

Familial dysautonomia model reveals *Ikbkap* deletion causes apoptosis of Pax3⁺ progenitors and peripheral neurons

Lynn George^{a,b,1}, Marta Chaverra^{a,1}, Lindsey Wolfe^a, Julian Thorne^a, Mattheson Close-Davis^a, Amy Eibs^a, Vickie Riojas^a, Andrea Grindelnd^c, Miranda Orr^c, George A. Carlson^c, and Frances Lefcort^{a,2}

^aDepartment of Cell Biology and Neuroscience, Montana State University, Bozeman, MT 59717; ^bDepartment of Biological and Physical Sciences, Montana State University Billings, Billings, MT 59101; and ^cMcLaughlin Research Institute, Great Falls, MT 59405

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Familial dysautonomia (FD) is a devastating developmental and progressive peripheral neuropathy caused by a mutation in the gene inhibitor of kappa B kinase complex-associated protein (*IKBKAP*). To identify the cellular and molecular mechanisms that cause FD, we generated mice in which *Ikbkap* expression is ablated in the peripheral nervous system and identify the steps in peripheral nervous system development that are *Ikbkap*-dependent. We show that *Ikbkap* is not required for trunk neural crest migration or pathfinding, nor for the formation of dorsal root or sympathetic ganglia, or the adrenal medulla. Instead, *Ikbkap* is essential for the second wave of neurogenesis during which the majority of tropomyosin-related kinase A (TrkA⁺) nociceptors and thermoreceptors arise. In its absence, approximately half the normal complement of TrkA⁺ neurons are lost, which we show is partly due to p53-mediated premature differentiation and death of mitotically-active progenitors that express the paired-box gene *Pax3* and give rise to the majority of TrkA⁺ neurons. By the end of sensory development, the number of TrkC neurons is significantly increased, which may result from an increase in Runx3⁺ cells. Furthermore, our data demonstrate that TrkA⁺ (but not TrkC⁺) sensory and sympathetic neurons undergo exacerbated Caspase 3-mediated programmed cell death in the absence of *Ikbkap* and that this death is not due to a reduction in nerve growth factor synthesis. In summary, these data suggest that FD does not result from a failure in trunk neural crest migration, but rather from a critical function for *Ikbkap* in TrkA progenitors and TrkA⁺ neurons.

Hereditary sensory and autonomic neuropathies (HSANs) are a group of five phenotypically diverse but overlapping disorders of the peripheral nervous system (PNS) that result from mutations in 12 distinct genes (1). HSAN type 3, or familial dysautonomia (FD) (also called Riley–Day syndrome), results from an intronic mutation (IVS20 + 6T > C; 99.5% of patients) in a gene called inhibitor of kappa B kinase complex-associated protein or *IKBKAP*, causing mis-splicing and subsequent tissue-specific reductions in IKAP protein (2, 3). FD is marked by tachycardia, blood pressure lability, autonomic vomiting “crises,” decreased pain and temperature sensation, and commonly death during early adulthood (4). The function of IKAP in the nervous system is unclear, nor is it understood why deletions in this broadly expressed gene primarily devastate the PNS. The earliest pathology study, performed on a 2-y-old child with FD, showed that ~90% of cells in the dorsal root and sympathetic ganglia (SG) were missing (5). To identify IKAP’s function in the developing PNS, we first need to establish the steps in which it is essential.

The vertebrate PNS derives primarily from the neural crest, a multipotent, heterogeneous cell population that delaminates from the neural tube and migrates throughout the embryo (6). Those neural crest cells that stop laterally to the neural tube give rise to the chain of sensory dorsal root ganglia (DRG), whereas those that migrate further ventrally give rise to the vertebral chain of SG. Within the DRG, neural crest cells generate heterogeneous neuronal subpopulations including nociceptors,

thermoreceptors, mechanoreceptors and proprioceptors. With the completion of neural crest migration, multiple steps ensue that are essential for normal PNS development, including proliferation of discrete sets of neuronal progenitor cells that derive from different waves of migrating neural crest cells, neuronal differentiation, axonogenesis, target innervation, and circuit formation. FD could theoretically result from failure in any or several of these key developmental processes.

Insight into the mechanisms that cause FD have been complicated by data that implicate functions for IKAP in both the nucleus and the cytoplasm. In yeast, the IKAP homolog, Elp1, serves as a scaffold protein within the multisubunit Elongator complex that binds RNA polymerase II and facilitates transcription via histone acetylation (7–9). Although studies indicate that Elongator also functions in the cytoplasm to acetylate α -tubulin (10, 11), recent findings suggest that Elongator may regulate tubulin acetylation indirectly through tRNA modification (12–15). Independent of its role in the Elongator complex, cytosolic IKAP has also been shown to regulate actin cytoskeletal organization and cell migration, which has prompted the suggestion that FD results from a failure in neural crest cell migration (16–19). Mice that are completely null for *Ikbkap* die early in embryogenesis [by embryonic day (E) 10.5] with failure in neurulation and vasculogenesis, precluding their usefulness for analyzing the aspects of PNS development that are most impacted in FD (20, 21). Although a mouse hypomorphic *Ikbkap* model was recently generated that recapitulates many of the phenotypic

Significance

Familial dysautonomia (FD) is a devastating developmental peripheral autonomic and sensory neuropathy caused by a mutation in the gene inhibitor of kappa B kinase complex-associated protein (*IKBKAP*). It is marked by tachycardia, blood pressure lability, autonomic vomiting “crises,” and decreased pain and temperature sensation. FD is progressive, and affected individuals commonly die during early adulthood. To identify the cellular and molecular mechanisms that cause FD, we generated a mouse model for the disease in which *Ikbkap* expression is ablated in the neural crest lineage. This study is a mechanistic analysis of the cellular events that go awry in the developing peripheral nervous system in FD and identifies essential functions of IKAP protein in the peripheral nervous system.

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¹L.G. and M.C. contributed equally to this study.

²To whom correspondence should be addressed. E-mail: lefcort@montana.edu.

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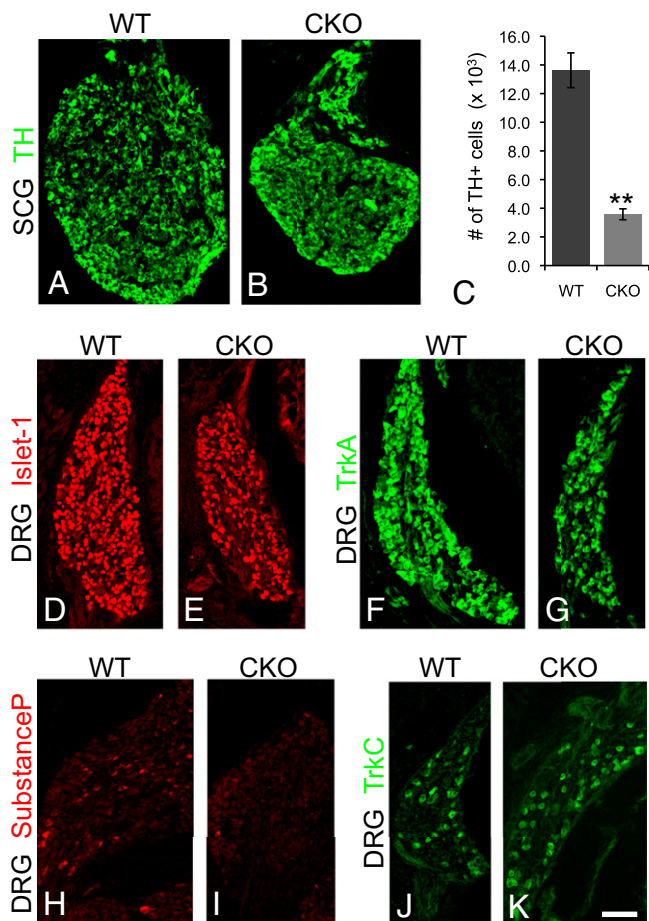


Fig. 2. Reduced numbers of sympathetic and DRG neurons in CKO embryos. (A–K) E17.5. Neuron numbers are significantly reduced in the SCG (A–C) and DRG (D and E) (Table S1) in CKO embryos compared with controls. (F–K) TrkA⁺ and substance P⁺ DRG neurons are depleted in CKO embryos (G and I, respectively), compared with controls (F and H), whereas the number of TrkC⁺ DRG neurons is slightly increased in mutant embryos (J and K). (Scale bar: 40 μ m.) ** $P < 0.01$.

zone of the spinal cord (Fig. 3B). Neurogenesis in the DRG occurs between E9.5 to E13.5 (34, 35) and comprises two overlapping waves, with the majority of TrkC⁺ neurons born during the first wave (E9.5 to E10.5) and the majority of TrkA⁺ neurons born during the second wave (E10.5 to E13.5) (36). During this time frame, the DRG is composed of mitotically active progenitor cells that comprise the DRG dorsal pole and DRG perimeter, with nascent neurons localized in the inner core (37, 38). *Ikbkap:LacZ* reporter embryos demonstrate that *Ikbkap* is expressed in both the progenitor and neuronal zones (Fig. 3C) and maintained in neurons through birth (Fig. 3D). To confirm IKAP protein expression in progenitor cells, we also immunostained E11.5 embryonic sections with antibodies to IKAP and to Pax3, a marker for progenitor cells that give rise to TrkA⁺ neurons (38). IKAP protein was strongly expressed in both Pax3⁺ progenitors and in postmitotic neurons (Fig. 3E). Thus, deletion of *Ikbkap* could potentially disrupt the development of either progenitors or postmitotic neurons or both.

Although we did not observe prominent *Ikbkap:LacZ* reporter activity in trunk neural crest, several reports have suggested that impaired neural crest cell (NCC) migration could underlie the neuronal phenotype of FD patients (17–19). To determine whether *Ikbkap* is indeed required at this stage, we examined CKO embryos at E9.5 and E10.5 and found that trunk NCCs migrated along their stereotypical ventral pathways and formed

sympathetic ganglia, DRG, and adrenal medulla in their normal locations (Fig. 3F–K). To verify the location and timing of Cre expression, as well as its activity, we analyzed E9 *Wnt-Cre; ROSA^{MT-mG}* embryos and saw robust Cre activity in migrating NCCs (Fig. 1A, Inset), indicating that *Ikbkap* was likely deleted in NCCs in CKO embryos despite their normal behavior. Together, our data demonstrate that IKAP is not required in mice for trunk NCC migration, pathfinding, nor for the cessation of migration to form PNS derivatives in their stereotyped locations, consistent with our previous findings in chick PNS development (39).

IKAP Is Required for the Generation of TrkA⁺ Neurons. Given our expression analysis demonstrating that *Ikbkap* is expressed throughout sensory neurogenesis and our finding that DRG neuron numbers

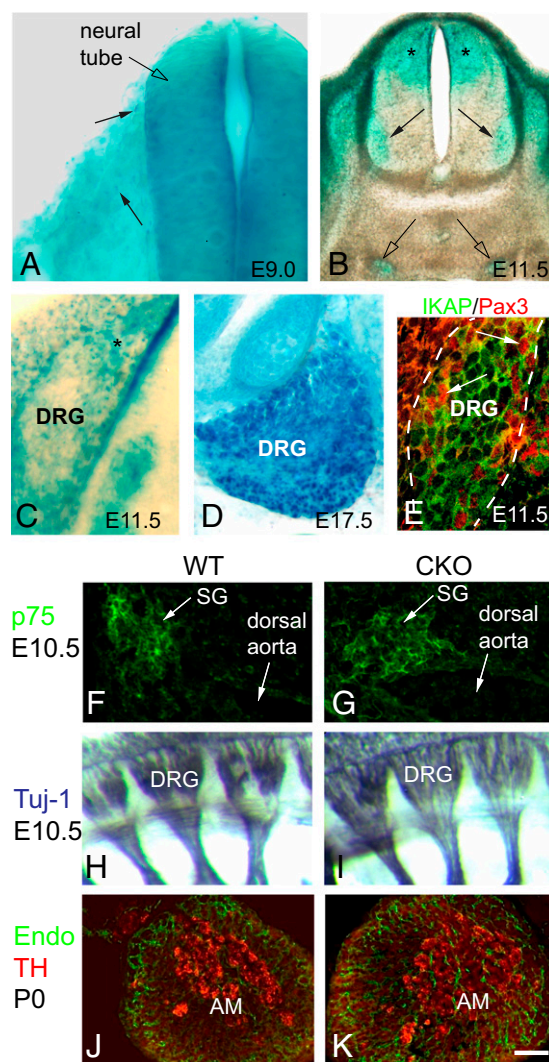


Fig. 3. *Ikbkap* expression in the developing PNS. (A–D) *Ikbkap:LacZ* reporter embryos. (A) E9.0. β -Gal is robustly expressed in the neural tube but minimally expressed in migrating NCCs. (B and C) At E11.5, *Ikbkap* is expressed in the dorsal half of the spinal cord including the ventricular zone (asterisks in B), in motor neurons (arrows in B), in the SG (open arrows in B), and in the DRG. (C) At E11.5, β -gal is expressed in the DRG dorsal pole (asterisk) and in the core neural zone. (D) At E17.5, *Ikbkap* is expressed in mature neurons. (E) IKAP protein is expressed in both the dorsal pole and perimeter in Pax3⁺ TrkA progenitors (arrows) and in neurons in the neural core. (F–K) NCC migration and patterning in the CKO is comparable to WT with normal formation of SG (F and G), DRG (H and I), and chromaffin cells in the adrenal medulla (J and K). (Scale bar: A, C–G, J, and K, 30 μ m; B, 100 μ m; H and I, 75 μ m.)

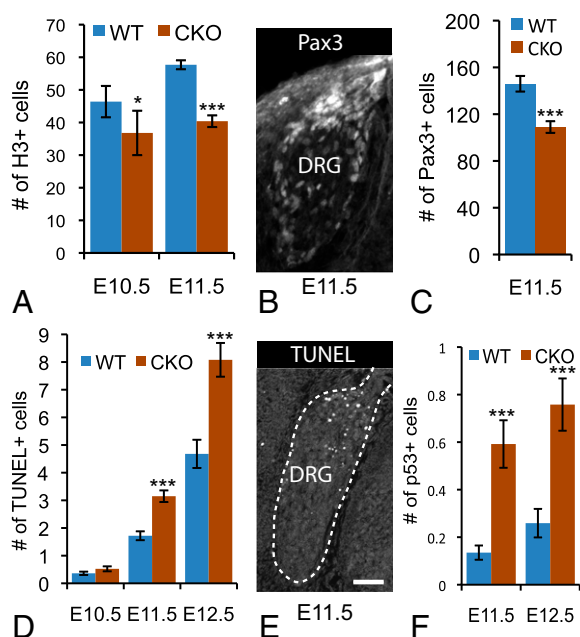


Fig. 5. *Ikbkap* is required for the second wave of neurogenesis in the DRG. (A) The number of H3⁺ progenitors is reduced in CKO embryos at E10.5 and E11.5. (B and C) E11.5. Pax3⁺ progenitors colonize the dorsal pole and perimeter of the murine DRG (B) and are depleted in *Ikbkap* CKO embryos (C). (D and E) The number of apoptotic cells is consistently higher in mutant embryos compared with controls (D), with TUNEL⁺ cells concentrated in the DRG progenitor zones (E). (F) Compared with controls, the number of p53⁺ cells is also dramatically higher in *Ikbkap* CKO embryos. (Scale bar: 40 μ m.) * $P < 0.05$; *** $P < 0.001$.

Nerve growth factor (NGF) is a critical target-derived survival factor for TrkA⁺ sensory and sympathetic neurons (26, 27, 44). To test whether sympathetic neurons die because of a reduction in NGF synthesis, we compared NGF mRNA levels in two sympathetic targets, the heart and the submandibular glands. These experiments show that rather than being reduced, NGF levels were actually elevated in the *Ikbkap* CKO mouse (Fig. S7).

We next sought to determine why *Ikbkap* deletion leads to the apoptosis of mitotically active, Pax3⁺ progenitors. Because Pax3 is known to destabilize the p53-mediated apoptosis pathway (45, 46), we determined whether p53 levels were altered in CKO embryos. In fact, we found that the number of p53⁺ cells was increased fourfold in the DRG of CKO versus control embryos from E11.5 to E12.5 (Fig. 5F and Fig. S8). We also found a significant increase in p53⁺ cells in the SG during this same time-frame (Fig. S8).

Discussion

In summary, these studies indicate that *Ikbkap* exerts pleiotropic effects in the developing PNS, including a critical function in neurogenesis and neuronal survival. The data reported here demonstrate that although *Ikbkap* is broadly expressed within the embryo, its ablation in the PNS is sufficient to generate the classic hallmarks of FD: devastation of the sympathetic and sensory nervous systems. These data also establish that this loss is not attributable to abrogation of trunk neural crest migration but, rather, to failure of DRG progenitor cells to generate the full complement of pain and temperature receptors, in addition to premature death of sensory and sympathetic progenitors and neurons. We show here that *Ikbkap* is expressed in both DRG progenitors, including Pax3⁺ TrkA progenitors, and in postmitotic neurons. Our data indicate that in the absence of *Ikbkap*, second-wave progenitors exit the cell cycle prematurely and either differentiate precociously into neurons (including, aberrantly, Runx3⁺ neurons) or die, leaving fewer progenitors available to generate the

complete set of TrkA⁺ nociceptors and thermoreceptors that would normally be obtained by E13. The fact that Runx3⁺ cell numbers (a marker of first-wave neurons) increased at the same age when Pax3⁺ progenitors were reduced (a progenitor of second-wave neurons) implicates the presence of a coordinated feedback system between the two waves of DRG neurogenesis, which was observed in the *Ngn1* and *Ngn2* knockouts (40).

How IKAP functions to maintain the survival of postmitotic neurons remains to be elucidated. Depletion of *IKBKAP* activates several proapoptotic p53-mediated genes in colon cancer cells (43), and we did find a significant elevation in p53 expression in the immature DRG and SG of CKO embryos. Given that the key neurons that undergo apoptosis in FD are NGF-dependent, it was of interest to discover that, rather than being reduced, NGF levels were actually elevated in target tissues of CKO mice. Interestingly, HSAN types 4 and 5 result from mutations in the TrkA gene, *NTRK1*, and NGF β , respectively. In the absence of *Ikbkap*, neurons could be dying before, during, or after target innervation; if the latter, this could suggest a requirement for IKAP in target-derived retrograde transport of NGF. Disruption in axonal transport has been observed in mutation of *Elp1* in *Caenorhabditis elegans* (11) and in HSAN type 1 and type 2 (1). We did find fewer TrkA⁺ axons in target tissue, but additional studies will be required to determine whether this is attributable to the reduction in TrkA⁺ neuronal cell bodies and/or a requirement for IKAP in target innervation.

Our data also indicate that IKAP is required for proliferation and survival of Pax3⁺ progenitors. Given that acetylation of Pax3 regulates its ability to activate downstream targets, including *Hes1* and *Ngn2* (47), IKAP may play a role in Pax3 acetylation, either directly via Elongator-mediated acetylation or indirectly through Elongator-mediated tRNA modification. In support of a direct association between IKAP and Pax3, IKAP contains WD40 domains that have been shown in Gro proteins to interact with Pax and Runx family members (48, 49). Pax3, in turn, also directly associates with p53 and mediates its binding to the ubiquitin ligase Mdm2, triggering its degradation (46). Via this pathway, the genetic ablation of p53 rescues the apoptosis and neural tube defects that characterize Pax3 mutant *Splotch* embryos (46, 50). Another possible link between p53 and IKAP/Elongator is that p53 activity is also critically dependent on acetylation (51). Thus, multiple pathways point toward a role for IKAP in affecting the posttranslational modifications of one or more of these key proteins.

Although it has been posited that IKAP/Elp1 may be required for the Elongator subunit Elp3 to acetylate tubulin (10), we did not find any alteration in tubulin acetylation in our CKO mice. Pax3 mutations also cause Waardenburg syndrome type 1, which results from a failure in development of the cardiac outflow track and may explain the death of our CKO embryos perinatally. In summary, the *Ikbkap* CKO mouse model presented here provides an ideal system for identifying the molecular pathways that could be therapeutically targeted to thwart the developmental pathologies and progressive degeneration that marks FD and related HSANs.

Materials and Methods

Facial Morphology Measurements. The inferior facial angle was defined on a sagittal view (Fig. S2 A and B) by the crossing of two lines: (i) a reference line from the inferior border of the eye to the anterior tip of the nose (solid line in Fig. S2 A and B); and (ii) a line joining the anterior tip of the nose and the anterior border of the chin. The position of the mandible was determined by measuring the distance between two parallel lines along the anterior tip of the nose and the anterior tip of the chin (double ended arrows in Fig. S2 A and B). These lines were drawn perpendicular to the reference line described above (solid line in Fig. S2 A and B).

Mice. *Ikbkap* CKO mice were obtained from the International Mouse Consortium. For additional information on mice and other materials and methods, see SI Materials and Methods.

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