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### **High-resolution array comparative genomic hybridization (aCGH) identifies copy number alterations in diffuse large B-cell lymphoma that predict response to immuno-chemotherapy**

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#### **Abstract**

Despite recent attempts at sub-categorization, including gene expression profiling into prognostically different groups of "germinal center B-cell type" and "activated B-cell type", diffuse large B-cell lymphoma (DLBCL) remains a biologically heterogenous tumor with no clear prognostic biomarkers to guide therapy.

Whole genome, high resolution array comparative genomic hybridization (aCGH) was performed on 4 cases of chemoresistant DLBCL and 4 cases of chemo-responsive DLBCL to identify genetic differences which may correlate with response to R-CHOP therapy.

Array CGH analysis identified 7 DNA copy number alteration (CNA) regions exclusive to the chemoresistant group, consisting of amplifications at 1p36.13, 1q42.3, 3p21.31, 7q11.23, and 16p13.3, and loss at 9p21.3, and 14p21.31. Copy number loss of the tumor suppressor genes CDKN2A (p16, p14) and CDKN2B (p15) at 9p21.3 was validated by fluorescence in situ hybridization and immunohistochemistry as independent techniques. In the chemo-sensitive group, 12 CNAs were detected consisting of segment gains on 1p36.11, 1p36.22, 2q11.2, 8q24.3, 12p13.33, and 22q13.2 and segment loss on 6p21.32. RUNX3, a tumor suppressor gene located on 1p36.11 and MTHFR, which encodes for the enzyme methylenetetrahydrofolate reductase, located on 1p36.22 are the only known genes in this group associated with lymphoma.

Whole genome aCGH analysis has detected copy number alterations exclusive to either chemoresistant or chemo-responsive DLBCL that may represent consistent clonal changes predictive for prognosis and outcome of chemotherapy.

#### **Keywords**

aCGH; DLBCL; copy number alterations; chemo-sensitive; chemoresponsive

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#### **Introduction**

Of all non-Hodgkin lymphomas, diffuse large B-cell lymphoma (DLBCL) is the most common, accounting for ~30-40% cases [1,2]. It represents a clinically, morphologically, and genetically heterogenous group of tumors, of which only a subset falls into the more specific categories outlined by the recently revised WHO Classification of Tumors of Hematopoietic and Lymphoid Tissues [1]. The largest proportion of the DLBCL, namely 20-30%, still continues to be defined only by their nuclear size and falls into the indistinct category of DLBCL, not otherwise specified [1,3].

Gene expression profiling performed on untreated, *de novo* DLBCL recognized two main, prognostically different groups: the "germinal center B-cell like (GCB-like) type", expressing a gene signature resembling normal germinal center B cells and associated with better event-free and overall survival, and the "activated B-cell like (ABC-like) type" with a gene signature reminiscent of activated peripheral blood B-cells and worse prognosis [4-6]. Despite the overall better survival for patients with the GCB-like type, responsiveness to chemotherapy in this group remains inconsistent, with a significant number of patients succumbing to the disease early in the disease course [7]. Likewise, long-term remissions of greater than five years after treatment have been observed in patients with the ABC-like type DLBCL. Overall, although most patients with a diagnosis of DLBCL respond initially to chemotherapy, only ~40% achieve durable remission [7].

In light of these problems, classification based on predicted response to chemotherapy treatment could be beneficial. Currently, the International Prognostic Index (IPI) is the prognostic score most commonly used to predict outcome in DLBCL [8]. It incorporates five clinical factors and separates patients into four prognostic groups, with disease free survival ranging from 73 to 26%. However, even within a given clinical prognostic group, the rate of disease progression and response to therapy is heterogeneous. This variable clinical course within the different subgroups has prompted research direction towards identifying underlying mechanisms for either resistance or sensitivity to chemotherapyinduced cell death of DLBCL. For instance, studies have shown that the cell death-inducing effect of chemotherapy is dependent on proper activation of the apoptosis cascade [7,9-11]. Alteration in expression levels of key apoptotic proteins is believed to cause inhibition of the apoptosis cascade and consequently may influence sensitivity to drug-induced cytotoxicity [10]. While previous studies have concentrated on selective genetic profiling of mostly apoptosis genes, our study utilized high-resolution array comparative genomic in situ hybridization for global detection of copy number alterations (CNA) exclusive to either chemoresistant or chemo-responsive DLBCL. This discovery set identifies potential clinically-relevant genetic determinants of chemotherapy responsiveness.

#### **Material and Methods**

#### **Patients and Tissue Collection**

The study protocol was reviewed and approved by the Institutional Review Board of Washington University in St. Louis, Missouri. For this retrospective cohort study, DLBCL specimens collected from 2004-2007 were identified. Specimens were frozen cell pellets stored after flow cytometry was performed on the diagnostic tumor biopsy. Specimens were included in this analysis if they met the following criteria: specimen was collected at diagnosis, prior to any anti-lymphoma therapy; patient was treated with R-CHOP (rituximab, cyclophosphamide, doxorubicin, vincristine, and prednisone); and greater than 1 year of clinical follow-up was available. DLBCL specimens that met the inclusion criteria were divided into two groups based on the patients' outcomes. "Chemoresistant" specimens

were from patients who failed to achieve a complete response to R-CHOP or who achieved an initial response but relapsed within 1 year of treatment completion, and "chemoresponsive" specimens were from patients who maintained a complete response to R-CHOP for 1 year. For each specimen, the percentage of lymphoma cells was calculated from the percentage of CD19+ or CD20+ B-cells reported on flow cytometry analysis, and the subtype (activated B-cell vs. germinal center B-cell) was determined from the CD10, BCL-6, and MUM-1 expression pattern performed by routine immunohistochemistry on the corresponding biopsy specimen at diagnosis for prognostic purposes [12].

#### **DNA Extraction and Array Comparative Genomic Hybridization**

Total DNA was extracted with the Gentra Puregene DNA Isolation Kit (Qiagen Inc, Valencia, CA). Isolated DNA of samples was shipped to Roche NimbleGen (Reykjavik, Iceland) for Full Service Workflow including sample labeling, hybridization and data analysis according to Roche NimbleGen protocols. Labeled samples were hybridized to the ultra-high resolution  $(-5-10 \text{ kb}$  resolution) CGH arrays with 2.1 million probes and 1,169 bp median probe spacing (Human CGH 2.1M Whole-Genome Tiling v2.0D) for comprehensive analysis of DNA copy number variation. Normal pooled genomic DNA provided by Roche NimbelGen was used as the reference genome.

#### **Data Analysis of Array Comparative Genomic Hybridization**

Normalization and calculation of log<sub>2</sub>-ratios of the probe signal intensities (Cy $3/Cy5$ ) were identified using the segMNT algorithm implemented in NimbleScan software. Raw and processed data including segment start and end positions were provided by Roche NimbleGen to the authors for further analysis. Changes in segment copy number were determined by filtered log<sub>2</sub>-ratios > 0.2 (copy number gain) or <  $-0.2$  (copy number loss), relative to reference DNA. The cases were screened for DNA copy number variants present in 3 or 4 of the chemoresistant DLBCL samples but not in the chemo-responsive DLBCL samples and vice versa, without considering content overlapping segments. Identification of copy number variation genes that overlap published copy number variant regions was by comparison to the Database of Genomic Variants [\(http://projects.tcag.ca/variation/](http://projects.tcag.ca/variation/)). The physical positions of genes overlapping detected copy number variation segments were based on the Human hg18 Assembly (NCBI Build 36.1). Published studies used for comparison were limited to only those studies with at least 10 biological samples utilizing Affymetrix, Agilent or Illumina CGH microarrays with 500K or greater resolution.

#### **Gene Network Analysis and Pathway Overrepresentation Analysis of Chemoresistant CNA Genes**

Gene network analysis of CNA genes was performed using the web application GRANITE (Shaik 2010 submitted) Entrez GeneIds for unfiltered chemoresistant (n=37) CNA genes were submitted to GRANITE using the default settings; the output was downloaded and separately visualized in Cytoscape ([www.cytoscape.org](http://www.cytoscape.org)). DAVID was applied to find biological ontologies and pathways enriched in CNAs. Entrez GeneIDs for unfiltered chemoresistant (n=37) and chemoresponsive (n=87) CNA genes were submitted and analyzed using the default settings on the DAVID website. Results were filtered for Bonferroni-corrected  $p$ -value  $< 0.05$  and redundant biological themes with identical list hit gene members were removed leaving the Pathway / Ontology Term with the lowest  $p$ -value.

#### **Fluorescence in Situ Hybridization for CDKN2A**

FISH was performed on all eight paraffin embedded tissue sections utilizing the dual color Vysis CDKN2A/CEP9 probe kit (Vysis Inc., Downers Grove, IL). According to the manufacturer, the CDKN2A probe is labeled with SpectrumOrange and spans approximately

222 kb containing a number of genetic loci including D9S1749, DS1747, p16 (INK4B), p14 (ARF), D9S1748, p15 (INK4B), and D9S1752. Assay and scoring were strictly performed according to manufacturer's protocol. In a normal sample, the expected pattern for a nucleus hybridized with this kit is a two orange/two green signal pattern. If a deletion at the 190kb region covered by the CDKN2A probe occurs on one chromosome 9 homolog and both centromeres from chromosome 9 are retained, the one orange/two green signal pattern is expected. A homozygous deletion at the 190 kb region covered by the CDKN2A probe would lead to green only signal pattern.

#### **Histology and Immunohistochemistry**

The tissues of the four chemoresistant DLBCL cases were routinely fixed in 10% buffered formalin, embedded in paraffin, and serially sectioned into 4-μm-thick sections for routine hematoxylin and eosin staining and immunohistochemistry. Immunohistochemistry for p16 (CINtec® Histology V-Kit, MTM Laboratories, Inc. Westborough, MA) was performed utilizing an autostainer (Benchmark XT System, Ventana Medical Systems, Tucson, AZ), as per the manufacturer's instructions. Positive and negative controls were run simultaneously.

#### **Results**

#### **Patients**

Thirty-five DLBCL specimens were identified, and 13 met the inclusion criteria. A discovery set of 4 chemoresistant and 4 chemo-responsive samples were selected for further analysis based on specimen quality and clearly divergent clinical outcomes (Table 1).

#### **Unfiltered and Filtered Differences in DNA Copy Number Alterations between Chemoresistant and Chemo-Responsive Diffuse Large B-Cell Lymphomas**

Without removing the genes that overlap published copy number variant regions, array CGH analysis identified 7 DNA CNA regions exclusive to the chemoresistant group, consisting of amplifications at 1p36.13, 1q42.3, 3p21.31, 7q11.23, and 16p13.3, and loss at 9p21.3, and 14p21.31 (Table 2). The only gene amplified in all 4 samples was CROCC, which encodes for the ciliary rootlet coiled-coil protein, located on 1p36.13. CROCC does not have a known association with lymphoma. Copy number gain of  $ABCA3$  on 16p13.3, which encodes for ATP-binding cassette (ABC) transporter 3, was observed in 3 of 4 chemoresistant DLBCL.

Copy number gain of MAPKAPK3 located on 3p21.31 and copy number loss of CDKN2A and CDKN2B located on 9p21.3 remained the only lymphoma related gene alterations in the chemoresistant group after removal of genes that overlapped published copy number variant regions. Figure 1A demonstrates a deletion region in chromosome 9 associated with CDKN2A and CDKN2B in three of the four cases and Figure 2 demonstrates an amplification region in chromosome 3 involving MAPKAPK3.

In the chemo-sensitive group, 12 unfiltered CNAs were detected consisting of segment gains on 1p36.11, 1p36.22, 2q11.2, 8q24.3, 12p13.33, and 22q13.2 and segment loss on 6p21.32 (Table 2). The majority of the genes that remained after genes were filtered out for overlapping published copy number variant regions have no known association with lymphoma, except for RUNX3, a tumor suppressor gene located on 1p36.11 and MTHFR, which encodes for the enzyme methylenetetrahydrofolate reductase, located on 1p36.22.

#### **Gene Network Analysis and Pathway Overrepresentation Analysis of chemoresistant CNA Genes**

Supplementary Figure 1 represents the network analysis of the chemoresistant copy number alteration genes showing the relationship between these genes. The interactive network map also includes "discovered" network genes (grey nodes) that are not part of the discovery set, but are known to interact with these genes. These types of discovered interactions, those with "discovered" network genes that do not show copy number variation but interact with CNV genes may be important.

Supplementary Table 1 represents the pathway overrepresentation of chemoresistant CNA genes. The most significant group was the tumor suppressor group including the NAT6, CDKN2A/B, TUSC4, RASSF1, and TUSC2 genes. The NAT6, TUSC4, RASSF1 and TUSC2 genes belong to the 3p21.3 tumor-suppressor gene cluster with deletions of this region leading to development of cancer. The transcript RASSF1A is one of the most frequently methylated genes so far described in human cancer.

#### **Fluorescence in Situ Hybridization for CDKN2A**

FISH hybridization signals were interpretable in all eight cases. All 4 patients in the chemoresponsive group showed a normal two orange/two green signal pattern supporting the normal aCGH pattern at this gene locus (data not shown). Abnormal hybridization signals were found in 2 of the 4 chemoresistant diffuse large B-cell lymphoma cases (Figure 1B). Case 3331 revealed a homozygous deletion from both diploid and polysomy 9 tumor cells, which resulted in two or three signals of green, only. Case 3328 revealed predominantly a hemizygous deletion signal pattern as well as monosomy 9 and, in a minor subset, a homozygous deletion pattern, with cells displaying single orange and two green signals (hemizygous), one orange and one green signal (monosomy 9), or two green signals only (homozygous). Case 3326, which showed a homozygous deletion by aCGH, revealed a normal two orange/two green signal pattern. However, the deletion in this case is a microdeletion of ~130 kb and likely was too small for detection by the larger FISH probe (~220 kb) used in this study.

#### **Histology and Immunohistochemistry for p16**

H&E stained sections of the four chemoresistant DLBCL revealed sheets of large neoplastic lymphoid cells (Figure 3A). Neoplastic cells of cases 3326 and 3331 (both homozygously deleted for  $p16$ ) did not stain for  $p16$  antibody, whereas 3328 (hemizygously deleted for  $p16$  and 3332 (no  $p16$  deletion) stained positively for this antibody in a nuclear and cytoplasmic pattern (Figure 3B).

#### **Discussion**

In this study, array CGH successfully identified copy number losses and gains exclusive to either chemoresistant or chemo-responsive DLBCL. A striking finding in our analysis is the deletion of CDKN2A and CDKN2B at 9p21.3 in three of four chemoresistant cases. To our knowledge, our study is the first to suggest an association between deletion of CDKN2A and CDKN2B in DLBCL and response to standard R-CHOP immunochemotherapy.

 $CDKN2A/p16INK4/p14ARF$  and  $CDKN2B/p15INK4B$  are tumor suppressor genes that regulate cell cycle progression via the retinoblastoma  $(Rb)$  and the p53 apoptosis pathways, and deletions at 9p21 have been reported in many lymphoid malignancies, including acute lymphoblastic leukemia and other non-Hodgkin lymphomas [13,14]. Furthermore, deletions of p16 and p15 have been shown to be associated with inferior survival in follicular lymphoma and mantle cell lymphoma [14-16]. In two studies focused on diffuse large B-cell lymphoma, deletion of 9p21 was reported in 35% of 66 cases and in 16.4% of 64 cases, although both analyses may have missed cases with small deletions due to the use of a relatively low resolution BAC array CGH [17,18]. In neither study was deletion 9p21 associated with overall survival. However, Tagawa et al. did find an association of deletion 9p21 with lower survival in DLBCL in a subset of patients with the ABC-type [19]. Another group found consistent loss of 9p21 in cases of primary cutaneous large B-cell lymphoma, leg type, a particularly aggressive cutaneous B-cell lymphoma [20].

Defective  $p53/INK/ARF$  signaling due to deletions involving the 9p21 locus is also thought to represent one of the intrinsic pathway mechanisms of apoptosis resistance in diffuse large B-cell lymphoma. The p16INK4a/p14ARF locus is an important mediator of oncogenedependant p53 induced apoptosis and disruption of this pathway was noted in ~16-28% of DLBCL [21-23].

In our study, the CDKN2A/B copy numbers detected by aCGH were validated by dual-color FISH analysis. All cases in the chemosensitive group showed a normal FISH signal pattern confirming that there was no copy number loss involving that gene in that group. Two of the three chemo-resistant CDKN2A/B deletions detected by aCGH revealed p16 deletions by FISH analysis. The third case displayed a microdeletion of less than 190 kb in size that was not detected by standard FISH technique. Although FISH is considered reliable and costeffective, it may overlook microdeletions smaller than the probe size. S. Savola et al. performed 44K aCGH on 37 Ewing sarcoma cases in order to define sizes of 9p21.3 deletions and correlate these with standard FISH analysis using a commercial dual color CDKN2A probe (the same probe was used in our study). 14 of 37 tumor cases had CDKN2A deletions by aCGH of which 4 tumors had microdeletions of up to 185 kb. FISH analysis was only performed on the case with the 58 kb microdeletion and read as a false negative [24].

The presence of strong immunophenotypic  $p16$  expression in the case with the predominantly hemizygous deletion pattern by FISH could be explained by  $p16$  expression from the other allele. The retained allel could have no mutation or a mutation leading to over-expression of a non-functional p16 protein.

The detection of copy number gain of MAPKAPK3 at 3p21.31 in three of four chemoresistant samples may also be of clinical relevance. MAP kinase phosphorylation sites are embedded in the central portion of the BCL-6 proto-oncogene, and they are believed to play a critical role in degradation of BCL-6 [25]. BCL-6 is expressed in GC B-cells. Its expression characterizes the GCB-like type of DLBCL, while the ABC-like type is recognized by increased NF B activation [26]. Perez-Rosado et al. have proposed that BCL-6 silencing through increased degradation leads to transcriptional up-regulation of multiple NF B target genes, including MAPKAPK3, causing NF B activation [25]. They argue that BCL-6 functions as a molecular switch controlling NF B activation status in normal and malignant B-cells. ABC-like DLBCL are characterized by constitutive activation of the NF B pathway resulting in high expression levels of many apoptosis inhibiting genes, consequently contributing to apoptosis resistance in these lymphomas [9,25-27]. Two of the three DLBCL with the amplification of MAPKAPK3 revealed BCL-6 negativity by immunohistochemistry (data not shown) and these two DLBCL corresponded to the ABClike type.

Although copy number gain of the ABCA3 gene at 16p13.3 overlapped with known published copy number variant regions in the Database of Genomic Variants [\(http://](http://projects.tcag.ca/variation/) [projects.tcag.ca/variation/](http://projects.tcag.ca/variation/)), several studies have implicated overexpression of this ATPbinding cassette transporter as a possible cause of drug resistance in numerous malignancies,

including hematolymphoid malignancies by promoting efflux of drugs out of the tumor cell. There are an increasing number of reports addressing genetic polymorphisms, such as copy number polymorphism (CNP) or single nucleotide polymorphisms (SNP) in drug resistance. Steinbach et al. report in their microarray study that ABCA3 was expressed at three times higher levels in acute myeloid leukemia (AML) blasts of pediatric patients who did not achieve remission after induction therapy [28].

Methylenetetrahydrofolate reductase gene (MTHFR) polymorphisms have been implicated as another example of genetic polymorphisms involved in predisposition to malignancy. In our study, three of the four patients in the chemo-responsive group displayed copy number variations in the MTHFR gene located on 1p36.22. Matsuo et al. outlined in their study from 2004 that individuals in the healthy control group expressed a significantly higher percentage of MTHFR 677T and/or 1298C alleles compared to DLBCL samples [29]. Likewise, these alleles have also been implicated in altering susceptibility to adult acute lymphoblastic leukemia [30]. It would be of interest to genotype all of our samples for these different polymorphisms to establish a possible link between MTHFR polymorphisms and clinical outcome in patients with DLBCL.

Array CGH was not performed on purified tumor samples, so that background (germline) material may have diluted our ability to detect other genetic changes that are specific to the tumor. Deletions in particular may be difficult to detect under these circumstances, which may explain the fact that the vast majority of copy number changes found were amplifications. However, tumor percentage in the chemoresistant samples was at least 30%, with most samples containing more than 55% tumor cells, and the homozygous deletion of CDKN2A and CDKN2B at 9p21 was also detected in the sample with only 30% tumor cells.

In summary, our study represents a discovery set of several interesting genes that may represent consistent genetic determinants of chemotherapy responsiveness. We picked one promising gene, namely CDKN2A, and validated aCGH findings by utilizing fluorescence in situ hybridization and immunohistochemistry as independent techniques. The combination of these more cost-effective and less time consuming techniques to detect p16 deletions may offer guidance in prognosis and outcome of chemotherapy. We recognize that the small case series utilized in this aCGH study raises concern of low detection power and validation studies with a larger sample size are needed to confirm the predictive value of the genes identified in our discovery set.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **Figure 1.**

a. Detection of CDKN2A and CDKN2B deletions at 9p21.3, 21.97-22.04 in three of four chemoresistant cases (3326, 3328, and 3331) using the NimbleGen Human CGH 2.1M Whole-Genome Tiling v2.0D Array. Cases 3326 and 3331 appear to have a homozygous deletion. Figure 1b. Representative CDKN2A/CEP9 FISH images of all chemoresistant DLBCL cases. Case 3326 reveals normal CDKN2A/CEP9 signals, likely due to a microdeletion (~130 kb) which was not detected by the probe. Case 3328 reveals hemizygous (short arrow) and, to a lesser extent, homozygous (long arrow) deletions, as well as monosomy 9 (arrowhead). Case 3331 is significant for a homozygous deletion in diploid (long arrows) and polysomy 9 (short arrow) tumor cells. Case 3332 reveals a normal signal pattern. All 4 cases in the chemo-responsive group showed a normal two orange/two green signal pattern (data not shown).



#### **Figure 2.**

Detection of MAPKAPK3 gains located on 3p21.31 in three of four chemoresistant cases (3326, 3331, and 3332) using the NimbleGen Human CGH 2.1M Whole-Genome Tiling v2.0D Array.



#### **Figure 3.**

a. Representative H&E stained sections of thechemoresistant DLBCL cases revealing sheets of large neoplastic lymphoid cells (500X magnification). Figure 3b. Immunophenotypic expression patterns of p16 in all four chemoresistant DLBCL cases. Whereas cases 3326 and 3332 have the expected loss of p16 expression due to homozygous deletions, case 3328 shows strong nuclear and cytoplasmic p16 staining, likely due to incomplete CDKN2A silencing. Case 3332 reveals nuclear and cytoplasmic staining in tumor cells, an expected finding for cases that display no CDKN2A deletion (500X magnification). Supplementary Figure 2A shows the positive p16 control of an endocervical carcinoma showing strong staining for p16 (100X magnification). 2B reveals the negative control of case 3326 at 200X magnification. No staining cells are seen.

Patient and specimen characteristics Patient and specimen characteristics



F, female; M, male; IPI, International prognostic index; ABC, activated B-cell subtype; GCB, germinal center B-cell subtype; CR, complete remission; OS, overall survival F, female; M, male; IPI, International prognostic index; ABC, activated B-cell subtype; GCB, germinal center B-cell subtype; CR, complete remission; OS, overall survival



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# **Table 2**

Imbalances detected by array CGH in the chemoresistant and chemo-responsive diffuse large B-cell lymphomas. Imbalances detected by array CGH in the chemoresistant and chemo-responsive diffuse large B-cell lymphomas.



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**Bold genes**, genes that remained after filtering out the genes that overlapped published copy number variant regions using the Database of Genomic Variants (<http://projects.tcag.ca/variation/>. Except for

CROCC which was found in all 4 chemoresistant cases, all other genes were detected in 3 of the chemoresistant or chemo-responsive cases.