6%	
Pre-HAART annual income ≤\$12 000	40.8%	58.9%	59.9%	<0.001
Education level (% graduating from high school)	72.2%	65.5%	49.2%	<0.001
<b>WIHS year of enrollment (1994–1995 vs. 2001–2002)</b>				
1994–1995	77.8%	80.9%	91.7%	<0.001
2001–2002	22.2%	19.1%	8.3%	
Calendar year HAART initiated	2001.4 (4.6)	2001.4 (4.2)	2000.5 (4.1)	0.002
<b>Behaviors at pre-HAART visit</b>				
Pre-HAART illicit drug use	9.9%	13.7%	8.0%	0.01
Pre-HAART smoking	39.2%	54.8%	34.7%	<0.001
Pre-HAART depressive symptoms CES-D ≥16 (%)	41.6%	46.1%	47.5%	0.27
<b>Pre-HAART CES-D subscale depression</b>				
Negative subscale ≤4 vs. >4	42.5%	47.2%	51.0%	0.10
Somatic subscale ≤6 vs. >6	39.8%	44.6%	44.2%	0.31
Positive subscale ≤3 vs. >3	42.5%	40.5%	49.4%	0.03
Interpersonal subscale 0 vs. >0	32.0%	38.8%	41.4%	0.03
<b>Clinical characteristics pre-HAART</b>				
Pre-HAART nadir CD4 <sup>+</sup>	221 (154)	210 (158)	206 (143)	0.38
Pre-HAART peak HIV-1 RNA (log base 10)	4.7 (0.9)	4.8 (0.8)	4.8 (0.8)	0.37
Pre-HAART AIDS-defining illness	46.1%	49.0%	47.1%	0.64
Pre-HAART HCV infection	27.0%	31.8%	22.6%	0.006
<b>Post-HAART events/outcomes</b>				
Adherence ≥95% vs. <95% <sup>a</sup>	80.9%	73.1%	80.1%	<0.001
<b>Vital status at end of study</b>				
Alive	84.4%	75.6%	80.6%	NA <sup>b</sup>
AIDS death	6.0%	13.4%	11.8%	NA <sup>b</sup>
Non-AIDS death	9.3%	10.1%	7.0%	NA <sup>b</sup>
Unknown/indeterminate	0.3%	1.0%	0.6%	NA <sup>b</sup>

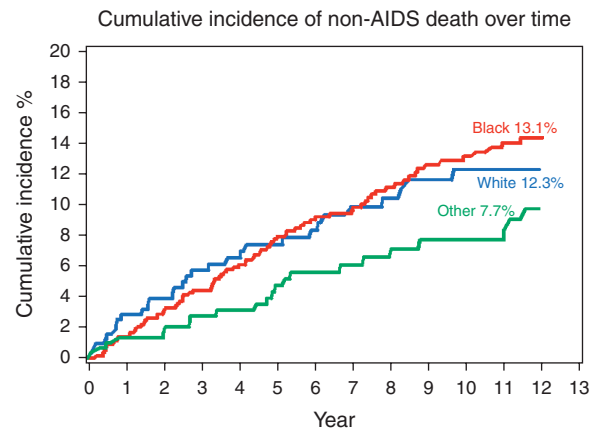
CES-D, Center for Epidemiology Studies Depression score; HCV, hepatitis C virus; IDU, injection drug use; WIHS, Women's Interagency HIV Study.

<sup>a</sup>All person-visits from October 2002 onwards for which adherence was self-reported in 1255 women. P-values are from generalized estimation models.

<sup>b</sup>See Table 2 for statistical comparison of times to AIDS and non-AIDS deaths.



**Fig. 1. Cumulative incidence of AIDS death over time.** Lines correspond to the cumulative incidence of AIDS death stratified by race. The red line represents black women, the blue line represents white women and the green line represents women who reported their race as other.



**Fig. 2. Cumulative incidence of non-AIDS death over time.** Lines correspond to the cumulative incidence of non-AIDS death stratified by race. The red line represents black women, the blue line represents white women and the green line represents women who reported their race as other.

Table 2. Predictors of AIDS death hazards ratios (HRs) or adjusted hazard ratios (aHRs).<sup>a</sup>

	Univariate Model (N = 1471)		Multivariate model without adherence <sup>b</sup> (N = 1471)		Multivariate model with time-dependent adherence <sup>b,c</sup> (N = 1255)	
	HR (95% CI)	P-value	aHR (95% CI)	P-value	aHR (95% CI)	P-value
<b>Demographics</b>						
Race						
Black vs. white	2.36 (1.45, 3.85)	<0.001	2.14 (1.30, 3.50)	0.003	3.09 (1.38, 6.93)	0.006
Other vs. white	1.76 (1.01, 3.07)	0.048	1.56 (0.88, 2.77)	0.132	2.09 (0.83, 5.29)	0.120
Age at visit per 5 years	1.09 (0.99, 1.19)	0.086	1.04 (0.93, 1.17)	0.471	1.03 (0.87, 1.22)	0.735
Mode of HIV acquisition						
IDU vs. heterosexual	1.60 (1.14, 2.25)	0.007	0.93 (0.60, 1.43)	0.728	0.58 (0.31, 1.06)	0.076
Transfusion vs. heterosexual	2.56 (1.36, 4.81)	0.003	2.33 (1.21, 4.49)	0.011	3.51 (1.32, 9.31)	0.012
Pre-HAART annual income ≤\$12 000	1.81 (1.30, 2.52)	<0.001				
Education: high school graduation or beyond	0.84 (0.61, 1.15)	0.27				
WHS year of enrollment (1994–1995 vs. 2001–2002)	0.24 (0.10, 0.60)	0.002				
Calendar year HAART initiated	0.89 (0.84, 0.95)	<0.001	0.91 (0.85, 0.97)	0.005	1.15 (1.05, 1.26)	<0.002
Behaviors pre-HAART						
Pre-HAART illicit drug use	2.29 (1.55, 3.37)	<0.001	1.51 (1.00, 2.30)	0.053		
Pre-HAART smoking	1.64 (1.20, 2.24)	0.002	1.28 (0.88, 1.87)	0.195	1.61 (0.95, 2.74)	0.078
Pre-HAART depressive symptoms CES-D 16+ vs. <16	2.31 (1.68, 3.17)	<0.001	2.10 (1.51, 2.92)	<0.001	2.85 (1.75, 4.66)	<0.001
Pre-HAART CES-D subscale depression						
Negative subscale ≤4 vs. >4	1.80 (1.32, 2.46)	<0.001	NA <sup>d</sup>	NA <sup>d</sup>	NA <sup>d</sup>	NA <sup>d</sup>
Somatic subscale ≤6 vs. >6	1.89 (1.39, 2.57)	<0.001	NA <sup>d</sup>	NA <sup>d</sup>	NA <sup>d</sup>	NA <sup>d</sup>
Positive subscale ≤3 vs. >3	1.90 (1.40, 2.58)	<0.001	NA <sup>d</sup>	NA <sup>d</sup>	NA <sup>d</sup>	NA <sup>d</sup>
Interpersonal subscale 0 vs. >0	1.62 (1.20, 2.20)	0.002	NA <sup>d</sup>	NA <sup>d</sup>	NA <sup>d</sup>	NA <sup>d</sup>
Clinical characteristics pre-HAART						
Pre-HAART nadir CD4 <sup>+</sup> per 100 cells/μl	0.54 (0.47, 0.63)	<0.001	0.65 (0.56, 0.76)	<0.001	0.79 (0.65, 0.96)	0.016
Pre-HAART log <sub>10</sub> peak VL	2.36 (1.89, 2.95)	<0.001	1.70 (1.34, 2.16)	<0.001	1.64 (1.17, 2.30)	0.004
Pre-HAART AIDS-defining illness	2.38 (1.72, 3.31)	<0.001				
Pre-HAART HCV infection	2.01 (1.46, 2.76)	<0.001	1.57 (1.02, 2.40)	0.040	2.72 (1.49, 4.99)	0.001
Post-HAART outcomes						
Adherence to HAART ≥95% vs. <95% <sup>b,c</sup>	0.30 (0.19, 0.46)	<0.001			0.33 (0.21, 0.53)	<0.001

CES-D, Center for Epidemiology Studies Depression score; CI, confidence interval; HCV, hepatitis C virus; IDU, injection drug use; WHS, Women's Interagency HIV Study; VL, viral load.

<sup>a</sup>From proportional hazards models.

<sup>b</sup>From stepwise selection proportional hazards models with race, WHS site, age, and smoking forced in.

<sup>c</sup>Restricted to survival from October 2002 to December 2009 for which self-reported adherence was obtained.

<sup>d</sup>NA – only CES-D and not the specific subscales was considered for the multivariate model.

95% CI 1.38, 6.93;  $P=0.006$ ). Adjustment for adherence did not statistically or qualitatively attenuate the previously described association of other variables with time to AIDS death.

### Associations with non-AIDS death

There was no significant association of race with non-AIDS death; aHR 0.91, 95% CI 0.59, 1.39;  $P=0.65$  and aHR 0.75, 95% CI 0.42, 1.34;  $P=0.34$  for black and other vs. white, respectively (right column, Table 3) in multivariate analyses. Significant independent predictors of the incidence of non-AIDS death were: HCV status (aHR 2.45, 95% CI 1.67, 3.60;  $P<0.001$ ), older age at HAART initiation (aHR 1.19 per 5 years, 95% CI 1.06, 1.33;  $P=0.004$ ), smoking (aHR 1.61, 95% CI 1.06, 2.44;  $P=0.03$ ), and annual income \$12 000 or less (aHR 1.57, 95% CI 1.05, 2.35;  $P=0.03$ ). There was no association of HAART adherence or depressive symptoms with non-AIDS death in univariate or multivariate models, respectively; thus the multivariate model of time to

non-AIDS death adjusting for time-dependent adherence to HAART is not presented.

### Incident AIDS-defining illness

The proportion of women who reported an incident ADI at 10 years was 21.3, 13.9, and 16.6% for black, white, and other women, respectively (see Supplemental Digital Content 1, Figure of Cumulative Incidence of Incident AIDS-Defining Illness, <http://links.lww.com/QAD/A386>). In multivariate analyses, black women (aHR 1.58, 95% CI 1.08, 2.32;  $P=0.02$ ) and women who reported their race as other (aHR 1.60, 95% CI 1.01, 2.54;  $P=0.045$ ) were more likely than white women to report an incident ADI. Incident ADIs were also more common in women with a higher pre-HAART peak viral load (aHR 1.51 per log<sub>10</sub> increment, 95% CI 1.26, 1.81;  $P<0.001$ ), prior history of ADI (aHR 2.19, 95% CI 1.63, 2.96;  $P<0.001$ ), and current smokers (aHR 1.65, 95% CI 1.24, 2.20;  $P<0.001$ ), and less common in women who initiated HAART in more recent

**Table 3. Predictors of non-AIDS death hazards ratios (HRs) or adjusted hazard ratios (aHRs).<sup>a</sup>**

	Univariate model (N = 1471)		Multivariate model <sup>b,c</sup> (N = 1471)	
	HR (95% CI)	P-value	aHR (95% CI)	P-value
<b>Demographics</b>				
<b>Race</b>				
Black vs. white	1.25 (0.81, 1.91)	0.31	0.91 (0.59, 1.39)	0.65
Other vs. white	0.79 (0.45, 1.40)	0.42	0.75 (0.42, 1.34)	0.34
Age at visit per 5 years	1.24 (1.12, 1.36)	<0.001	1.19 (1.06, 1.33)	0.004
<b>Mode of HIV acquisition</b>				
IDU vs. heterosexual	3.15 (2.18, 4.55)	<0.001		
Transfusion vs. heterosexual	2.66 (1.14, 6.22)	0.02		
Pre-HAART annual income ≤\$12 000	2.20 (1.50, 3.21)	<0.001	1.57 (1.05, 2.35)	0.03
Education: high school graduation or beyond	0.61 (0.43, 0.86)	0.005		
WIHS year of enrollment (1994–1995 vs. 2001–2002)	0.48 (0.23, 0.98)	0.044		
Calendar year of HAART initiated	0.95 (0.90, 1.01)	0.10		
<b>Behaviors pre-HAART</b>				
Pre-HAART illicit drug use	1.82 (1.20, 2.78)	0.005		
Pre-HAART smoking	2.63 (1.81, 3.84)	<0.001	1.61 (1.06, 2.44)	0.03
Pre-HAART depressive symptoms CES-D 16+ vs. <16	1.73 (1.23, 2.44)	0.002	1.40 (0.98, 2.00)	0.06
<b>Pre-HAART CES-D subscale depression</b>				
Negative subscale ≤4 vs. >4	1.65 (1.17, 2.33)	0.004	NA <sup>d</sup>	NA <sup>d</sup>
Somatic subscale ≤6 vs. >6	1.60 (1.14, 2.25)	0.007	NA <sup>d</sup>	NA <sup>d</sup>
Positive subscale ≤3 vs. >3	1.75 (1.24, 2.46)	0.001	NA <sup>d</sup>	NA <sup>d</sup>
Interpersonal subscale 0 vs. >0	1.46 (1.04, 2.05)	0.03	NA <sup>d</sup>	NA <sup>d</sup>
<b>Clinical characteristics pre-HAART</b>				
Pre-HAART nadir CD4 <sup>+</sup> per 100 cells/μl	0.88 (0.78, 1.00)	0.051		
Pre-HAART log <sub>10</sub> peak VL	1.17 (0.94, 1.45)	0.16		
Pre-HAART AIDS-defining illness	1.68 (1.18, 2.38)	0.004		
Pre-HAART HCV infection	3.58 (2.51, 5.11)	<0.001	2.45 (1.67, 3.60)	<0.001
<b>Post-HAART events/outcomes</b>				
Adherence to HAART ≥95% vs. <95% <sup>b,e</sup>	0.80 (0.49, 1.29)	0.36		

CES-D, Center for Epidemiology Studies Depression score; CI, confidence interval; HCV, hepatitis C virus; IDU, injection drug use; WIHS, Women's Interagency HIV Study; VL, viral load.

<sup>a</sup>From proportional hazards model.

<sup>b</sup>From stepwise selection proportional hazards models with race, WIHS site, age, and smoking forced in

<sup>c</sup>Note: As adherence was not associated with non-AIDS death in unadjusted analysis or multivariate analysis, the multivariate model with adherence is not reported here as was done in Table 2.

<sup>d</sup>NA – only CES-D and not the specific subscales was considered for the multivariate model.

<sup>e</sup>Restricted to survival from October 2002 through December 2009 for which self-reported adherence was obtained.

calendar time (aHR 0.75 per year, 95% CI 0.70, 0.80;  $P < 0.001$ ).

### Analyses excluding illicit drug users

To eliminate possible confounding by drug use, we performed analyses excluding women who reported any history of using crack, cocaine or heroin, or a history of IDU at the pre-HAART visit. With drug users (33.1% of participants) excluded, the association of black (vs. white) race with AIDS death was larger (aHR 3.10, 95% CI 1.47, 6.53;  $P = 0.003$ ); black women again more rapidly experienced an incident ADI (aHR 1.90, 95% CI 1.12, 3.24;  $P = 0.02$ ) in this subset. With drug users excluded, there was again no association of race with non-AIDS death (aHR for African American race 1.32, 95% CI 0.65, 2.66;  $P = 0.43$ ) and little change in the association of other predictors with AIDS deaths (see Supplemental Digital Content 2, Supplemental Table 1, <http://links.lww.com/QAD/A386> Predictors of AIDS Death with Illicit Drug Users Excluded and Supplemental Digital Content 3, <http://links.lww.com/QAD/A386>, Supplemental Table 2, <http://links.lww.com/QAD/A386> Predictors of Non-AIDS Death with Illicit Drug Users Excluded).

### Sensitivity analysis of injection drug use and hepatitis C virus

There was some association between HIV acquisition via IDU and HCV infection; 73% of IDU vs. 25% of women who acquired HIV via heterosexual exposure were infected with HCV. A sensitivity analysis using three different models of predictors of AIDS death (HCV infection alone, IDU alone, and both) indicated that HCV infection and IDU were not collinear in their effect on AIDS deaths (data not shown).

### Associations of race and other covariates with adherence

We fit GEE models assessing predictors of adherence at all visits at which HAART use was reported. In univariate and multivariate models, black women were less likely than white women to report at least 95% HAART adherence [adjusted odds ratio (aOR) 0.66, 95% CI 0.53, 0.83;  $P < 0.001$ ] (Table 4). Women with depressive symptoms were also less likely to adhere to HAART (aOR 0.77, 95% CI 0.65, 0.92;  $P = 0.004$ ); each of the depression subscales (negative, somatic, positive, and interpersonal) was qualitatively associated with non-adherence with similar strength. Other independent predictors of lower adherence were illicit drug use (aOR 0.75, 95% CI 0.58, 0.98;  $P = 0.03$ ), HCV status (aOR 0.77, 95% CI 0.63, 0.95;  $P = 0.02$ ), and smoking (aOR 0.73, 95% CI 0.61, 0.88;  $P < 0.001$ ). There was no association between duration of HAART use and adherence.

## Discussion

In this large study of continuous HAART users with varied ancestry, we found that black women were twice as likely as white women to experience adverse HIV clinical outcomes, specifically death from AIDS and incident ADI, even after adjusting for multiple potential confounding characteristics including illicit drug use, depressive symptoms, and adherence. These findings of strong independent associations of African American race with AIDS death and incident ADI after controlling for important potential confounders raise the possibility that both genetic and biologic factors, or either of them, could be substantially influencing HAART efficacy. These differences seem specific to HIV as there were no differences by race in non-AIDS deaths.

Collection of self-reported HAART adherence data in WIHS after 2002 allowed us to assess the contribution of adherence to higher death rates in black women. Although black women were less likely to adhere to HAART (aOR 0.66) than white women, multivariate models including time-updated adherence did not mitigate this group's faster rate of death from AIDS. Further, in models excluding illicit drug users, the association of AIDS deaths with ancestry was even larger (hazard ratio 3.09 vs. 2.14 for analyses excluding and including drug users, respectively). These findings suggest that factors other than modifiable behaviors may be contributing to racial disparities in AIDS clinical outcomes.

The finding of a strong independent association of African American race with AIDS-specific clinical outcomes after accounting for numerous potential confounding factors raises the question of what other potential mediators may be contributing. There is the possibility of unmeasured confounders that we did not capture through our chosen covariables. For example, we analyzed annual income and education level to assess socioeconomic status, but perhaps variables such as neighborhood, family structure, and employment status would have provided additional measures. Similarly, unmeasured behavioral factors may have varied by race and could influence mortality. Because all participants by definition were accessing healthcare to obtain HAART, lack of access to care is substantially obviated as a confounder; however, measurement of health insurance status (public vs. private) and patient-provider relationships may be informative.

The study results suggest that factors other than demographics, socioeconomic status, and modifiable behaviors may be contributing to racial disparities in AIDS clinical outcomes. Multiple sources of evidence suggest that genetically determined diversity in immunogenetic and pharmacokinetic parameters is associated with clinical findings in HIV-infected persons using

**Table 4. Predictors of at least 95% adherence to HAART odds ratios (ORs) or adjusted odds ratios (aORs).<sup>a</sup>**

	Univariate model (N = 1255)		Multivariate model <sup>b</sup> (N = 1255)	
	OR (95% CI)	P-value	aOR (95% CI)	P-value
Demographics				
Race				
Black vs. white	0.63 (0.51, 0.80)	<0.001	0.66 (0.53, 0.83)	<0.001
Other vs. white	0.80 (0.61, 1.05)	0.10	0.76 (0.58, 1.00)	0.051
Age at visit per 5 years	1.06 (1.00, 1.11)	0.04	1.10 (1.04, 1.17)	0.001
Mode of HIV acquisition				
IDU vs. heterosexual	0.75 (0.62, 0.90)	0.002		
Transfusion vs. heterosexual	1.38 (0.83, 2.29)	0.22		
Pre-HAART annual income ≤\$12 000	0.85 (0.71, 1.01)	0.06		
Education: high school graduation or beyond	1.00 (0.84, 1.20)	0.96	0.86 (0.73, 1.02)	0.09
WIHS year of enrollment (1994–1995 vs. 2001–2002)	1.04 (0.84, 1.28)	0.72		
Calendar year HAART initiated	1.00 (0.98, 1.02)	0.96		
Behaviors pre-HAART				
Pre-HAART illicit drug use	0.57 (0.44, 0.73)	<0.001	0.75 (0.58, 0.98)	0.03
Pre-HAART smoking	0.63 (0.53, 0.74)	<0.001	0.73 (0.61, 0.88)	<0.001
Pre-HAART depressive symptoms CES-D 16+ vs. <16	0.72 (0.61, 0.86)	<0.001	0.77 (0.65, 0.92)	0.004
Pre-HAART CES-D subscale depression				
Negative subscale ≤4 vs. >4	0.73 (0.61, 0.86)	<0.001	NA <sup>c</sup>	NA <sup>c</sup>
Somatic subscale ≤6 vs. >6	0.65 (0.55, 0.77)	<0.001	NA <sup>c</sup>	NA <sup>c</sup>
Positive subscale ≤3 vs. >3	0.77 (0.65, 0.91)	0.002	NA <sup>c</sup>	NA <sup>c</sup>
Interpersonal subscale 0 vs. >0	0.82 (0.69, 0.98)	0.03	NA <sup>c</sup>	NA <sup>c</sup>
Clinical characteristics pre-HAART				
Pre-HAART nadir CD4 <sup>+</sup> per 100 cells/μl	1.08 (1.02, 1.15)	0.01	1.07 (1.01, 1.14)	0.02
Pre-HAART log <sub>10</sub> peak VL	0.91 (0.82, 1.01)	0.07		
Pre-HAART AIDS-defining illness	0.77 (0.65, 0.91)	0.002		
Pre-HAART HCV infection	0.72 (0.60, 0.87)	<0.001	0.77 (0.63, 0.95)	0.02

CES-D, Center for Epidemiology Studies Depression score; CI, confidence interval; HCV, hepatitis C virus; IDU, injection drug use; WIHS, Women's Interagency HIV Study; VL, viral load.

<sup>a</sup>Based on GEE logistic regression. Study visit was also considered but was not statistically associated with adherence.

<sup>b</sup>From backwards selection GEE models with race, WIHS site, WIHS visit, age, and smoking forced in.

<sup>c</sup>NA – only CES-D and not the specific subscales was considered for the multivariate model.

HAART and may be contributing to our findings of a two-fold higher risk in black vs. white women of death from AIDS, the single most important clinical outcome.

Host immunogenetic and pharmacogenetic variability are both known to influence clinical outcomes in HIV disease. Several studies have shown that specific human leukocyte antigen complex (HLA) class 1 alleles, which are known to vary by ancestry, are associated with HIV disease progression [35–38], including elite suppression [39]. Further, recent studies have indicated that some alleles (e.g. HLA B\*5701 and Bw4), which are protective for progression in untreated HIV disease, may have deleterious effects in patients taking HAART, with a lower likelihood of virologic suppression or CD4 response [40–43]. Chemokine receptor gene polymorphisms have also been associated with differential clinical outcomes on HAART based on ancestry (e.g. CCR5 2459G allele) [44]. Pharmacogenetic factors that can influence drug metabolism [45–48] and transport [49–51] are known to vary by ancestry, but their effects on response to HAART are not clear [52–63].

We found that higher depressive symptom scores were associated with AIDS death after adjusting for other covariates. The significant overlap of items of the CES-D

with somatic symptoms and advanced disease (fatigue, anorexia, lower energy, and lack of mental acuity) could be confounding the association of depression with poor clinical outcomes, as has been found in prior studies [64]. However, we found that all components of the CES-D score including somatic, negative, positive, and interpersonal subscales were significantly associated with AIDS death at qualitatively similar levels (left column, Table 2). Thus the strong association of depressive symptoms with AIDS death did not result just from the somatic components within the CES-D score. This finding is clinically significant as depression is potentially modifiable through treatment [65–67].

Although the black women were more likely to smoke tobacco, and tobacco use was associated with AIDS death in univariate analysis, its use was not associated with AIDS death in the multivariate models. Tobacco use was, however, associated with non-AIDS death as expected.

Strengths of our study include its large sample size, representativeness of the WIHS cohort, and the long follow-up. This is one of the largest studies to date to assess clinical outcomes by ancestry and adjusts for confounding behaviors and characteristics that are not typically measured in clinical settings. The WIHS dataset



includes measurements of most of the postulated and observed behavioral (e.g. adherence) and clinical (e.g. depressive symptoms) confounders that may influence mortality. Deaths within WIHS are actively ascertained. Whereas most prior studies investigating the association of race with AIDS death in HAART users have not shown a positive association [8–16], in the case of our previous WIHS study, we believe we did not meet statistical significance as a result of underpowering [21].

Study limitations include the potential for unmeasured confounders that may also influence AIDS-specific clinical outcomes. An additional limitation is the inclusion of some self-reported covariates, for example, adherence and prior ADI, as well as self-categorized race. Self-reported adherence measured on a biannual basis is limited by recall bias and the potential for misreporting. Additional measures of adherence could include hair levels of antiretrovirals [68,69], frequency of filling prescriptions, and pill counts, which have their own limitations. A previous WIHS study compared self-report of AIDS-specific diagnoses with AIDS diagnoses documented by county AIDS surveillance registries and found a fair degree of accuracy with some variation by specific condition [70]. Self-categorization of race may not accurately reflect genetic background. Categorization of race with ancestry informative markers in WIHS may provide a more accurate assessment of race from a genetic perspective and is planned for the future. There is also the potential for misclassification of death [71–74].

In summary, our results provide evidence that African American compared to white American women experience higher rates of the most important HIV-related outcomes, specifically AIDS death and incident ADI. This association was specific to AIDS-related outcomes and was not explained by many measured potential confounding factors including HAART adherence. There is growing evidence supporting a differential response to HAART possibly based on host genetic variation, including genes that influence pharmacokinetics. Future studies replicating these associations, and examining specific pharmacogenetic and immuno-genetic variations, as well as behaviours, are important and may inform both our understanding of HIV pathogenesis and the selection of HAART regimens for people of African descent.

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Author contributions: K.M. was the lead author and responsible for the overall coordination and execution of the study including study conception and design, data analysis and interpretation, and writing, review and revision of the manuscript.

D.R.H. and Q.S. led the statistical team and were responsible for overall statistical analysis and data interpretation, and also contributed to the writing, critical appraisal, and editing of the manuscript.

M.C. and M.Y. were involved in obtaining funding for the study, the process of data collection and data interpretation, as well as the critical appraisal and editing of the manuscript.

M.G., E.T.G., D.R.G., and C.L.P. were involved in data interpretation, as well as the critical appraisal and editing of the manuscript.

K.A. was the Principal Investigator and senior author on the study and as such was responsible for obtaining funding, collecting data, study conception and design, data analysis and interpretation, writing, review, and revision of the manuscript.

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## Conflicts of interest

There are no conflicts of interest.

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