

Developmental bias in the evolution of phalanges

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Evolutionary theory has long argued that the entrenched rules of development constrain the range of variations in a given form, but few empirical examples are known. Here we provide evidence for a very deeply conserved skeletal module constraining the morphology of the phalanges within a digit. We measured the sizes of phalanges within populations of two bird species and found that successive phalanges within a digit exhibit predictable relative proportions, whether those phalanges are nearly equal in size or exhibit a more striking gradient in size from large to small. Experimental perturbations during early stages of digit formation demonstrate that the sizes of the phalanges within a digit are regulated as a system rather than individually. However, the sizes of the phalanges are independent of the metatarsals. Temporal studies indicate that the relative sizes of the phalanges are established at the time of initial cell condensation. Measurements of phalanges across species from six major taxonomic lineages showed that the same predictable range of variants is conserved across vast taxonomic diversity and evolutionary time, starting with the very origins of tetrapods. Although in general phalangeal variations fall within a range of nearly equal-sized phalanges to those following a steep large-to-small gradient, a novel derived condition of excessive elongation of the distal-most phalanges has evolved convergently in multiple lineages, for example under selection for grasping rather than walking or swimming. Even in the context of this exception, phalangeal variations observed in nature are a small subset of potential morphospace.

developmental module | developmental constraint | phalanx

The impacts of modularity and developmental constraint on trait evolvability are enduring themes in evolutionary developmental biology (1–6). Morphological modules are identified as strongly covarying structures; if the source of this covariance is developmental integration, we expect that development will produce a particular subset of phenotypic variations within populations rather than varying in all possible directions (4). This biased set of phenotypes then will influence evolutionary patterns by limiting options for natural selection. Theory suggests that evolution of stable integrated developmental units plays a major role in why we see the set of morphological forms that exist in nature today, in which some integrated developmental units become reinforced over generations and others break apart or reorganize when such innovations are selectively advantageous (4, 5). As a tool to assess modularity and constraint, morphospace, in which measurements are plotted and compared to analyze factors that affect size and shape, is commonly used as a means to compare differences in morphological form, (7).

Modularity in the limb has been widely cited as the basis for the conservation of the basic three-part structure of the limb: the stylopod (upper arm or leg), zeugopod (lower arm or leg), and autopod (hand and wrist or foot and ankle). This structural conservation contrasts with the large range of adaptive variations in size and shape of limb segments among species. How this modular structure and variation apply to the most distal limb parts, the fingers and toes, has not been explored. Digits evolved much later than the antecedents of the more proximal limb structure and are thought to be neomorphic, arising with the

invasion of land by amphibians in the Devonian era (8, 9). Clearly, fingers and toes are used for different functions in different species, and the number, size, and shape of phalanges varies tremendously across tetrapod taxa. Bird toes, for example, allow species to grasp prey, perch on branches, run, paddle, or dig. These different functions correlate with different skeletal proportions in the series of phalanges bones. Different positions of the toe joints, which determine proportions, thus are likely to be selectively advantageous for particular lifestyles (Fig. 1A).

The extent to which the sizes of the skeletal elements of the limb are truly independent of one another has not been fully explored. Here we address this question from a developmental perspective, focusing on the most distal limb elements, the phalanges.

Results and Discussion

Restriction in the Variation of the Relative Proportions of Phalanges.

The phalanges form by a process of sequential segmentation. Cells are added continuously to the distal end of each digit ray from the so-called “digit-forming region” (DFR) at the tip (10). When the newly formed cartilage reaches a critical length, a joint is initiated behind the growing tip, establishing a phalanx behind the new joint, and growth of the digit ray continues distal to the new joint. The skeletal elements of the limb generally are considered to have unique individual developmental identities specified within the three major limb segments. However, whether this modularity applies to the phalanges has been controversial. Some have ascribed a uniquely specified identity to each phalanx (11), whereas others have suggested that the digits are specified as a whole with the phalanges being generated through a reiterative segmentation mechanism in the context of

Significance

It has long been proposed that rules stemming from the mechanisms used during development can constrain the range of evolvable variations in a given form, but few empirical examples are known. We have focused on developmental processes determining proportions of phalanx size along individual digits (fingers/toes) of vertebrates. We find that phalangeal variation seen in nature is indeed constrained by an ancestral developmental program, limiting morphologies to a continuum from nearly equal-sized phalanges to a large-to-small gradient of relative sizes. Nonetheless, later innovations in distal regulation expanded variational possibilities for groups that needed greater grasping ability. These data provide a better understanding of how properties of developmental systems work in combination with natural selection to guide evolution of skeletal proportions.

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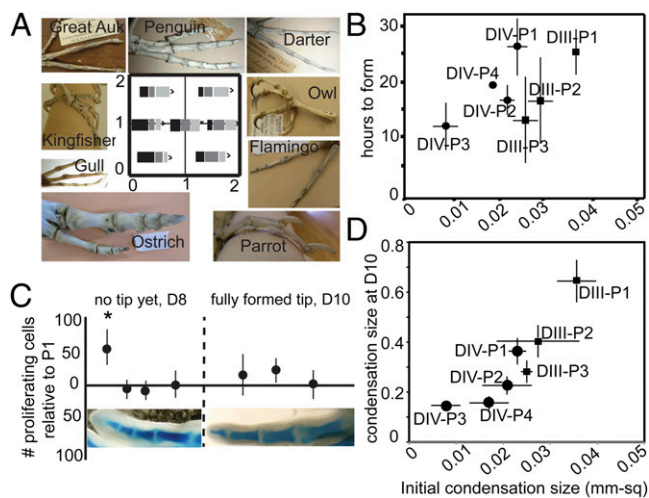


Fig. 1. (A) Morphospace potential for bird toe proportions. The x-axis shows the ratio of P2/P1; the y-axis is the ratio of P3/P1. Black rectangles indicate P1, dark gray rectangles indicate P2, and light gray rectangles indicate P3. The variations in the toe proportions of a variety of skeletal preparations of birds are shown. (B) In chick digits the number of hours required for a phalanx to develop from the proximal to the distal joint is related to the size of the initial (distal) condensation. (C) Density of proliferating cells (BrdU-incorporated cells) is relatively high in the distal-forming tip of digits, but once the distal joint is formed on a phalanx, proliferation is reduced. No significant differences in proliferation rate are found among formed phalanges within a digit. (D) The size of the initial condensation is correlated with size of the condensation when the final pattern is achieved (day 10) in digits III and IV in chicken embryos.

the digit identity (12). To differentiate between these hypotheses, we examined the variation in phalanx size within a single species. We reasoned that if phalanges are specified independently of one another, then the sizes of different homologous phalanges should vary independently among individuals. In contrast, if phalanges are established as part of a developmental module, then their proportions should covary. We measured the 2D area of the first, second, and third phalanges (P1–P3) of the fourth hind limb digit (digit IV) from radiographs of large collections of adult chicken and Zebra Finch skeletons. For each individual we plotted the ratio of P3/P1 versus the ratio of P2/P1, with each individual represented by a single point in morphospace. Strikingly, the plotted ratios for both species fall closely along a single line (Fig. 2A). With knowledge of this relationship, one can predict the size of P3 accurately by knowing the sizes of P1 and P2.

To verify that the apparent relationship between phalanges reflects a meaningful developmental integration in the formation of successive digits, we conducted simulations in which phalanx sizes were reassigned in series. The results substantiate that sizes of phalanges are highly unlikely to vary independently ($P < 0.001$) (SI Appendix).

Time and Size of Formation of Individual Phalanges. The lack of independence among phalanges suggests that there might be a developmental linkage between the formation of successive phalanges in a growing digit. Previous studies have noted that there is a cyclic oscillation in the expression of the *Hairy2* gene in the developing chick autopod, resembling the activity of the gene segmentation clock during somitogenesis (13). Moreover it was noted that the period of this oscillation, 6 h, is precisely half that of the formation of a phalanx, in particular the second phalanx (P2) of wing digit II, suggesting that phalanges could be generated on a periodic basis every two cycles of a clock (13). If true, this observation might suggest that segments would form at a constant rate and on a constant scale, with differences in phalanx size being attributable to subsequent differential growth.

However, this previous study determined the timing of the formation of only a single phalanx.

To address the timing of phalanx formation more generally, we used two approaches. First, we examined specimens from a fine-scale (2-h) time series through phalangeogenesis in chicks. In addition, we removed one foot of a chicken embryo *in ovo*, let the embryo grow a variable (4–48) number of hours, harvested the second foot, and then counted the differences in the number of joints formed in the first and second feet to determine the maximum number of hours between the formation of the proximal and distal joints of a given phalanx (SI Appendix). Both sets of observations showed that there is clear variation in the number of hours required to form different phalanges, with the phalanges that ultimately will be the largest having the longest period of formation (~25 h), and the smaller phalanges having significantly shorter periods (8–15 h) (Fig. 1B).

The difference in the timing of formation of the different phalanges could, in principle, reflect distinct rates of the condensation process in different elements; alternatively, the difference could be attributable to distinctions in the size of the condensations when they first form. To address these possibilities, we next measured condensation sizes in 161 chicken embryos and 168 Zebra Finch embryos that had been fixed during the period of phalangeogenesis and then stained with Alcian Blue cartilage stain and cleared with KOH. The smallest condensation size was determined by measuring the area of condensation just after the proximal joint of the phalanx was initiated. Down-regulation of cartilage matrix precedes formation of the joint interzone (i.e., the Alcian Blue staining becomes clear), and thus the earliest stages of joint position can be seen easily in Alcian Blue-stained hind limbs. In evaluating whether our sample size was sufficient to detect the initial condensations, we considered that five samples within 5% of the smallest measurement indicated a sampling level at which the smallest initial condensation size was known with confidence (SI Appendix). We found that initial condensation sizes differed among phalanges and were significantly correlated with final phalanx size ($P < 0.0001$, ANOVA) (Fig. 1D).

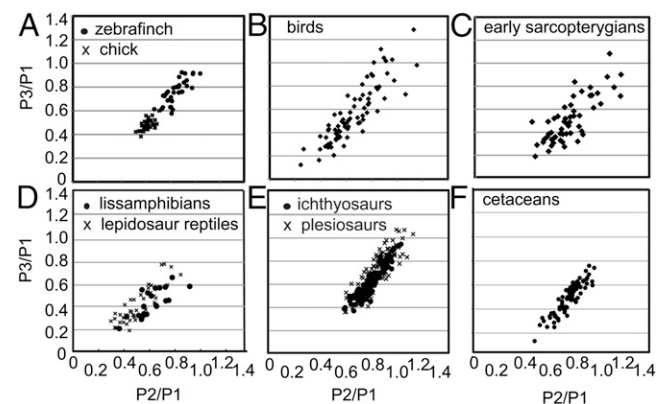


Fig. 2. Variation in proportions of phalanges within and among vertebrate groups. All groups vary along a line from equal-sized to a proximodistal gradient. Ratios are taken from area measurements and are standardized against the size of the first phalanx. x-axis: P2/P1; y-axis: P3/P1. Plots of the proportions of individual phalanges are for digit IV, P1–3 in (A) individual Zebra Finch and chick populations, (B) birds, (D) lissamphibians, and reptiles. In hyperphalangic (C) early sarcopterygians, (F) cetaceans, (E) ichthyosaurs, and plesiosaurs, the plots are ratios of multiple sets of three sequential phalanges. Equations for the lines are Zebra Finch, $y = 0.7528 + 0.1412x$, $r^2 = 0.43$; chick, $y = 0.63 \times 0.03$, $r^2 = 0.49$; $P < 0.0001$; birds, $y = 1.147 \times -0.19$, $r^2 = 0.77$; early sarcopterygians, $y = 0.944 \times -0.17$, $r^2 = 0.62$; lissamphibians, $y = 0.743 \times -0.03$, $r^2 = 0.605$; reptiles, $y = 0.949 \times -0.08$, $r^2 = 0.723$; cetaceans, $y = 1.21 \times -0.39$, $r^2 = 0.825$; ichthyosaurs, $y = 1.23 \times -0.32$; $r^2 = 0.71$; plesiosaurs, $y = 1.327 \times -0.44$; $r^2 = 0.854$.

so that, by knowing the sizes of two phalanges, one can reliably predict the size of a third.

To see if the developmental integration in the formation of the digital skeleton and the consequent predictability of relative phalanx sizes is a basal feature of tetrapods, we measured the phalanges present in the earliest autopods known from the fossil record, including several extinct amphibian species (22, 23), and from lungfish embryos, because they are a living representative of a basal sarcopterygian, the group from which tetrapod vertebrates evolved (24). The ratios of phalanx sizes in these early autopods are similar to those seen in modern tetrapods, suggesting that the digit skeletal elements have been formed as a developmental module with biased variations since the origination of the autopod, with metapodials later evolving into a separate module. Indeed when each major modern taxon was plotted against basal groups as a whole, no significant differences in slope were observed (Fig. 2 and *SI Appendix*).

Innovation in the Evolution of the Distal Phalanges in Derived Groups.

There is one major and very informative exception to this overall pattern. In the hindlimbs of some birds and in the forelimbs of some bipedal dinosaurs and climbing or digging reptiles, the penultimate phalanx (the last phalanx before the tip) is elongated. All these exceptions apparently are coincident with the lack of primary dependence on the limb for propulsion. For example, after examining digital images of a diverse sample of 76 species of birds, P4 of digit IV (and sometimes P3 of digit III) was seen to be significantly longer than anticipated, based on the foregoing analysis, in a number of different species (Fig. 4 and *SI Appendix*). Differences in the relative length of the penultimate phalanx of digits III and IV has been noted previously as a key variable that has been interpreted functionally relative to the lifestyle of birds along a simple spectrum from arboreal to cursorial (25, 26). However, these prior studies did not consider the penultimate phalanges in the context of the overall proportions of the proximal phalanges.

To gain a better understanding of the variation in digit IV, we plotted the data for digit IV in 3D morphospace (plotting the ratios of P2/P1, P3/P1, and P4/P1, along the three Cartesian axes) (Fig. 5 and *SI Appendix*). If digit IV, P4 were regulated independently, all points would be expected to be directly vertical in the z plane above the x-y line. In contrast, we found the observed proportions fell within a roughly triangular plane that was significantly off the vertical. This “tilted” position of the plane results from the observation that, in the species with the most elongated P4s, the P3 is also slightly larger than expected

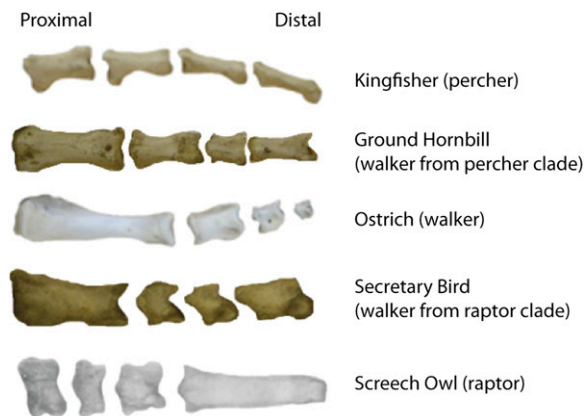


Fig. 4. Examples of convergence in phalanges proportions. From left to right, P1–P4 are aligned; ungual phalanges (tips) have been removed. Phalanges proportions evolve along predicted lines, e.g., the Ground Hornbill, a walking species whose closest taxon is primarily perching, has evolved toward the walking variant by elongating P1 and developing a steeper proximodistal gradient.

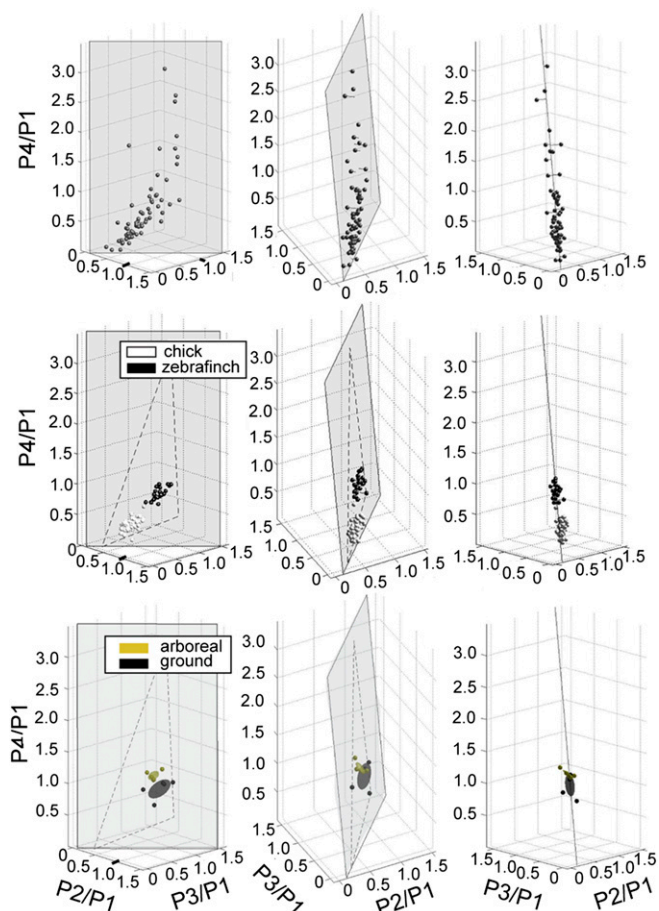


Fig. 5. (Top) Phalanges proportions for digit IV in birds fall roughly within a triangular plane in 3D morphospace. (Middle) Chick (white dots) and Zebra Finch (black dots) individuals fall within the same morphospace plane as proportion variations among species. (Bottom) Galapagos finch species that are more arboreal (yellow) have altered proportions as compared with more ground-dwelling species (black). Ellipses are centroid + 1 SD.

by the basal pattern (see screech owl digit IV in Fig. 4). One plausible developmental model for this pattern of distal elongation would be a response to a distal signal extending growth and delaying segmentation of the distal phalanges superimposed on the general developmental program leading to proportional decrease in sequential phalanx size. As is consistent with this model, the phalangeal elongation we observe is always in the distal-most phalanges. This pattern also is reflected in the triangular shape of the plane, which indicates that some conceivable variations in proportion on the plane are never found in nature (e.g., long-short-long-short). This restricted morphospace is highly improbable unless all phalanges covary as part of the same module (*SI Appendix*) (27). In other words, compared with the majority of vertebrate digits, the distal-most phalanges in birds can become relatively longer than expected from the basal pattern, creating an increased range of variability for these groups. Variation among individuals within populations also falls within this same morphospace (Fig. 5B).

We next tested whether particular proportions in birds were correlated with function. Birds were categorized according to known lifestyles or behaviors involving toes of the particular species. The functional categories included raptors, perchers, diggers, walkers, swimmers, and generalists, with species categorized based on published literature. We found that particular proportions in 3D space were associated with particular functional categories

developmental basis for these observations has been unclear. Focusing on the distal elements of the autopod, we have found that the formation of the phalanges of each digit is specified as a developmental module rather than as a series of independently specified elements. As a consequence, there is a developmental bias, so that in independent vertebrate lineages the proportions of phalanges have evolved repeatedly within a limited subgroup of all possible variations in morphospace (Fig. 7). The variation arises developmentally during a short period of morphogenesis during which joint positioning is established. The scenario we propose involves an ancestral developmental system that allowed variations ranging from equal-sized to a large-to-small gradient, with later innovations in distal regulation that opened up new variational possibilities for groups that needed greater grasping ability. These data thus provide a better understanding of how the properties of developmental systems work in combination with natural selection to guide evolution of skeletal proportions in vertebrates.

Methods

Analysis of Proportions and Morphospace. Adult specimens of birds, reptiles, amphibians, cetaceans, plesiosaurs, ichthyosaurs, and Darwin's finches were obtained from the American Museum of Natural History, the British Museum of Natural History, the Harvard Museum of Comparative Zoology, the Boston Museum of Science, and the Museum of the Rockies (see [Dataset S1](#)). Zebra Finch feet were obtained from university research animal colonies. The birds were excess breeders that were part of IACUC approved protocol BU11-026 in an AAALAC approved songbird breeding facility at Boston University; Chicken feet were obtained from Boston Chinese markets. From skeletal preparations and fossils, digital photographs were taken from the dorsal (top) side of the foot; for museum skins, digital X-ray was used to obtain images of phalanges. For some of the early sarcopterygian taxa, which are extremely rare and difficult to access, published photographs or illustrations were used to obtain measurements. Measurements of phalanges areas were made using ImageJ software by tracing around each phalanx. Proportions (P2/P1, P3/P1, and P4/P1) were plotted in 2D or 3D morphospace for visualization of the variation. Using MatLab, principal component

analysis data were used to measure variance and to establish the relationships between various sets of proportions. A statistical protocol was used on generated randomized datasets to establish whether the observed relationships between phalanges proportions were statistically significantly different from random (described in detail in [SI Appendix](#)). Functional data on the use of toes by bird species were obtained from published sources ([SI Appendix](#)).

Developmental Analyses. We used two methods to calculate the number of hours elapsed between the formation of the proximal joint and the formation of the distal joint in a phalanx. First, several large batches of chick eggs were incubated synchronously, and a 2-h time series was collected and stained with Alcian Blue. Condensation sizes for each phalanx were measured over each time period. The average elapsed time between proximal and distal joint formation was calculated to estimate the time of formation for a given phalanx. A second method involved removing one limb, allowing the embryo to grow for 4–48 h longer, then removing the other limb, performing Alcian staining, and counting the difference in the number of joints. The maximum number of hours before a new joint was observed in a digit was determined by estimating the number of hours required to form a given phalanx. Proliferation rates were calculated by labeling developing embryos with BrdU (Invitrogen EdU kits Alexa Fluor 555) and counting the numbers of labeled cells in 200- μ m quadrants. Barrier experiments were conducted using tantalum foil implants into digit IV of chick hindlimbs either on day 5 (metatarsal barriers) or on day 6–7 (phalanges barriers). Embryos were collected at day 10, fixed, and Alcian stained. For wound controls foil barriers were inserted and then were removed about 1 min later. Alcian Blue-stained condensations were measured and compared among treatment groups.

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