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Epigenetic reprogramming in cancer

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Abstract

The demonstration of induced pluripotency and direct lineage conversion has led to remarkable insights regarding the roles of transcription factors and chromatin regulators in mediating cell state transitions. Beyond its considerable implications for regenerative medicine, this body of work is highly relevant to multiple stages of oncogenesis, from the initial cellular transformation to the hierarchical organization of established malignancies. Here we review conceptual parallels between the respective biological phenomena, highlighting important inter-relationships among transcription factors, chromatin regulators and pre-existing epigenetic states. The shared mechanisms provide insights into oncogenic transformation, tumor heterogeneity and cancer stem cell models.

Transcription factors and chromatin regulators: partners in specification of cellular fate and identity

Specification of cellular fate during development is a dynamic process by which diverse phenotypes are established in precise temporal and positional patterns. Beginning from a single totipotent cell, successive waves of self-renewal, differentiation and commitment ultimately yield the intricate array of cell types, tissues and organs of a fully formed organism. DNA sequence-specific transcription factors (TFs) play a prominent role in fate specification, as demonstrated by seminal studies of the muscle fate master regulator MyoD(1) and the core TFs that mediate pluripotency(2, 3). The classical dogma by which TFs act within proximal promoters to initiate transcription has been expanded by the identification of staggering numbers of distal 'enhancer-like' elements in the human genome, which are activated by TFs in combinatorial and highly cell type-specific patterns(4, 5).

In order to exert their proximal and distal regulatory activities, TFs must contend with the underlying organization of chromatin, a higher-order structure of DNA, RNA, histones and regulatory proteins(6, 7). TFs recruit chromatin regulators (CRs) that modulate the accessibility of target DNA and impart specific 'chromatin states' characterized by signature histone modifications and common functional roles(4). However, since TF binding is dependent on chromatin accessibility, CRs and chromatin states also function as gatekeepers that modulate TF regulatory activities. Differentiation events frequently rely on promoters and enhancers that are 'poised' by pioneer TFs and characteristic chromatin configurations(4, 8). Thus, a hierarchy of TFs, cooperating CRs and coordinated chromatin

states guide successive differentiation and commitment events during developmental specification (Figure 1).

Lessons from induced pluripotency

In 2006, Shinya Yamanaka demonstrated induced pluripotency, whereby a differentiated cell can be directly reprogrammed into an 'induced' pluripotent stem (iPS) cell by a defined set of TFs(2, 3). The Nobel prizewinning discovery represented a seminal advance for the fields of stem cell and regenerative biology. Yet the finding and a flurry of follow-up studies may have equally profound implications for cancer biology. The body of work demonstrates the dramatic consequence of deploying gene regulatory mechanisms in inappropriate developmental contexts. It provides key insights into the mechanisms of action of TFs, CRs and chromatin states that direct, facilitate or hinder cell fate transitions. A striking number of the implicated factors and mechanisms are now recognized to play critical roles in malignant transformation. This review draws upon these shared themes in an examination of genetic and epigenetic mechanisms that contribute to cellular reprogramming and cancer.

Induced pluripotency was initially demonstrated by reprogramming fibroblasts with four TFs, Oct-4, Sox2, Klf4 and c-Myc. Only the 'core' factors Oct-4 and Sox2 are strictly required, whereas the other components may primarily enhance reprogramming efficiency and can be substituted by other genes such as Nanog and Lin28(2, 3, 9). Demonstrations of direct conversion between cell lineages reinforce that master TFs determine cellular identity(10, 11). The right combination of TFs can drive state transitions, binding synergistically to promoters and enhancers to activate gene networks. Reprogramming also involves focal and global changes to chromatin structure as required to reset the epigenetic landscape(12). In iPS reprogramming, de novo chromatin activation, mediated by TF recruitment of CRs and associated transcriptional changes, occurs early (13). In contrast, the formation of bivalent domains and the global chromatin decondensation characteristic of pluripotent cells appear to represent later event(3, 12). These changes involve chromatin modification and remodeling, rendering reprogramming dependent on CRs that catalyze these activities. Moreover, pre-existing chromatin states and DNA methylation can present roadblocks that impede TF binding and gene induction, thus hindering cell state transitions(14–16).

Reprogramming and cancer epigenetics

Oncogenic transformation frequently involves de novo acquisition of developmental programs, analogous to cellular reprogramming, and yields cells with unlimited self-renewal potential, a feature shared with iPS and other directly reprogrammed stem cells. This parallelism is supported at a mechanistic level by facilitators and barriers shared between the processes(12). Several reprogramming TFs represent bona fide oncogenes, while many genes that act as barriers to reprogramming correspond to known tumor suppressors, including p53 and Ink4A/Arf, whose effects on proliferation and apoptosis impede both processes(12). Similarly, CRs play essential roles as effectors and modulators of reprogramming, and have established functions in oncogenesis(7, 12). These general principles suggest that epigenetic rewiring necessary for cellular reprogramming may be recapitulated in part during cellular transformation.

Transcription factors

Each of the iPS reprogramming factors has established roles in oncogenesis (Figure 2A). Oct-4 plays a driving role in initiating germ cell tumors, and represents an important diagnostic marker(17). Sox2 is amplified in squamous cell carcinoma of the lung and esophagus(18), and small cell lung carcinomas(19), acting as a lineage-survival oncogene in

each of these tumors. Sox2 is also an essential driver of cancer stem cell sub-populations in glioblastoma, breast cancer and Ewing sarcoma, consistent with its vital role in pluripotent and tissue stem cells (Figure 2A)(20, 21).

c-Myc functions in a wide range of human malignancies. Its expression may also explain the tendency of mice generated from iPS clones to spontaneously develop tumors(2). c-Myc may drive proliferation through induction of common gene expression programs in ES cells and various malignancies. Alternatively, c-Myc may function as a global amplifier of gene expression through its potent effect on the RNA polymerase II elongation factor P-TEFb(22, 23). This alternative view may explain how a single oncogene can reinforce the pluripotency network, while also driving a wide range of malignant programs(24).

Klf4 plays dual roles in cancer, promoting the development of breast and skin malignancies but suppressing gastric, colorectal, and bladder cancer(25). The mechanism by which Klf4 promotes tumor initiation may relate to its functions in nuclear reprogramming. These include functional links to c-Myc and simultaneous repression of p53, which both enhance cellular proliferation. Klf4 also induces telomerase activity in ES cells and carcinoma cells by promoting -catenin binding to the *TERT* gene promoter(26). Conversely, suppression of cancer initiation by Klf4 in specific contexts may be mediated through induction of the proapoptotic gene *CDKN1A*(25).

Nanog is expressed in multiple malignancies and appears to have critical functions in cancer stem cell subpopulations. In hepatocellular carcinoma, it is required for CD24-positive stem-like cells, where its expression is maintained by STAT3 signaling(27). In glioblastoma, induction of Gli1 by Nanog increases the clonogenic and tumorigenic potential of the CD133-positive stem cell fraction(28). Nanog is also expressed in stem-like populations in colon and prostate carcinomas, and Ewing sarcoma (Figure 2A)(20, 29, 30).

Lin28 plays central roles in stem cell biology, accelerating iPS reprogramming and promoting ES cell proliferation(31). This RNA-binding protein is notable for expression across a significant proportion of human cancers, particularly undifferentiated and advanced malignancies. Lin28 potently inhibits maturation of the Let-7 microRNA family leading to derepression of multiple oncogenes, including c-Myc, K-Ras, Sall4 and Hmga2. Recent evidence supports additional mechanisms of action, including a direct effect on Oct-4 translation. These roles may explain the ability of Lin28 to supplement c-Myc in reprogramming, and also underlie its oncogenic properties.

In addition to these pluripotency factors, Olig2, Foxg1 and other TFs that mediate direct lineage conversion are implicated in human malignancies, thus generalizing the link between reprogramming and oncogenic transformation(10). It is important to note, however, that the parallels are not complete and that the roles of an individual factor are not necessarily identical in the two processes, and may even diverge within different malignant settings. Moreover, the strict analogy to reprogramming fails to appreciate the oncogenic roles of many other TFs, such as transforming fusion proteins identified in hematopoietic malignancies and, more recently, in solid tumors.

DNA methylation

DNA methylation is a relatively stable epigenetic modification that mediates silencing of repetitive elements and certain gene promoters(32). Methylation patterns must be reset during cellular reprogramming, and can present a potent barrier to the process(12, 14). In cancer, hyper-methylation of CpG islands is a well recognized epigenetic event(32). Genetic inactivation of the DNA methyltransferase Dnmt3a has also been documented in leukemia and lymphoma(32, 33). Although the mechanisms remain obscure, DNA methylation may

negatively influence transformation by impeding the induction of genes needed for epigenetic reprogramming or, alternatively, may promote oncogenesis by silencing genes that mediate differentiation or apoptosis. Hence, this epigenetic feature could play markedly different roles in alternate malignant settings. Indeed, seemingly contradictory roles are observed for several CRs in different tumor types, as discussed below.

Chromatin regulators (CRs)

CRs have established roles in cellular reprogramming and oncogenesis (Figure 2B). Their contributions to malignancy involve multiple modalities. Like specific TFs, many CRs represent bona fide oncogenes or tumor suppressors, and are directly affected by gain- and loss-of-function genetic mutations or by translocations(7, 32). Even in the absence of direct genetic alterations, CRs may be co-opted by fusion proteins or other oncogenic factors to modulate gene expression. CRs also regulate other cancer-relevant processes, including epithelial-to-mesenchymal transition (EMT), senescence, genome stability and metastasis. These diverse modalities and the fact that some CRs have opposite effects in different malignant settings present a challenge for predicting how a given CR mutation, altered chromatin state or epigenetic therapy will impact a particular tumor.

Repressive chromatin states

In differentiated cells, inactive portions of the genome are partitioned between different forms of repressive chromatin. Repressive structures with a compact organization refractory to regulatory activity can affect large genomic regions (Figure 1). Canonical repressive states include classical heterochromatin marked by histone H3 lysine 9 trimethylation (H3K9me3), broad Polycomb-repressed regions enriched for H3K27me3, and highly condensed regions associated with the nuclear lamina and H3K9me2(4, 6).

H3K9 methylation and associated CRs play important roles in chromosomal stability, EMT and cellular senescence(32, 34, 35). Large H3K9me3 domains that arise in certain differentiated cells appear to impede the initial binding of reprogramming TFs and may be inefficiently reset during iPS generation(15, 16). Accordingly, suppression of CRs that catalyze H3K9 methylation, including Suv39h1, Setdb1 and G9a, increases reprogramming efficiency(36, 37). H3K27me3 and associated Polycomb repressors play critical roles in tissue-specific gene regulation(38). Ezh2 and Utx, which catalyze the addition and removal of H3K27me3, respectively, are both required for efficient iPS reprogramming(3, 36, 39).

Suv39h1 is required for oncogene-induced senescence, and its loss is associated with decreased viability, genomic instability and increased tumor risk in mice(35). Recruitment of Suv39h1 to aberrant gene targets by fusion proteins promotes acute myeloid leukemia(40). Setdb1 and G9a have established role in a number of malignancies (Figure 2B), and G9a has also been implicated in metastasis and EMT(41, 42). Polycomb regulators are subject to genetic mutation or over-expression in a wide range of malignancies (Figure 2B)(43–49). In particular, Ezh2 and Bmi1 mediate *CDKN2A* epigenetic silencing and are essential in cancer stem cells (7, 32, 38).

Active chromatin states

Active genes and regulatory elements are associated with characteristic chromatin states. Enhancers and promoters are marked by varying degree of H3K4 methylation and histone acetylation (Figure 1). The methylation mark is catalyzed by complexes that contain Mixed Lineage Leukemia (Mll) homologs (related to *Drosophila* trithorax) and accessory subunits such as Wdr5(7). These CRs play important roles in reprogramming and cancer. Wdr5 directly interacts with the pluripotency TF Oct4 and is essential for iPS cell generation(12, 50). Mll fusion proteins represent potent leukemic drivers, although they typically lack the

catalytic domain and instead appear to function via co-factor recruitment(7). Inactivating mutations of *MLL* homologs have also been identified in multiple tumors (Figure 2B). The H3K4 demethylase Lsd1, which functions as a coactivator or corepressor in different contexts(7), also has diverse roles in cancer (Figure 2B)(7, 51, 52).

The transition from transcriptional initiation to elongation is a critical regulatory step. Dot11, an H3K79 methyltransferase that promotes this transition, impedes iPS reprogramming(36) and is required for leukemogenesis(53). Mll fusion proteins mediate their aberrant regulatory programs through recruitment of Dot11. Accordingly, Mll-rearranged leukemias are sensitive to small molecule Dot11 inhibitors(53). The multiple myeloma fusion protein Mmset and Kdm2b respectively catalyze the addition and removal of H3K36 methylation, a characteristic mark of elongating transcripts(7, 54, 55). Kdm2b promotes iPS formation(56), can immortalize primary cells(57), and contributes to the development and maintenance of leukemic stem cells(58).

Many other CRs associated with active chromatin states or chromatin remodeling have been implicated in neoplastic transformation. The CBP/p300 histone acetyltransferase complex functions as a coactivator for specific TFs at proximal and distal regulatory elements, driving epigenetic programs involved in cellular proliferation, apoptosis, differentiation and DNA stability(59). CBP/p300 also acetylates non-histone proteins, including p53, Rb and c-Myc, increasing their transcriptional activity. Chromosomal rearrangements involving CBP/p300 promote hematopoietic malignancies, whereas loss-of-function mutations have been identified in solid tumors, including colorectal and breast carcinomas(7, 59). Dynamic chromatin regulation also requires nucleosome remodeling. The BAF remodeling complex markedly increases reprogramming efficiency by facilitating Oct4 binding to target loci(60). SWI/SNF homologs, including *ARID1A*, are subject to recurrent genetic inactivation in a broad range of tumors, though the underlying mechanisms remain unclear (Figure 2B)(7, 61–63).

These and other studies thus document widespread roles for CRs in human cancer, complementing similarly pervasive functions in development and reprogramming. The fact that CRs with similar catalytic activities and developmental phenotypes can lead to apparently contradictory outcomes in different malignant settings may relate to their diverse roles in gene regulation, differentiation, senescence, telomere regulation and other processes. The same CR might function in alternate contexts to facilitate epigenetic reprogramming, to arrest epigenetic state of a progenitor cell by differentiation block, or to counteract apoptosis or senescence. Substantial variability in the epigenetic makeups of premalignant target cells or the maintenance requirements of a particular tumor may also help explain varied and sometimes incongruous roles for TFs and CR mutations across the spectrum of human cancers.

From reprogramming to cancer stem cells and beyond

Here we have explored conceptual parallels between reprogramming and cancer, and highlighted shared TFs and CRs unveiled by mechanistic studies and cancer genome sequencing. The analogy to reprogramming suggests that some CR alterations in cancer may represent early events that render a cell-of-origin susceptible to epigenetic rewiring required for transformation and refractory to differentiation, proliferation arrest or apoptosis. Subsequent hits, occurring in variable orders and combinations, may then confer the definitive transformed state and the dynamic cellular hierarchy within a tumor (Figure 3). In certain cancers, "stem-like" cells critical for tumor initiation and growth occupy the apex of this hierarchy(64). Just as the reprogramming field is deciphering the combinatorial TF code for cellular identity, cancer research is increasingly focused on the determinants of

heterogeneous epigenetic states in tumors. By activating specific TF drivers and modulating collaborating CRs, cancer cells may dynamically regulate their epigenetic circuits to rewire differentiated cancer cells into "stem-like" cells, thus refueling cancer growth. Although speculative, such dynamic bidirectional transitions could provide a unifying view of cellular organization within tumors, compatible with both the cancer stem cell and the stochastic models. Regardless of which models best explain a given malignancy, principles and mechanisms shared between cellular reprogramming and oncogenic transformation provide fundamental insights into tumor biology with potential to guide biological understanding, diagnosis and therapeutic strategies.

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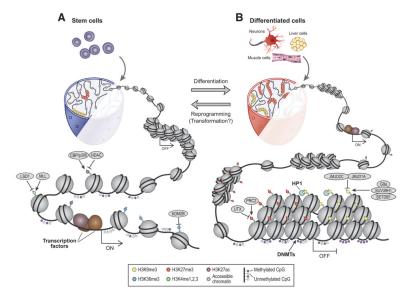


Figure 1. Developmental specification is associated with global alterations in chromatin structure A) In pluripotent cells, chromatin is hyper-dynamic and globally accessible. B) Upon differentiation, inactive genomic regions may be sequestered by repressive chromatin enriched for characteristic histone modifications and refractory to regulatory activity. These global structures are regulated by DNA methylation, histone modifications and numerous CRs whose expression levels are dynamically regulated through development. In addition, transcriptional changes are accompanied by focal alterations in chromatin structure at specific gene loci.

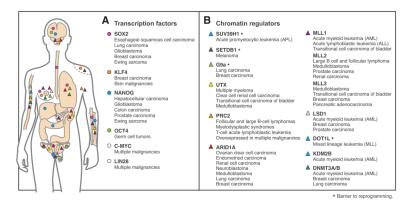


Figure 2. Genes involved in both iPS nuclear reprogramming and cancer List of TFs (A) and CRs (B) implicated in iPS reprogramming together with the malignancies in which they have established roles. These include *bona fide* oncogenes and tumor suppressors directly affected by genetic alterations as well as other genes with mechanistic roles in cancer.

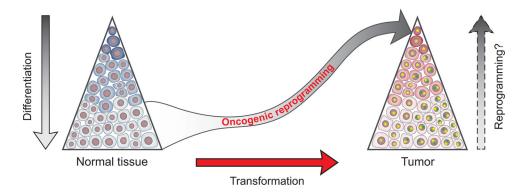


Figure 3. Cellular hierarchies in normal tissues and malignancies

Normal tissues (left) and a growing list of malignancies (right) have established epigenetic hierarchy, with rare populations of stem cells giving rise to more differentiated cellular progeny through intermediate steps (color shades). Reprogramming experiments have shown that differentiation is reversible (left and right arrows). Cellular transformation (red arrow) is a stepwise process involving accumulation of genetic and epigenetic hits. Once initiated, additional and potentially divergent alterations may occur, establishing a tumor with genetic heterogeneity (illustrated by yellow and green *) and, within each genetic subclone, an epigenetic hierarchy (color shades). Altered activity of key regulators, including CRs and TFs, can play dual roles in cancer, contributing to transformation and epigenetic state transitions ("oncogenic reprogramming"). We speculate that the same network of regulators may then act within the established tumor to rewire differentiated cancer cells into stem-like cells, thus establishing a dynamic equilibrium between differentiation and reprogramming.