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## Pin1 Modulates ER $\alpha$ Levels in Breast Cancer through Inhibition of Phosphorylation-dependent Ubiquitination and Degradation

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### Abstract

Estrogen receptor-alpha (ER $\alpha$ ) is an important biomarker used to classify and direct therapy decisions in breast cancer. Both ER $\alpha$  protein and its transcript, *ESR1*, are used to predict response to tamoxifen therapy, yet certain tumors have discordant levels of ER $\alpha$  protein and *ESR1*, which is currently unexplained. Cellular ER $\alpha$  protein levels can be controlled post-translationally by the ubiquitin-proteasome pathway (UPP) through a mechanism that depends on phosphorylation at residue S118. Phospho-S118 (pS118-ER $\alpha$ ) is a substrate for the peptidyl prolyl isomerase, Pin1, which mediates *cis-trans* isomerization of the pS118-P119 bond to enhance ER $\alpha$  transcriptional function. Here, we demonstrate that Pin1 can increase ER $\alpha$  protein without affecting *ESR1* transcript levels by inhibiting proteasome-dependent receptor degradation. Pin1 disrupts ER $\alpha$  ubiquitination by interfering with receptor interactions with the E3 ligase, E6AP, which also is shown to bind pS118-ER $\alpha$ . Quantitative *in situ* assessments of ER $\alpha$  protein, *ESR1*, and Pin1 in human tumors from a retrospective cohort show that Pin1 levels correlate with ER $\alpha$  protein but not to *ESR1* levels. These data show that ER $\alpha$  protein is post-translationally regulated by Pin1 in a proportion of breast carcinomas. Since Pin1 impacts both ER $\alpha$  protein levels and transactivation function, these data implicate Pin1 as a potential surrogate marker for predicting outcome of ER $\alpha$ -positive breast cancer.

### Keywords

breast cancer; E3 ligase; proteasome; survival

## INTRODUCTION

Estrogen receptor-alpha (ER $\alpha$ ) is a nuclear receptor expressed in breast epithelial cells, functioning as a hormone-regulated transcription factor. ER $\alpha$  determination in breast cancer

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### CONFLICT OF INTEREST

The authors declare no conflict of interest.

### SUPPLEMENTARY INFORMATION

Supplementary Information accompanies the paper on the Oncogene website (<http://www.nature.com/onc>)

(BC) patients is critical for disease management, since elevated ER $\alpha$  associates with better outcome and substantial benefit to endocrine therapy<sup>1-2</sup>. Yet, in BC patients and tumor models, defects in ER $\alpha$  protein regulation are associated with increased risk and metastasis<sup>3-5</sup>, and targeted ER $\alpha$  overexpression in mammary epithelial cells of transgenic mice results in ductal and lobular hyperplasias<sup>6</sup>. The control of ER $\alpha$  expression is a fundamental regulatory system governing receptor activity in BC tumor biology and important for BC prognosis and treatment<sup>6-8</sup>, but is not completely understood.

Quantitative measurements of ER $\alpha$  mRNA (*ESR1*) transcripts in human BC using both DNA microarray and *in situ* methods have shown a positive, but non-linear, relationship between ER $\alpha$  protein and its transcripts<sup>9-10</sup>. While tumors with high *ESR1* occur frequently with high ER $\alpha$  protein, cases with low *ESR1* show variations in ER $\alpha$  protein levels<sup>9</sup>. Structure-function analyses have defined domains of ER $\alpha$  that control receptor protein levels. ER $\alpha$  contains two transactivation domains, activation function 1 (AF1) and activation function 2 (AF2), located at the N-terminal domain (NTD) and C-terminal domain (CTD), respectively<sup>11</sup>. We previously reported that S118 phosphorylation (pS118) in AF1 is essential for ER $\alpha$  protein turnover via the ubiquitin proteasome pathway (UPP)<sup>12</sup>. The S118 site resides in a Serine/Threonine-Proline (S/T-P) motif, where the proline can adopt a *cis* or *trans* conformation<sup>13-16</sup>. Pin1, a prolyl *cis/trans* isomerase, binds to pS/T-P motifs through its WW domain and catalyzes *cis/trans* isomerization of substrates by its PPIase domain<sup>13, 15-18</sup>. Pin1 binds to pS118 and causes *cis-trans* isomerization of the phospho-S118-P119 (pS118-p119) bond of ER $\alpha$ , which translates into increased ER $\alpha$  transcriptional function. We previously showed that Pin1 increases ER $\alpha$  activity via activation of AF1 and increases growth of breast cancer cells in the presence of tamoxifen<sup>19</sup>. Similar to ER $\alpha$ , Pin1 is over-expressed in a proportion of BC, and its expression is associated with increased cell proliferation in rodent tumor models<sup>19-22</sup>.

We report, herein, that Pin1 stabilizes ER $\alpha$  protein by blocking receptor interaction with the E3 ligase, E6AP, at S118 and inhibiting E6AP-mediated ubiquitination. Though Pin1 isomerase function is important in increasing ER $\alpha$  transcriptional function<sup>19</sup>, Pin1 binding was sufficient to prevent receptor ubiquitination and degradation. Quantitative *in situ* measurements in human tumor samples show that Pin1 and ER $\alpha$  levels are positively associated wherein high Pin1 correlates with elevated ER $\alpha$ , but not *ESR1* mRNA transcripts, reinforcing the biological importance of post-translational regulation of ER $\alpha$  and Pin1 in human BC patients.

## RESULTS

### Pin1 Inhibits ER $\alpha$ Protein Degradation

Serine 118 in the N-terminus of ER $\alpha$  is an important *cis*-element governing receptor protein turnover, and is likewise the interaction site of Pin1 with ER $\alpha$ <sup>12, 19</sup>. These findings prompted the question whether Pin1 affected ER $\alpha$  protein levels in cells. We employed multiple approaches to answer this question. Immunocytochemistry data showed that knockdown of Pin1 does not alter ER $\alpha$  localization but prominently decreased the staining intensity of both ER $\alpha$  and pS118-ER $\alpha$  proteins (Figure 1a). This effect of Pin1 on ER $\alpha$  protein was not due to changes in gene expression since knockdown of Pin1 did not change *ESR1* mRNA relative to controls (Figure 1b). Western blot analyses showed that decreasing Pin1 levels in ER $\alpha$ -positive breast cancer cells, MCF-7 (Figure 1c) and T47D (Figure 1e), by siRNA reduced ER $\alpha$  protein in both the presence and absence of 17-beta estradiol, E2. The E2-induced loss of ER $\alpha$  protein significantly increased from 58% to 79% in Pin1 siRNA transfected MCF-7 cells compared to controls (Figure 1d), and this loss was prevented by pre-treatment with proteasome inhibitor, MG132 (Figure 1c and 1d). To confirm the effect of loss of Pin1 on ER $\alpha$  protein, mouse embryonic fibroblast (MEF) cells

from Pin1 knock-out mice (Pin1<sup>-/-</sup>) and wild-type (WT) MEF cells were transfected with HA-ER $\alpha$  and treated with E2 for various lengths of time. Similar to transient knockdown studies, ER $\alpha$  turnover was accelerated in Pin1<sup>-/-</sup> compared to WT cells (Supplementary Figure 1a).

Next, we conducted rescue experiments to determine if Pin1 regulates ER $\alpha$  stability through a mechanism that depends on interactions between ER $\alpha$  and Pin1. Re-expression of Pin1 in MEF Pin1<sup>-/-</sup> cells rescued expression of a transfected HA-tagged ER $\alpha$  protein, confirming that Pin1 stabilizes ER $\alpha$  protein. ER $\alpha$  mutants that do not bind Pin1, S118A and S118E<sup>19</sup>, were not affected by Pin1 expression in the presence of estrogen (Figure 2a and 2b). However, both the S118 A and E mutations were intrinsically stable relative to wild-type (WT) receptor, confirming the importance of S118 to the regulation of ER $\alpha$  protein<sup>12</sup>. Thus, to further test the effect of Pin1:ER $\alpha$  interactions on receptor stability, we examined the function of Pin1 mutants on WT ER $\alpha$ . We previously showed that a single point mutation in the WW domain, W34A, disrupts Pin1 interaction with ER $\alpha$ <sup>19</sup>. Wild-type Pin1 increases ER $\alpha$  protein expression, as expected, but the Pin1-binding mutant, W34A, was unable to stabilize ER $\alpha$  (Figure 2c). A Pin1 isomerase-defective mutant, K63A<sup>23</sup> stabilized ER $\alpha$  protein to near control levels, suggesting that Pin1 binding to receptor is a necessary event in regulating ER $\alpha$  protein. Expression of a non-specific prolyl *cis/trans* isomerase immunophilin (FK506 binding protein 51; FKBP51) did not rescue ER $\alpha$  protein expression.

To confirm these findings in breast cancer cells, E2-induced ER $\alpha$  proteolysis was assessed in MCF-7 cells stably overexpressing GFP-Pin1 or GFP as a control. Similar to MEF cells, Pin1 attenuated the loss of ER $\alpha$  compared to control MCF-7 GFP cells (Figure 2d) and the relative amount of ubiquitinated ER $\alpha$  following E2-treatment was diminished in Pin1 overexpressing cells (Figure 2e). Altogether, these results show that Pin1 binding to receptor modulates ER $\alpha$  protein stability by inhibiting ubiquitin proteasome-mediated degradation.

### The E3 ligase, E6AP, binds ER $\alpha$ in a S118-dependent manner

ER $\alpha$  ubiquitination can be mediated by multiple ligases<sup>24-29</sup>, depending on the signal inducing degradation. E6AP is a HECT-domain ligase that targets the receptor for ubiquitination and degradation, and its loss attenuates E2-induced ER $\alpha$  downregulation<sup>26, 30</sup> and (Supplementary Figure 1b and c). Our previous chromatin immunoprecipitation (ChIP) data showed that S118 phosphorylation can control E6AP recruitment to ER $\alpha$  transcriptional complexes<sup>12</sup>. Coimmunoprecipitation (Co-IP) studies were thus carried out in MCF-7 cells to validate endogenous E6AP interactions with ER $\alpha$ . In agreement with previous reports<sup>26, 30</sup>, E6AP and ER $\alpha$  interact in both MCF-7 (Figure 3a) and T47D (Supplementary Figure 1d) breast cancer cells. Surprisingly, E6AP was found in complex with ER $\alpha$  in both the presence and absence of E2.

To map the sites of ER $\alpha$  that mediate E6AP interactions, mutant ER $\alpha$  lacking the CTD (ER $\alpha$   $\Delta$ CTD) or NTD (ER $\alpha$   $\Delta$ NTD) (Figure 3b, *upper panel*) were expressed in ER $\alpha$ -negative 293T cells, and Co-IP experiments were performed using ER $\alpha$  antibodies followed by Western blot for E6AP. E6AP bound to both ER $\alpha$  deletion mutants. Previous studies had shown that E6AP binds to the ER $\alpha$  CTD through the receptor coactivator interaction domain<sup>31</sup>. The interaction with the ER $\alpha$   $\Delta$ CTD, however, implies that E6AP can interact with ER $\alpha$  through both N- and C-terminal domains. Co-IP experiments using antibodies targeting pS118 also showed that E6AP was in complex with pS118ER $\alpha$  (Figure 3c). To directly examine if E6AP and ER $\alpha$  interaction requires phosphorylated S118, Co-IP experiments were performed in cells stably overexpressing HA-ER $\alpha$ , HA-ER $\alpha$  S118A, or HA-ER $\alpha$  S118E. A strong interaction between E6AP and wild-type ER $\alpha$  was observed, and this interaction was lost in S118 mutants (Figure 3d and e). Similar results were observed in MCF-7 cells with tet-inducible expression of HA-ER $\alpha$  and S118 mutants (Supplementary

Figure 1e). Reciprocal experiments wherein E6AP immunoprecipitates were probed for ER $\alpha$  showed that wild type ER $\alpha$  formed a stronger complex with E6AP than the S118A mutant (Figure 3f), though binding to an S118A mutant was observed. Taken together, these data suggest that E6AP binds to both the N- and C-terminal domains of ER $\alpha$ , and an intact S118 site is important in stabilizing these interactions.

### Pin inhibits E6AP-mediated ER $\alpha$ ubiquitination *in vitro*

Data shown above indicate that Pin1 interferes with ER $\alpha$  ubiquitination, and that Pin1 and E6AP bind the same site on ER $\alpha$ , suggesting possible competition between Pin1 and E6AP. To test this possibility, an *in vitro* approach was taken using purified components. GST-E6AP and Pin1 were purified, and the level of purity was examined by coomassie stained SDS-PAGE (Supplementary Figure 2a and b). GST-E6AP but not GST was precipitated with purified recombinant ER $\alpha$ , which was phosphorylated at S118 (Figure 4a). We previously showed, using Far Western experiments, that Pin1 binds directly to pS118ER $\alpha$ <sup>19</sup>. These data together indicate that both E6AP and Pin1 can bind directly to ER $\alpha$  protein. An *in vitro* ER $\alpha$  ubiquitination assay was then conducted, using ubiquitin activating enzyme E1 (Ube1), ubiquitin conjugating enzyme E2 (UbcH5a), ATP, ubiquitin, and purified ER $\alpha$ , in the absence and presence of increasing amounts of GST-E6AP. Western blots for ER $\alpha$  showed an increase in higher migrating ER $\alpha$  protein species, indicating that ER $\alpha$  was ubiquitinated by E6AP in a dose-dependent manner (Figure 4b). Addition of increasing amounts of Pin1 resulted in a gradual disappearance of higher migrating ER $\alpha$  protein species (Figure 4c). Addition of juglone, a catalytic inhibitor of Pin1<sup>32</sup>, was without effect. Hence, the catalytic activity of Pin1 was dispensable for blocking E6AP-mediated ubiquitination of ER $\alpha$  (Figure 4d). These data show that E6AP can bind and ubiquitinate ER $\alpha$  and that Pin1 can directly interfere with E6AP-mediated ER $\alpha$  ubiquitination in a dose-dependent manner.

### Pin1 blocks E3 ubiquitin ligase E6AP and ER $\alpha$ interaction

The present data suggest a model wherein ER $\alpha$  protein can be either stabilized or degraded depending on whether Pin1 or E6AP binds to phosphorylated ER $\alpha$ . Figure 2 shows that increasing Pin1 levels in MCF7 cells was sufficient to stabilize ER $\alpha$  protein. If this model is correct, overexpression of E6AP in the same cell type would have the opposite effect. Indeed, MCF-7 cells transfected with increasing amounts of E6AP enhanced ER $\alpha$  downregulation, consistent with previous reports<sup>26</sup> (Figure 5a and Supplementary Figure 3a and b). Overexpression of another E3 ligase ( $\beta$ TrCP1) did not affect ER $\alpha$  turnover (Supplementary Figure 3c), confirming that E6AP is an ER $\alpha$ -targeting ligase. We next asked if overexpression of Pin1 could rescue E6AP-mediated ER $\alpha$  degradation. Cells were co-transfected with ER $\alpha$  and E6AP in the presence and absence of Pin1. Consistently, E6AP expression resulted in ER $\alpha$  protein downregulation (Figure 5b). However, expression of Pin1 could partially revert the ER $\alpha$  protein loss at high levels of E6AP. This rescue was specific to Pin1 since FKBP51, another prolyl isomerase, was unable to prevent E6AP-mediated ER $\alpha$  turnover (Figure 5b).

It is possible that Pin1 could indirectly control substrate stability by enhancing the turnover of the E3 ligase<sup>33–35</sup>. Examining E6AP levels following Pin1 overexpression showed no apparent difference in E6AP levels (Supplementary Figure 3d). However, Co-IP analysis showed that overexpression of Pin1 in MCF-7 cells decreased E6AP interactions with ER $\alpha$  compared to cells expressing FKBP51 (Figure 5c). Moreover, overexpression of a Pin1 binding mutant (W34A) failed to disrupt E6AP interactions (Figure 5d). These results indicate that ER $\alpha$  is degraded by E6AP and that Pin1 can spare ER $\alpha$  protein by blocking ER $\alpha$ :E6AP binding.

## Pin1 and ER $\alpha$ protein expression show positive correlation in human breast carcinoma

Pin1 is overexpressed in breast cancer and is crucial for the growth of ER $\alpha$ -positive breast cancer cells and tumors in rodent models<sup>19–22</sup>. Since Pin1 directly modulates both ER $\alpha$  protein turnover and transcriptional function, we next explored the relationship between ER $\alpha$  and Pin1 in human breast tumors using quantitative immunofluorescence in tumor microarrays (TMAs) of human BC samples. As depicted in Figure 6a, Pin1 protein was allocated predominantly in the tumor compartment and showed a pan-cellular staining pattern (nuclear and perinuclear signal). Distribution of Pin1 scores on a Yale Pathology retrospective cohort (Supplementary Table 1) showed a wide range, and Pin1 protein expression was homogeneous (Supplementary Figure 4). As expected, ER $\alpha$  was detected only in the nuclear compartment. Interestingly, ER $\alpha$  levels were positively (non-linearly) associated with Pin1 levels on the Yale Pathology TMA201 (YTMA201) ( $R^2=0.24$ ,  $p<0.001$ ; Figure 6a and b). This concordance between Pin1 and ER $\alpha$  protein levels on serial tumor sections is evident on Figure 6a, showing representative cases of Pin1 high/ER $\alpha$  high and Pin1 low/ER $\alpha$  low tumors.

As previously reported<sup>9</sup>, *ESR1* transcripts were detected almost exclusively in the tumor compartment as relatively small punctate signals located throughout the cells (Figure 6e). As opposed to ER $\alpha$ , *ESR1* transcript levels were not positively related with Pin1 ( $R^2=0.04$ ). Moreover, tumors with high Pin1 scores showed  $\sim 2$  fold higher ( $p<0.001$ ) ER $\alpha$  levels than those with low Pin1 tumors (Figure 6c). In the same population, *in situ ESR1* levels were comparable in the Pin1 low and elevated group (Figure 6d).

Finally, as a proof of concept, survival analysis of cases from the YTMA 201 showed that ER $\alpha$  positive BC cases with Pin1 AQUA scores above the median cohort value (Pin1 high cases, score  $> 6729$ ) display lower overall survival than cases with low Pin1 levels (Pin1 AQUA score  $< 6729$ , log rank  $p=0.0182$ ) (Figure 6f).

## DISCUSSION

These studies provide evidence that identifies Pin1 as a new regulatory component controlling ER $\alpha$  protein expression levels in BC cells. In human BC, ER $\alpha$  protein levels are not linearly related with its mRNA transcripts, suggesting additional mechanisms controlling ER $\alpha$  turnover<sup>9–10</sup>. Our data show that overexpression of Pin1 stabilizes ER $\alpha$  protein by disrupting receptor interaction with the ubiquitination machinery responsible for targeting the receptor for degradation. Consistent with this notion, Pin1 and ER $\alpha$  levels are positively related in human BC and tumors where high Pin1 levels show increased ER $\alpha$  protein but not *ESR1* mRNA. Hence, Pin1 is a key posttranslational regulator of ER $\alpha$  and can directly impact receptor levels in breast cancer.

The demonstration that Pin1 prevents ER $\alpha$  protein degradation extends the functional role of Pin1 in ER $\alpha$  biology and provides a plausible explanation for the paradoxical role of phosphorylation in receptor proteolysis and transcriptional function. ER $\alpha$  proteolysis requires cis-elements in the N-terminal transactivation domain in addition to C-terminal hormone-dependent transactivation domain<sup>12, 36–37</sup>. While this is outwardly consistent with the generalized model wherein degradation and transcriptional activity are coupled<sup>30, 38–40</sup>, exceptions to this model exist suggesting that the two pathways can be independently regulated<sup>12, 19, 41–42</sup>. In the case of ER $\alpha$ , activation of both proteolytic and transcription activation pathways involves phosphorylation at S118<sup>12, 36–37</sup>; however, several observations suggest a complex regulation of phosphorylated ER $\alpha$ . There is conflicting data which indicates that phosphorylation can both accelerate and inhibit receptor proteolysis<sup>12, 43–46</sup>. Moreover, S118 mutations that stabilize ER $\alpha$  protein have opposite effects on receptor transcriptional function<sup>12</sup>. Our data provides a possible explanation for

this paradox by demonstrating that when phosphorylated at S118, ER $\alpha$  becomes a substrate for Pin1, which can differentially regulate receptor protein stability as well as function. Mass spectrometry of ER $\alpha$  in MCF-7 cells indicates that as much as 48% of total ER $\alpha$  is phosphorylated at S118<sup>47</sup>. Pin1 binding to pS118 prevents ER $\alpha$  interactions with the E3 ligase, E6AP, and stabilizes receptor protein. However, under conditions where Pin1 is absent or low, E6AP can bind phosphorylated ER $\alpha$ , ubiquitinate and target the receptor for degradation. Conditions of high Pin1, such as can be found in certain human tumors as shown in Figure 6, favor a stable ER $\alpha$  protein. Hence, phosphorylated ER $\alpha$  can be protected or targeted for degradation depending on the relative levels of Pin1.

Subsequent to binding, Pin1 can isomerize the S-P motif and increase transcriptional activity of the N-terminal AF1<sup>19</sup>. Unlike the effect on receptor protein stability, the activity of Pin1 on receptor transcriptional function requires Pin1 isomerase activity. The isomerization of ER $\alpha$  augments the total transcriptional activity of ER $\alpha$  and enhances ligand-independent receptor transcription<sup>19</sup>. The Pin1-induced increase in receptor AF1 transcriptional function is also sufficient to increase the activity of the tamoxifen-bound receptor<sup>19</sup> and support growth of breast cancer cells in the presence of tamoxifen<sup>19, 48–50</sup>. These data suggest the possibility that Pin1 can differentially regulate the stability and transcriptional activity of phosphorylated ER $\alpha$  by utilizing independent functions of binding and isomerization. Together, our results point to Pin1 as a downstream regulator of phosphorylated ER which modulates both receptor fate and function and, thereby, can alter the functional consequences associated with the phosphorylated receptor.

This model may apply to other nuclear receptors and transcription factors in which phosphorylation at S/T-P motifs controls stability and transactivation. Phosphorylation affects the stability of several nuclear receptors including PR, RAR $\alpha$ , PPAR $\alpha$ , GR, AR, ER $\beta$ , and ER $\alpha$ <sup>51–53</sup>. Pin1 binding in the absence of isomerization, was sufficient to block polyubiquitination of PPAR $\gamma$ <sup>35</sup>. Pin1 increases both transcriptional function and protein stability of NF- $\kappa$ B, c-jun,  $\beta$ -catenin, PPAR $\gamma$ , TR3, p53, and p73 proteins<sup>21, 35, 54–59</sup>. Similarly to ER $\alpha$ , Pin1 binding to p65 inhibits p65 interaction with I $\kappa$ B $\alpha$  and an E3 ligase, SOCS-1, resulting in increased NF $\kappa$ B activity and nuclear accumulation<sup>58</sup>. It is important to note that Pin1 does not stabilize all substrates, nor does all regulation by phosphorylation of transcription factors involve S/T-P motifs. However, our data suggest the possibility that given the high proportion of transcriptional activation domains with S/T-P motifs regulated by phosphorylation, that Pin1 may have a broader role as a decision point guiding the coordination of transcriptional activity and protein stabilization.

Though several ligases are implicated in the regulation of ER $\alpha$ <sup>24–29</sup>, this study focused on E6AP because of its well-described role in breast cancer and data linking E6AP with phosphorylation at S118<sup>12</sup>. E6AP protein expression is decreased in human invasive BC, and overexpression of ubiquitin-ligase defective E6AP initiates mammary tumor development, implicating an important role of E6AP ligase activity in tumor suppression<sup>60–61</sup>. E6AP also interacts with other nuclear receptors, partially because it contains three signature LXXLL motifs (nuclear receptor interacting motifs) that bind to the CTD of nuclear receptors<sup>31</sup>. The binding of E6AP with receptor CTD is associated with its transcriptional coactivator function which can be functionally uncoupled to its ligase activity<sup>31</sup>. ChIP analysis provided the first evidence that E6AP recruitment to ER $\alpha$  transcriptional complexes was dependent on the NTD<sup>12</sup>. Analyses of estrogen receptor- $\beta$  (ER $\beta$ ) also showed that E6AP binds at phosphorylated residues, pS94/106, in the AF1 region of the NTD to mediate its polyubiquitination<sup>62</sup>. We now show that pS118 in ER $\alpha$  is also an interaction site for E6AP. Given the association of pS118 with ER $\alpha$  degradation, it is plausible that interactions mediated through the receptor NTD impart specificity for ligase-dependent functions of E6AP in ER regulation. Sun et al. recently reported another E6AP

interaction site in ER $\alpha$  at Y537 which controls ligand-dependent ER $\alpha$  proteolysis<sup>30</sup>. In contrast to their report, we find that E6AP binding to ER $\alpha$  is ligand-independent in agreement with Reid et al.<sup>39</sup>, however, this interaction required proteasome inhibition to detect. The ligand-independent interaction of E6AP and S118 could be explained by growth factor-induced phosphorylation of ER $\alpha$  by factors in charcoal stripped serum<sup>63</sup>. In either case, the data suggest that E6AP may play a role in both ligand-dependent and ligand-independent activities that could also be impacted by Pin1.

The biological relevance of the Pin1-mediated increase in ER $\alpha$  protein is confirmed by our findings in human BC samples (Figure 6). The absence of BC cases without any Pin1 signal and the positive association between ER $\alpha$  and Pin1 protein levels in BC samples suggest that not only Pin1 presence, but increased levels, account elevated ER $\alpha$  protein levels. The latter is consistent with the *in vitro* observation of Pin1 inhibition of E6AP binding to ER $\alpha$  leading to reduced receptor degradation. Moreover, the absence of increased *ESR1* transcripts in BC with elevated Pin1 and ER $\alpha$  levels further supports this notion and might explain why a proportion of BC with elevated ER $\alpha$  levels do not show proportionally increased *ESR1* transcripts. These findings could have clinical implications, since *ESR1* was recently shown to be a strong linear predictor of tamoxifen response in BC and low *ESR1* levels were suggested to be a determinant of tamoxifen resistance, independent from ER $\alpha$  levels<sup>64</sup>. In addition to the effects on ER $\alpha$  protein levels, Pin1 augments the ligand-independent activity of ER $\alpha$  and supports increased transcriptional function and growth in the presence of tamoxifen in BC cells<sup>19</sup>. Therefore, Pin1 overexpressing tumors might represent a unique group of ER $\alpha$ -positive BC with high ER $\alpha$  protein, low *ESR1* levels, and reduced tamoxifen sensitivity. Pin1 overexpression may, therefore, have utility as a surrogate marker for prediction of tamoxifen resistance. In support of this notion, preliminary survival analysis of cases from the YTMA201 show that ER $\alpha$  positive BC cases with high Pin1 levels display lower overall survival (Figure 6f). Further studies are required to confirm and extend these observations in human tumors.

## MATERIALS AND METHODS

### Plasmids, Purified Proteins, and Reagents

Generation of plasmids encoding Flag-Pin1, Flag-Pin1 K63A, Flag-Pin1 W34A, Flag-FKBP51, HA-ER $\alpha$ , HA-ER $\alpha$  S118A, HA-ER $\alpha$  S118E, ER $\alpha$  in the LHL-CA backbone, were all described previously<sup>19</sup>. Plasmids expressing ER $\alpha$   $\Delta$ CTD (HE15) and  $\Delta$ NTD (HE19) were provided by Dr. Pierre Chambon<sup>65</sup>. Flag- $\beta$ TrCP1 was a gift from Dr. Vladimir Spiegelman (UW-Madison). Plasmids expressing HA-E6AP and Glutathione *S*-transferase (GST) tagged E6AP were gifts from Dr. Robert Kalejta (UW-Madison) and GST- Pin1 was described previously<sup>19</sup>. GST-E6AP and GST-Pin1 were purified using glutathione affinity purification as previously described<sup>19</sup>. For Pin1 purification, GST was cleaved using thrombin (Invitrogen, CA), using the manufacturer's protocol.

### Cell Culture and Treatments

MCF-7, mouse embryonic fibroblast (MEF) Pin1 knockout (MEF Pin1<sup>-/-</sup>) and wild type (MEF WT, MEF Pin1<sup>+/+</sup>) cells<sup>66-67</sup>, MCF-7 cells stably expressing GFP or GFP-Pin1<sup>19</sup>, tet-inducible MCF-7 derivative and 293 cells stably expressing HA-ER $\alpha$ , HA-ER $\alpha$  S118A, and S118E<sup>7, 12</sup>, T47D, 293T, and MDA-MB-231 cells were maintained as described<sup>19</sup>. In experiments involving treatment with hormone 17 $\beta$ -estradiol (E2) or vehicle ethanol (EtOH, -), cells were placed in estrogen-deprived medium consisting of phenol-red free DMEM (Cellgro, VA) with 10% dextran-charcoal-stripped fetal bovine serum supplemented with L-glutamine and penicillin/streptomycin, for 3 days prior to the addition of hormone or vehicle.

## Western Blot Analyses

Western blot was performed as described<sup>12</sup>. ER $\alpha$  antibody (Santa Cruz Biotechnology or Vector Laboratories, CA) was used to detect endogenous ER $\alpha$ , Flag antibody (Sigma, MO) to detect Flag- $\beta$ TrCP1, Flag-FKBP51, or Flag-Pin1 and mutants, hemagglutinin (HA) antibody (Santa Cruz Biotechnology, CA) to detect HA-E6AP, HA-ER $\alpha$  and mutants, GFP antibody (Cell Signaling, MA) for GFP or GFP-Pin1, GST antibody (Santa Cruz Biotechnology, CA) for GST or GST-E6AP, ubiquitin antibody (Santa Cruz Biotechnology, CA) to detect ubiquitinated ER $\alpha$ , and actin antibody (Sigma, MO) as a loading control.

## Immunofluorescence Microscopy

Pin1 siRNA or control scrambled (scr) siRNA (Qiagen, CA) were transfected in MCF-7 cells using Lipofectamine (Invitrogen, CA). 72 h post-transfection, cells were treated with E2 for 2 h and immunofluorescence microscopy was performed as described<sup>7</sup>. Primary antibodies included ER $\alpha$  (HC-20; Santa Cruz Biotechnology, CA), pER $\alpha$ -S118 (Cell Signaling, MA), Pin1<sup>68</sup>, and DAPI (4',6-diamidino-2-phenylindole dihydrochloride; Sigma, MO). Secondary antibodies included either anti-mouse IgG-fluorescein isothiocyanate (Sigma, MO) or anti-rabbit IgG-rhodamine red (Molecular Probes, CA). Images were acquired using an Olympus fluorescence microscope with 20X magnification and exported to Adobe Photoshop for image analysis.

## Ubiquitination Assay

Stable MCF-7 cells expressing GFP or GFP Pin1 were placed in medium containing dextran-charcoal stripped serum for 3 days. Cells were pretreated with 10  $\mu$ M MG132 (Calbiochem, MA) for 30 min to allow accumulation of ubiquitinated intermediates. Cells were then treated with EtOH or 10 nM E2 for 4 h. ER $\alpha$  ubiquitination was assessed by immunoprecipitation for ER $\alpha$  (H184; Santa Cruz Biotechnology, CA), followed by Western blot for ubiquitin (Santa Cruz Biotechnology, CA).

## Co-immunoprecipitation

Estrogen-deprived cells were pretreated with and without 10  $\mu$ M MG132 (Calbiochem) for 30 min and then treated with EtOH or 10 nM E2 and with or without 10  $\mu$ M MG132 for 4 h. Cells were harvested, and immunoprecipitation (IP) was performed as described<sup>69</sup> with antibodies pS118-ER $\alpha$  (Cell Signaling, MA), ER $\alpha$  (H184), HA, E6AP antibodies, or IgG (all purchased from Santa Cruz Biotechnology, CA) and Western blot was performed for E6AP, ER $\alpha$ , HA or GFP (Cell Signaling, MA). For experiments involving Pin1 overexpression, MCF-7 cells were transfected with 4  $\mu$ g of Flag -Pin1, -W34A, or -FKBP51 for 24 h and IP was performed as described above, followed by Western blot for E6AP, ER $\alpha$ , and Flag using appropriate antibodies. For experiments involving ER $\alpha$  $\Delta$ CTD and ER $\alpha$  $\Delta$ NTD binding to E6AP, 293T cells were transfected with 5  $\mu$ g of HE15 ( $\Delta$ CTD) or HE19 ( $\Delta$ NTD) plasmids for 24 h, and IP was performed with NTD antibody (H184), CTD (HC-20) or IgG (all purchased from Santa Cruz Biotechnology, CA). Western blot was then performed for E6AP and ER $\alpha$  using antibodies directed towards ER $\alpha$  NTD or CTD.

## *In vitro* Immunoprecipitation

Immunoprecipitations were performed with purified recombinant ER $\alpha$  (Invitrogen, CA) and GST-E6AP or GST. Complex formation was allowed to proceed at 4°C for 2h, followed by overnight incubation with ER $\alpha$  antibody or IgG (Santa Cruz Biotechnology, CA) at 4°C. The next day, protein-A-sepharose beads were added to the antibody-protein complex and incubated for 1 h at 4°C and washed with IP buffer (50 mM Tris-Cl, pH 7.5, 150 mM NaCl, 1% NP-40, 10% glycerol, 2 mM EDTA, and 50 mM NaF). Beads were boiled, and Western



blot was performed for GST (Santa Cruz Biotechnology, CA), pS118 (Cell Signaling, MA) or ER $\alpha$  (H184; Santa Cruz Biotechnology, CA).

### ***In vitro* Ubiquitination Assay**

Assays were performed with 0–1  $\mu$ g of GST-E6AP, 50 ng Ube1 (Boston Biochem), 100 ng UbcH5a (Boston Biochem, MA), 10  $\mu$ g ubiquitin (Boston Biochem, MA), 2 mM adenosine triphosphate (ATP; Invitrogen, CA), and 40 ng of purified recombinant ER $\alpha$  (Invitrogen, CA) in the presence and absence of Pin1 (0–1  $\mu$ g) and 7.5  $\mu$ M juglone (Sigma, MO) in a 30  $\mu$ l reaction volume for 1.5 h at 30°C. The reaction was stopped using sodium dodecyl sulfate (SDS) sample buffer and the reaction mixture was analyzed by Western blot using ER $\alpha$  antibody (H184; Santa Cruz Biotechnology, CA)

### **RNA Isolation and Quantification**

MCF-7 cells were transfected with Pin1 or scr siRNA and 48 h post-transfection cells were treated with EtOH or 10nM E2 for 2h and then harvested. RNA isolation and quantitative real-time PCR (qRT-PCR) for ER $\alpha$  gene, *ESR1*, were carried out as previously detailed<sup>69</sup>. Ribosomal protein P0 mRNA was used as the internal control. Relative RNA levels were calculated using the  $\Delta\Delta$ Ct method<sup>70</sup>.

### **Patient Cohorts**

The retrospective Yale cohort collected on a tissue microarray (TMA) termed YTMA201 was used, including formalin fixed paraffin embedded (FFPE) samples from 405 BC patients between 1976–2005. Clinicopathologic characteristics of YTMA201 cohort are depicted in Supplementary Table 1. Another index TMA called YTMA184 containing 42 human FFPE BC samples was used for protocol standardization, antibody titration, and reproducibility assessment.

### **Tissue Immunofluorescence (IF) Staining**

Stainings were performed using a standard indirect IF protocol as recently described<sup>9, 71</sup>. Briefly, TMAs serial sections were simultaneously stained with cytokeratin, DAPI and Pin1 (1:1000)<sup>68</sup> or ER $\alpha$  (clone SP1 1:1000, Thermo Scientific, IL). All stained slides were kept at room temperature and light protected for less than 12 h before image acquisition and processing.

### **RNA *In Situ* Hybridization**

*In situ* mRNA measurement for *ESR1* transcripts was performed using the RNAscope formalin fixed-paraffin embedded (FFPE) assay kit (Advanced Cell Diagnostics, CA) according to the manufacturer's instructions, with modifications for fluorescence detection using Cy5-tyramide as recently described<sup>9</sup>.

### **Quantitative Fluorescence Analysis (QIF)**

Analysis was performed using the AQUA<sup>®</sup> method allowing continuous and objective measurement of fluorescence intensity within defined tissue areas, as well as within subcellular compartments, as described<sup>9, 71</sup>. Briefly, a series of monochromatic high-resolution images were captured using an Olympus AX-51 epifluorescent microscope using a previously described algorithm for image collection<sup>72</sup>. A tumor mask was created by creating a binary cytokeratin signal. Target probe expression was quantified only in the tumor area. AQUA scores were calculated for a given target within the tumor mask by dividing the signal intensity by the area of the tumor mask within the histospot. Patient

sample histospots containing less than 3% tumor, determined by the percentage area positive for cytokeratin, were excluded from the analysis.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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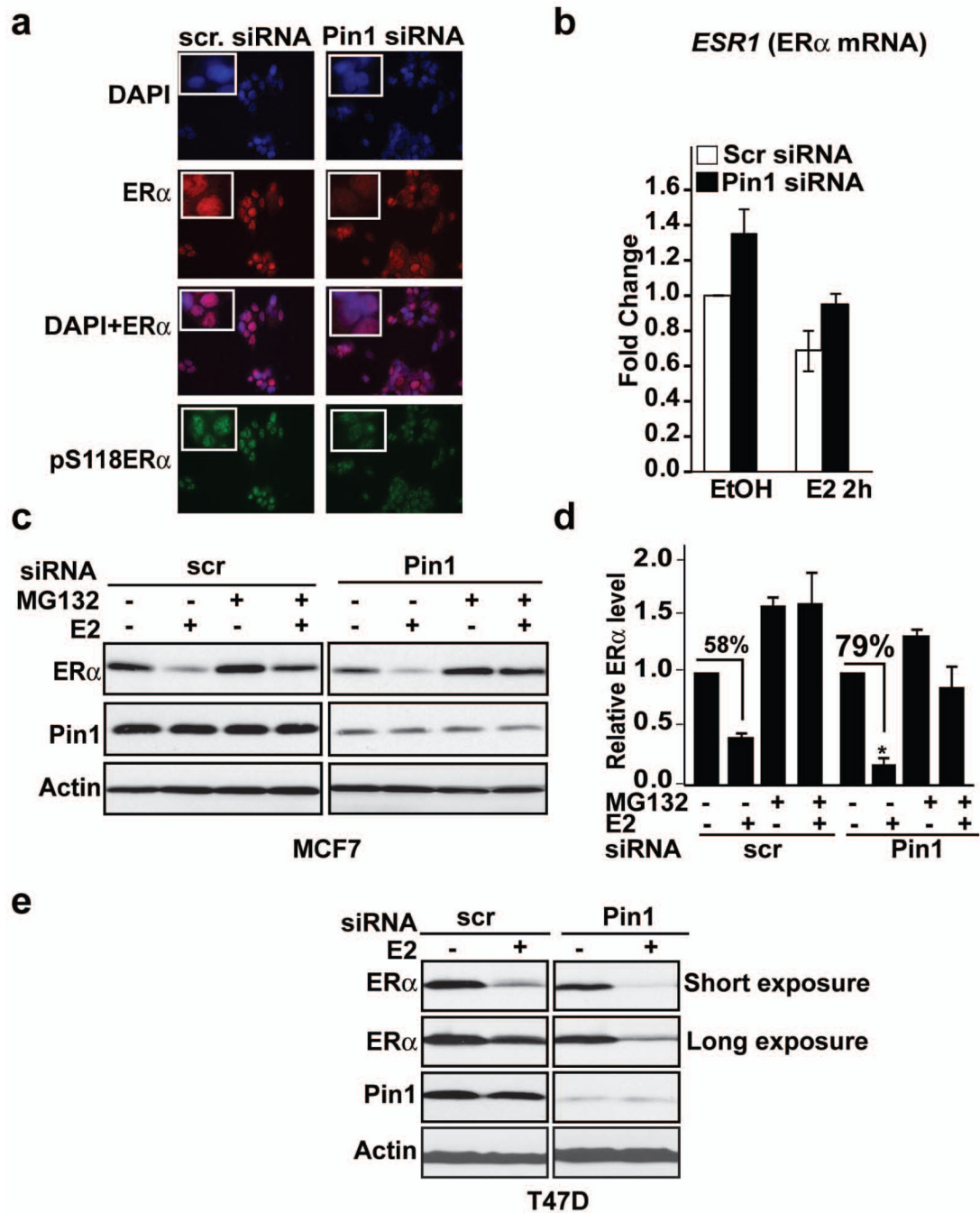
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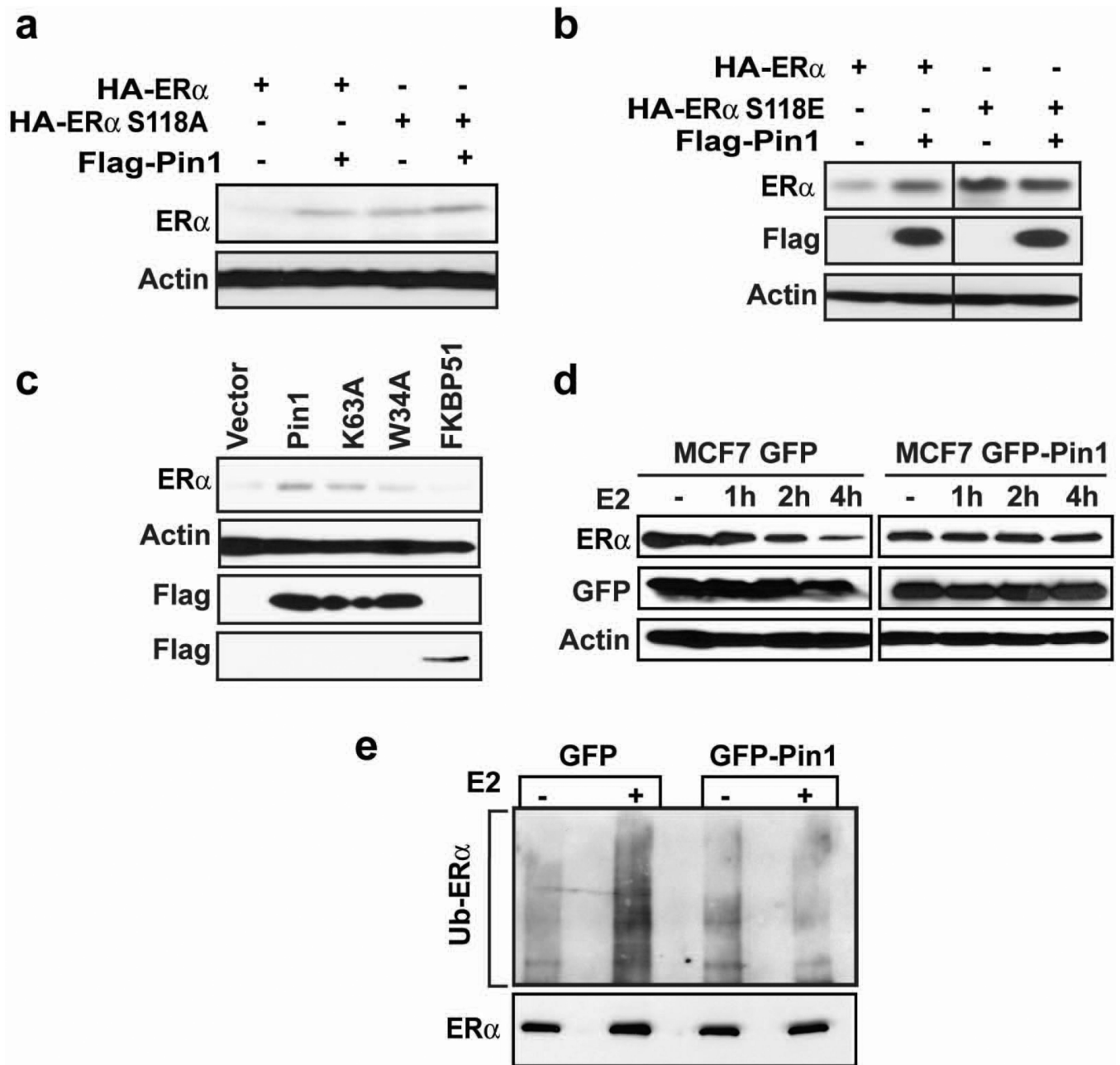
**Figure 1. Loss of Pin1 accelerates proteasome-mediated degradation of ER $\alpha$**

**a**) Immunofluorescence microscopy of MCF-7 cells transfected with Pin1 siRNA or control scrambled (scr) siRNA and treated with E2 for 2h. Fixed cells were incubated with an anti-ER $\alpha$  or anti-pS118ER $\alpha$  antibody and stained with DAPI for nuclear staining.

**b**) MCF-7 cells were transfected with Pin1 siRNA or control scr siRNA. The cells were treated with EtOH or E2 for 2 h, and qRT-PCR was used to analyze the expression of ER $\alpha$  mRNA, *ESR1*. Data shown are relative to those of the EtOH- treated control (left-most bar), and data are represented as means  $\pm$  SEM for three independent experiments.

**c and d)** MCF-7 cells were transfected with Pin1 siRNA or control scr siRNA, and 72 h after transfection, cells were pretreated with and without 10  $\mu$ M MG132, a proteasome inhibitor, for 30 min followed by EtOH (–) or 10 nM E2 treatment for 2 h. Levels of ER $\alpha$ , Pin1, and actin (loading control) were assessed by Western blot analysis and **(d)** bands were quantified by densitometry and represented as a graph normalized to EtOH-treated samples. Data are represented as means  $\pm$  SEM for three independent experiments. Asterisks indicate a statistically significant difference between scr siRNA and Pin1 siRNA E2-treated cells (\*,  $p < 0.05$ ), using Student's *t* test, and % denotes changes between EtOH and E2-treated samples.

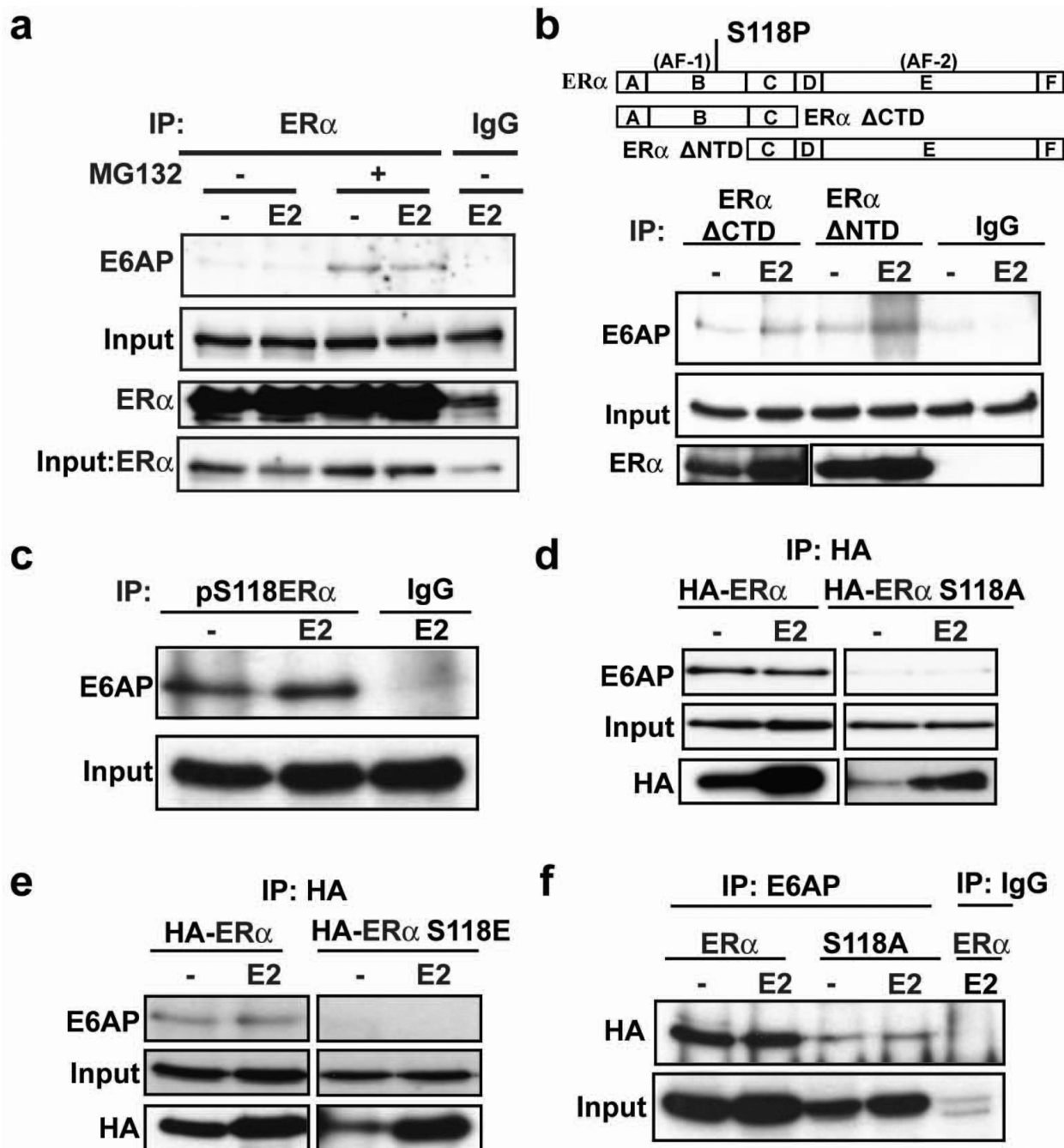
**e)** T47D cells were transfected as in (c) and treated with EtOH (–) or 10 nM E2 (+) for 2h. Levels of ER $\alpha$ , Pin1, and actin were assessed by Western blot analysis.



**Figure 2. Pin1 stabilizes ER $\alpha$  in an S118-dependent manner and blocks its ubiquitination**  
**a** and **b**) MEF Pin1<sup>-/-</sup> were co-transfected with 0.1  $\mu$ g Flag-Pin1 and 0.3  $\mu$ g of HA-ER $\alpha$  or HA-ER $\alpha$  S118A (**a**) or HA-ER $\alpha$  S118E (**b**) for 24 h and treated with 10 nM E2 for another 24 h. Western blot analysis was performed to assess the level of ER $\alpha$  by using anti-HA antibody and Pin1 by anti-Flag antibody. The actin band represents the loading control.  
**c**) MEF Pin1<sup>-/-</sup> cells were co-transfected with HA-ER $\alpha$  and Flag vector, Flag-Pin1, Flag-Pin1 K63A, Flag-Pin1 W34A, or Flag-FKBP51. 24 h post-transfection, cells were treated with 10 nM E2 for 24 h and Western blot was performed for ER $\alpha$ , Flag, and actin.  
**d**) MCF-7 cells stably expressing GFP or GFP-Pin1 were treated with and without 10 nM E2 for the indicated length of time and Western blot was performed for ER $\alpha$ , GFP, and actin.



e) MCF-7 cells overexpressing GFP or GFP Pin1 were treated with 10  $\mu$ M MG132 for 30 min followed by 4 h treatment with 10 nM E2 (+) or EtOH (-). ER $\alpha$  was immunoprecipitated using anti-ER $\alpha$  antibody and the level of ubiquitination was evaluated by Western blot using anti-ubiquitin antibody (Ub).



**Figure 3. The E3 ligase, E6AP, binds ER $\alpha$  in a S118-dependent manner**

**a)** MCF-7 cells were pre-treated with or without 10  $\mu$ M MG132 for 30 mins and then treated with and without 10 nM E2 and 10  $\mu$ M MG132 for 4 h. Cells were harvested and ER $\alpha$  was immunoprecipitated by ER $\alpha$  antibody or normal rabbit IgG, and Western blot was performed for E6AP and ER $\alpha$ . Input lanes represent E6AP and ER $\alpha$  in cell extracts before immunoprecipitation.

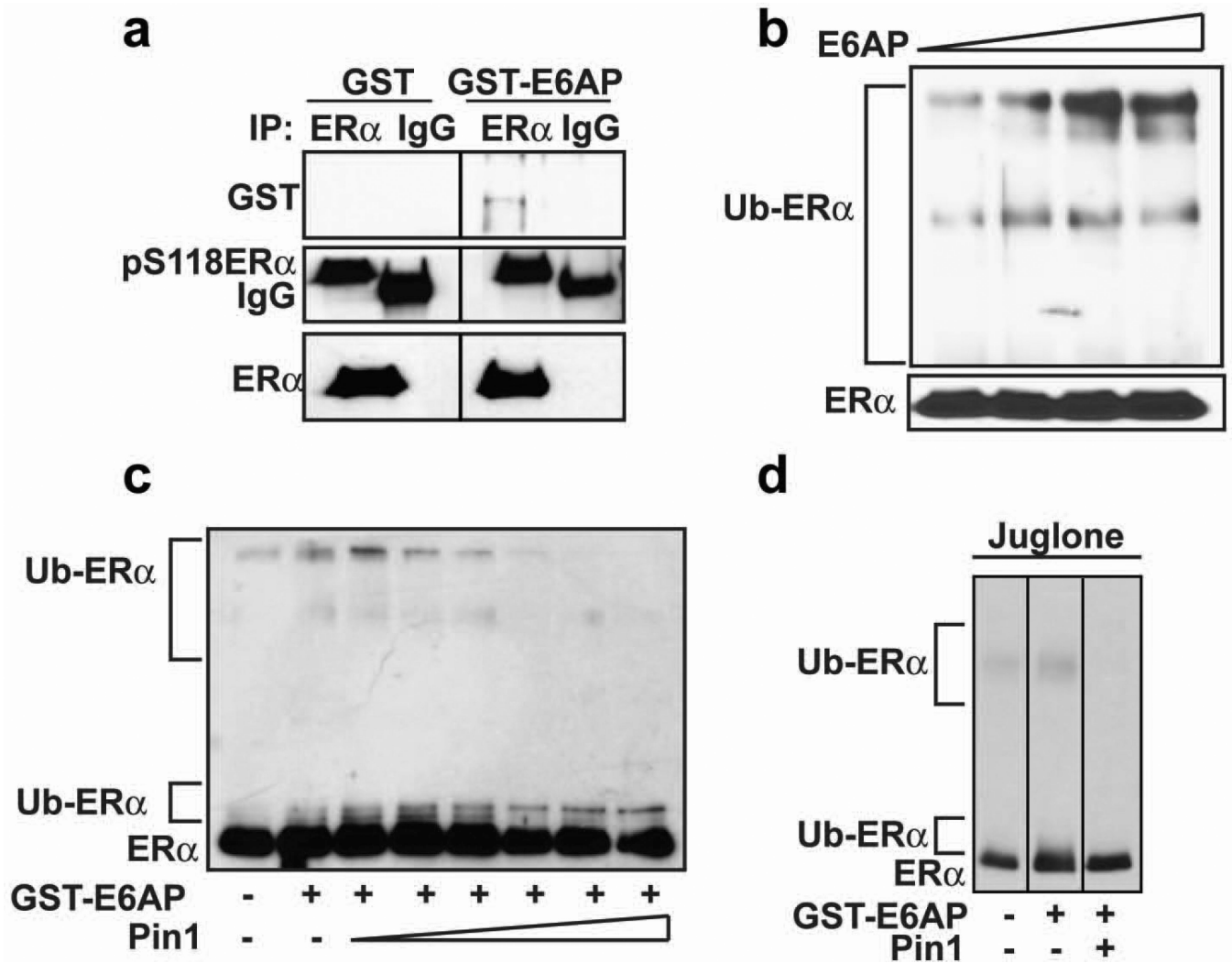
**b)** Schematic representation of regions corresponding to full length ER $\alpha$ , ER $\alpha$   $\Delta$ CTD, and ER $\alpha$   $\Delta$ NTD. Also shown is the location of AF1, AF2 and S118P sites (Upper panel). 293T cells were transfected with plasmid HE15 expressing ER $\alpha$   $\Delta$ CTD or HE19 expressing ER $\alpha$

$\Delta$ NTD. 24 h post-transfection, cells were treated as in (a) and ER $\alpha$   $\Delta$ CTD was immunoprecipitated with N-terminus specific ER $\alpha$  antibody (H184, Santa Cruz Biotechnology) and ER $\alpha$   $\Delta$ NTD with C-terminus specific ER $\alpha$  antibody (HC20, Santa Cruz Biotechnology), or rabbit IgG, and Western blot was performed with respective ER $\alpha$  antibodies and E6AP.

c) MCF-7 cells were treated as in (a) and immunoprecipitated with pS118-ER $\alpha$  antibody or mouse IgG and Western blot was performed for E6AP.

d and e) 293 cells stably expressing HA-ER $\alpha$ , HA-ER $\alpha$  S118A (d) or HA-ER $\alpha$  S118E (e) were treated as in (a) and ER $\alpha$  was immunoprecipitated with HA antibody and Western blot was performed for E6AP and HA.

f) 293 cells stably expressing HA-ER $\alpha$  or HA-ER $\alpha$  S118A were treated as in (d) and E6AP was immunoprecipitated with E6AP antibody or rabbit IgG and Western blot was performed for HA.



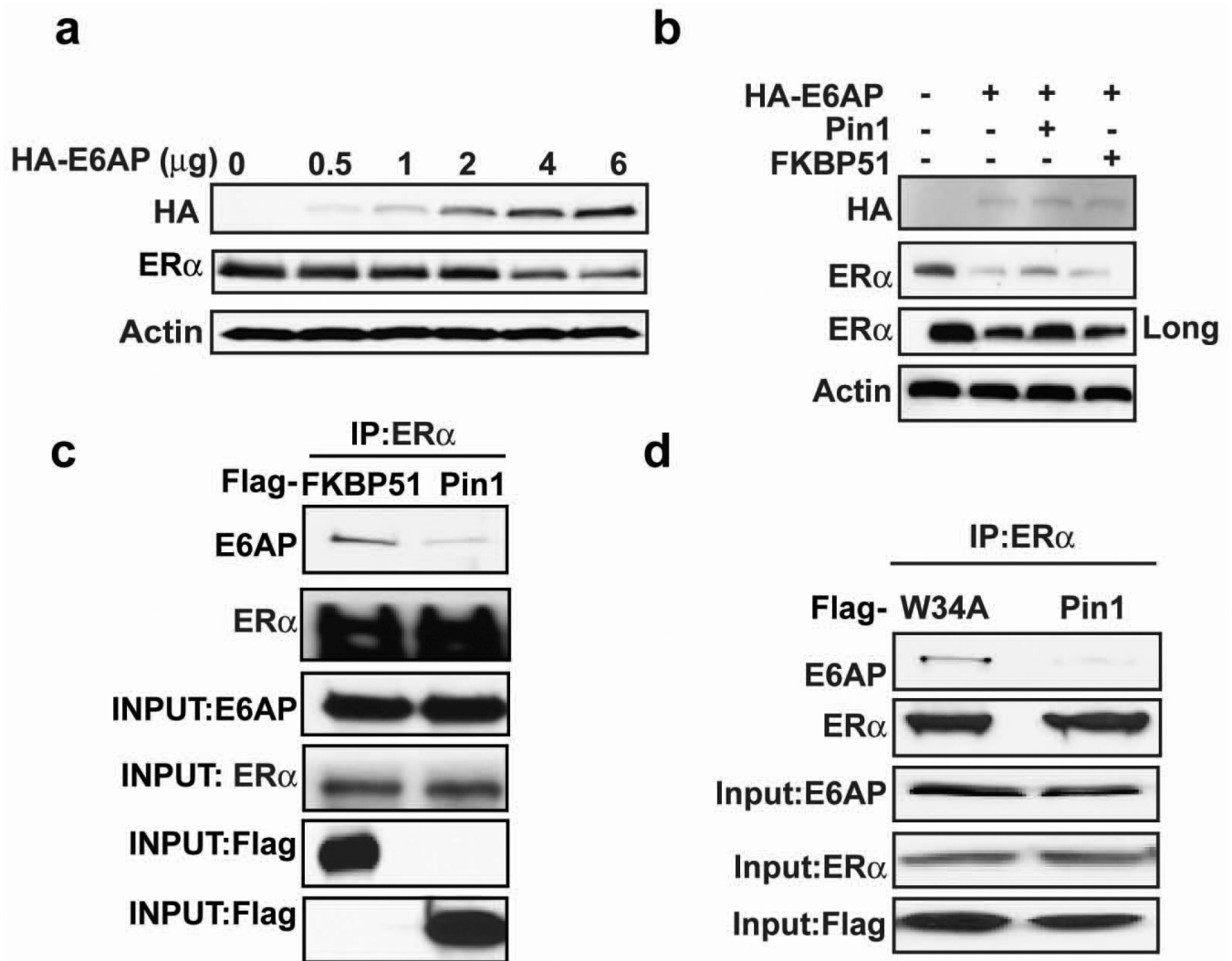
**Figure 4. Pin1 prevents E6AP-mediated *in vitro* ubiquitination of ER $\alpha$ .**

**a)** Purified recombinant ER $\alpha$  and GST or GST-E6AP were allowed to form complexes for 1 h, and then ER $\alpha$  was immunoprecipitated using ER $\alpha$  antibody or mouse IgG antibody and Western blot performed for GST, pS118ER $\alpha$ , and ER $\alpha$ .

**b)** ER $\alpha$  was *in vitro* ubiquitinated as described in the experimental procedures with Ube1, Ubch5a, ATP, ubiquitin, and GST-E6AP (0–0.6  $\mu$ g) at 30°C for 1.5 h, and Western blot was performed for ER $\alpha$ .

**c)** ER $\alpha$  was *in vitro* ubiquitinated as in (b) with and without 0.4  $\mu$ g GST-E6AP in the presence and absence of Pin1 (0–1  $\mu$ g), and Western blot was performed for ER $\alpha$ .

**d)** ER $\alpha$  was ubiquitinated as in (c) with and without GST-E6AP and Pin1 in the presence and absence of juglone (7.5  $\mu$ M), and Western blot was performed for ER $\alpha$ .



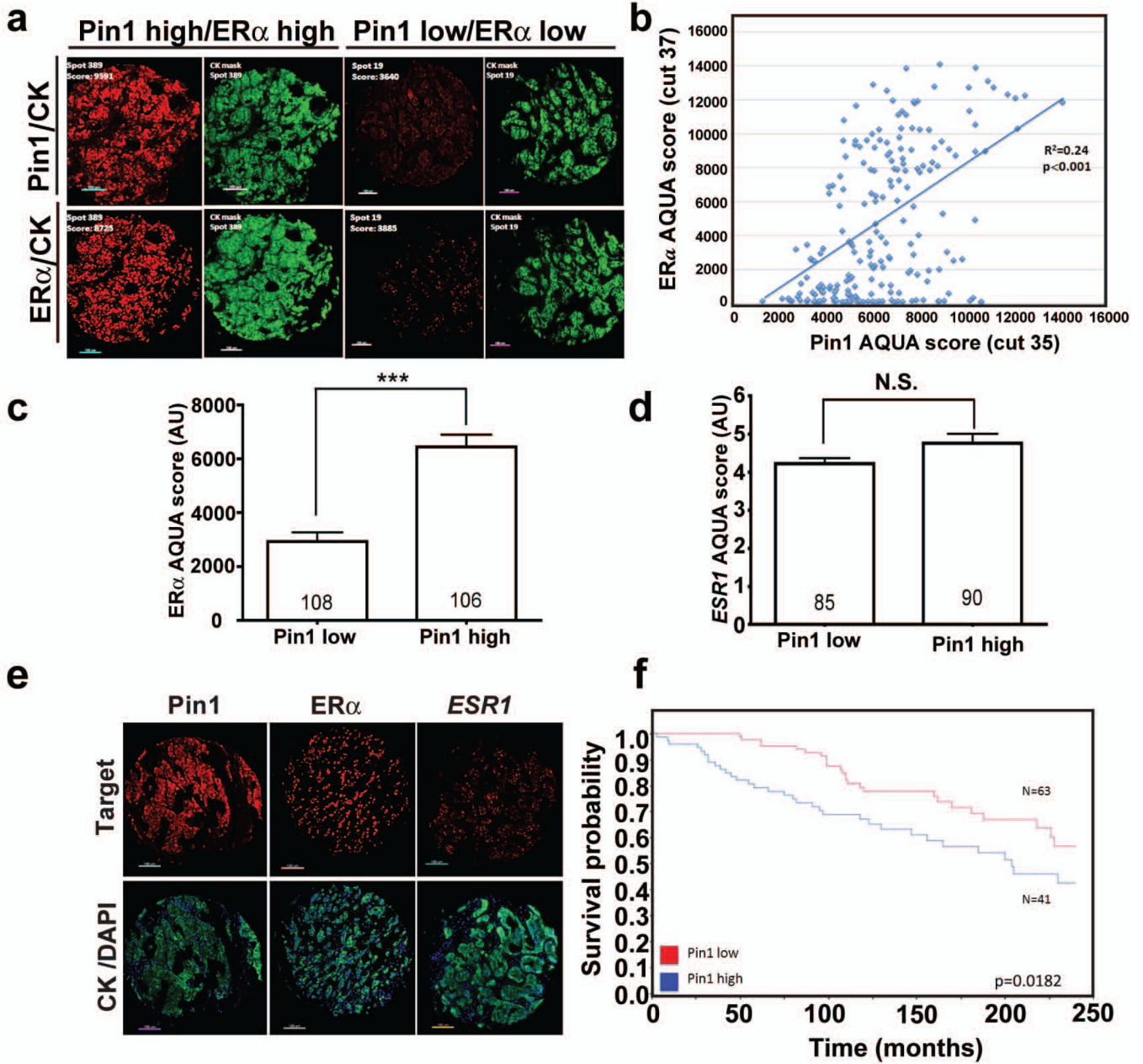
**Figure 5. Pin1 blocks E6AP and ER $\alpha$  interaction**

**a)** 293T cells were transfected with and without HA-E6AP (0–6  $\mu\text{g}$ ) and ER $\alpha$  for 24 h and Western blot was performed for HA, ER $\alpha$ , or actin.

**b)** 293T cells were co-transfected with and without HA-E6AP, ER $\alpha$  and Flag vector, Flag-Pin1, Flag-FKBP51 for 24 h, and Western blot was performed for HA, ER $\alpha$ , and actin. The long exposure represents an increase in the length of time the blot was exposed to X-ray film

**c)** MCF-7 cells were transfected with Flag-FKBP51 or Flag-Pin1, and 24 h post-transfection, cells were pretreated with 10  $\mu\text{M}$  MG132 for 30 mins and with 10 nM E2 and 10  $\mu\text{M}$  MG132 for 4h. Cells were then lysed and extracts were immunoprecipitated for ER $\alpha$  and Western blot was performed for E6AP, ER $\alpha$ , and Flag. Input lanes show proteins in cell extracts before immunoprecipitation.

**d)** MCF-7 cells were transfected with Flag-Pin1 or Flag-Pin1 W34A for 24 h and immunoprecipitation and Western blot was performed as in (c).



**Figure 6. Pin1 protein expression in human breast carcinomas and relationship with ER $\alpha$  and ESR1 levels**

**a** Fluorescence microphotograph showing representative cases with high Pin1 and ER $\alpha$  scores and with low Pin1 and ER $\alpha$  on a Yale Pathology cohort (YTMA-201). On the right upper and lower panels (green channel) are the corresponding pancytokeratin (CK) masks used for AQUA analysis.

**b** Relationship between Pin1 and ER $\alpha$  in samples from YTMA201. Pin1 and ER $\alpha$  were measured using AQUA in serial sections as indicated by the cut number in parentheses.  $R^2$  indicates linear regression coefficient between scores. Pin1 and ER $\alpha$  protein showed significant correlation with  $p<0.001$ .

**c** and **d** Average ER $\alpha$  (**c**) and ESR1 mRNA levels (**d**) in BC samples from YTMA201 showing low or high Pin1 levels. Pin1 low cases include those scores below the median; and

Pin1 high include those above the median AQUA score. Number of cases in each group is indicated within each bar. \*\*\*= $p < 0.001$  (U-test and Mann-Whitney); NS= not significant. **e)** Representative fluorescence microphotograph showing Pin1, ER $\alpha$ , and *ESR1* expression in human BC samples on YTMA201. The lower panels (green and blue channels) show the corresponding pancytokeratin (CK) and nuclear DAPI stainings.

**f)** Pin1 protein expression is associated with survival in human breast cancer. Kaplan-Meier curves showing 20-year overall survival probability of Pin1 high (blue line) and Pin1 low (red line) ER $\alpha$  positive breast cancer patients from the retrospective Yale TMA 201 cohort.