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Attentional modulation of cell-class specific gamma-band synchronization in awake monkey area V4

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Summary

Selective visual attention is subserved by selective neuronal synchronization, entailing precise orchestration among excitatory and inhibitory cells. We tentatively identified these as broad (BS) and narrow spiking (NS) cells and analyzed their synchronization to the local field potential in two macaque monkeys performing a selective visual attention task. Across cells, gamma phases scattered widely but were unaffected by stimulation or attention. During stimulation, NS cells lagged BS cells on average by ~60° and gamma synchronized twice as strongly. Attention enhanced and reduced the gamma locking of strongly and weakly activated cells, respectively. During a pre-stimulus attentional cue period, BS cells showed weak gamma synchronization, while NS cells gamma synchronized as strongly as with visual stimulation. These analyses reveal the cell-type specific dynamics of the gamma cycle in macaque visual cortex and suggest that attention affects neurons differentially depending on cell type and activation level.

Introduction

Selective visual attention modulates neuronal synchronization within and between visual areas (Bosman et al., 2012; Buschman and Miller, 2007; Fries et al., 2001b; Gregoriou et al., 2009). Neuronal synchronization is brought about by an interplay between excitatory and inhibitory cells (Buzsáki and Wang, 2012). Yet, the differential synchronization of these two cells classes has not yet been studied in the awake monkey visual cortex, during well-controlled selective visual attention. We take the first steps in this direction by classifying cells based on their average waveform and analyzing the different cell classes' alpha and gamma LFP locking and their modulation by selective attention.

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Selective attention enhances gamma-band synchronization among neurons activated by the attended stimulus in areas V4 (Chalk et al., 2010; Fries, 2001b) and V2 (Buffalo et al., 2011), and it either reduces (Chalk et al., 2010) or enhances (Buffalo et al., 2011) gamma-band synchronization in area V1. The attentional effects on V4 gamma-band synchronization are predictive of attentional reaction time benefits (Womelsdorf et al., 2006). When two stimuli activate separate groups of V1 neurons with different gamma rhythms, only the rhythm induced by the attended stimulus synchronizes to V4, most likely mediating the selective interareal communication of attended stimulus information (Bosman et al., 2012; Grothe et al., 2012).

Gamma-band synchronization within a local neuronal group is governed by the interneuron network and its interaction with activated excitatory neurons (Börgers and Kopell, 2005; Buzsáki and Wang, 2012; Cardin et al., 2009; Cobb et al., 1995; Sohal et al., 2009; Tiesinga and Sejnowski, 2009; Whittington et al., 1995). These mechanistic insights have been captured in two models: the ING (interneuron network gamma) and PING (pyramidal cell interneuron network gamma) models of gamma-band synchronization. While in both, the inhibitory interneurons play a dominant role in generating the gamma rhythm, ING models (Whittington et al., 1995; Wang and Buzsáki, 1996; Bartos et al., 2007) have the pyramidal cells simply entrained, while PING models lend them a role in sustaining the rhythm after they are entrained (Börgers and Kopell, 2005; Eeckman and Freeman, 1990; Leung, 1982; Wilson and Cowan, 1972). PING models suggest that within the gamma cycle, pyramidal cells fire first and trigger the firing of inhibitory interneurons, leading to a characteristic average phase relation. This phase relationship is thought to be critical for the maintenance of gamma-band synchronization, and has been confirmed in recordings from rat hippocampus (Csicsvari et al., 2003; Tukker et al., 2007) and anesthetized ferret frontal cortex (Hasenstaub et al., 2005), but not yet in monkey visual cortex, a prime model to study the putative role(s) of gamma-band synchronization. In awake monkey visual cortex, we can separate the effects of visual stimulation and attentional top-down control on the synchronization of putative pyramidal cells and inhibitory interneurons.

Pyramidal cells and inhibitory interneurons can be tentatively separated in recordings from awake behaving monkeys by sorting spikes according to their waveform (e.g. Mitchell et al., 2007): Distributions of spike waveform across populations of neurons often have a characteristic bimodal shape, with broad spiking (BS) and narrow spiking (NS) cells typically labeled as putative pyramidal neurons and putative inhibitory interneurons, respectively. We have applied this approach to data from simultaneous recordings of single unit activity (SUA), multi unit activity (MUA) and local field potential (LFP) from four nearby electrodes in area V4 of two monkeys performing a selective visual attention task.

Results

Identification of NS and BS cells

We recorded spiking activity of 64 isolated single units from area V4 in two awake macaque monkeys (M1 and M2). For each neuron, we normalized the average AP (action potential) waveform by dividing by its peak-to-trough amplitude. We then aligned the average AP waveforms' peaks (Fig 1A). The distribution of AP peak-to-trough durations was bimodal (Fig 1B; $p<0.05$, Hartigan's dip test), as in a previous V4 study (Mitchell et al., 2007). Neurons were classified as either NS or BS if their average AP's peak-to-trough duration was smaller than 230 ms (N=22) or larger than 260 ms (N=40), respectively. NS cells had higher mean firing rates than BS cells in both the pre-stimulus and stimulus period (Fig 1C; randomization test, $p<0.05$). In the autocorrelogram, BS cells had earlier median peak times than NS cells at respectively 4±0.63 (±SEM) vs. 22±4.88 ms ($p<0.001$, Mann-Whitney U test, N = 62). In the ISI (inter spike interval) distribution, BS and NS cells showed median

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peak times of 5±1.38 and 12±3.5 ms, respectively ($p<0.05$, Mann-Whitney U test, N=62). BS cells showed relatively bursty firing patterns, with local variation (LV; Shinomoto et al., 2009) values significantly higher than one (median 1.58±0.07, $p<0.001$, rank Wilcoxon test) and higher than those of NS cells (median 1.02±0.19, $p<0.001$, Mann-Whitney U test, N=62). The observed differences in ISI, autocorrelation peak times and burstiness during sustained visual stimulation are in good agreement with findings from the rodent hippocampus (Csicsvari et al., 1999). Overall, these differences in waveform and spike train statistics suggest that our sample of NS cells mostly contained inhibitory interneurons, while our sample of BS cells mostly contained pyramidal cells (Barthó et al., 2004; Csicsvari et al., 1999; Hasenstaub et al., 2005; McCormick et al., 1985; Mitchell et al., 2007; Nowak et al., 2003).

Precision of phase locking in sustained stimulation period

We related spikes from isolated single units to the average LFP recorded simultaneously from up to four separate electrodes spaced at a fixed horizontal distance of 650-900 μm and a median vertical distance of 298 μm (with lower and upper quartiles of 144 and 585 μm). We quantified the precision of spike-LFP phase locking by using the spike-LFP pairwise phase consistency (PPC), a metric unbiased by spike rate or count (Vinck et al., 2012, 2010b). During the sustained visual stimulation period (>0.3 s after the onset of the stimulus grating, lasting until the first target or distracter change), spikes were strongly locked to LFP gamma-band oscillations (~50 Hz; Fig 1D), consistent with Fries et al. (2001b, 2008). Henceforth, we will investigate this gamma locking in more detail and report locking statistics for the 50 Hz bin, which roughly encompasses the 30-70 Hz interval due to spectral smoothing (see SI Methods).

We found that gamma PPCs were almost twice as high for NS than BS cells (Fig 1D; $p<0.01$, randomization test, $N_{NS}=22$, $N_{BS}=39$ for Fig 1D-F; for monkeys M1 and M2 see Fig S1A-B and S2A-B). The use of the PPC ensures that this difference is neither confounded by spike rate nor count. Irrespective of this, there might still be a physiological difference in locking strength between strongly and weakly firing units. To test whether the difference in gamma locking between NS and BS cells is due to such a physiological difference, we eliminated weakly firing BS cells until the mean firing rate was matched between BS and NS cells. After this rate stratification, NS cells still showed a stronger gamma locking than BS cells (BS: [mean PPC for high rate]=$3.1\times10^{-3}\pm1.1\times10^{-3}$, $p<0.05$, randomization test, $N_{BS} = 17$). Also, gamma PPC values were not correlated with AP waveform peak-to-trough duration (NS: Spearman $\rho=-0.086$, $p=0.7$; BS: $\rho=-0.16$, $p=0.31$), consistent with the notion that the separation based on waveform provided a separation into actual classes.

Several factors influence the gamma locking of spikes. One important known factor is the precise cortical layer (Buffalo et al., 2011), yet many other factors like the state of the animal might play a role. These factors might in principle be confounded with the probability of recording a BS versus an NS cell. And, even if they are not confounded, our limited sample size might lead to insufficient averaging-out of those factors. In order to assess the overall locking strength for a given recording site (and time, state, etc.), independent of the BS or NS characteristics of the recorded single unit, we analyzed the unsorted MUAs that were recorded from the same electrodes as the isolated units under consideration, while excluding the isolated single unit from the corresponding MUA. Based on the firing rates of MUA versus single units, we estimate that a typical MUA contained 10 to 20 single units, thereby providing a reasonable local average. Henceforth, we refer to these unsorted MUAs with the SUA excluded as the *same-site MUAs*. Same-site MUA PPCs did not differ between sites delivering isolated NS versus BS units (Fig 1E) (n.s., bootstrap test). This suggests that the overall gamma locking did not differ between the

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recording locations of BS and NS cells. Please note that if the MUA from a BS or NS recording site had been biased to contain more BS or NS cells, this would have created similar differences for the same-site MUA as for the respective SUA analysis, which we did not find. Although the same-site MUA PPC did not differ between NS and BS cells, it is conceivable that same-site MUA PPC varied across sites. In order to eliminate the variability in PPCs across units that is caused by differences in recording location, we computed, for each unit separately, the *SUA-MUA PPC difference*. This measure is defined as the difference between a SUA's PPC and its corresponding same-site MUA's PPC [$PPC_{SUA} - PPC_{MUA}$], such that a value >0 indicates stronger spike-LFP locking for the SUA than its corresponding same-site MUA. SUA-MUA gamma PPC difference was higher for NS than BS cells ($p<0.05$, randomization test) and significantly different from zero only for NS cells ($p<0.05$, bootstrap test) (Fig 1F). Hence, it is unlikely that the observed difference in gamma PPC between NS and BS cells (Fig 1D) was caused by differences in recording locations.

In neocortex, there are more BS than NS cells (Fig. 1B, Mitchell et al. (2007)). However, NS cells have higher firing rates, such that the MUA may contain roughly equal proportions of NS and BS spikes. Based on these estimates, the MUA-LFP PPC is expected to attain PPC values in between the BS and NS cells' PPC. In addition, we will demonstrate below that BS and NS cells lock on average to different gamma phases, and that individual single units often lock to widely varying gamma phases. Assuming that our MUAs typically contained both BS and NS cells, and individual cells that cover at least a small part of the overall inter-cell phase variance, this predicts that the MUA-LFP PPC is substantially smaller than the average PPC of its constituent SUAs, consistent with our observations.

Precision of phase locking in pre-stimulus period

We found that NS cells were more gamma locked than BS cells during the sustained visual stimulation period. NS cells might also be more gamma locked than BS cells during network states in which cells receive only weak excitatory drive. Previous studies have shown that MUA-LFP gamma locking and LFP gamma power are weak in the absence of visual stimulation or in the presence of low-contrast visual stimuli in the RF (receptive field) (Fries et al. 2008; Henrie and Shapley, 2005; Ray and Maunsell, 2010), although Fries et al. (2008) and Engel et al. (2001) have shown that MUA-LFP gamma locking can be reliably detected in the pre-stimulus period of the current task.

We analyzed the pre-stimulus period separately for the *fixation* (Fig 2A-B) and the *cue* period (Fig 2C-D; Fig 2E-F show both periods together for the lower frequencies). The fixation period started when the monkey had grasped the response bar and continued for >750 ms, ending with the appearance of the attentional cue. A cue period followed, lasting until the onset of a stimulus grating in the recorded neurons' RFs (and the simultaneous onset of a grating outside the RF). BS cells exhibited much lower gamma PPCs in the fixation (mean±SEM of $[PPC_{stim}-PPC_{fix}]=4.3\times10^{-3}\pm1.0\times10^{-3}$; $p<0.001$, bootstrap test, N=33) and the cue period ($2.8\times10^{-3}\pm0.7\times10^{-3}$, $p<0.001$, N=33) than in the sustained stimulation period (Fig 2A and C). A potential concern is that pre-stimulus PPC may have been particularly variable because of low spike counts. To increase the relative contribution of cells with high spike counts, we computed weighted PPC group averages, with the relative contribution of a unit proportional to its spike count (Fig 2B and D; see SI Methods). This analysis demonstrated that the relatively low BS cells' gamma PPC values did not arise because of low spike counts, yet it did reveal a shallow bump in the PPC spectrum at gamma frequencies.

The weak gamma locking of BS cells during the fixation and cue period contrasted sharply with the degree of gamma locking in NS cells: During the cue period, NS cells exhibited

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much stronger gamma locking than BS cells ($p<0.01$, randomization test; Fig 2C), with NS gamma PPCs reaching levels similar to the sustained stimulation period (Fig 2C, mean of $[PPC_{stim} - PPC_{cue}] = 0.61\times10^{-3}\pm2.3\times10^{-3}$, N=17, n.s., bootstrap test). This observation held true when PPC averaging was weighted by firing rates (Fig 2D). This state of strong NS gamma locking in the cue period occurred despite much lower firing rates than in the stimulus period (Fig 1C). NS cells' gamma PPCs were much higher in the cue (Fig 2C) than in the fixation period (Fig 2A; $[PPC_{cue}–PPC_{fix}] = 4.0\times10^{-3}\pm2.1\times10^{-3}$, $p<0.01$, bootstrap test, N=15), and this difference in NS cells' gamma PPCs occurred again in the absence of significant differences in firing rate between the fixation and cue period (Fig 1C; NS: p=0.27 and p=0.37 for rank Wilcoxon test on $[FR_{cue}\text{-}FR_{fix}]$ and $[(FR_{cue}\text{-}FR_{fix})/(FR_{cue}+FR_{fix})]$; BS: p=0.53 and p=0.38 for same tests). Moreover, we did not find a correlation between a given NS cell's gamma PPC value in the cue period, and its firing rate in the cue period relative to the fixation period $[FR_{cue}/FR_{fix}]$ (p=0.53, Spearman regression, N=15).

For some units (N=9), attention was cued using a block design, i.e. without an 'uncued' fixation period available. After inclusion of these units, we still found higher gamma PPC values for NS ($[PPC_{stim} - PPC_{cue}]=2.0\times10^{-3}\pm2.3\times10^{-3}$, N=21, n.s., bootstrap test) than BS cells ($[PPC_{stim} - PPC_{cue}]=2.7\times10^{-3}\pm0.97\times10^{-3}$, $p<0.01$, N=37) in the cue period (Fig 3A-B; $p<0.05$, randomization test; for monkeys M1 and M2 see Fig S1A-B and S3A-D). Hence, we included these units for further cue period analyses.

To exclude the possibility that NS cells were recorded from sites where overall prestimulus spiking activity was more gamma locked, we computed the same-site MUA's PPC and the SUA-MUA PPC difference. For recording sites delivering NS cells, cue period same-site MUA gamma PPCs ($0.99\times10^{-3}\pm0.32\times10^{-3}$) were much smaller than NS gamma PPCs (Fig 3C-E; $p<0.05$, bootstrap test, N=21). Same-site MUA gamma PPCs did not differ between sites corresponding to NS and BS units (Fig 3C; n.s., randomization test).

Analysis of the LFP revealed a clear peak in LFP-LFP phase-coupling in the gamma-band both in the fixation and cue period (Fig S4A), despite no visible gamma peak in the LFP power spectrum (Fig S4C). LFP-LFP coupling values (SI Fig S4B) and gamma LFP power (Fig S4D) were increased in the cue relative to the fixation period.

In sum, during the cue period, in the absence of a stimulus in the recorded neurons' RFs, while BS cells showed only weak gamma locking, NS cells showed much stronger gamma locking, similar to the level observed with visual stimulation inside their RFs. This finding suggests that strong NS gamma locking in the cue period was not a mere consequence of an increase in the strength and rhythmicity of bottom-up synaptic inputs, but that it resulted most likely from top-down control. Moreover, this finding suggests that V4 NS cells can maintain strong gamma locking in network states where excitatory drive is weak and the recurrent excitatory inputs are only weakly gamma-band modulated.

Correlations in gamma locking between periods

We next asked whether it is the same group of cells that exhibits gamma locking in both the pre-stimulus and sustained stimulus period, i.e., whether a unit's tendency to gamma lock in the pre-stimulus period predicts its tendency to do so in the stimulus period. A given BS unit's gamma PPC in the cue period could not be predicted by either its gamma PPC in the fixation (p=0.36, Spearman regression, N=33) or stimulus period (p=0.96, Spearman regression, N=37), presumably because BS gamma locking was strongly dependent on external visual inputs in the RF. In contrast, we found that an NS unit's gamma PPC in the cue period predicted its gamma PPC in both the fixation (Spearman $\rho=0.54$, $p<0.05$, N=15) and sustained stimulation period (Spearman $\rho=0.58$, $p<0.01$, N=21), showing that an NS cell's tendency to gamma lock was, to some degree, independent of external visual inputs.

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Pre-stimulus alpha locking, and its relationship to gamma locking

In addition to the observed PPC gamma peak, a prominent alpha peak was visible in the pre-stimulus spike-LFP PPC spectrum (Fig 2E and F), in agreement with previous findings (Bollimunta et al., 2008; Fries et al., 2008). Henceforth, we report alpha locking statistics for the 10 Hz bin, which roughly encompasses the 7.5-12.5 Hz interval due to spectral smoothing (see SI Methods). No significant difference between NS and BS cell alpha PPC was observed for any pre-stimulus period (Fig 2A, C and E, n.s., randomization test), though the weighted PPC did differ significantly at 12 Hz when pooling fixation and cue period (Fig 2F).

For NS cells, gamma locking in the cue period co-existed with strong alpha locking, with many NS cells locking both to alpha and gamma LFP cycles in the pre-stimulus period (38.1% co-locking of all NS cells, 52.3% at gamma, 62.0% at alpha, $p<0.05$, Rayleigh test; N=21), showing that the presence of locking in these two frequency bands was not mutually exclusive. The co-occurrence of alpha and gamma raises the question whether a unit's tendency to alpha lock predicts it propensity to gamma lock. We did not detect a significant correlation between alpha and gamma PPC across either NS ($p=0.9$, Spearman regression, N=21), or BS cells ($p=0.53$, Spearman regression, N=37) during the cue period. In sum, a given NS cell can participate in both gamma- and alpha-synchronization, such that superficial NS cells may play a role in integrating information processing occurring in these two frequency bands, which have different laminar profiles (Bollimunta et al., 2008; Buffalo et al., 2011). Furthermore, the degree to which a cell participates in one of these two rhythms can be independently regulated, consistent with the theories that appoint different mechanistic origins to both rhythms (Bollimunta et al., 2008; Lopes da Silva et al., 1973).

Phase of gamma and alpha locking

In the pre-stimulus cue period, NS cells were gamma locked as much as during the stimulus period, while BS cells were hardly gamma locked (Fig 1D, 2C and 3A). Thus, NS cells can maintain gamma-synchronization without significant recruitment of local BS cells into the gamma rhythm. This finding is consistent with ING models of gamma generation (Bartos et al., 2007; Wang and Buzsáki, 1996; Whittington et al., 1995). In PING models, both pyramidal cells and inhibitory interneurons are locked to the gamma rhythm, yet in a temporal sequence in which excitatory firing has a gamma phase lead over inhibitory firing (Börgers and Kopell, 2005; Eeckman and Freeman, 1990; Leung, 1982; Wilson and Cowan, 1972). During the stimulation period, both NS and BS cells were gamma locking (Fig 1D), allowing to test whether the precise timing differences between them abided by PING model predictions. Indeed, during sustained activation, NS cells fired on average at a later gamma phase (230.2±54.9°, 95% c.i., N=20) than BS cells (170.4±34.9°, N=33) (Fig 4A; Fig S1C and Fig S2C-D for the two monkeys separately), amounting to a gamma phase delay of 59.6° ($p<0.05$, Circular ANOVA). This phase delay did not disambiguate whether NS cells fired before or after BS cells in time. To inquire this, we investigated the phase relation between NS and BS cells as a function of the frequencies around 50 Hz. If the phase relation increases roughly linearly with frequency, this corresponds to a fixed time lead of NS over BS cells, because a fixed time delay corresponds to increasing parts of the oscillation cycle when the cycle gets shorter for higher frequencies, i.e. at frequency f, phase delay ($\Delta\varphi$) and time delay (Δt) are linearly related by $\Delta\varphi = 2\pi f\Delta t$ (Nolte et al., 2008; Fig 6B in Philips et al., 2013). The average gamma phase relation between NS and BS cells was indeed an increasing function of frequency (Fig 4B; Pearson $R=0.975$, $p<0.001$), suggesting that NS cells fired after BS cells in time. The phase delay of 59.6° therefore corresponds to a temporal delay of 3.3 ms.

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In contrast, for pre-stimulus alpha locking (fixation and cue period combined to increase sensitivity), no significant difference was observed between the preferred firing phases of NS (189.2±35.7°, N=19) and BS cells (197.6±15.5°, N=34, p=0.61, Circular ANOVA) (Fig 4C). We did not detect a systematic linear relationship between phase delay and frequency around 10 Hz.

Differences in the preferred phase of locking among neurons

The analysis above demonstrates that cells from different electrophysiological classes (NS or BS) tend to fire at different gamma phases. This finding raises the question whether neurons from the same cell class tend to fire at the same gamma phase, or whether systematic phase differences exist within the NS and BS cell classes. Figure 4A shows, per class, a distribution of preferred phases, and the dispersion in this distribution might be due either to a true variance of preferred phases, or merely to a noisy estimation of the preferred phase of each individual single unit. The latter is conceivable particularly for units with a limited number of spikes. In order to test directly whether units from the same cell class had different preferred phases, we compared all possible intra-cell class pairs of single units by means of a circular ANOVA (in this test, a low number of spikes would merely render the test insensitive). The circular ANOVA revealed that a substantial proportion of unit pairs from the same electrophysiological class indeed had a significantly different mean gamma phase (NS: 65.4% of 231 single unit pairs; BS: 44.8% of 741 single unit pairs; $p<0.05$ for both tests). Note that the circular ANOVA has more statistical power for cells with higher spike counts, and is hence unsuitable for comparisons between neuron types.

We were interested in directly measuring the degree to which neurons, recorded in different sessions, were synchronized in terms of their phase of spiking in the LFP gamma cycle, which was taken as a common clock across sessions. Using the LFP gamma cycle as a common clock allowed to indirectly measure phase synchronization between spike trains from single neurons that were not recorded at the same time. The PPC, for a single unit, measures to what extent different single spikes from the same neuron tend to cluster at the same phase, even though they are recorded in different trials. In analogy, we can measure to what extent spikes from a population of different neurons tend to cluster at the same phase, even though the neurons were (typically) recorded in different sessions. This defines a measure that we call *network-PPC* (SI Methods), which scales from 0 (no similarity) to 1 (full similarity), and is unbiased by spike count. If all neurons are synchronized with the same strength and same phase preference (i.e., identically distributed), then it is irrelevant whether a pair of spikes (and corresponding spike phases) is taken from the same or from two different neurons, and correspondingly the network-PPC will equal the average single unit PPC (as shown in Fig 1D). If a population of neurons has preferred gamma phases that are uniformly distributed over the gamma cycle, then the network-PPC is expected to be zero.

Two neurons may have very dissimilar phases, but may still be synchronized with a non-zero phase delay. These phase delays may well be corrected for by axonal delays, such that spikes can still arrive in phase at a post-synaptic target. We therefore also introduced a measure called the *delay-adjusted network-PPC* (SI Methods). This measure was constructed by first rotating the gamma phase distributions such that the two neurons' preferred phases were aligned. We then computed the similarity between the phases of the two neurons. This yielded, again, a pairwise consistency value between 0 and 1. If the two neurons have no reliable locking to the LFP gamma cycle, then the pairwise consistency value will be zero, if they are perfectly synchronized to the LFP gamma cycle, then the pairwise consistency will indicate that they are perfectly synchronized. Importantly, the delay-adjusted network-PPC provides an upper bound to the network-PPC: The delay-adjusted network-PPC quantifies the similarity among spike-LFP phases in the population of

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neurons *as if* all neurons had the same preferred phase relative to the LFP. Hence, the degree to which the network-PPC differed from the delay-adjusted network-PPC provides a measure of phase diversity in the population. Note that delay-corrected network-PPC has some positive sampling bias which is corrected for through bias subtraction (SI Methods).

We found that the delay-adjusted gamma network-PPC (NS: $5.1\times10^{-3}\pm0.62\times10^{-3}$, N=22; BS: $2.2\times10^{-3}\pm0.43\times10^{-3}$, N=39) and the mean single unit gamma PPC (Fig 1D) were an order of magnitude larger than the gamma network-PPC (Fig 5A; NS: $0.58\times10^{-3}\pm0.23\times10^{-3}$; BS: $0.39\times10^{-3}\pm0.19\times10^{-3}$, bootstrap test, $p<0.001$, difference between NS and BS n.s.). Thus, while the majority of neurons fired reliably around their individual preferred gamma phase, we found that different neurons fired at strongly divergent preferred gamma phases. Further, NS cells are more synchronized individually to the LFP gamma cycle, yet do not fire more synchronously as a population than the BS cells.

This gamma phase diversity contrasted with the diversity in alpha phases. Fig 4C suggests that the distribution of BS cell pre-stimulus alpha phases is much less dispersed than the distribution of BS cell sustained stimulation gamma phases (Fig 4A), despite similar alpha and gamma locking strengths and higher spike counts (which denoises the phase histograms) during the sustained stimulation period. Indeed, BS cells' alpha network-PPC was reduced by only about 35% ($2.1\times10^{-3}\pm0.31\times10^{-3}$ vs. $3.0\times10^{-3}\pm0.48\times10^{-3}$, $p<0.05$, bootstrap test, N=33) relative to the delay-adjusted network-PPC, indicating that BS cells tended to fire at the same alpha phase (Fig 5B). While the BS cells' delay-adjusted network-PPC did not differ between the gamma and alpha frequency, the network-PPC was almost an order of magnitude larger for the alpha- than for the gamma-band ($0.54\times10^{-3}\pm0.24\times10^{-3}$ vs. $3.8.10^{-3}\pm0.68$, N=18, $p<0.001$, bootstrap test). In other words, while BS cells are individually equally synchronized to the LFP gamma and alpha cycle, they fire more coherently as a population in the alpha-band. The high alpha network-PPC for BS cells contrasted with the low alpha network-PPC for NS cells, indicating a larger degree of alpha phase differences between NS than between BS cells.

Diversity of LFP phases around spikes

One factor that may have contributed to the observed diversity in preferred gamma phases across units is variability in LFP phases across electrodes. To compare the diversity in LFP phases across electrodes with the diversity in preferred spike-LFP phases across single units, we defined a spike-LFP phase homogeneity measure (SI Methods), which assessed to what extent the spike phases relative to one LFP were coincident (in phase) with the spike phases relative to the other LFPs and is defined in analogy to the network-PPC. We then averaged these spike-LFP phase homogeneity values across single units, and compared them to the delay-adjusted spike-LFP phase homogeneity values. We found little diversity of LFP phases in comparison to the homogeneity in spike-LFP phases across units, although the observed spike-LFP phase homogeneity was reduced by a factor of about 35-40% relative to the delay-adjusted spike-LFP phase homogeneity (Fig 5C), consistent with Maris et al (2013). We conclude that the diversity in LFP phases across electrodes was relatively low, and thereby unlikely to contribute substantially to the observed diversity in spike-LFP phases across single units.

Dependence of network-PPC on spatial distance

The diversity in preferred spike-LFP phases may be a function of spatial distances between units. A classic electrophysiological approach to examine whether a certain feature of the neural response has spatial structure is to test whether units recorded from the same electrode tend to behave more similarly than units recorded from separate electrodes. To test whether units from the same recording location fired at the same gamma phase or not, we

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computed the network-PPC between the SUAs and their corresponding same-site MUAs. Network-PPC was reduced only by a factor of about 15-30% with respect to the delay-adjusted network-PPC (Fig 5D). This finding suggests that there is indeed considerable spatial structure in preferred SUA spike-LFP gamma phases, such that nearby units fire roughly at the same preferred spike-LFP gamma phase. Considerable homogeneity between nearby units was also suggested by the abovementioned finding that MUA gamma PPCs were not significantly different from BS cell gamma PPCs (Fig 1E-F and Fig 3C-E), because a linear mixture of SUAs firing at different preferred LFP phases into one MUA should have resulted in a lower PPC than the average PPC of the individual SUAs. Nevertheless, circular ANOVA tests revealed a significant difference in preferred gamma phase between SUA and same-site MUA for a substantial number of sites for BS cells (41.0% of BS sites), as well as for NS cells (63.7% of NS sites).

In sum, our results indicate that the observed phase diversity within the same cell class has a major spatial component, since units from the same electrode tended to fire roughly at the same phase.

Relationship gamma phase between periods

Given that the same NS cells tended to exhibit strong gamma locking in both the cue and sustained stimulus period, we asked whether NS cells tended to fire at the same gamma phase in the stimulus and pre-stimulus period. NS cells' mean gamma phases in the stimulus period were strongly correlated with their mean gamma phases both in the fixation (Pearson $R=0.92$, $p<0.001$, $N=14$) and cue (Pearson $R=0.88$, $p<0.001$, $N=10$) period (Fig 5E-F, see Fig S3E-F for monkeys M1 and M2). Thus, the reliable sequences of NS cell activations in the gamma cycle that occur during sustained visual stimulation are repeated in the absence of a visual stimulus in their RFs.

Effect of selective attention on gamma phase

We have previously shown that when visual stimulation with the preferred orientation induces higher firing rates, V1 spiking activity shifts to earlier gamma phases (Vinck et al., 2010a). Given the positive effect of attention on firing rates in the present task (Fries et al., 2008), we predicted that gamma phase may shift with selective attention. Yet, preferred gamma phases of firing during sustained simulation did not differ between attention inside and outside the RF, both for NS (mean $[phase_{in} - phase_{out}] = -5.16 \pm 13.9°$, 95% c.i., $N=21$) and BS cells ($-4.43 \pm 20.7°$, $N=39$). Only a small and non-significant (binomial test, $p>0.05$) fraction of neurons had a significant difference in preferred gamma phase between attention inside and outside the RF (BS: 10.3%, $N=39$; NS: 9.5%, $N=22$, $p<0.05$, circular ANOVA).

Effect of selective attention on firing rate and gamma locking

Previous V4 studies have shown that selective attention to a single stimulus inside an RF increases not only firing rates, but also gamma-band LFP power, MUA-LFP and MUA-MUA gamma-band coherence (Fries et al., 2001b, 2008; Gregoriou et al., 2009). Indeed, we observed a significant average increase ($p<0.001$, bootstrap test) in MUA-LFP gamma PPC, with the majority of MUAs ($p<0.001$, binomial test, $N=129$) having higher gamma PPCs with attention inside their RF (Fig 6A-D). This effect was strongest at a higher gamma frequency (~60 Hz) than the observed 50 Hz peak in the SUA and MUA PPC spectrum (Fig 1D, 6B). Considering that the PPC is unbiased by spike count/rate and that the analyzed MUA dataset was the same as in Fries et al. (2008), this result demonstrates unequivocally that the previously reported effect of selective attention on gamma-band synchronization (Fries et al., 2001b, 2008) was not confounded by its effect on firing rates.

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We predicted that selective attention enhances gamma locking for isolated single units as well. Yet, we found an average decrease ($p<0.05$, bootstrap test) in BS cells' gamma PPCs, with only a minority of units (at 54 Hz, 23%, $p<0.05$, multiple-comparison-corrected binomial test, N=39) having a higher gamma PPC with attention inside their RF (Fig 6A, B and E; see Fig S1D-F and Fig S5 for monkeys M1 and M2). Selective attention had no detectable effect on the average NS cell gamma PPC (n.s., bootstrap test, N=21), with about the same faction of cells having a positive and negative PPC modulation with selective attention (Fig 6A, C and E). To investigate whether the decrease in BS cell PPCs was also present in the other units recorded from the same electrodes, we examined the same-site MUA's PPC spectra. We found a significant increase in average gamma PPC for the same-site MUAs, both for same-site MUAs recorded from sites giving NS and BS cells (Fig 6F; $p<0.05$, bootstrap test), without a significant difference to the attentional effect in PPC for all MUAs together.

The negative (BS) and neutral (NS) effects of selective attention on gamma-band synchronization stood in sharp contrast to the attentional effect on single unit firing rates, which were increased by an average of 11.8±3.7% (68.8% of cells positively modulated, N=64) with attention inside the RF, with no significant difference between NS (14.1±7.5% increase, 68.2% of cells positively modulated, N=22) and BS cells (11.1±4.2% increase, 70.0% positively modulated, N=40).

Relationships between firing rate, gamma locking and selective attention

These findings raise the question why the positive modulation of MUA-LFP gamma PPC with selective attention was not mirrored in the SUA-LFP gamma PPC. A possible clue might be found in the fact that the average SUA-LFP PPC weights each SUA equally, because the PPC is estimated for each SUA separately (in a way that is independent of the firing rate), and then averaged across SUAs. By contrast, the MUA-LFP PPC implicitly weights each SUA that goes into the MUA mixture according to its firing rate: SUAs with higher firing rates will influence the MUA-PPC more than SUAs with lower firing rates. Consequently, the difference between the attentional effects on MUA and SUA PPC might be explained through one of the following scenarios or a combination of both: 1.) With attention, SUAs with particularly high firing rate, and therefore particularly strong MUA contribution, might increase their gamma locking particularly strongly. 2.) With attention, SUAs with particularly strong gamma locking might increase their firing rates particularly strongly and thereby contribute more to the MUAs. In both cases, the correlation between rates and gamma locking should increase with attention.

To test this prediction, we calculated the Spearman rank correlation, across SUAs, between the SUA rates and the PPC, separately for the two attention conditions, and show their difference between attention conditions in Fig 7A. We found that our prediction held, selectively in the gamma-band (NS and BS, $p<0.05$ and $p<0.01$ respectively, bootstrap test). In fact, PPC-rate correlations were significantly greater than zero when attention was inside the neurons' RF (NS: Spearman $\rho=0.50$, $p<0.001$; BS: 0.62, $p<0.001$; $N_{NS}=21$, $N_{BS}=39$ for Fig 7; see Fig S1G-J and Fig S6 for monkey M1, and Fig S1G-J and Fig S7 for monkey M2), but not when it was outside the RF (NS: −0.07, n.s.; BS: −0.02, n.s.).

This analysis was done on the absolute SUA firing rates during sustained activation, which is a function of both baseline firing rate (defined here from fixation onset to stimulus onset), and the change in firing rate during visual stimulation relative to baseline. To investigate their relative contributions, we entered these two variables into a multiple regression model (with every unit as one observation), predicting SUA PPC, separately for each attention condition. We show the difference in regression T-statistics between attention conditions in Fig 7B and C. The effect described above for the overall sustained firing rates held for both

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the baseline rate (BS and NS, $p<0.01$ and $p<0.05$ respectively, bootstrap test) and the rate change relative to baseline (BS and NS, $p<0.01$ and $p<0.05$ respectively, bootstrap test). The effect was again specific for the gamma-frequency band. In fact, a unit's baseline firing rate (NS: T-stat=2.71, $p<0.01$; BS: 3.51, $p<0.001$) positively predicted its gamma PPC when selective attention was directed inside its RF, but not when it was directed outside its RF (NS: −0.39; BS: −0.15, all n.s.). Similarly, a BS cell's firing rate change relative to baseline (NS: T-stat=1.59, n.s.; BS: 3.86, $p<0.01$) positively predicted its gamma PPC when selective attention was directed inside its RF, but not when it was directed outside its RF (NS: −0.9, n.s.; BS: 0.06, n.s.). Thus, neurons contributing more spikes to the population output tend to be more gamma locked when attention is directed inside, but not when it is directed outside their RF. This may result in enhanced MUA-LFP gamma locking with attention inside the RF, since a unit constitutes a greater proportion of the total MUA if it has a higher firing rate.

Thus, one or both of the abovementioned scenarios likely holds true, i.e. high-rate SUAs might gamma lock disproportionally more with attention and/or strongly gamma locking SUAs might fire disproportionally more with attention. We aimed at investigating whether one of the two scenarios is more prominent. We first tested whether SUAs with high rates show more attentional enhancement of gamma locking. Across SUAs, the stimulus driven firing rate was positively correlated with the attentional effect on SUA-LFP locking [$PPC_{in} - PPC_{out}$], specifically in the gamma band (Fig 7D) (BS: Spearman $\rho=0.44$, $p<0.01$; NS: Spearman $\rho=0.29$, n.s.; all cells: $\rho=0.46$, $p<0.001$, N=62). Again, we investigated the effect of baseline and stimulus driven firing rates relative to baseline separately, through multiple regression analysis. Both, a cell's baseline firing rate (BS: T-stat=2.86, $p<0.05$; NS: T-stat=2.42, $p<0.05$; all cells: T-stat=4.29, $p<0.001$, N=62) and baseline corrected firing rate (BS: T-stat=1.91, $p<0.1$; NS: T-stat=0.87, n.s., N=21; all cells: T-stat=2.18, $p<0.05$, N=62), positively predicted the gamma PPC difference between the attention in and out condition [$PPC_{in} - PPC_{out}$] (Fig 7E and F). This effect was again confined to the gamma-frequency band. In agreement with these correlation analyses, a median split of firing rates across the population directly visualized the difference in the attentional effect on gamma locking of the cells: It was negative for the cells with low activity levels (Fig 7G), and positive for the cells with high activity levels (Fig 7H). Finally, we tested whether also the complementary scenario holds, namely that strongly gamma locking SUAs show more attentional rate enhancements. We found that NS cells that were more strongly gamma locking, had a higher attentional firing rate modulation [FR_{in} / FR_{out}] (Fig 7I; NS: Spearman $\rho=0.47$, $p<0.05$; BS: $\rho=0.17$, n.s.).

Discussion

This discussion is structured in three parts: 1.) the basic differences between NS and BS cell locking, 2.) the diversity in locking phases, and 3.) the effects of selective attention.

Basic differences between NS and BS cell locking

We found that NS cells are almost twice as strongly locked to the LFP gamma rhythm as BS cells. The gamma locking of BS cells is essentially identical to the locking of MUA. To separate isolated single units into putative pyramidal cells and inhibitory interneurons, we used the same approach as many previous studies, clustering cells based on their AP waveforms (e.g. see Mitchell et al., 2007; Csicsvari et al., 1999). This approach does not provide absolute certainty about cell identity, but appears to be the best method available for the awake macaque monkey. In rodent preparations, optogenetic phototagging approaches can be used to identify the cell class with high precision (Cardin et al., 2009; Sohal et al., 2009). This optogenetic approach relies on genetically modified animals (Cre-Lox system), and is therefore not yet available for the monkey. Juxtacellular labeling followed by

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morphological reconstruction in rodents allows to identify only one or very few neurons per animal (e.g. Klausberger et al., 2003). While in the awake monkey, cell identity cannot be determined with similar precision, there is ample evidence supporting our interpretation of the NS and BS cell classes: 1.) Studies that identified cell identity unequivocally confirm the waveform separation used here (McCormick et al., 1985; Nowak et al., 2003; Hasenstaub et al., 2005; Gentet et al., 2010) 2.) The distribution of waveform durations was clearly bimodal and contained a majority of BS cells, with observed proportions very close to those found in area V4 by Mitchell et al. (2007) 3.) Firing rates were about twice as high for NS than BS cells, in good agreement with results obtained after unequivocal cell identification (McCormick et al., 1985; Conners and Gutnick, 1990; Contreras and Palmer, 2003; Gentet et al., 2010).

FS inhibitory interneurons, in particular the FS PV+ basket cell, are thought to be critically involved in the generation of gamma-band oscillations (Bartos et al., 2007; Buzsáki and Wang, 2012; Gulyás et al., 2010; Tiesinga and Sejnowski, 2009). To test whether FS PV+ cells play a causal role in the generation of gamma, Cardin et al. (2009) and Sohal et al. (2009) used optogenetic tools to control the firing of FS PV+ cells *in vivo* and found that exciting or inhibiting them increased or decreased gamma-band synchronization, respectively.

Our result that NS cells were about twice as strongly locked to the gamma rhythm as BS cells supports the idea that inhibitory interneurons play an important role in generating V4 gamma-band synchronization. So far, there had been only little evidence from *in vivo* experiments for a predominant role of inhibitory interneurons in generating cortical gamma. van Wingerden et al. (2010) did not find stronger gamma locking for putative inhibitory interneurons as compared to putative pyramidal cells in awake rat orbitofrontal cortex. Hasenstaub et al. (2005) found roughly equally strong locking of RS and FS cells in anesthesized ferret prefrontal cortex (their Fig 5), although membrane potential fluctuations in the gamma-band were more strongly conveyed by IPSPs than EPSPs. Tukker et al. (2007) found that in the anesthesized rat CA1 area, FS basket cells were not particularly strongly gamma locked, while Csicsvari et al. (2003) found that in the awake rat CA1 and CA3 areas, a larger fraction of putative FS interneurons than putative pyramidal cells was significantly gamma locked.

An open question is to what degree the precise timing of pyramidal firing plays a role in generating gamma (Bartos et al., 2007; Buzsáki and Wang, 2012; Tiesinga and Sejnowski, 2009): The ING model has pyramidal cells simply entrained, while the PING model lends them a role in sustaining the rhythm after they are entrained. We have shown that during sustained visual activation, both NS and BS cells are entrained by the gamma rhythm, and BS cells fire before NS cells, as suggested by PING models (Börgers and Kopell, 2005; Eeckman and Freeman, 1990; Leung, 1982; Wilson and Cowan, 1972). This is consistent with previous findings showing that pyramidal cell activity has a gamma phase-lead of a few milliseconds over putative inhibitory interneuron activity (Csicsvari et al., 2003; Hasenstaub et al., 2005; Tukker et al., 2007; van Wingerden et al., 2010).

During the pre-stimulus cue period, we found that NS cells can lock to the gamma rhythm as strongly as during sustained activation, while BS cells show only marginal gamma entrainment. These observations suggest that gamma-rhythmic activity of inhibitory interneurons can be, to a large degree, uncoupled from the activity and gamma locking of local pyramidal cells. In turn, it also suggests that the strength of gamma in putative inhibitory interneurons is not necessarily inherited from gamma-rhythmic recurrent excitatory inputs. The observed dynamics during the pre-stimulus cue period were more

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consistent with an ING (Whittington et al., 1995; Wang and Buzsáki, 1996; Bartos et al., 2007) than a PING model.

The two different patterns of synchronization observed during the pre-stimulus cue period and the stimulus-driven activation might suggest a mixed model in which ING is implemented by top-down inputs, while PING is implemented by bottom-up stimulus drive. Under those conditions, ING might initially entrain PING, as it would limit the window of opportunity within which bottom-up inputs can drive the cells (Fries et al., 2001a)

Diversity in locking phases

We found that a given unit can be preferentially locking to essentially any phase in the gamma cycle, and that this phase is largely the same during the fixation, cue and stimulation period. Thus, the preferred gamma phase of firing appears largely to be a property of the cell, which could be related to 1.) the particular cell subtype, 2.) its position in the vertical cortical column or 3.) its position in the horizontal cortical map. We reported that, on average, BS cells fire about 60° before NS cells. Thus, cell type has some influence on the gamma phase of firing. Within these NS and BS cell classes, different cell subtypes might lock to different gamma phases, like in the case of hippocampal theta (Klausberger et al., 2003). This intriguing possibility requires future exploration, possibly utilizing optogenetic cell type identification strategies in the monkey. Yet, our data partly speak against this possibility, because the gamma phases of single units were more similar to the phases of the same-site MUA than to the phases of single units from other electrodes. This finding suggests that, besides the phase difference between pyramidal cells and inhibitory interneurons, local groups of neurons (as captured by a MUA recording) are locked to roughly the same phase of the gamma rhythm. This leaves a cell's position in the horizontal cortical map or vertical cortical column as the main candidate determinants of its preferred gamma phase. A position in the horizontal cortical map, during visual stimulation, translates to a particular position in the cortical activation map. A given stimulus typically generates an ordered spatial pattern of activation in the map, such that a cell's position in the map translates into a particular activation level. We have shown previously that the level of V1 activation further translates to the gamma phase (Vinck et al., 2010a). However, this effect accounted for only a relatively small part of the phase variance (see Fig 2 of Vinck et al., 2010a). The activation independent part of the phase variance (that is already visible in that figure and replicated here in Fig 4) likely requires a different explanation. We propose that it is related to the remaining possible source of phase variance, i.e. the position of a neuron in the vertical cortical column. In fact, there is direct evidence in favor of this suggestion: Livingstone (1996) has shown that pairs of gamma-synchronized neurons within the granular and supragranular layers of monkey V1 had the more superficial neuron lagging the deeper neuron by about 3 ms for a distance of about 400 μm. The dependence of gamma phase on the vertical position in the cortex might be due to the pattern of synaptic connections within a column and the resulting flow of activation. Gamma activity is primarily found in supragranular layers (Buffalo et al., 2011), and within those, the gamma phase of firing increases systematically with distance from the input layer 4 (Livingstone 1996). At the same time, a larger distance from layer 4 corresponds to a longer conduction time. Thus, the precise connectivity of the cortical column might generate the precise temporal sequence of gamma activation.

Therefore, we would like to suggest that a cell's preferred gamma phase is determined by two activation-independent factors (vertical position and cell class) and one activation-dependent factor (cf. gamma phase shifting). The interplay between these contributions to the gamma phase might explain the firing sequences and their stimulus dependence in anesthetized cat primary visual cortex (Havenith et al., 2011). The potential consequences of the different gamma-phase components are intriguing: 1.) The delay between pyramidal cell

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and interneuron spiking allows the gamma rhythm and in fact overall activation to be maintained (Börgers and Kopell, 2005). If interneurons spike ahead of pyramidal cells, they would block network activity altogether (Kremkow et al., 2010). 2.) The activation-dependent gamma phase shifts might play important roles in competition and/or spike-time dependent plasticity (Vinck et al., 2010a) 3.) The vertical-position-dependent gamma phase might generate temporal input sequences that are optimal to activate postsynaptic neurons (Branco et al., 2010).

Effects of selective attention

For MUA-LFP gamma-band synchronization, we confirmed previous studies showing attentional enhancements in gamma-band LFP power and MUA-LFP coherence in awake monkey V4 (Fries et al., 2001b; Gregoriou et al., 2009). The importance of this confirmation derives from the methodological advance in that we demonstrate such enhancements for MUA-LFP gamma PPC, which is free of any bias due to spike count or spike rate. An open question addressed here is to what degree the effect of spatial attention on gamma locking is expressed in isolated single units, and depends on electrophysiological cell class. Mitchell et al. (2007) showed that both putative interneurons and pyramidals cells have proportionally similar increases in firing rates with selective attention, a finding confirmed here. However, we found that SUA-LFP gamma-band PPC is reduced with attention across the population of BS cells and unaffected for NS cells when firing rate differences are not considered. We showed that the discrepancy between the attentional effect on SUA and MUA gamma locking can be explained by an interaction between the attentional effects on SUA firing rate and locking strength: Enhanced locking of strongly firing neurons might explain the discrepancy between MUA and SUA results given that a MUA's composition can change concordantly. We confirmed this by demonstrating that large attentional increases in gamma locking were seen for the most strongly firing SUs. When we performed a median split on SUA firing rate, the attentional effect on gamma-locking was negative for the weakly firing cells, but positive for the strongly firing cells. It is conceivable that these particularly strongly firing/activated cells constitute a specific cell subclass.

These findings suggest that attention sharpens the composition of the synchronized assembly, such that the most activated neurons are most synchronized and therefore exert the highest impact onto postsynaptic target neurons. Assuming that mainly the synchronized neurons effectively influence target neurons, a sharpening of the synchronized assembly potentially has an additional effect related to normalization mechanisms in the neuronal target group. Normalization mechanisms effectively lead to a situation in which different input neurons mutually reduce their respective gain. Therefore, eliminating less activated neurons from the synchronized assembly and thereby from the post-synaptically effective assembly, might further enhance the relative gain of the more activated neurons.

Experimental procedures

Monkeys performed a selective attention task. A trial started when the monkey touched a bar and directed its gaze within 0.7° of the fixation spot. After approximately 1.5 s, an attentional cue appeared. The cue was followed after approximately 0.75 s by two drifting grating stimuli, where one stimulus was cued as the target stimulus and one as the distractor stimulus. The monkey had to release the bar between 150 and 650 ms after a change in color of the target stimulus. The phase of each spike was determined by frequency decomposition of the LFP around each spike. We averaged the phases obtained from the LFPs recorded on all electrodes, except the electrode from which the spike was obtained. Up to four LFPs were recorded simultaneously. The strength of spike-LFP phase-locking was quantified by the PPC, which is unbiased by the number of spikes (Vinck et al., 2010a, 2012).

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Supplementary Material

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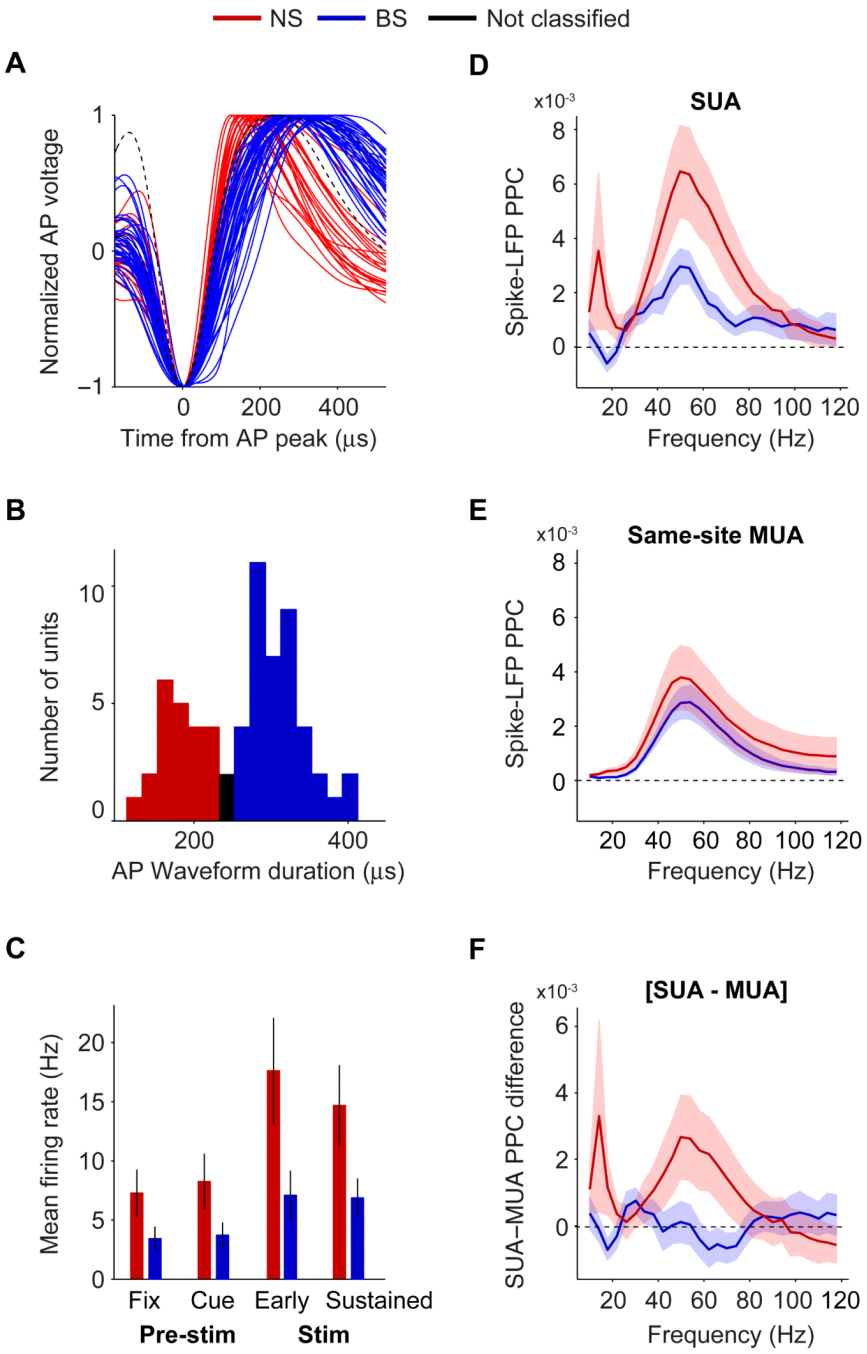

Fig 1. Classification of NS and BS cells based on AP waveforms, and their differences in gamma locking during visual stimulation

(A) Normalized voltage vs. time from AP peak for all average waveforms of the isolated single units. (B) Histogram of AP peak-to-trough durations. (C) Average firing rate for different task periods (vertical lines indicate SEMs). Left-to-right: pre-stimulus fixation, cue, early onset (0-0.3 s after grating stimulus onset), and sustained stimulus period. (D) Average spike-LFP PPC spectra. (E) Average PPC for the same-site MUAs corresponding to either the NS or BS cells. (F) Average SUA-MUA PPC difference [$PPC_{SUA} - PPC_{MUA}$] vs. frequency. (D-F) Shadings indicate SEMs. See Fig S1 and S2.

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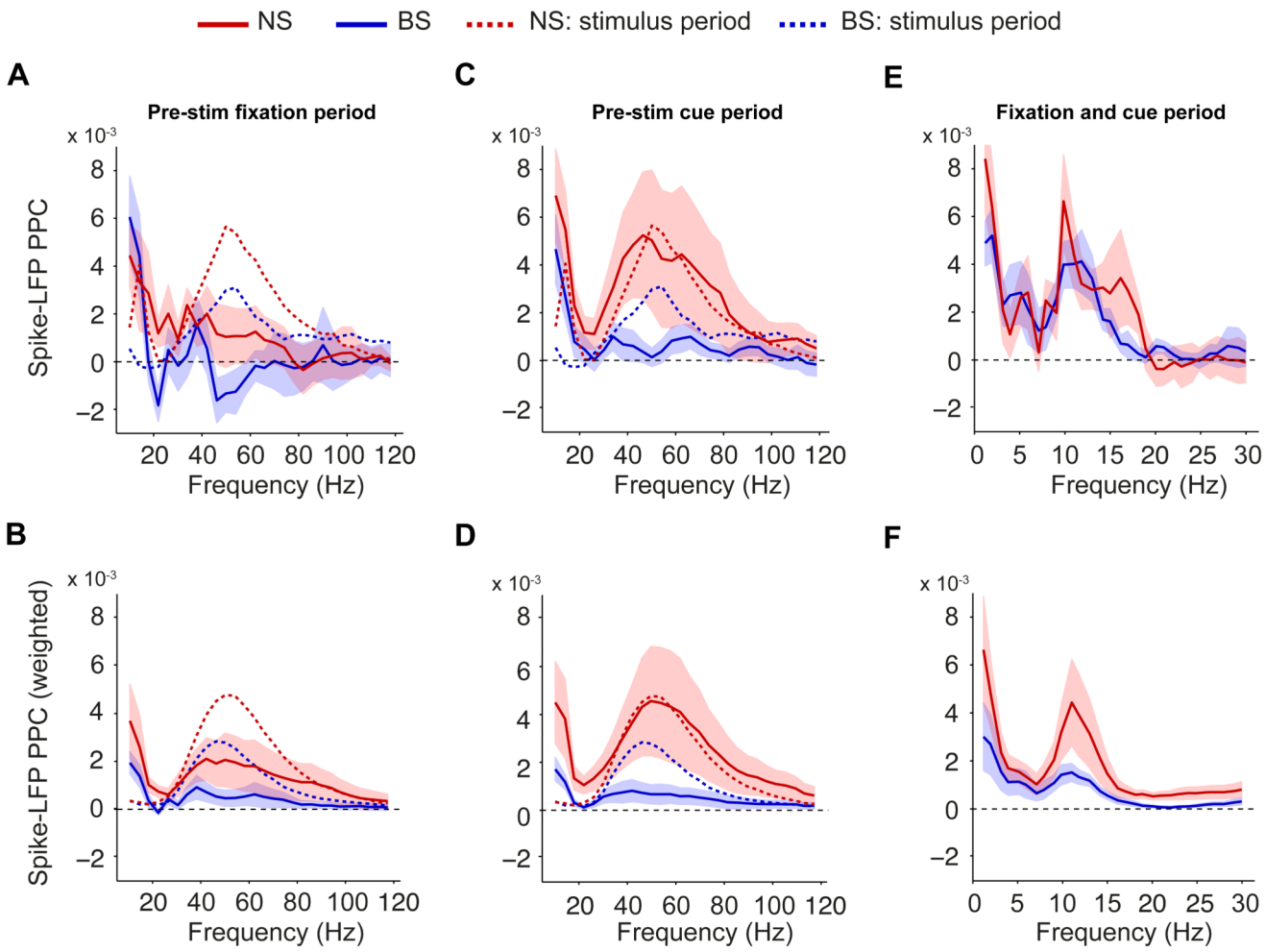

Fig 2. Precision of pre-stimulus phase locking

(A) Average spike-LFP PPC spectra for the pre-stimulus fixation period. Dashed lines indicate average PPC for sustained stimulus period. (B) Same as (A), but now shown the weighted PPC group average, with the relative weight of a unit proportional to its spike count. (C) Same as (A), but now for the cue period. (D) Same as (B), but now for cue period. (E) Same as (A and C), but now for the complete pre-stimulus period (fixation onset to stimulus onset) and low frequencies. (F) Same as (B and D), but now for complete pre-stimulus period and low frequencies. (A-F) Shadings indicate SEMs. See Fig S1, S3 and S4.

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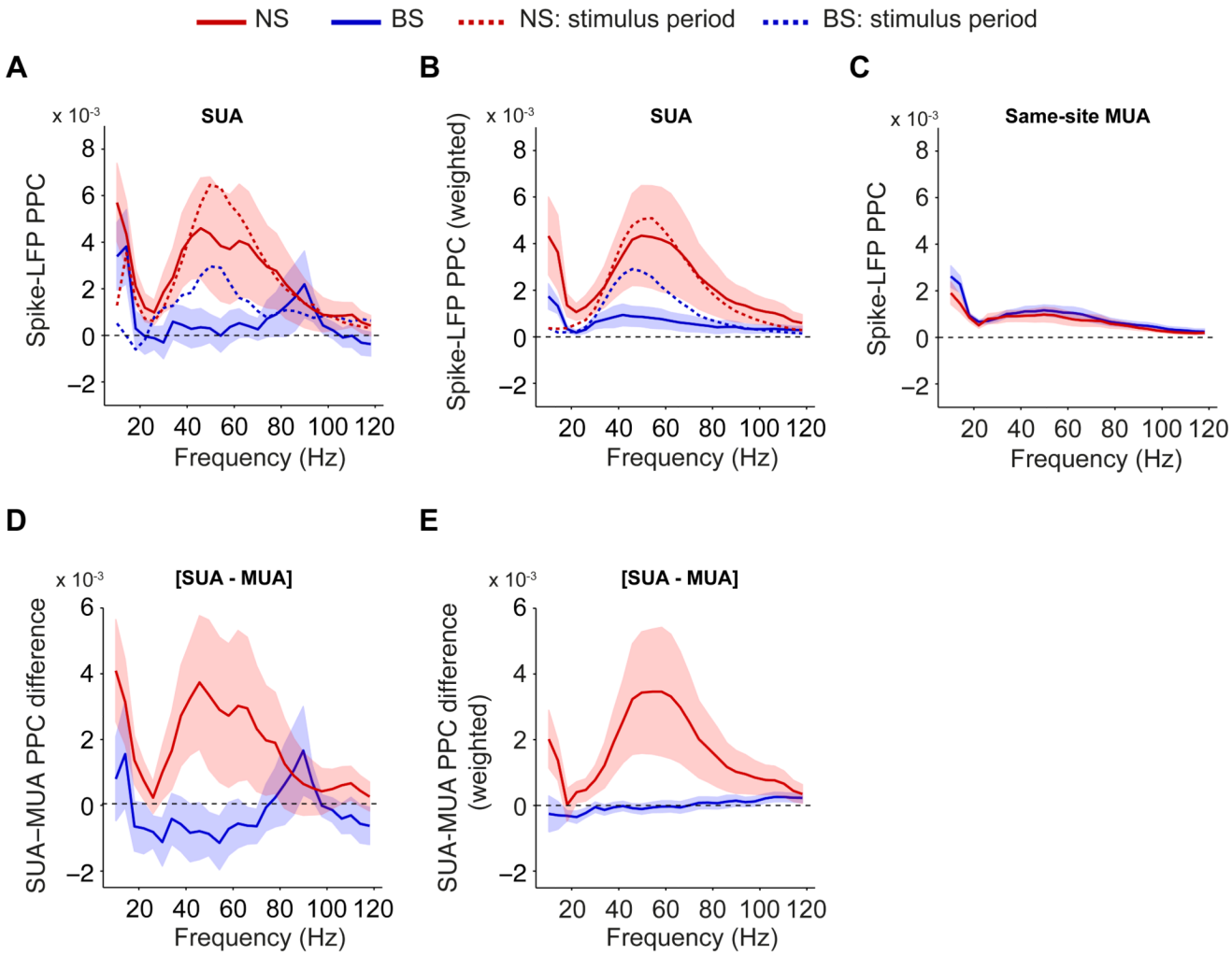

Fig 3. Comparison of MUA and SUA phase locking in the pre-stimulus cue period

(A) Average spike-LFP PPC spectra for the pre-stimulus fixation period, including eight cells that were recorded with a block design, i.e. without an 'uncued' fixation period. Dashed lines indicate average PPC for sustained stimulus period. (B) Same as (A), but now shown the weighted PPC group average. (C) Average spike-LFP PPC spectra for the same-site MUAs corresponding to either the NS or BS cells, in the cue period. (D) Average SUA-MUA PPC difference for the cue period. (E) Same as (D), but now shown the weighted average of the SUA-MUA PPC difference, similar to (Fig 2B, D and F). See Fig S3 and S4.

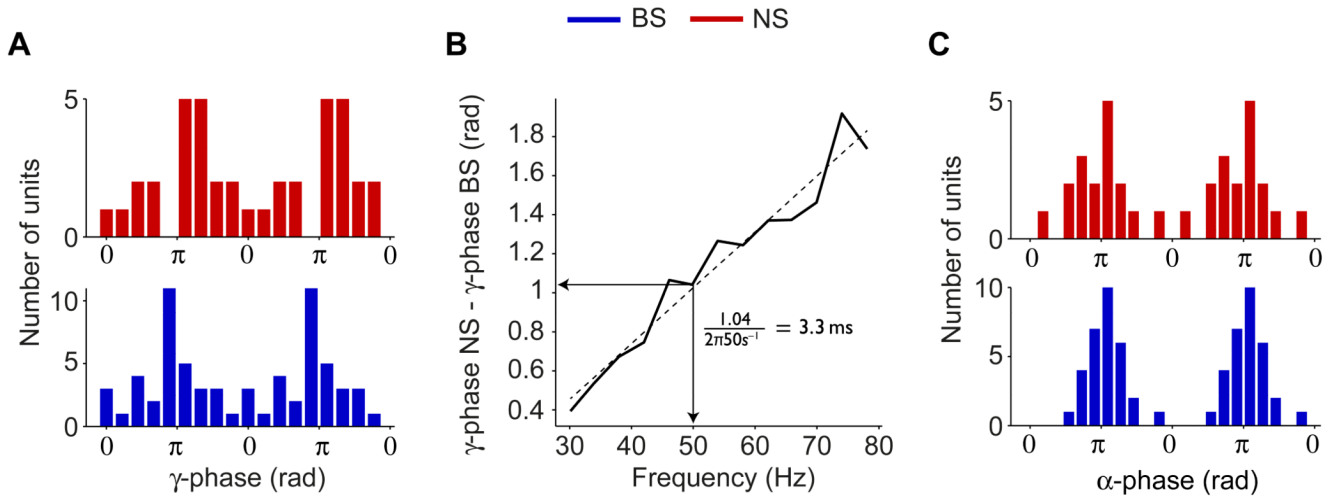

Fig 4. Differences in locking phase between NS and BS cells

(A) Histogram of mean spike-LFP gamma phases across units. Only units for which the gamma PPC exceeded zero are shown. (B) Mean gamma phase delay vs. frequency. Dashed black line indicates linear regression fit (Pearson R=0.93, p<0.001). (C) Histogram of preferred alpha phases in the complete pre-stimulus period (for units with an alpha PPC exceeding zero). See Fig S1 and S2.

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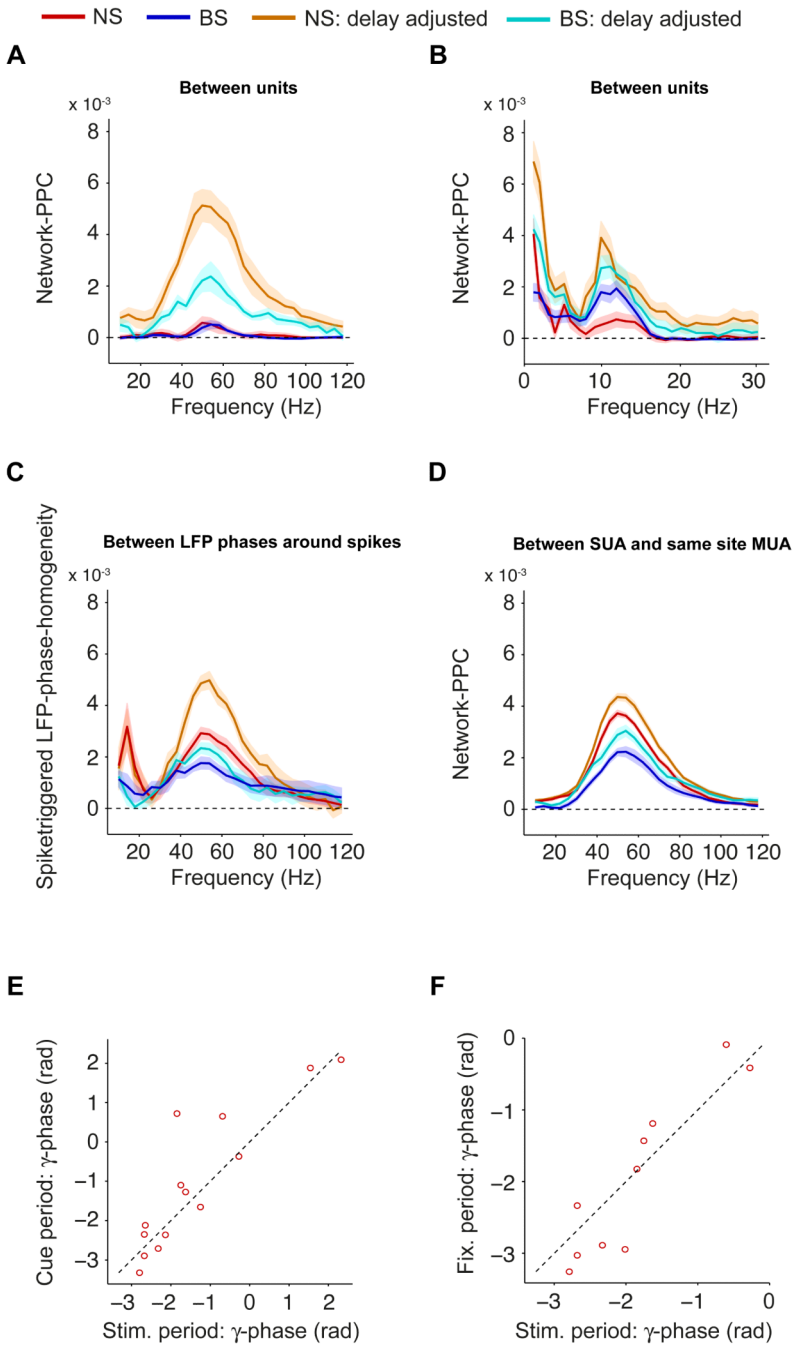

Fig 5. Diversity of gamma phases across population

(A) Network-PPC vs. frequency. The network-PPC indicates to what extent spikes from different neurons have similar phases. Shown in orange (NS) and cyan (BS) the delay-adjusted network-PPC that is obtained by setting the mean spike-LFP phase equal for all neurons. (B) Same as (A), but now for the low frequencies in the pre-stimulus period. (C) Same as (B), but now shown the spike-triggered LFP phase diversity, and its delay-adjusted version. The spike-triggered LFP phase diversity quantifies to what extent the distribution of spike-LFP phases measured relative to one (LFP) electrode is similar to the distribution of spike-LFP phases measured relative to another (LFP) electrode. (D) Same as (A-B), but now shown the network-PPC for same-site MUA and SUA. In this case, the network-PPC

indicates to what extent the same-site MUA and the corresponding SUA have similar phases or not. (A-D) Shadings indicate SEMs. (E) Mean gamma phase in stimulus period vs. cue period, for NS cells with a spike-LFP PPC exceeding zero in both periods. (F) Same as (E), but now for stimulus vs. fixation period. See Fig S3.

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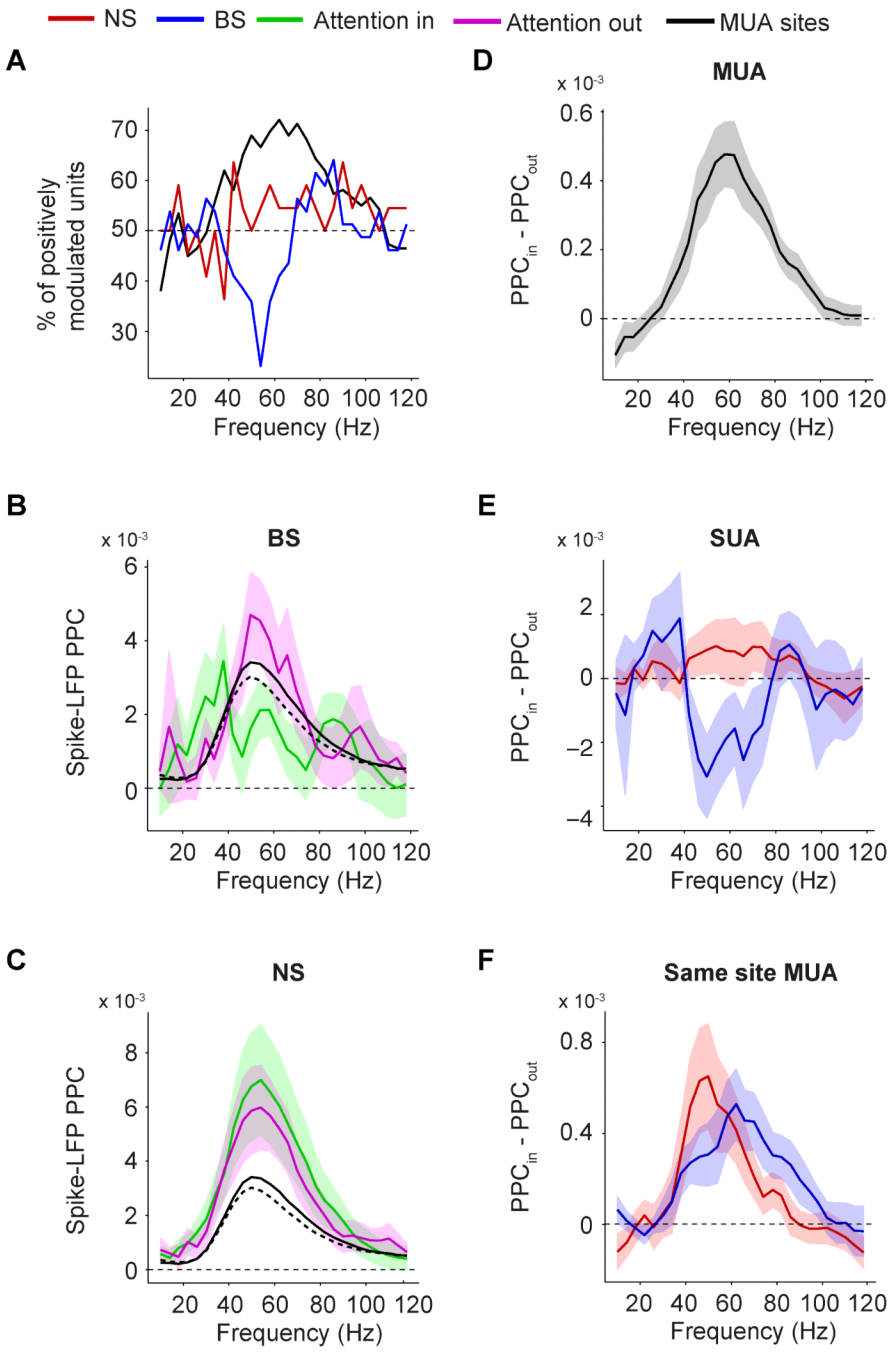

Fig 6. Effect of attention on MUA-LFP and SUA-LFP PPC

(A) Percentage of SUAs (red, blue) and MUAs (black) for which the PPC was higher with attention inside than outside the RF. (B) Average BS cell PPC vs. frequency, separate for attention inside and outside the RF. Solid black and dashed black line correspond to MUA PPC with attention inside and outside the RF, respectively. (C) Same as (B), now for NS cells. (D) Frequency vs. the average difference in MUA-LFP PPC between attention inside and outside the RF. (E) Same as (D), but now for NS and BS cells. (F) Same as (E), but now for the same-site MUAs corresponding to either the NS (red) or BS (blue) cells. (B-F) Shadings indicate SEMs. See Fig S1 and S5.

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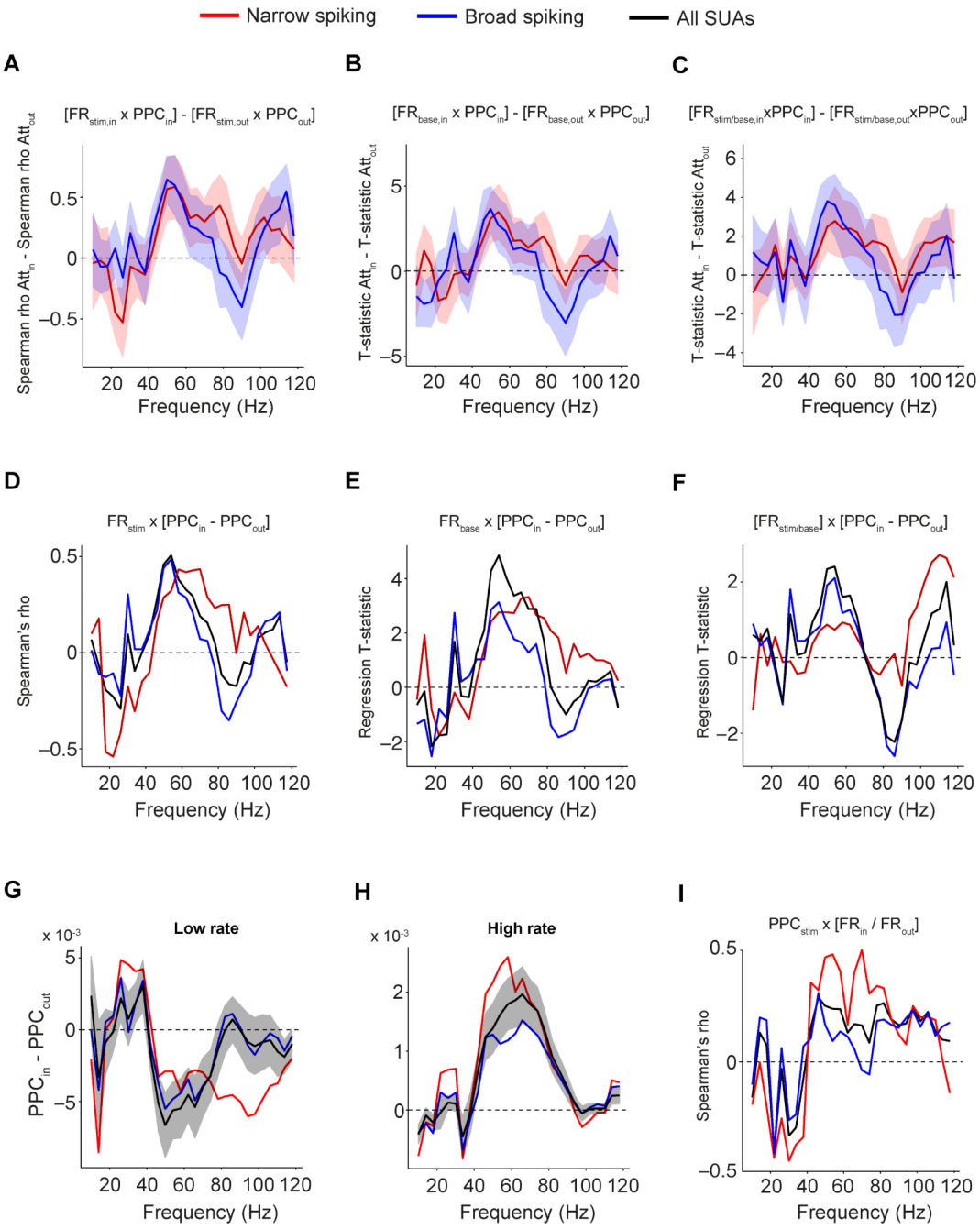

Fig 7. Relationships between PPC, firing rate and selective attention

(A) Difference between attention conditions in Spearman correlations of PPC and stimulus period firing rate. Shadings indicate SEMs. (B) Same as (A), but now shown the difference between attention conditions in the T-statistic of the baseline firing rate predictor. This T-statistic was derived from a multiple regression of PPC onto baseline firing rate and relative stimulus firing rate to baseline. (C) Same as (B), but now for the relative stimulus firing rate to baseline. (D) Spearman correlation between stimulus driven firing rate and the attentional modulation of SUA PPC [PPC_{in} - PPC_{out}] vs. frequency. (E) Same as (D), but now shown the T-statistic of the baseline firing rate predictor. (F) Same as (E), but now for relative stimulus firing rate to baseline. (G-H) Average difference in PPC between attention

conditions for units with low (G) and high (H) average firing rate (median split). (I) Spearman correlation between PPC and attentional modulation of SUA firing rate [FR_{in} / FR_{out}] vs. frequency. See Fig S1, S6 and S7.

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