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## Dorsomedial hypothalamic NPY modulation of adiposity and thermogenesis

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### Abstract

In addition to controlling food intake, the dorsomedial hypothalamus (DMH) plays an important role in thermoregulation. Within the DMH, a number of neuropeptides and receptors have been found and their roles in controlling energy balance are being investigated. We recently found that the orexigenic neuropeptide Y (NPY) in the DMH has specific actions on body adiposity and thermogenesis using a viral-mediated manipulation of NPY in the DMH. Knockdown of NPY in the DMH promotes the development of brown adipocytes in white adipose tissue and increases brown adipocyte activity. DMH NPY knockdown also causes increased thermogenesis and energy expenditure. Finally, DMH NPY knockdown prevents high-fat diet-induced obesity and improves glucose homeostasis. This review focuses on the role of DMH NPY in modulating body adiposity and thermogenesis.

### Keywords

dorsomedial hypothalamus; neuropeptide Y; brown adipocyte development; brown adipose tissue; thermogenesis; energy expenditure

## 1. Introduction

The human body maintains energy homeostasis through regulating food intake and energy expenditure. Increases in food intake and/or decreases in energy expenditure lead to excessive energy accumulation in the form of fat stored in adipose tissue that over the time results in obesity. Obesity has increasingly become a global health problem. For instance, over the last thirty years, obesity rates in the United States (US) have doubled for adults and tripled for children. Now about one third of US adults (33.8%) and approximately 17% of children and adolescents aged 2–19 years are obese [1, 2]. Obesity has serious health consequences linked to various life-threatening diseases, such as diabetes, cardiovascular diseases and some types of cancer, resulting in its becoming a leading preventable cause of death [3, 4]. However, current approaches for tackling the obesity epidemic are inadequate.

The identification of brown adipose tissue (BAT) in adult humans [5–7] has promoted the potential of BAT activity for combatting obesity. Two types of fat, white adipose tissue

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(WAT) and BAT, exist in mammals. WAT consists of unilocular adipocytes that contain a large lipid droplet. WAT acts as an energy reservoir to store excess calories and supply the stored lipid in response to decreased caloric intake or increased energy needs. In contrast, BAT is comprised of multilocular and mitochondrial-rich adipocytes that contain multiple small lipid droplets. BAT dissipates lipid energy to produce heat via mitochondrial uncoupling protein 1 (UCP1)-mediated nonshivering thermogenesis as a defense against cold and the potential intervention for the prevention and treatment of obesity. We have recently reported that knockdown of the orexigenic peptide neuropeptide Y (NPY) in the dorsomedial hypothalamus (DMH) via the vector of adeno-associated virus (AAV)-mediated NPY-specific RNAi (AAVshNPY) promotes the development of brown adipocytes in inguinal WAT, elevates interscapular BAT activity, and increases body energy expenditure in addition to its feeding effects [8]. This manipulation causes reduced fat accumulation, prevents high-fat diet-induced obesity, and ameliorates high-fat diet-induced hyperinsulinemia and glucose intolerance [8]. This article will review these new findings and discuss the potential for manipulation of DMH NPY for the fight against obesity and its related metabolic disorders.

## 2. DMH in thermoregulation

Beginning with the work of Bernardis and colleagues [9], our understanding of the role for the DMH in the control of energy balance has significantly progressed. Lesions of the DMH have been well-known to cause hypophagia, hypodipsia, reduced body weight and reduced linear growth [10]. Recent studies have further shown that stimulation or disinhibition of neurons in the DMH results in significant increases in sympathetic nerve activity to interscapular BAT and elevates local BAT and core body temperature [11, 12]. These data indicate that the DMH not only affects food intake, but also plays an important role in thermoregulation although the nature of DMH neurons or the neural mechanism underlying these effects remains to be determined. Within the DMH, a number of neuropeptides such as NPY, cholecystokinin (CCK), corticotrophin-releasing factor (CRF), and peptide receptors such as CCK-1 (CCK1Rs), melanocortin (MC) 4 and leptin receptors have been found, and their roles in controlling food intake and energy balance are being investigated [13]. Among them, NPY appears to serve as an important orexigenic output of the DMH to affect food intake and body weight. While DMH NPY overexpression results in hyperphagia and obesity, knockdown of NPY in the DMH ameliorates these alterations in Otsuka Long Evans Tokushima Fatty (OLETF) rats [14] and prevents diet-induced obesity [8]. In addition, DMH NPY knockdown affects brown adipocyte development, interscapular BAT activity and body energy expenditure [8], suggesting that DMH NPY also serves as an important neuromodulator in thermogenesis or thermoregulation.

## 3. DMH NPY modulates adiposity and thermogenesis

NPY is a 36-amino acid neuropeptide, initially discovered by Tatemoto and colleagues [15], that belongs to the pancreatic polypeptide family including peptide tyrosine-tyrosine (PYY) and pancreatic polypeptide (PP) [15, 16]. NPY is ubiquitously distributed in the central nervous systems [17, 18] and has a variety of biological and physiological actions [13, 19, 20]. Within the hypothalamus, NPY plays a pivotal role in the controls of food intake and energy balance. Intracerebroventricular (icv) or intrahypothalamic injection of NPY causes robust increases in food intake and body weight [21–24] and, with chronic administration, can eventually produce obesity [25]. In addition, icv administration of NPY promotes WAT lipid storage and decreases BAT thermogenesis [26]. Central administration of NPY suppresses sympathetic activity in interscapular BAT in rats [27]. Thus, hypothalamic NPY not only acts as an orexigenic peptide, but also affects adipocyte lipid mobilization and thermogenesis.

### 3.1 Differential regulation of NPY in the ARC and the DMH

Within the hypothalamus, NPY-expressing neurons have been identified in the arcuate nucleus (ARC) and the DMH in both rat and non-human primate brains [28–30] (Figure 1), but the regulation of NPY in the ARC and the DMH differs. While ARC NPY serves as one of the downstream mediators of leptin's actions [31–36], DMH NPY is not under the control of leptin [28]. DMH NPY neurons do not co-express the long form of the leptin receptors (LepRbs) although abundant LepRbs are present in the DMH [28]. In fact, DMH NPY neurons contain CCK1Rs in the rat [37] and parenchymal injection of CCK into the DMH of rats inhibits *Npy* mRNA expression and decreases food intake [38]. Consistent with this DMH CCK-NPY signaling, lack of CCK1Rs in OLETF rats has been proposed to contribute to increased *Npy* expression in the DMH that plays a causal role in the development of their hyperphagia and obesity [14, 39]. Moreover, although *Npy* gene expression in the DMH is undetectable in normally growing mice [37](Figure 1), an induction of *Npy* expression in the DMH has been found in a number of mouse models of obesity including the lethal yellow agouti (*A<sup>y</sup>*) [40], MC4R knockout [40], diet-induced obese [41], tubby [42], and brown adipose tissue-deficient obese mice [43]. However, such induction of *Npy* gene expression is not evident in leptin deficient *ob/ob* mice [40], supporting the view that DMH NPY acts independently of leptin, but the detailed neural circuits remain to be characterized.

### 3.2. DMH NPY knockdown promotes brown adipocyte development

To determine a functional role for DMH NPY in energy balance control, we have developed an AAVshNPY vector for knocking down NPY expression in the DMH and examined the effects of DMH NPY knockdown on food intake, energy expenditure and adiposity. We found that knockdown of NPY in the DMH affects body weight through affecting food intake, specifically showing a nocturnal and meal size-specific feeding effect [14], physical activity, thermogenesis, energy expenditure, and body adiposity [8]. DMH NPY knockdown decreases subcutaneous inguinal fat mass in rats on regular chow and ameliorates high-fat diet-induced increases in fat accumulation in the inguinal and epididymal fat and in the interscapular BAT mass [8]. Intriguingly, DMH NPY knockdown promotes the development of brown adipocytes in inguinal WAT. This white-into-brown adipocyte transformation has been confirmed by histochemistry, showing that inguinal adipocytes of NPY knockdown rats form new large clusters containing multilocular adipocytes (brown-fat-like adipocytes) [8]. In support of this view, the BAT-specific marker UCP1 is highly expressed in these new formed cells and also in a number of unilocular adipocytes around these clusters [8]. UCP1 expression in this inguinal fat is further confirmed by using both real-time RT-PCR (reverse transcriptase-polymerase chain reaction) and Western blot analyses [8]. But, whether these browning adipocytes in WAT are directly derived from specific precursor cells such as beige (or brite) cells, or transdifferentiated from unilocular white adipocytes remains to be determined.

Since previous evidence has shown that the sympathetic nervous system (SNS) mediates WAT into BAT transformation [44–46], we next determined whether the sympathetic innervation is necessary for DMH NPY knockdown-induced transformation of white to brown adipocytes in inguinal WAT. In this experiment, we injected the neurotoxin 6-hydroxydopamine (6-OHDA) unilaterally into the inguinal fat area for regional sympathetic denervation 2 weeks prior to bilateral DMH injections of the vector AAVshNPY. The contralateral site received a saline injection as an internal control. We found that norepinephrine (NE) levels were significantly increased in inguinal fat of NPY knockdown rats compared to levels in the animals receiving DMH injections of control vectors, indicating that DMH NPY knockdown causes increased sympathetic activity to the inguinal fat area [8]. Injection of 6-OHDA into the inguinal fat area significantly lowered the NE levels, i.e., caused a sympathetic denervation [8]. As a result, within the rats with DMH

NPY knockdown, while the site of inguinal fat receiving saline injection became brown-like fat, the color of inguinal fat receiving 6-OHDA injection showed significantly less browning [8]. This observation was further confirmed by the histochemical study showing that the number of brown-fat-like adipocytes (multilocular adipocytes) was dramatically reduced on the side with 6-OHDA treatment as compared to the contralateral side where brown-fat-like adipocytes remained. 6-OHDA treatment also prevented UCP1 expression in inguinal adipocytes at both the protein and mRNA levels [8]. Together, these results indicate that DMH NPY modulates sympathetic nervous activity in inguinal fat and knockdown of NPY in the DMH results in increased sympathetic activity in this fat, leading to white to brown adipocyte transformation.

A number of molecules have been identified as contributing to brown adipocyte development. These include peroxisome proliferator-activated receptor- (PPAR- ) [47], PPAR- coactivator-1 (PGC-1 ) [48], PRD1-BF-1-RIZ1 homologous domain containing protein-16 (PRDM16) [49], CCAAT/enhancer-binding protein (C/EBP- ) [50], bone morphogenetic protein 7 (BMP7) [51], and forkhead box C2 (FOXC2) [52]. PPAR- is an essential factor for the development of both white and brown fat cells [47]. Chronic treatment with the PPAR- agonist rosiglitazone promotes brown adipogenesis in WAT characterized with distinct adipocytes, namely, “brite” adipocytes [53]. The rosiglitazone treatment causes not only the expression of PGC-1 and mitochondriogenesis, but also NE-augmentable expression of UCP1 in these brite cells [53]. PGC-1a is another key factor involved in brown adipocyte development and thermogenesis [48]. Ectopic expression of PGC-1 in white fat cells induces a number of mitochondrial genes and thermogenic genes, such as *Ucp1*, whereas genetic ablation of PGC-1 results in reduced capacity for cold-induced thermogenesis *in vivo* [54]. PRDM16, the other transcription factor that interacts with the active form of C/EBP, acts as a critical determinant of the brown fat lineage from myoblast progenitors during the embryonic development [55]. Based on the actions of these molecules, we initially examined whether PPAR- and PGC-1 were involved in DMH NPY knockdown-induced transformation of white to brown adipocytes. We found that DMH NPY knockdown promotes both *Ppar-* and *Pgc-1* gene expression in inguinal fat [8], suggesting that these key transcription factors are contributing to the browning effects of DMH NPY knockdown on inguinal WAT. A detailed examination of the molecular control of this white to brown adipocyte transformation is ongoing in our laboratory.

In the BAT, fatty acids serve as the thermogenic substrate and the -oxidation of the fatty acids (or lipolysis) is coupled with thermogenesis through UCP1. In other words, evoking thermogenesis depends upon evoking lipolysis [56]. We examined whether DMH NPY knockdown affects fat metabolism in the transformed inguinal fat in addition to its resulting in increased *Ucp1* and *Pgc-1* gene expression [8]. Fatty acid synthase (FAS) and carnitine palmitoyltransferase 1 (CPT1) are two important enzymes involved in fat metabolism. FAS plays a key role in fatty acid synthesis, whereas CPT1 is the rate-limiting enzyme controlling fatty acid oxidation. Compared to control rats, *Cpt1* gene expression is significantly increased in the inguinal fat of NPY knockdown rats with a trend toward a decrease in *Fas* gene expression [8], suggesting a transformation away from fat synthesis and toward fat oxidation in this tissue. Consistent with this view, a follow-up study has revealed increased thermogenesis in the inguinal fat of NPY knockdown rats. In the study, the inguinal fat temperature was directly examined using a radio transmitter device (E-mitter, Mini-Mitter) that was buried under the inguinal fat. At a normal ambient room temperature condition (23±1°C), the inguinal fat temperature is significantly increased by an average of 0.3°C during the dark period in rats with DMH NPY knockdown compared to control animals (Figure 2A). Thus, these results demonstrate that the inguinal browning fat of NPY knockdown rats exhibits the functional features of the BAT.

### 3.3. DMH NPY modulates interscapular BAT activity

Recent evidence has indicated the importance of the DMH in regulating sympathetic nerve activity to interscapular BAT and thermogenesis. Stimulation or disinhibition of neurons in the DMH by parenchymal microinjection of glutamate or  $\gamma$ -aminobutyric acid (GABA)-A receptor antagonist results in great increases in sympathetic nerve activity to interscapular BAT and increases in local BAT and core body temperature [11, 12]. Collectively, the DMH has been proposed as an intermediate relay receiving the inputs from the hypothalamic preoptic area (POA), a center of integrating central and peripheral thermal signals, and sending outputs to the rostral raphe pallidus (rRP) in the medulla, the area containing premotor neurons that regulate sympathetic nerve activity to interscapular BAT [11, 12]. However, the nature of DMH neurons mediating these effects has not yet been fully characterized. Using a model of *LepRb*<sup>EGFP</sup> reporter mice, Zhang and colleagues [57] have identified LepRb-expressing neurons in the DMH and dorsal hypothalamic area (DHA) as being involved in these sympathetic BAT circuits. Our recent findings provide support for the view that DMH NPY also serves as one of the important mediators of these DMH actions. DMH NPY knockdown causes increased expression of *Ucp1* in the interscapular BAT [8]. This suggests that DMH NPY affects interscapular BAT activity or thermogenesis. In support of this view, DMH NPY knockdown results in increased interscapular BAT thermogenesis as directly determined by increased BAT temperature. At room temperature condition ( $23\pm 1^\circ\text{C}$ ), interscapular BAT temperature is significantly increased by an average of  $0.43^\circ\text{C}$  ( $p < .05$ ) during the dark and there is a trend for increases ( $p > .05$ ) during the light compared to control animals (Figure 2B). These data demonstrate that DMH NPY also modulates interscapular BAT thermogenesis or activity to affect energy expenditure, but it is unclear whether DMH NPY is involved in the POA-DMH-rRP-BAT circuits.

### 3.4. DMH NPY affects energy expenditure

Consistent with the actions of DMH NPY on activity of both inguinal fat and interscapular BAT, manipulation of NPY expression in the DMH affects energy expenditure and thermogenesis. DMH NPY knockdown causes increased oxygen consumption during both dark and light phases of the circadian cycle at an ambient room temperature condition ( $23\pm 1^\circ\text{C}$ ). NPY knockdown rats have also decreased respiratory exchange rates during the dark and total 24 h periods. As a result, their energy expenditure is significantly increased over the 24 hour period [8]. Furthermore, although core body temperature does not differ between NPY knockdown and control rats at room temperature ( $23\pm 1^\circ\text{C}$ ), NPY knockdown rats have a greater increase in thermogenic response to 6 hours of cold exposure ( $6^\circ\text{C}$ ) compared to their control counterparts [8]. In addition, DMH NPY knockdown increases spontaneous physical activity measured as increased locomotor activity during the dark period [8]. Overall, DMH NPY plays a functional role in the regulation of thermogenesis and energy expenditure likely through affecting activity of inguinal fat and interscapular BAT as well as physical activity.

## 4. DMH NPY as a target for combatting obesity and diabetes

Although a variety of pharmacological experiments have demonstrated a pivotal role for NPY in the controls of food intake and body weight [21–25] and the actions of ARC NPY in the control of energy balance have been well studied and its underlying neural circuits have also been well documented [31–36], NPY knockout has little or no effect on food intake and body weight [58], implying that the lack of effect in the knockout is likely due to developmental compensations. In support of this view, neonatal ablation of NPY neurons in the ARC has minimal effects on feeding, whereas their ablation in adults causes rapid starvation [59]. Moreover, AAV-mediated expression of antisense NPY cRNA in the ARC of adult rats decreases ARC NPY expression and results in decreases in food intake and

weight gain [60], and knockdown of NPY in the ARC of adult rats via AAV-mediated RNAi attenuates the feeding response to food deprivation [14]. These data further suggest that the system of AAV-mediated manipulation of gene expression could provide a unique and efficient approach for studying the function of the target gene as well as the potential for gene therapeutic intervention.

In contrast to ARC NPY, our understanding of the roles for DMH NPY in maintaining energy homeostasis is just beginning. The limits on our understanding are likely due to the fact that *Npy* gene expression in the DMH (particularly in the compact region) is normally undetectable in normally growing mice (Figure 1A) but only detected in rats (Figure 1B), i.e. arguing that NPY in the compact region of the DMH is rat-specific. Using the radioactively labeled riboprobe of human *Npy* antisense, we have identified *Npy* expression in the DMH of non-human primate (Figure 1C), providing support for a potential role for DMH NPY in human energy balance. Using the rat model of high-fat diet-induced obesity and disordered glucose homeostasis, mimicking human obesity and diabetes, we found that knockdown of NPY in the DMH prevents high-fat diet-induced hyperphagia and obesity, and ameliorates diet-induced glucose intolerance, hyperinsulinemia and insulin insensitivity [8]. Since BAT plays an enormous role in glucose and triglyceride clearance [61], the effects of DMH NPY knockdown on brown adipocyte development and BAT activity may contribute to its actions in the prevention of diet-induced obesity and disordered glucose homeostasis, providing a potential target for combating human obesity and diabetes or related metabolic disorders.

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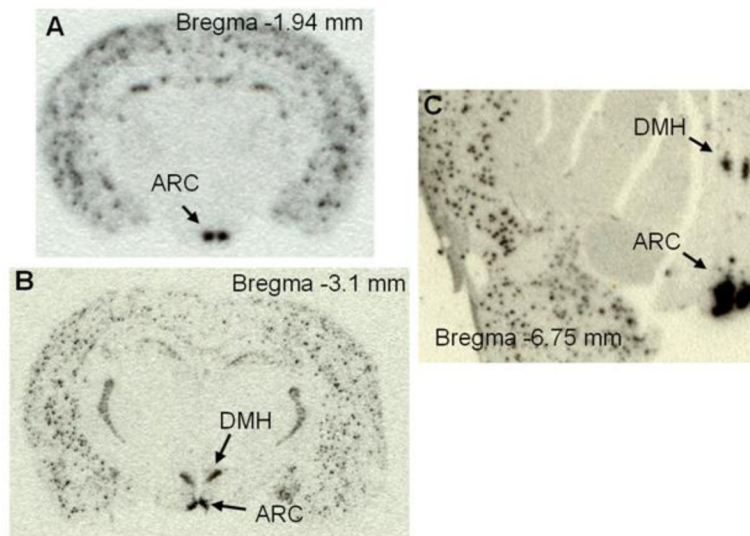
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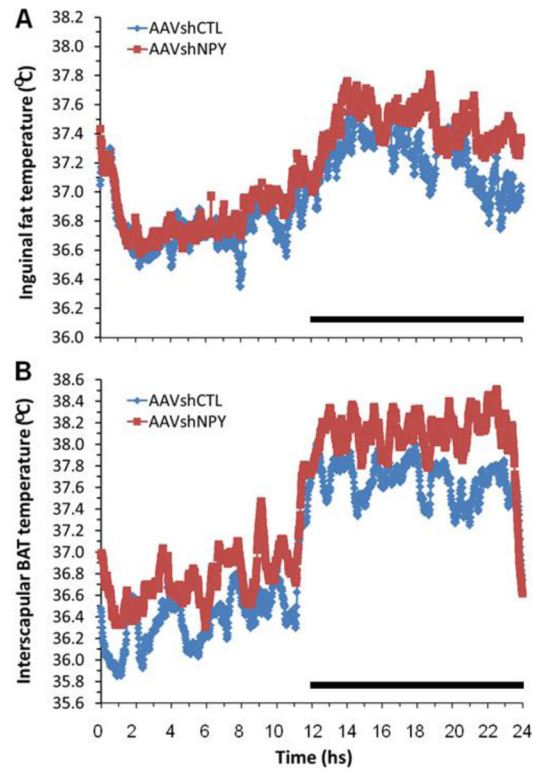
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### Highlights

- Knockdown of dorsomedial hypothalamic (DMH) NPY affects body adiposity
- DMH NPY knockdown promotes development of brown adipocytes in white fat depots
- DMH NPY knockdown promotes interscapular BAT activity
- DMH NPY knockdown increases thermogenesis and energy expenditure



**Figure 1.** In situ hybridization with [ $^{35}\text{S}$ ]-labeled antisense riboprobe of rodent or human *Npy* shows *Npy* gene expression in the arcuate nucleus (ARC) and/or the dorsomedial hypothalamus (DMH) in adult mouse (A), rat (B), and rhesus monkey brain (C).



**Figure 2.** Effects of DMH NPY knockdown on inguinal fat (A) and interscapular brown fat temperature (B) in rats receiving bilateral DMH injections of the vector adeno-associated virus (AAV)-mediated RNAi (AAVshNPY) compared with the rats receiving bilateral DMH injections of the control vector containing scrambled shRNA (AAVshCTL). Rats were housed individually at room temperature condition ( $23\pm 1^{\circ}\text{C}$ ) on a 12:12 hr light-dark cycle. Black bar indicates a dark period.