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## Evaluating breast cancer risk projections for Hispanic women

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## Abstract

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For Hispanic women, the Breast Cancer Risk Assessment Tool (BCRAT; "Gail Model") combines 1990-1996 breast cancer incidence for Hispanic women with relative risks for breast cancer risk factors from non-Hispanic white (NHW) women. BCRAT risk projections have never been comprehensively evaluated for Hispanic women. We compared the relative risks and calibration of BCRAT risk projections for 6,353 Hispanic to 128,976 NHW postmenopausal participants aged 50 and older in the Women's Health Initiative (WHI). Calibration was assessed by the ratio of the number of breast cancers observed with that expected by the BCRAT (O/E). We re-evaluated calibration for an updated BCRAT that combined BCRAT relative risks with 1993-2007 breast cancer incidence that is contemporaneous with the WHI. Cox regression was used to estimate relative risks. Discriminatory accuracy was assessed using the concordance statistic (AUC). In the WHI Main Study, the BCRAT underestimated the number of breast cancers by 18% in both Hispanics (O/E = 1.18, P = 0.06) and NHWs (O/E = 1.18, P < 0.001). Updating the BCRAT improved calibration for Hispanic women (O/E = 1.08, P = 0.4) and NHW women (O/E = 0.98, P = 0.2). For Hispanic women, relative risks for number of breast biopsies (1.71 vs. 1.27, P = 0.03) and age at first birth (0.97 vs. 1.24, P = 0.02) differed between the WHI and BCRAT. The AUC was higher for Hispanic women than NHW women (0.63 vs. 0.58, P = 0.03). Updating the BCRAT with contemporaneous breast cancer incidence rates improved calibration in the WHI. The modest discriminatory accuracy of the BCRAT for Hispanic women might improve by using risk factor relative risks specific to Hispanic women.

#### **Keywords**

Hispanic; Breast cancer; Risk prediction; Risk assessment; BCRAT

## Introduction

Breast cancer is the most commonly diagnosed cancer and leading cause of cancer-related death among Hispanic women in the United States (US) [1, 2]. The Breast Cancer Risk Assessment Tool (BCRAT), also known as the "Gail model" [3], estimates a woman's risk of developing invasive breast cancer over a defined period of time, given her age and risk factor profile [3]. For Hispanic women, the BCRAT combines 1990–1996 breast cancer incidence rates from the Surveillance, Epidemiology, and End Results (SEER) program for Hispanic women with relative risks for breast cancer risk factors from non-Hispanic white (NHW) women in the Breast Cancer Detection Demonstration Project [3]. Several case– control studies suggest that relative risks for family history, reproductive, and other factors may differ between Hispanic and NHW women [4–8], calling into question the relative risk assumptions of the BCRAT for Hispanics.

Although BCRAT risk projections have been extensively validated for NHW women [9–12], we are unaware of any study that has comprehensively examined the performance of the BCRAT in a cohort of US Hispanic women. In particular, prospective follow-up is required to evaluate *calibration*, i.e., the similarity between the number of breast cancers that develop in a population with that expected by the BCRAT [13]. Adequate calibration is crucial to ensure the validity of BCRAT-based risk thresholds used in clinical practice, such as the American Society of Clinical Oncology guideline that women with a 5-year breast cancer risk greater than 1.66% may benefit from using tamoxifen or raloxifene to prevent breast cancer [14]. Calibration may be adversely affected by changes in US breast cancer incidence, which increased through the 1990's, peaked around 2002, and has since declined [15]. For instance, the BCRAT for NHW women, which uses SEER breast cancer incidence from 1983 to 1987, underestimates breast cancer incidence in many cohorts established in the 1990s [16]. In particular, the Women's Health Initiative (WHI), when combining women of all races and ethnicities, found 20% underestimation by the BCRAT [17]. It has been

shown that calibration can be improved by using breast cancer incidence rates contemporaneous to the study cohort [16].

The WHI is one of the few prospective studies with a large cohort of US Hispanic women. Since the Hispanic BCRAT uses relative risks from NHW women, we compared the relative risks, calibration, and discriminatory accuracy of the BCRAT for 6,353 Hispanic participants to 128,976 NHW postmenopausal participants aged 50 and older in the WHI. We also assessed whether updating BCRAT breast cancer incidence rates to SEER rates contemporaneous with the WHI study period (1993–2007) improved calibration.

## Methods

#### Study design

The design of the WHI study has been previously described [18–20]. In brief, the WHI was a national, longitudinal health study composed of a set of randomized clinical trials (CT) and an observational study (OS). We used data on 6,353 Hispanic and 128,976 NHW postmenopausal women aged 50–79 without a history of breast cancer or mastectomy (bilateral or unilateral) at enrollment who were followed through March 2005 (WHI Main Study Period). BCRAT risk factors were obtained from the enrollment questionnaire. Mammograms and clinical breast exams were obtained at least biennially for CT participants but not necessarily for OS participants [20]. All reported invasive breast cancers were adjudicated locally and again centrally by physician adjudicators [4, 21].

#### Breast Cancer Risk Assessment Tool

The BCRAT [3, 12] estimates women's absolute risk of developing invasive breast cancer using age, age at first live birth, age at menarche, number of first-degree relatives with breast cancer, number of breast biopsies (which is also modified by age), and presence of atypical hyperplasia on a previous breast biopsy. Information on atypical hyperplasia was unavailable in the WHI. When information on a risk factor is missing for a woman, BCRAT imputes the safest level of that risk factor. For both Hispanic and NHW women, relative risks for the model risk factors are based on NHW women in the Breast Cancer Detection Demonstration Project [3]. For NHW women, the BCRAT is calibrated to 1983–1987 SEER invasive breast cancer incidence rates for white (not NHW) women. For Hispanic women, the BCRAT is calibrated to 1990–1996 SEER invasive breast cancer incidence rates for Hispanic women. We also updated the BCRAT ("Updated BCRAT") by combining BCRAT relative risks with SEER breast cancer incidence from 1993 to 2007 for Hispanic and NHW women, respectively, to ensure that breast cancer incidence rates overlap in time with the WHI.

#### Statistical analyses

We compared the BCRAT relative risks (RRs) to those estimated for Hispanic and NHW women in the WHI, separately, using Cox proportional hazards models. We assessed the discriminatory accuracy of the models with the concordance statistic, or area-under-the-curve (AUC) statistic [22]. Using the BCRAT and the Updated BCRAT, we computed each woman's absolute risk of developing invasive breast cancer from enrollment through 2005. Projections were limited to age 90, since the BCRAT does not project risk past that age. We summed absolute risks over women in each risk factor category *i*, and also overall, to calculate the expected count (*E<sub>i</sub>*), which was compared with the corresponding observed number of women with incident invasive breast cancer, *O<sub>i</sub>*. For each category, we calculated an observed/expected (*O*/*E*) ratio and 95% confidence interval (CI) with a lower limit of (*O*/*E*)exp( $-1.96 \times O^{-1/2}$ ) and upper limit of (*O*/*E*)exp( $2.96 \times O^{-1/2}$ ). Analyses were done separately for the BCRAT and the Updated BCRAT.

## Results

Compared with NHW women in the WHI, Hispanics women were 3.3 years younger at baseline, 4.4 years younger at breast cancer diagnosis, reported less family history of breast cancer, and fewer breast biopsies (Table 1). Relative risks (Table 2) for Hispanics in the WHI differed from those in the BCRAT for number of breast biopsies (RR = 1.71 vs. 1.27, P = 0.03) and age at first live birth (RR = 0.97 vs. 1.24, P = 0.02). For NHW women, the BCRAT differed for number of first-degree relatives with breast cancer (RR = 1.31 vs. 2.61, P < 0.001), age at first live birth (RR = 1.13 vs. 1.24, P < 0.001), and the interaction between family history and age at first live birth (RR = 1.01 vs. 0.83, P < 0.001). The only relative risk estimate that significantly differed between NHW and Hispanic women in the WHI was number of breast biopsies (RR = 1.27 vs. 1.71, P = 0.03). The concordance statistic (AUC) of the BCRAT was 0.63 [95% CI: 0.582–0.676] for Hispanic women and 0.58 [95%: 0.566–0.583] for NHW women in the WHI (P = 0.03).

The BCRAT underestimated the number of breast cancer diagnoses among Hispanics by 18% (O/E = 1.18, 95% CI = 0.99–1.40; P = 0.06) (Table 3). Underestimation occurred for both the CT and OS, as well as in most BCRAT risk factor categories (Supplemental Table 1). For NHW women, the BCRAT also underestimated the number of breast cancer diagnoses by 18% (O/E = 1.18, 95% CI = 1.14–1.21; P < 0.001).

The age-adjusted 1990–1996 SEER breast cancer incidence rate for Hispanic women used by the BCRAT is 7.7% lower than the SEER breast cancer incidence rate for Hispanic women during the WHI study period 1993–2007 (217 vs. 235/100,000 women/year; P < 0.001) (Fig. 1). The age-adjusted 1983–1987 SEER breast cancer incidence rate for white women used by the BCRAT is 14.5% lower than the SEER breast cancer incidence rate for NHW women during the WHI study period 1993–2007 (336 vs. 393/100,000 women/year; P < 0.001). The Updated BCRAT, using 1993–2007 SEER incidence rates, was wellcalibrated for both Hispanic women (O/E ratio = 1.08, 95% CI = 0.91–1.28; P = 0.4) and NHW women (O/E = 0.98, 95% CI = 0.96–1.01; P = 0.2) (Table 3). The Updated BCRAT showed improved calibration in nearly all risk factor categories for Hispanic and NHW women, except for NHW women with first-degree relatives with breast cancer (Supplemental Table 1).

## Discussion

In this comprehensive evaluation of the BCRAT for Hispanic women using prospective cohort data, we found that the BCRAT underestimated the number of invasive breast cancers by 18% for both Hispanic and NHW women. The calibration of the BCRAT improved greatly by updating the older SEER breast cancer incidence rates used by BCRAT to rates contemporaneous with the WHI (1993–2007). BCRAT relative risk estimates for number of breast biopsies and age at first live birth differed with estimates for Hispanic women from the WHI. The discriminatory accuracy of the BCRAT for Hispanic women was higher than for NHW women, but remained only modest.

Our findings suggest two potential improvements for the BCRAT. Although breast cancer incidence rates in 2007 returned close to the rates observed in 1990, if breast cancer incidence rates increase again in the near future, as expected [15], then serious consideration should be given to recalibrating the BCRAT to more recent rates. Another advantage of recalibrating the BCRAT for NHW women is that it would then conform to rates more specific to NHWs, rather than the 1983–1987 rates which included Hispanics as "white women."

Second, discriminatory accuracy may improve by using relative risks specific to each racial/ ethnic group. The relative risks for number of breast biopsies may differ between Hispanic and NHW women. Previous case–control studies [4–8] have reported that several breast cancer risk factors may have different effects in Hispanic women compared with NHW women. Furthermore, Hispanic women likely have unique breast cancer risk factors, such as migration history, degree of acculturation, Hispanic origin, and ancestral genetic admixture [23–25] that are not considered in the current BCRAT. For NHW women, the BCRAT relative risk for family history is much larger than that estimated in our data, but estimates from our data are consistent with those from most cohorts [16, 26] and meta-analyses [27, 28].

Some limitations need to be considered when interpreting our findings. Our analysis was limited to postmenopausal women aged 50 or older. There were only 130 Hispanic women with breast cancer, although our findings for Hispanic women are consistent with those from the much larger sample of NHW women. Though the WHI was a national study, conducted by 40 Clinical Centers in 24 states and the District of Columbia, Hispanic participants may not be representative of the US Hispanic population. Finally, women in the WHI CT underwent mammography at least biennially, which may have increased the number of breast cancers detected over that expected in the general population with less frequent mammography.

Further development of a more comprehensive model for Hispanic women, that considers breast cancer risk factors distinct to Hispanic women and is validated in a large cohort of US Hispanic women, is warranted.

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Abbreviations

BCRAT	Breast Cancer Risk Assessment Tool
NHW	Non-Hispanic white
SEER	Surveillance, epidemiology, and end results
WHI	Women's Health Initiative
** 111	women's ficatul initiative

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Banegas et al.



#### Fig. 1.

Age-adjusted SEER Invasive Breast Cancer Incidence Rates over the BCRAT and WHI time periods. *Solid lines* show SEER incidence rates of invasive breast cancer for the years used in BCRAT that are available in SEER (1983–1987 for White women and 1992–1996 for Hispanic women), as well as for those years available in SEER that cover the WHI study period (1993–2007). Dotted lines show the mean incidence rate of invasive breast cancer over the time periods used in the BCRAT for White women and Hispanic women, and for SEER 1993–2007 for both NHW and Hispanic women. Mean rates were estimated using age-specific invasive breast cancer incidence rates, among women aged 50 and older, based on 5-year age categories (i.e. 50–54 years of age, 55–59 years of age, 60–64 years of age, etc.) as used in the BCRAT. Rates are based on SEER 9 and 13 registries. All rates are per 100,000 and age adjusted to the 2000 US Standard Population rates among women age 50 and older

#### Table 1

Distribution of BCRAT risk factors and breast cancer outcomes in the WHI

Characteristic	Hispanic ( <i>n</i> = 6,353)		<b>Non-Hispanic</b> white ( <i>n</i> = 128,976)		Р			
BCRAT risk factors <sup>a</sup>								
Age at baseline, years (mean [95% CI])	60.20 [60.04, 60.37]		63.51 [63.47, 63.55]		<.001			
Age at menarche, years	Ν	%	Ν	%				
<12	1,560	24.8	27,718	21.6	<.001			
12–13	3,040	48.2	71,953	56.0				
14	1,701	27.0	28,848	22.4				
Age at first live birth, years								
<20	1,087	17.6	14,603	11.5	<.001			
20–24	1,869	30.2	51,016	40.0				
25–29/Nulliparous	2,773	44.9	52,436	41.1				
30	453	7.3	9,484	7.4				
Number of first-degree relatives with brea	Number of first-degree relatives with breast cancer							
0	5,318	89.9	103,979	85.1	<.001			
1	529	8.9	16,471	13.5				
2	71	1.2	1,696	1.4				
Number of breast biopsies								
0	4,909	83.2	95,075	78.9	<.001			
1	689	11.7	18,955	15.6				
2	304	5.1	7,949	6.5				
OS Participants	3,479	54.8	73,485	57.0	<.001			
CT Participants	2,874	45.2	55,491	43.0	<.001			
HT	1,536	53.4	22,006	39.7				
Non-HT	1,338	46.6	33,485	60.3				
Breast cancer outcomes and follow-up time in WHI main study								
Number of Invasive breast cancers	130		4,713					
Age at diagnosis, years (mean [95% CI])	) 63.8 [62.7, 65.0]		68.3 [68.1, 68.5]		<.001			
Follow-up time, years (mean [95% CI])	7.57 [7.53, 7.62]		8.12 [8.11, 8.13]		<.001			

*Note:* CT clinical trial, OS observational study, HT hormone therapy trial, 95% CI95% confidence interval. P value for differences among categorical variables are from  $^2$  test and for differences among continuous variables are from t test

 $^{a}$ Information on presence of atypical hyperplasia was not available in the WHI data

#### Table 2

#### Comparison of RR Estimates from the BCRAT and the WHI

BCRAT risk category	BCRAT	WHI Hispanic		WHI non-Hispanic White	
	RR	RR [95% CI]	P †	RR [95% CI]	₽ ‡
Age at menarche	1.10	1.08 [0.85, 1.37]	0.905	1.07 [1.03, 1.11]	0.230
Number of breast biopsies	1.27	1.71 [1.31, 2.24]	0.031	1.27 [1.22, 1.33]	0.994
Age at first live birth (AFB)	1.24	0.97 [0.78, 1.20]	0.024	1.13 [1.09, 1.18]	< 0.001
Number of first-degree relatives with breast cancer (FDR)	2.61	2.16 [1.13, 4.13]	0.571	1.31 [1.15, 1.49]	< 0.001
AFB * FDR interaction	0.83	0.84 [0.55, 1.29]	0.925	1.01 [0.94, 1.09]	< 0.001

Parameter estimates are Relative Risks (RRs), based on follow-up through the end of WHI Main study only; RR estimates based on comparisons to the referent category for each variable: Age at menarche (14 years old); Number of breast biopsies (0 biopsies); Age at first live birth (<20 years old); Number of first-degree relatives with breast cancer (0 relatives); AFB \* FDR (<20 years old and 0 relatives)

 $^{\dot{7}}\text{Test}$  of difference between WHI Hispanic and Gail Hispanic parameter estimates

 $\ddagger$ Test of difference between WHI White and Gail White parameter estimates

#### Table 3

#### BCRAT and updated BCRAT observed/expected ratios in the WHI

	Observed breast cancers	BCRAT		Updated BCRA	
		Expected breast cancers <sup>b</sup>	O/E ratio	Expected breast cancers <sup>b</sup>	O/E ratio
Hispanics					
Main study ( <i>n</i> = 6,353)	130	110	1.18 [0.99, 1.40]	120	1.08 [0.91, 1.28]
Non-Hispanic Whites					
Main study ( <i>n</i> = 128,976)	4,713	4,009	1.18 [1.14, 1.21]	4,788	0.98 [0.96, 1.01]

<sup>a</sup>For Hispanics, BCRAT is calibrated to 1990–1996 SEER Hispanic women rates and Updated BCRAT is calibrated to 1993–2007 SEER Hispanic women rates. For NHWs, BCRAT is calibrated to 1983–1987 SEER White women rates and Updated BCRAT is calibrated to 1993–2007 SEER non-Hispanic White women rates

 $^{b}$ Expected cancers are those estimated by the BCRAT and updated BCRAT, respectively